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Evidence for compartmentalized axonal mitochondrial biogenesis: Mitochondrial DNA replication increases in distal axons as an early response to Parkinson's disease-relevant stress

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TITLE

Evidence for compartmentalized axonal mitochondrial biogenesis: Mitochondrial DNA replication increases in distal axons as an early response to Parkinson's disease-relevant stress

ABBREVIATED TITLE

Rotenone increases axonal mitochondrial biogenesis

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ABSTRACT

Dysregulation of mitochondrial biogenesis is implicated in the pathogenesis of neurodegenerative diseases such as Parkinson's disease (PD). However, it is not clear how mitochondrial biogenesis is regulated in neurons, with their unique compartmentalized anatomy and energetic demands. This is particularly relevant in PD, since selectively vulnerable neurons feature long, highly arborized axons where degeneration initiates. We previously found that exposure of neurons to chronic, sublethal doses of rotenone, a Complex I inhibitor linked to PD, causes early increases in mitochondrial density specifically in distal axons, suggesting possible upregulation of mitochondrial biogenesis within axons. Here, we directly evaluated for evidence of mitochondrial biogenesis in distal axons and examined whether PD-relevant stress causes compartmentalized alterations. Utilizing BrdU labeling and imaging to quantify replicating mitochondrial DNA (mtDNA) in primary rat neurons (pooled from both sexes), we provide evidence of mtDNA replication in axons along with cell bodies and proximal dendrites. We found that exposure to chronic, sublethal rotenone increases mtDNA replication first in neurites, later extending to cell bodies, complementing our mitochondrial density data. Further, isolating axons from cell bodies and dendrites, we discovered that rotenone exposure upregulates mtDNA replication in distal axons. Utilizing super-resolution stimulated emission depletion (STED) imaging, we identified mtDNA replication at sites of mitochondrial-endoplasmic reticulum contacts in axons. Our evidence suggests mitochondrial biogenesis occurs not only in cell bodies, but also in distal axons, and is altered under PD-relevant stress conditions in an anatomically compartmentalized manner. We hypothesize that this contributes to vulnerability in neurodegenerative diseases.

SIGNIFICANCE STATEMENT

Mitochondrial biogenesis is crucial for maintaining mitochondrial and cellular health and has been linked to neurodegenerative disease pathogenesis. However, regulation of this process is poorly understood in central nervous system (CNS) neurons, which rely on mitochondrial function for survival. Our findings offer fundamental insight into these regulatory mechanisms by demonstrating that replication of mitochondrial DNA, an essential precursor for biogenesis, can occur in distal regions of CNS neuron axons, independent of the soma. Further, this process is upregulated specifically in axons as an early response to neurodegeneration-relevant stress. This is the first demonstration of the compartmentalized regulation of CNS neuronal mitochondrial biogenesis in response to stress, and may prove a useful target in development of therapeutic strategies for neurodegenerative disease.

1 **INTRODUCTION**

2 Neurons are dependent on mitochondria for survival and function, and mitochondrial homeostasis is
3 maintained via regulated fission, fusion, active transport, degradation, and biogenesis, collectively
4 termed mitochondrial dynamics (Detmer and Chan, 2007). Together, these dynamic processes regulate
5 mitochondrial DNA (mtDNA) stability, mitochondrial turnover, cell death mechanisms, and proper
6 distribution of mitochondria to synapses (Detmer and Chan, 2007). Disruption of mitochondrial
7 dynamics is detrimental to neuronal survival and has been increasingly implicated in the pathogenesis
8 of neurodegenerative diseases such as Parkinson disease (PD) (Van Laar and Berman, 2009 and 2013;
9 McCoy and Cookson, 2012; Bose and Beal, 2016).

