

# Influence of Nerve Growth Factor on Neurofilament Gene Expression in Mature Primary Sensory Neurons

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**To analyze the possible influence of nerve growth factor (NGF) on neurofilament synthesis in primary sensory neurons, adjacent cryostat sections of lumbar dorsal root ganglia (DRG) from adult rats were processed for either NGF-receptor radioautography or *in situ* hybridization with a neurofilament cDNA probe. Labeling by both procedures was quantified with computer assistance for approximately 300 neurons in each of selected ganglia. For uninjured neurons, no correlation was detected between NGF binding and neurofilament mRNA, even after infusion of NGF into the lumbar subarachnoid space for 1 week. One or 3 weeks after sciatic nerve transection, neurofilament labeling densities in large DRG neurons were sharply reduced and the normal bimodal pattern in frequency histograms had become unimodal. Intrathecal infusion of NGF counteracted this injury-induced reduction of neurofilament mRNA but only in neurons with high-affinity NGF receptors. To explain the effects of NGF on axotomized neurons and the normal diversity of neurofilament gene expression among neurons with NGF receptors, we postulate that NGF permits NGF-sensitive DRG neurons to respond differentially to a second factor stimulating neurofilament synthesis.**

The number of neurofilaments within axons is believed to control their diameter and thereby their conduction velocity: neurofilament number and axonal diameter are closely correlated with each other under normal and abnormal conditions (Friede and Samorajski, 1970; Weiss and Mayr, 1971; Hoffman et al., 1984). Given an additional correlation between conduction velocity and size of the nerve cell body (Harper and Lawson, 1985; Lee et al., 1986), it is appropriate that large neurons have more neurofilaments than small neurons (Sharp et al., 1982). From analyses of perikaryal size, cytoplasmic appearance, and neurofilament immunohistochemistry, rodent primary sensory neurons have been subdivided into small dark neurons with unmyelinated axons and large light neurons with myelinated axons (Andres, 1971; Duce and Keen, 1977; Lawson, 1979; Rambourg et al., 1983; Lawson et al., 1984). The tinctorial properties of

large light neurons are probably related to the presence of many perikaryal neurofilaments. It is intriguing to speculate that a few molecules influencing expression of the neurofilament gene might control such fundamental properties as neuronal size and conduction velocity. However, nothing is yet known about the molecules that regulate neurofilament content of dorsal root ganglion (DRG) neurons.

Nerve growth factor (NGF) increases expression of the genes for all 3 neurofilament subunits in cloned pheochromocytoma (PC-12) cells (Dickson et al., 1986; Lindenbaum et al., 1988). The hypertrophy of primary sensory neurons following NGF injection into chick embryos (Straznicki and Rush, 1985; Dimberg et al., 1987) may reflect induction of neurofilament synthesis by NGF. The fact that axons of adult rat sensory neurons undergo atrophy following administration of NGF antiserum suggests a regulatory role for endogenous NGF in axonal caliber (Matheson et al., 1989). In mature rats, approximately 40% of lumbar sensory neurons have high-affinity NGF receptors and are potentially responsive to NGF (Verge et al., 1989a, b). We were therefore interested in determining whether endogenous NGF is involved in controlling neurofilament synthesis in normal mature DRG neurons.

Several properties of NGF-sensitive sensory neurons, notably the concentration of substance P, are maintained by endogenous NGF (Lindsay and Harmar, 1989), falling when NGF is depleted by antibodies (Schwartz et al., 1982) or injury (Jessell et al., 1979) and augmented by infusion of exogenous NGF (Goedert et al., 1981; Fitzgerald et al., 1985). Following peripheral nerve transection, nerve cell body size, axonal diameter, neurofilament synthesis, and axonal transport of neurofilament are all reduced in sensory neurons (Cavanaugh, 1951; Aitken and Thomas, 1962; Carlson et al., 1979; Hoffman et al., 1987; Wong and Oblinger, 1987; Goldstein et al., 1988; Oblinger and Lasek, 1988). NGF can partially prevent or restore this injury-induced atrophy (Rich et al., 1987; Verge et al., 1989a). We hypothesized that the down-regulation of neurofilament synthesis in axotomized sensory neurons with functional NGF receptors might also be counteracted by infusion of NGF.