10

11 In PD, mitochondrial biogenesis may be the important link between dysregulation of mitochondrial
12 homeostasis and neurodegeneration. Mitochondrial biogenesis is a complex process, involving mtDNA
13 replication, coordinated gene expression, protein synthesis, membrane formation, and mitochondrial
14 division (Nisoli et al., 2004). The degenerative process in PD is thought to begin in distal axons of
15 vulnerable neurons (Braak et al., 2004), which feature long, poorly myelinated, and highly-branched
16 axons with high ATP requirements (Braak et al., 2004; Surmeier et al., 2017). Mitochondrial biogenesis
17 is likely to be critical in these distal, highly energy-requiring regions, and growing evidence implicates
18 dysregulation of mitochondrial biogenesis in PD. For example, it was discovered that
19 neurodegeneration caused by mutations in the familial PD genes, *PINK1* and *parkin*, is due in part to
20 suppression of activity of the ‘master mitochondrial biogenesis regulator’, transcription coactivator
21 PPAR gamma-coactivator 1 alpha (PGC1 α) (Stevens et al., 2015; Lee et al., 2017). In addition,
22 overexpression of familial PD gene *alpha-synuclein* causes neurodegeneration in zebrafish that is

23 prevented by upregulating PGC1 α expression (O'Donnell et al., 2014), further implicating a critical role
24 for biogenesis in PD.

25

26 Neurons, especially those in the central nervous system (CNS), have unique anatomical and functional
27 characteristics, with extended axons and arborization. Yet it is not known whether regulation of
28 mitochondrial biogenesis takes place throughout this extended arborization. In our previous work, we
29 studied early changes in mitochondrial dynamics in CNS neurons in a chronic PD-relevant toxicant
30 model (Arnold et al., 2011). Unexpectedly, we discovered that mitochondrial density in distal axons
31 increased early after chronic nonlethal rotenone exposure, without a concomitant increase inside cell
32 bodies. However, the axonal mitochondrial density changes could not be explained by alterations in
33 mitochondrial axonal transport, nor did we find evidence of decreased mitochondrial degradation
34 (Arnold et al., 2011). Thus, the mitochondrial increase exclusively in axons suggests an unconventional
35 hypothesis: that mitochondrial biogenesis can occur in distinct, anatomically compartmentalized distal
36 axons. It also suggests that compartmentalized biogenesis may increase as an early response to chronic
37 neurotoxic PD-relevant stress, specifically in distal axonal regions where neurodegeneration starts.

38

39 It is generally presumed that mitochondrial biogenesis takes place exclusively in cell bodies, near
40 nuclear machinery for protein translation, with new mitochondria transported down axons (Davis and
41 Clayton, 1996; Li et al., 2017). However, for post-mitotic neurons with long, branched axons, this seems
42 an inadequate means of replacing dysfunctional mitochondria at synaptic terminals. It is known that
43 mRNA of mitochondrially-targeted proteins can be transported down axons, and that translation of
44 these mRNA are essential for maintaining axonal mitochondrial function (Gioio et al., 2001; Hillefors et
45 al., 2007; Kar et al., 2014). Evidence of mtDNA replication in proximal neurites of peripheral neurons

46 has been reported (Amiri and Hollenbeck, 2008; Lentz et al., 2010), but evidence for distal axonal
47 biogenesis in CNS neurons has never been described, nor have distinct, compartmentalized alterations
48 under CNS disease-relevant conditions.

49

50 Therefore, we investigated these fundamental questions, testing the hypothesis that mitochondrial
51 biogenesis in CNS neurons is not limited to cell bodies but also occurs in distal axons. We then
52 evaluated for evidence of anatomic compartmentalization of mitochondrial biogenesis, investigating
53 whether biogenesis increases in distal axons in response to chronic neurotoxic PD-relevant stress,
54 distinct from, and prior to, changes in cell body mitochondrial biogenesis. Our findings provide
55 evidence for mitochondrial biogenesis in distal axons, with early upregulation in response to PD-
56 relevant stress.

57 **METHODS**58 *Primary Neuron Culture and Treatment*

59 Primary cortical neurons were cultured as previously described (Arnold et al., 2011). Briefly, neurons
60 were derived from E18 Sprague Dawley rats (pooled from both male and female embryos), and plated
61 in serum-containing Neurobasal medium at a density of 3×10^5 cells/ml on glass coverslips coated with
62 poly-D-lysine and mouse laminin. Media was replaced with serum-free Neurobasal media, and $\frac{1}{2}$ media
63 was changed every 3-4d. Treatments with 1nM rotenone or DMSO vehicle were initiated at DIV7, and
64 continued with regular feedings for 1-2wk. For microfluidic device culture, standard 2-chamber
65 microfluidic neuron devices with a 450 μ m- or 900 μ m-width microgroove barrier (Xona microfluidics)
66 were placed on coverslips coated with poly-D-lysine. Neurons were plated in the cell body chamber at
67 50,000 cells per device. After plating, no media changes occurred until the initial treatment with 1nM
68 rotenone or DMSO vehicle control at DIV7, with a $\frac{1}{2}$ media change in both the axonal and cell body
69 chambers. Treatments continued with $\frac{1}{2}$ media changes every 3-4d.

70

71 *Transfection of Cortical Neurons*

72 Cells were transfected on DIV6 using Lipofectamine 2000 as previously described (Arnold et al., 2011).
73 Briefly, cell culture media was saved and exchanged for Transfection/Incubation Media (MEM pH 7.4,
74 2% glutamax, 20 mM HEPES, 33 mM glucose, 1mM Na-pyruvate; Thermo Fisher). Cells were incubated
75 with Transfection Media at 37°C in a non-CO2 incubator for 1.5hr, and then media was replaced.
76 Neurons were transfected where noted with plasmids expressing mitochondrially-targeted DsRed2
77 (mtDsRed2; Clontech), mitochondrially-targeted photo-activatable GFP (PA-mtGFP; (Karbowski et al.,

78 2004)), and/or green fluorescent protein-tagged endoplasmic reticulum protein Sec61 β (GFP-Sec61 β ;
79 Addgene plasmid 15108; deposited by T. Rapoport (Voeltz et al., 2006)). Of note, we routinely obtain
80 100% co-transfection with multiple plasmids (Arnold et al., 2011).

81

82 *BrdU and EdU Detection and Immunocytochemistry*

83 At the specified time points and/or following chronic rotenone or DMSO vehicle control treatments,
84 cells were treated with either 5-bromo-2'-deoxyuridine (BrdU, 10 μ M; Sigma) or 5-ethynyl-2'-
85 deoxyuridine (EdU, 10 μ M; Sigma) for the indicated length of time. For negative controls, DMSO vehicle
86 control cells either were not treated with thymidine analogs, or were pre-treated for 6hr with 100 μ M
87 2',3'-dideoxycytidine (ddC) followed by co-treatment of ddC and thymidine analog. Immediately
88 following BrdU or EdU exposure, cells were rinsed with PBS, then fixed using 4% PFA at RT for 20 min.
89 For BrdU detection, cells were permeabilized in 0.5% Triton X-100 in PBS on ice for 5 min, then treated
90 with 2N HCl for 30min at RT to denature the DNA, and rinsed with PBS. Cells then underwent
91 sequential fluorescent immunocytochemical staining. Cells were first stained for BrdU (mouse anti-
92 BrdU, 1:1000, BD Pharmingen 555627). Briefly, cells were blocked in normal donkey serum for 30min,
93 then incubated with BrdU primary overnight at 4 $^{\circ}$ C, followed by 1hr incubation with secondary
94 (donkey anti-mouse Alexa 488, 1:500, ThermoFisher; donkey anti-mouse Alexa 555, 1:500,
95 ThermoFisher). Cells were then rinsed in PBS and incubated with either MAP2 (rabbit anti-MAP2,
96 1:1000, Millipore ab5622) or mitochondrial SSBP (rabbit anti-mtSSBP, 1:2000, Origene TA314569)
97 primaries again overnight at 4 $^{\circ}$ C, followed by 1hr incubation with secondary (donkey anti-rabbit Cy3,
98 1:500, Jackson Immunology; donkey anti-rabbit 647, 1:500, ThermoFisher). Coverslips were rinsed in
99 PBS followed by ddH₂O and mounted onto slides using gelvatol mounting media. For experiments
100 utilizing neurons transfected with PA-mtGFP, BrdU immunochemical detection and mounting was

101 performed as described above. PA-mtGFP in fixed neurons was photoactivated via brief exposure to
102 405nm laser as previously described (Berman et al., 2009), which increases GFP fluorescence of this
103 protein 100-fold when excited at 488 nm (Karbowski et al., 2004). The photoactivatable fluorescence
104 property of PA-mtGFP was unaffected by acid treatment as evidenced by robust green fluorescence in
105 mitochondria after activation (see Figures 1 A-D). For EdU detection, fixed cells were stained using the
106 EdU Click-iT Alexa Fluor 647 kit from ThermoFisher per manufacturer's instructions and mounted on
107 slides using ProLong Gold mounting media (ThermoFisher).