## Materials and Methods

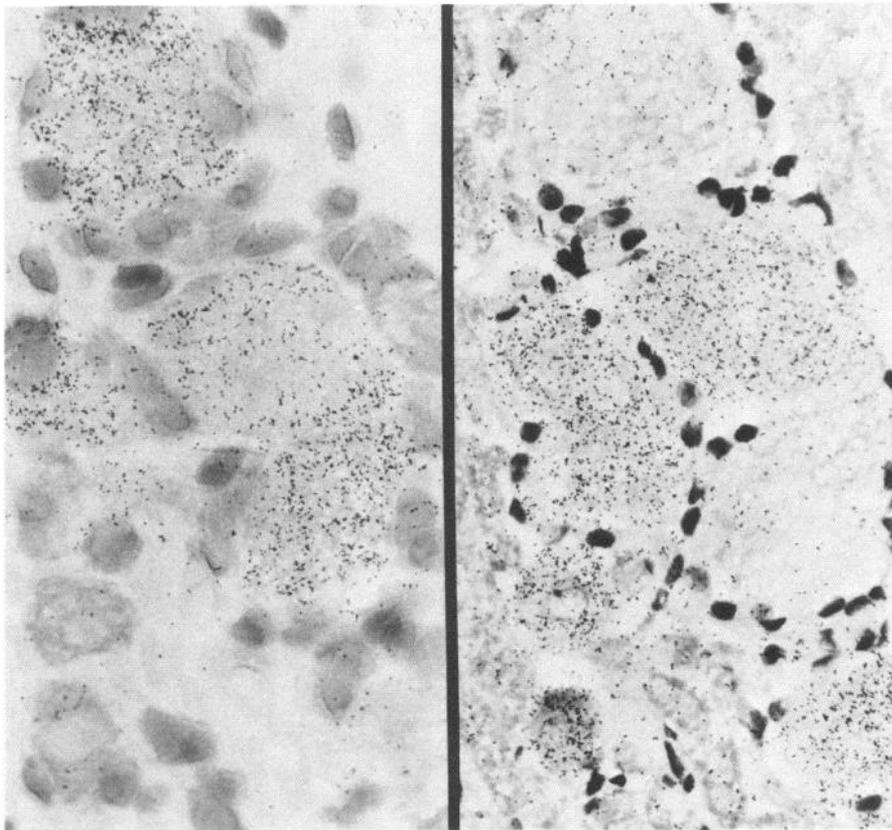
Operations were performed on 18 female Sprague-Dawley rats, weighing approximately 200 gm, with general anesthesia (pentobarbital, 50 mg/kg, i.p.) and aseptic microsurgical conditions. The right sciatic nerve was transected at its origin from the L<sub>4</sub> and L<sub>5</sub> spinal nerves and a 5 mm segment of nerve was excised. In 9 rats,  $\beta$ -NGF was infused at 1  $\mu$ l/hr by a subcutaneous osmotic pump (Alza #2001) connected to silicon tubing (outer diameter, 0.6 mm) inserted intrathecally at the lumbosacral junction to a length of 2 cm.  $\beta$ -NGF, prepared from mouse sub-

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**Figure 1.** Photomicrographs showing adjacent sections of normal rat L<sub>5</sub> DRG processed for *in situ* hybridization with an NFM cDNA probe (left) or NGF-receptor radioautography (right). NFM mRNA labeling is denser for large than small neurons but shows little relationship to NGF binding.  $\times 530$ .

mandibular glands (Mobley et al., 1976; Chapman et al., 1981), was diluted to 125 ng/ $\mu$ l in PBS containing rat serum albumin (1 mg/ml), penicillin, and streptomycin (100 units/ml). Four experimental groups were studied with simple nerve transection 1 or 3 weeks before death, and with transection 1 or 4 weeks before death plus infusion of NGF in the last week. At death, rats were deeply anesthetized and perfused per aorta with buffered saline. The fourth and fifth lumbar dorsal root ganglia (L<sub>4</sub> and L<sub>5</sub> DRG) were removed, and the right and left ganglia at each level were quickly mounted on the same cork and frozen in isopentane cooled to  $-60^{\circ}\text{C}$ . Cryostat sections 5  $\mu\text{m}$  thick were thaw-mounted on gelatin-coated slides and stored at  $-80^{\circ}\text{C}$  for not more than a week. Adjacent sections, each with a pair of DRG, were processed either for NGF-receptor radioautography or neurofilament *in situ* hybridization.

NGF-receptor radioautography was performed according to a published protocol (Richardson et al., 1989; Verge et al., 1989a).  $\beta$ -NGF was radioiodinated by the lactoperoxidase method (Sutter et al., 1979; Richardson et al., 1989) to a specific activity of approximately 100  $\mu\text{Ci}/\mu\text{g}$ . Slides were incubated for 90 min in 40–50 pM  $^{125}\text{I}$ -NGF in PBS containing cytochrome c (1 mg/ml) to diminish nonspecific labeling and protease inhibitors (4  $\mu\text{g}/\text{ml}$  leupeptin and 0.5 mM PMSF). Slides were washed for 3 min in several changes of cold buffer, fixed in mixed aldehydes, dehydrated, defatted, rehydrated, and dried. They were then dipped in radiosensitive emulsion (Kodak NTB2), exposed in the dark for 4–7 d, and developed (Kodak D-19).

The  $^{35}\text{S}$ -radiolabeled probe used for hybridization was generated from isolated cDNA insert of the medium neurofilament subunit (NFM; Julien et al., 1986), according to the random hexonucleotide priming procedure (Feinberg and Vogelstein, 1983). The cDNA clone was generously provided by Dr. J.-P. Julien, McGill University. The technique for *in situ* hybridization was essentially that of Miller et al. (1987). Sections were postfixated for 20 min in 4% formaldehyde, washed in buffered saline, treated for 8 min with proteinase K (10 ng/ml) at room temperature, rinsed, and fixed an additional 5 min in 4% paraformaldehyde. Sections were then dehydrated in 70, 90, and 100% ethanol containing 0.3 M sodium acetate, briefly dried, and prehybridized for 2–3 hr at  $43^{\circ}\text{C}$  with a solution containing 50% formamide, 5  $\times$  Den-

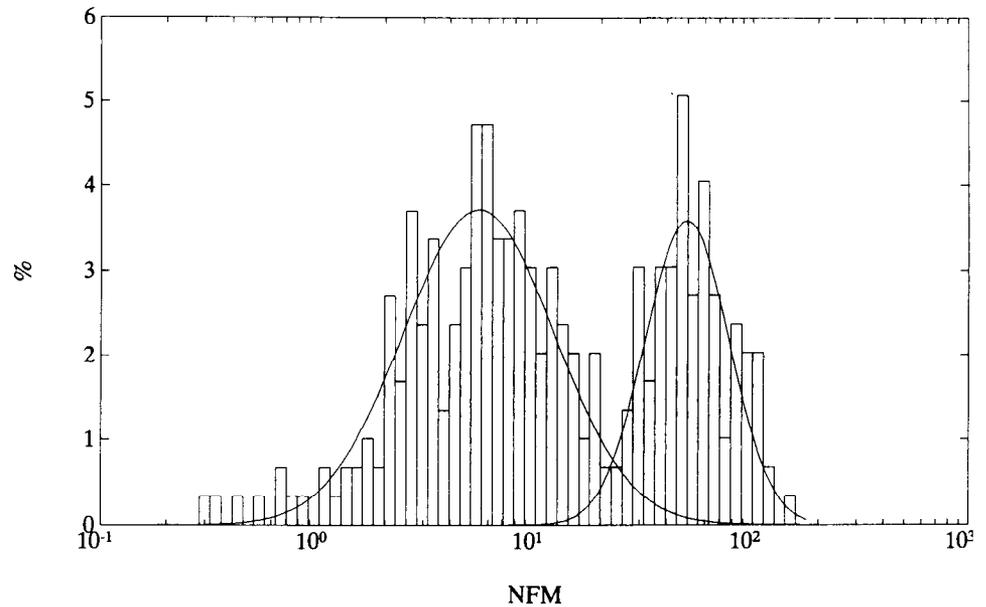
hardt's solution, salmon sperm DNA and tRNA (250  $\mu\text{g}/\text{ml}$ ), 0.2% SDS, and 100 mM DTT. The same solution was used for overnight (12–14 hr) hybridization at  $43^{\circ}\text{C}$  with the addition of  $^{35}\text{S}$ -labeled NFM cDNA probe ( $2 \times 10^6$  cpm/ml, 10–20 ng/ml). Posthybridization washes were done at  $45^{\circ}\text{C}$  with 4 $\times$ , 2 $\times$ , 1 $\times$ , and 0.5 $\times$  for 30 min each and 0.1 $\times$  SSC for 5 min. Sections were processed for radioautography by dipping in Kodak NTB2 emulsion diluted 1:1 in distilled water, exposed in the dark at  $4^{\circ}\text{C}$  for 6–14 d, developed in D-19 solution, and stained with toluidine blue. Control sections were hybridized with  $^{35}\text{S}$ -labeled plasmid DNA instead of neurofilament cDNA.

Quantitative analysis was performed for 10 ganglia in 5 animals subjected to right sciatic nerve transection with or without NGF infusion. For this purpose, montages were prepared from photomicrographs (at  $\times 450$ ) of adjacent sections processed for NGF-receptor radioautography and NFM cDNA hybridization. Neurons with visible nucleolus in 1 of the 2 sections were identified and numbered on both montages and analyzed quantitatively under oil-immersion light microscopy with computer assistance ( $n = 224$ –360/preparation). Image-analysis software was kindly provided by Dr. W. G. Tatton, University of Toronto. For individual neurons, the cross-sectional area and percentage of cytoplasmic area covered by silver grains were measured in both histological preparations. Volumes were calculated from the larger of the 2 cross-sectional areas in adjacent sections with correction for shrinkage and assumption that the neurons are spherical. Labeling indices included a correction for grain overlap and were normalized against background labeling over areas of the ganglia without nerve cell bodies. Data were analyzed statistically according to published computer programs (Press et al., 1988). Where appropriate, histograms were fitted to a double-Gaussian curve by the Levenberg-Marquardt algorithm.

## Results

### Normal ganglia

In left L<sub>5</sub> DRG with or without NGF infusion, labeling of DRG neurons with the NFM cDNA probe was heterogeneous (Fig. 1). Background labeling was 0.0015–0.0024 of area, and neu-



**Figure 2.** Quantification of NFM mRNA labeling in an uninjured  $L_5$  DRG of a rat infused intrathecally for 1 week with NGF ( $n = 296$ ). *Top*, Frequency histogram showing percentage of neurons versus NFM mRNA labeling indices. The data can be fitted to 2 normal curves with mean labeling of  $5.4 \times$  background and  $50 \times$  background. *Middle*, Three-dimensional histogram with frequency plotted against neurofilament labeling and volume. The neurons are distributed into 2 major pools with small volume and little NFM mRNA or large volume and abundant mRNA. Volume,  $6000\text{--}180,000 \mu\text{m}^3$ ; NFM mRNA labeling index,  $0.6\text{--}180 \times$  background, both on logarithmic scale. *Bottom*, Three-dimensional histogram with frequency plotted against NFM mRNA labeling and NGF binding. Little correlation is seen between the 2 labeling indices. NGF labeling index,  $0.32\text{--}32 \times$  background; NFM labeling index,  $0.6\text{--}180 \times$  background, both on logarithmic scale.

ronal labeling indices ranged from below background to more than 100 times background. Frequency histograms of labeling indices (Fig. 2) could be fitted to 2 normal curves with  $0.62 \pm 0.04$  and  $0.38 \pm 0.04$  of neurons and mean labeling indices of  $3.4 \pm 0.6$  and  $34.9 \pm 4.7$  (logarithmic scale, mean  $\pm$  SEM, 5 plots, 250–346 neurons/plot). No specific labeling was observed for sections hybridized with radiolabeled plasmid.