108

109 For immunocytochemical detection of equilibrative nucleoside transporter 1 (ENT-1), neurons were
110 cultured on microfluidic devices and transfected on DIV7 to express mitochondrially-targeted
111 mtDsRed2. Cell were fixed on DIV14, and immunocytochemistry was performed using rabbit anti-ENT-
112 1 (1:100; Alomone ANT-051) primary overnight at 4°C, followed by 1hr incubation with secondary
113 (donkey anti-rabbit Cy3, 1:500, Jackson Immunology). Cells were rinsed in PBS and mounted using
114 ProLong Gold mounting media (ThermoFisher).

115

116 For immunocytochemical detection of COXIV, neurons cultured on microfluidic devices were treated
117 with DMSO vehicle control or 1nM rotenone for 1 week as described above. After fixation,
118 immunocytochemistry was performed using mouse anti-COXIV (1:1,000; Abcam ab14744) primary
119 overnight at 4°C, followed by 1hr incubation with secondary (donkey anti-mouse Alexa 555, 1:500,
120 ThermoFisher). To detect actin, cells were then incubated with 165nM AlexaFluor 488 Phalloidin
121 (Thermo Fisher) for 20 minutes at RT followed by PBS wash and then mounted using ProLong Gold
122 mounting media (ThermoFisher).

123

124

125 *BrdU Imaging and Analyses*

126 All images for assessing BrdU incorporation were acquired using an Olympus IX81 inverted microscope
127 with a FV1000 laser scanning confocal system with a 60x 1.42NA oil-immersion objective. To assess
128 BrdU in neurons cultured on coverslips, image stacks were taken at 1024x1024 pixel resolution
129 (0.207 μ m/pixel) with 0.50 μ m z-steps. To assess BrdU in neurons grown in microfluidic devices, image
130 stacks of random fields of distal axons on the axon chamber side were taken at 1024x1024 pixel
131 resolution with 0.25 μ m z-steps, and random fields of cell bodies on the cell body chamber side of the
132 device were taken at 1024x1024 pixel resolution with 0.50 μ m z-steps. After acquisition, images were
133 analyzed using the Fiji distribution of ImageJ (Schindelin et al., 2012; Schneider et al., 2012). BrdU
134 detection in image fields from experimental groups was thresholded to fields taken from negative
135 control cells not exposed to BrdU, using Fiji Color Threshold (using Brightness). For BrdU puncta
136 analysis, cell bodies were first identified and outlined as regions of interest (ROIs). Fiji Particle Analysis
137 with size limit set to 0-5 pixels was then used to count the number of BrdU puncta either within ROIs
138 (e.g., in cell bodies; represented as “puncta per cell”) or outside the ROIs (e.g., in neuritic processes;
139 represented as “puncta in processes per field”).

140

141 *COXIV Imaging and Analyses*

142 For experiments assessing COXIV protein levels via quantitative immunofluorescence, all images were
143 acquired using an Olympus IX81 inverted microscope with a FV1000 laser scanning confocal system
144 using a 60x 1.42NA oil-immersion objective at 2X magnification and 1024x1024 pixel resolution
145 (0.103 μ m/pixel). Vehicle control- or rotenone-treated neuronal cultures grown on microfluidic devices
146 were stained and mounted as described above. Cells were imaged using identical laser and detector

147 settings across devices within an experiment for both control and rotenone conditions. For axon
148 imaging, random fields of axons on the axon chamber side of the device were imaged with 0.25 μ m z-
149 steps. Similarly, random fields of cell bodies on the cell body chamber side of the device were imaged
150 with 0.50 μ m z-steps. For COXIV intensity quantification in axons, image analysis was done using Nikon
151 Elements. 3D spot detection was used to characterize individual mitochondria, and 3D thresholding
152 was used to measure actin volume. Identical threshold settings for COXIV fluorescence intensity were
153 utilized for control- and rotenone-treated conditions within each experiment. Only COXIV-positive
154 puncta colocalizing with actin were used for analysis. Data are reported as COXIV intensity normalized
155 to actin volume. For COXIV intensity quantification in the soma, individual neuronal cell bodies were
156 outlined as regions of interest (ROIs) and the total intensity and area of each cell body was assessed
157 using Olympus FV10-ASW Version 4.06 software. Data are reported as COXIV intensity normalized to
158 ROI area.