Frequency histograms of cell volume could also be fitted to 2 normal curves with  $0.67 \pm 0.04$  and  $0.33 \pm 0.04$  of neurons and mean volumes of  $14,000 \pm 700$  and  $50,000 \pm 4000 \mu\text{m}^3$  (logarithmic scale, mean  $\pm$  SEM, 5 plots, 250–346 neurons per plot, data not shown).

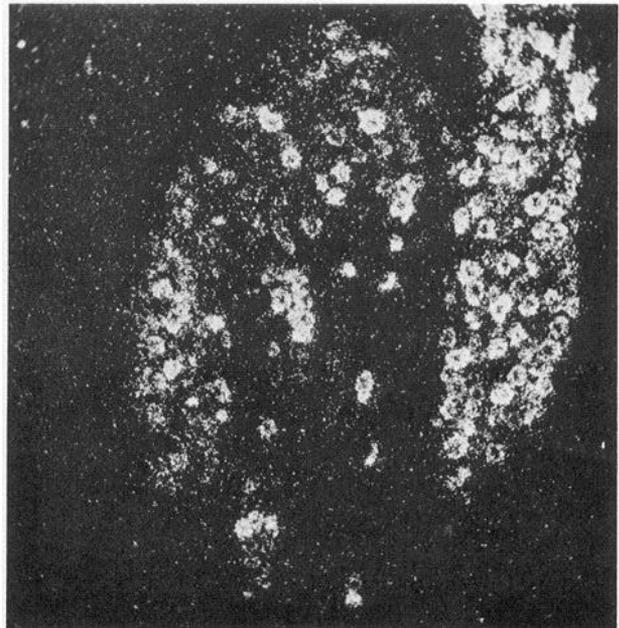
In scatter diagrams or 3-dimensional histograms (Fig. 2) to show the frequency distribution of neurons according to volume and NFM mRNA labeling indices (both on a logarithmic scale), a strong correlation between the 2 measurements was detected (Pearson coefficient =  $0.64\text{--}0.71$ ,  $p < 0.0001$  by Student's *t*-test for the parameter *z*, 5 plots). Most of the neurons belong to 1 of 2 populations, with small volume and light NFM mRNA labeling or large volume and heavy NFM mRNA labeling.

No correlation was detected between labeling indices in NGF-receptor radioautography and NFM *in situ* hybridization (Figs. 1, 2). By this analysis, most neurons could be placed into 4 clusters with light or heavy labeling by the 2 procedures. For

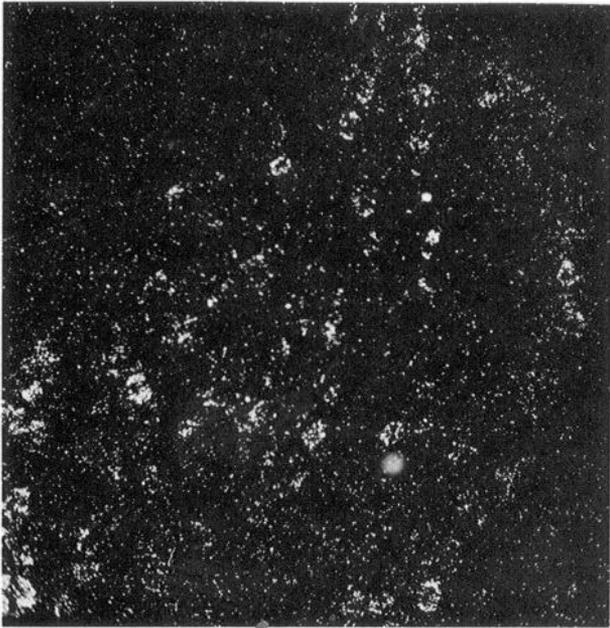
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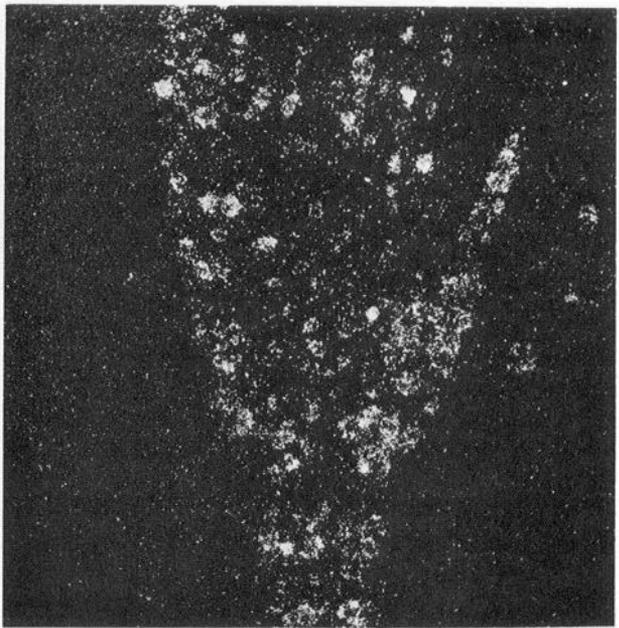
## INTACT + NGF



## CUT



## CUT + NGF



**Figure 3.** Dark-field photomicrographs showing NFM *in situ* hybridization for left and right L<sub>5</sub> DRG from 2 rats. In both rats, the right sciatic nerve was cut 1 week before death; for 1 rat, NGF was infused intrathecally during this week. L<sub>5</sub> DRGs are associated with normal sciatic nerve (*upper*), cut sciatic nerve (*lower*), and NGF infusion (*right*) or no infusion (*left*). NFM mRNA is depleted by peripheral nerve injury, but more heavily labeled neurons persist when injury is followed by NGF infusion.  $\times 60$ .

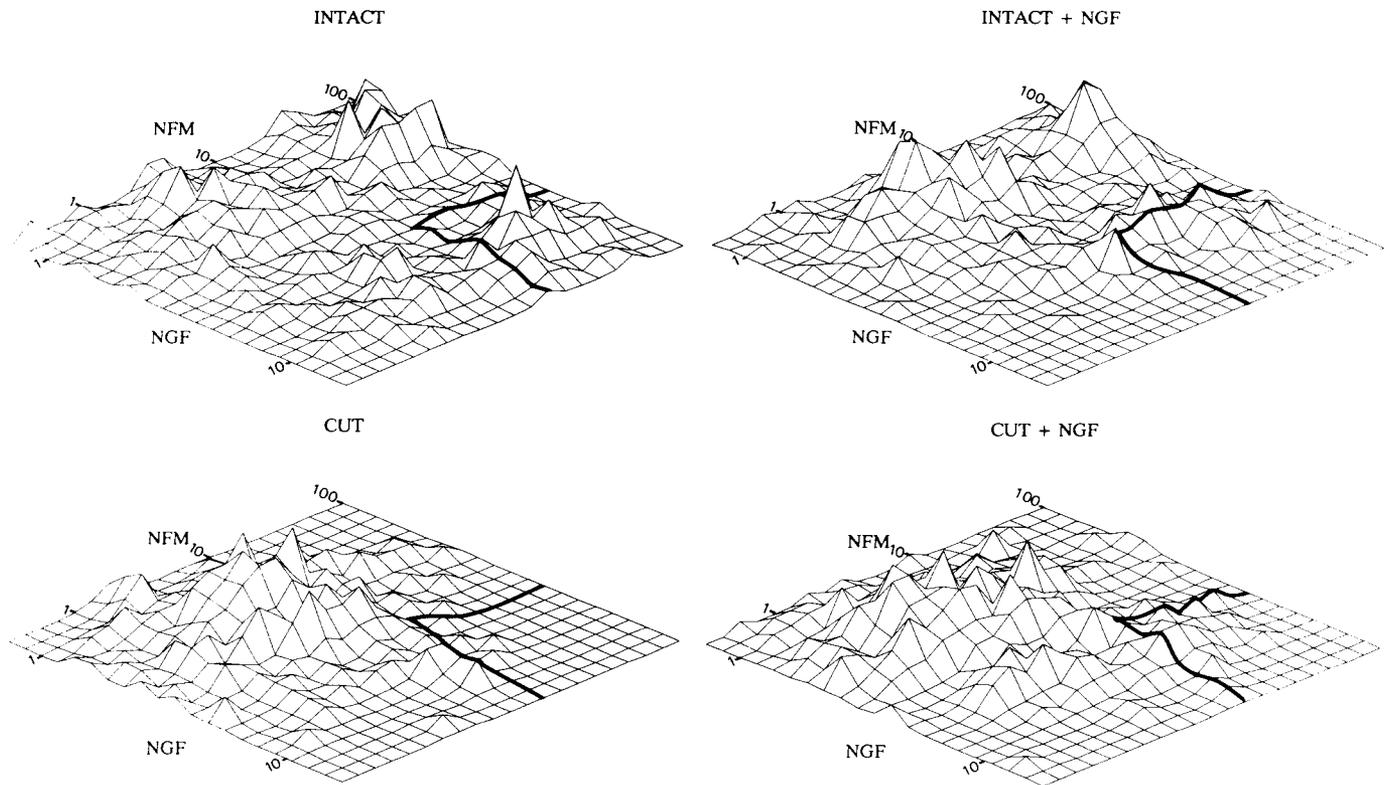
neurons with little NGF binding, the subpopulations with high and low NFM mRNA concentration were distinct: for neurons with high NGF binding, the 2 populations were less clearly separated, and the total range of NFM mRNA labeling was diminished.

Administration of NGF seemed to accentuate the difference between neuronal populations that were heavily and lightly labeled by the NFM probe (Fig. 3), although this impression was not quantitatively documented. In otherwise normal ganglia, the percentage of neurons in the 2 populations was not appreciably changed by exogenous NGF, and the values quoted in

the first paragraph are for left DRG with or without NGF infusion. After administration of NGF, the correlation between NFM mRNA labeling index and neuronal volume was still strong and the four populations of neurons with low or high NGF binding and low or high NFM mRNA labeling were more evident (Figs. 4, 5).

#### Changes after injury

As expected from previous reports (Hoffman et al., 1987; Wong and Oblinger, 1987; Goldstein et al., 1988; Verge et al., 1989a), both cytoplasmic NFM mRNA and NGF binding in DRG neu-



**Figure 4.** Three-dimensional histograms with neuronal frequency plotted against NFM and NGF labeling indices. Sciatic nerve transection and/or initiation of NGF infusion were 1 week before death. Normal nerve, no NGF infusion (*upper left*), cut nerve, no NGF infusion (*lower left*), normal nerve, NGF infusion (*upper right*), cut nerve, NGF infusion (*lower right*). With normal sciatic nerve, NGF and NFM labeling indices are not correlated with each other. One week after sciatic nerve transection, NFM mRNA is low in all neurons, but NGF binding has changed little from normal. Injury-induced loss of NFM mRNA is prevented by NGF infusion but only for neurons with high NGF binding. The region of each histogram outlined with *dark lines* arbitrarily designates neurons considered to be very heavily labeled by both procedures. NGF labeling, 0.6–18 × background; NFM labeling, 0.3–100 × background, both on logarithmic scale ( $n = 231\text{--}360$ ).

rons fell substantially after sciatic nerve transection. Diminished NFM mRNA labeling in the right as compared to left DRG was apparent upon dark-field inspection of all 9 pairs of ganglia removed 1 or 3 weeks after simple sciatic nerve transection (Fig. 3). The right : left ratio of mean labeling indices for NFM mRNA was 0.29 at 1 week and 0.21 at 3 weeks (quantification for 3 rats, 224–352 neurons). The loss of total cytoplasmic NFM mRNA was even greater because neuronal volume also fell after nerve injury (to 0.91 of normal at 1 week and 0.65 of normal at 3 weeks). In frequency histograms of NFM mRNA labeling indices, the normal bimodal pattern disappeared, and the data fitted reasonably well to a single normal curve (data not shown). Three weeks after nerve transection, both NFM mRNA labeling and NGF binding had unimodal distributions, so that in 3-dimensional plots of frequency versus NGF and neurofilament labeling (Fig. 5), most neurons belonged to a rather homogeneous population with subnormal labeling on both axes.

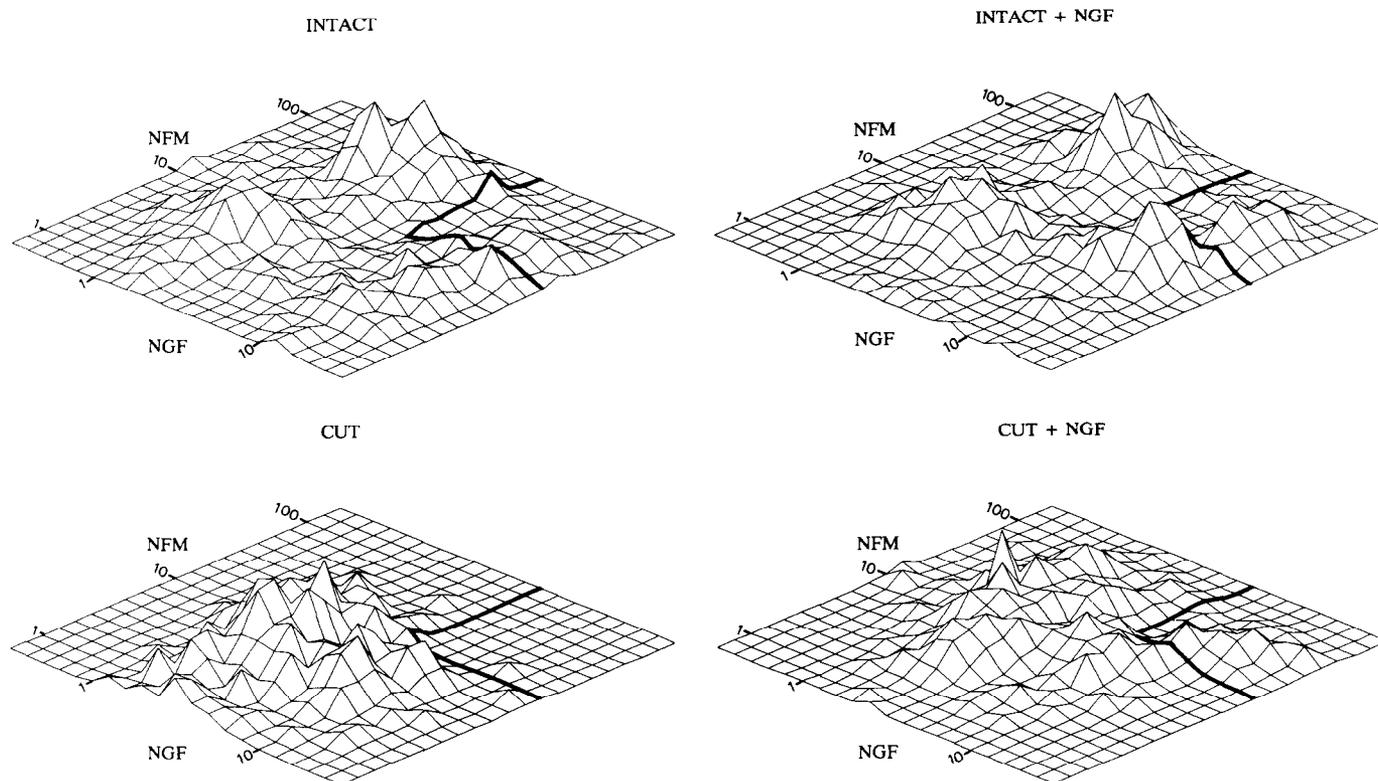
On dark-field inspection of NFM cDNA hybridizations to DRG, the loss of labeling after sciatic nerve transection was mitigated in 6 of 9 rats infused with NGF (Fig. 3). This visual result was similar irrespective of whether NGF was infused from 0–1 weeks or from 3–4 weeks after nerve injury. In one of the 3 nonresponding rats that was killed 4 weeks after nerve transection, NGF infusion must have been technically unsatisfactory because NGF binding was not restored. Why neurofilament mRNA did not respond to NGF in 2 other animals is unknown because they were killed at 1 week when NGF binding had not

fallen enough to be used for monitoring pump adequacy. That NGF counteracts NFM mRNA loss after nerve injury was best substantiated by pattern shifts in 3-dimensional histograms of frequency versus NGF binding and NFM mRNA labeling indices. Infusion of NGF restored NFM mRNA labeling (Fig. 5) or prevented its loss (Fig. 4) in approximately one-half of neurons with high NGF binding but did not significantly alter the changes in neurons with low NGF binding. For the one-third of neurons most heavily labeled by NGF (Fig. 6), the right : left ratio of mean NFM mRNA labeling indices fell to 0.27 at 3 weeks after right sciatic nerve transection and was restored to 0.83 after a further week of NGF infusion (quantification for 2 rats, 224–297 neurons).

## Discussion

### Technical considerations

The dual quantification of NGF binding and NFM mRNA labeling for hundreds of neurons permits correlations and conclusions that are not evident on visual inspection, independent consideration of parameters or global analysis of the DRG. Side-to-side comparisons of absolute values between ganglia of the same animal seem valid when each of the pair is mounted on the same slide and treated by identical histological procedures. Because of presumed variability in the conditions for *in situ* hybridization, no conclusions have been based on comparison of mean labeling indices between sections mounted on different



**Figure 5.** Three-dimensional histograms with neuronal frequency plotted against NFM mRNA and NGF labeling indices to show the effects of delayed infusion of NGF. Normal nerve, no NGF infusion (*upper left*); nerve cut 3 weeks before death, no NGF infusion (*lower left*); normal nerve, NGF infusion for 1 week (*upper right*); nerve cut 4 weeks before death, NGF infusion for last week (*lower right*). With normal sciatic nerve, neurons can be placed into 4 subpopulations with high or low labeling by both procedures. Three weeks after simple sciatic nerve transection, NGF binding and NFM mRNA are reduced for neurons with or without high-affinity NGF receptors. NFM mRNA is restored by delayed infusion of NGF for some neurons with high NGF binding and therefore high-affinity NGF receptors. However, NGF does not counteract the loss of NFM mRNA in the subpopulation that normally lacks NGF receptors yet has abundant neurofilament mRNA. Again, the outlined regions contain neurons heavily labeled by both markers. NGF labeling, 0.32–32 $\times$  background; neurofilament labeling, 0.6–180 $\times$  background, both on logarithmic scale ( $n = 224$ –297).

slides. However, consistent changes in patterns of 3-dimensional frequency histograms seem to be a reliable indication of real change, not attributable to variability in hybridization conditions.

The present data concern only the medium neurofilament subunit, yielding no direct information about the light and heavy subunits.

#### *Classification of sensory neurons*

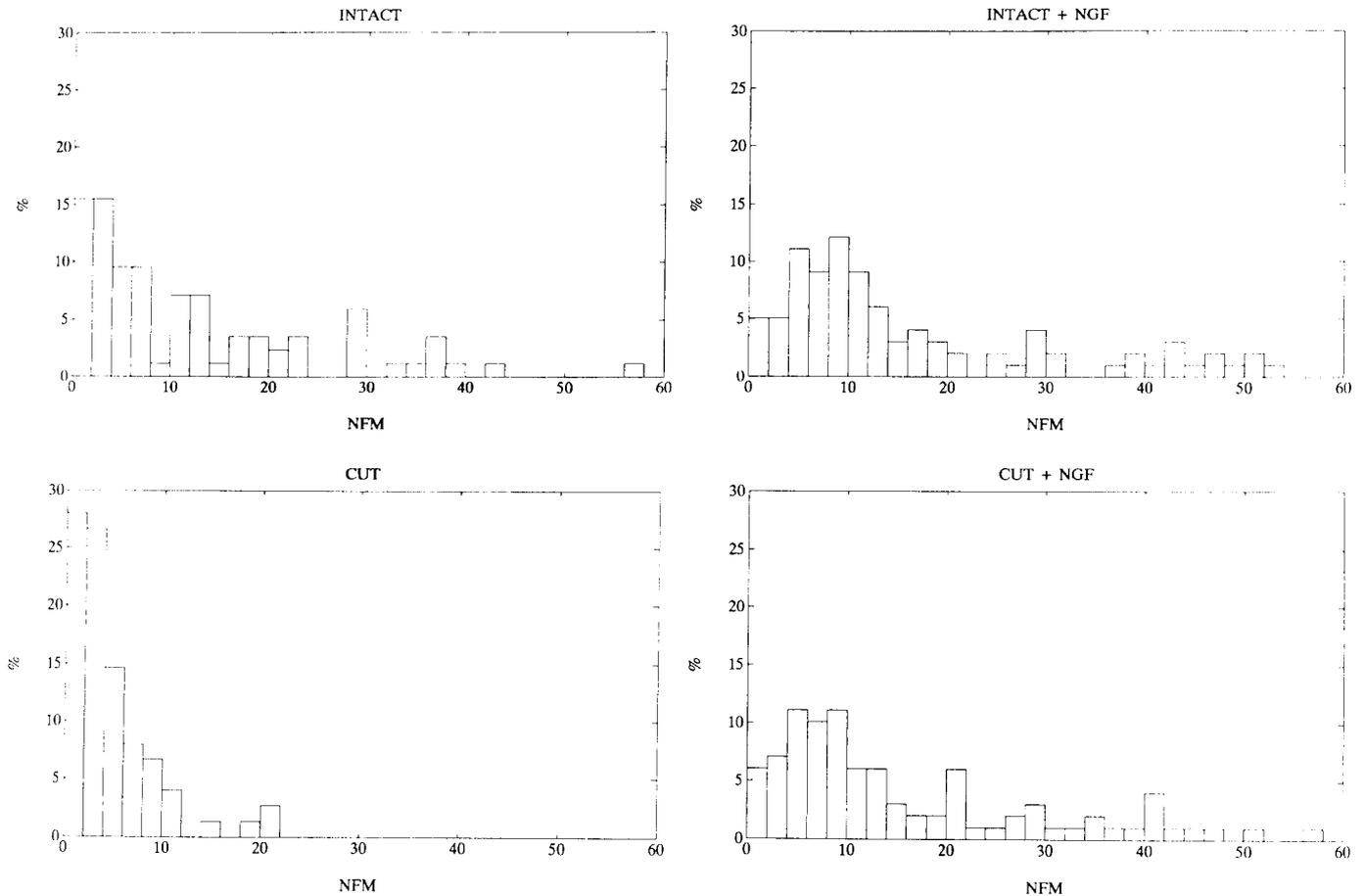
Among normal rat DRG neurons, the frequency distributions of NFM mRNA concentration and volume are bimodal and strongly correlated with each other. These observations support the concept of a fundamental division between small dark and large light neurons, NFM mRNA serving as a marker for the latter population. NFM mRNA is not absent in small sensory neurons, merely scarce. The data are entirely consistent with the suggestion that neurofilament content is an important determinant of neuronal size and axonal diameter. It also appears that the difference in neurofilament content between the 2 populations of neurons is determined by different rates of neurofilament synthesis rather than other possible explanations.

In normal DRG, NFM mRNA concentration was not correlated with NGF binding. Neurons with or without high-affinity NGF receptors could be large or small and could have high or low concentration of NFM mRNA. It can therefore be assumed

that some NGF-receptor bearing sensory neurons have unmyelinated axons and some have myelinated axons (Harper and Lawson, 1985; Lee et al., 1986). This result stands in contrast to studies correlating NGF binding with neuropeptides where close colocalization or absence of colocalization was the rule (Verge et al., 1989b). It can be concluded that small dark and large light neurons in rat DRG are not homologous to small dorsomedial NGF-responsive and large ventrolateral NGF-unresponsive neurons in chick DRG (Raivich et al., 1987). The unknown factors governing expression of the genes for the NGF receptor and neurofilament seem to be largely independent of one another.

#### *Regulation of the neurofilament gene*

This and other studies (Hoffman et al., 1987; Wong and Oblinger, 1987; Goldstein et al., 1988; Tetzlaff et al., 1988) show that neurofilament protein and mRNA have fallen markedly in neurons 1 week after their peripheral axons have been cut. The reduction in NFM mRNA is followed by reduction in perikaryal volume, more evident at 3 weeks than 1 week. The axotomy-induced change in NFM mRNA is obvious in large light neurons that normally synthesize neurofilament abundantly. We cannot say with confidence whether NFM synthesis is also reduced in small neurons that normally have little NFM mRNA. Why neurofilament mRNA falls after axonal injury has not been



**Figure 6.** Frequency histograms of NFM labeling indices ( $\times$  background) for the one-third of neurons in Figure 5 with densest binding of NGF. Intact NGF-receptor-positive neurons are heterogeneous in their content of NFM mRNA (*upper panel*). Three weeks following injury few of such neurons have more than 10 times background labeling for NFM mRNA (*lower left*). A population of neurons rich in NFM mRNA reappears following delayed infusion of NGF (*lower right*) ( $n = 75-99$ ).

rigorously established. Probably, reduced retrograde transport of NGF causes the change in neurofilament mRNA in NGF-receptor-bearing neurons, some analogous growth factor being implicated for other neurons. Alternatively, it is possible that loss of a single common factor is responsible for the postinjury reduction of neurofilament mRNA in both NGF-sensitive and NGF-insensitive neurons, being overcome by exogenous NGF for only that neuronal subpopulation with functional NGF receptors.

In controlling neurofilament gene expression, NGF appears to have more limited influence on sensory neurons *in vivo* than on clonal cell lines *in vitro*. For adult rat DRG neurons, sensitivity to NGF is neither necessary nor sufficient for high levels of neurofilament gene expression. Some neurons with high concentrations of NFM mRNA do not bear high-affinity NGF receptors, and some neurons with NGF receptors have low concentrations of NFM mRNA. However, NGF is relevant to NFM synthesis in sensory neurons with NGF receptors because it counteracts postaxotomy down-regulation of NFM mRNA (Figs. 4–6). One speculative explanation of these results is that NGF (or other trophic factors for NGF-insensitive DRG neurons) permits neurons to respond differentially to some unknown factor that stimulates neurofilament synthesis. Axotomy, by depriving cells of retrogradely transported trophic factor(s), abol-

ishes the permissive state, reducing expression of the neurofilament gene to a basal level in all DRG neurons. Application of NGF restores the permissive state and a normal range of neurofilament mRNA concentration in NGF-sensitive neurons.

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