159

160 *Western Blot Analyses*

161 Neurons were cultured on poly-D-lysine/laminin coated 6-well dishes and treated as described above.
162 Cells were collected by scraping into ice-cold PBS, pelleted, and immediately lysed in a small volume of
163 urea/CHAPS Lysis Buffer (9M urea, 2% CHAPS, in 30mM Tris, with 1x protease inhibitor cocktail
164 [Sigma]). Protein concentrations were determined by the Bradford method (Bradford, 1976; Hammond
165 and Kruger, 1988) and samples stored at -80°C until use. Samples were diluted in a reducing sample
166 buffer (Li-Cor) and boiled prior to use. Protein samples were then subjected to SDS-PAGE using Bio-Rad
167 pre-cast TGX gels and transferred to PVDF using a Bio-Rad SemiDry Transfer apparatus. Western blots
168 of the gels were then probed using rabbit anti-PGC1 α (1:2000; Novus NBP1-04676), mouse anti-actin
169 (1:40,000, EMD Millipore MAB1501), mouse anti-COXIV (1:25,000; Abcam ab14744), and rabbit anti-

170 ATP5G1 (1:2,000; Abcam ab180149). Li-Cor Odyssey compatible IR680- and IR800-conjugated goat
171 secondaries (Li-Cor) were used for detection, and blots were imaged and analyzed using a Li-Cor
172 Odyssey Infrared Imaging System equipped with Odyssey Application Software Ver. 3.0.30.

173

174 *Stimulated Emission Depletion (STED) Super-Resolution Imaging of Mitochondrial-ER Interaction*

175 Primary cortical neurons were co-transfected with mtDsRed2 and GFP-Sec61 β at DIV6. At DIV14, cells
176 were treated with EdU (10 μ M) for 3hr, then fixed and fluorescently stained for EdU using the Click-iT
177 Alexa Fluor 647 kit (ThermoFisher), and immunofluorescently stained for GFP using chicken anti-GFP
178 (1:500; Abcam ab13970) and goat anti-chicken Alexa 488 (ThermoFisher). Stimulated emission
179 depletion (STED) super-resolution microscopy was used to examine EdU staining relative to
180 mitochondria and ER. STED microscopy was taken with a Leica TCS SP8 STED 3X system on Leica LAS X
181 software. Image stacks were taken with 0.019 μ m pixels and 0.100 μ m z-steps using a 100x 1.4NA STED
182 objective. A pulsed white light laser was used to excite the fluorophores, and emissions were collected
183 using a filterless Acousto-Optical Beam Splitter (AOBS) system. DsRed2 was excited at 558nm and
184 emissions collected from 563 to 648nm. Alexa 488 was excited at 496nm and emissions collected from
185 500 to 553 nm. Alexa 647 was excited at 653 and emissions collected from 658 to 767nm. A pulsed
186 775nm STED laser was used to achieve super-resolution. All emissions were temporally gated from 1.3
187 to 6 ns after the excitation pulse.

188

189 *Experimental Design and Statistical Analysis*

190 For data in Figures 2 and 3, primary cortical neurons from 3-6 separate, independent neuronal
191 preparations (as indicated) were cultured on glass coverslips in individual wells of a 24-well dish or in
192 individual microfluidic devices as described above. Neurons were cultured to DIV7, then individual

193 wells/devices were divided into 3 groups – Controls exposed only to DMSO vehicle and not to BrdU
194 (*Control, no BrdU*), DMSO vehicle followed by BrdU treatment (*Control, +BrdU*), or 1nM rotenone
195 followed by BrdU treatment (*Rotenone, +BrdU*). After treatments, cells were subjected to
196 immunochemical staining and imaging as described above. Each individual field from the *Control,*
197 *+BrdU* and *Rotenone, +BrdU* cells was thresholded to experiment-paired *Control, no BrdU* fields and
198 quantified for BrdU puncta in cell bodies and outside cell bodies per field as described above. Data for
199 the *Control, +BrdU* and *Rotenone, +BrdU* groups were compared and statistically analyzed by unpaired,
200 two-tailed t test using GraphPad Prism analysis software (Ver 7.0c).

201

202 For data in Figures 4A-J, primary cortical neurons from 4 separate, independent neuronal preparations
203 were cultured in 6-well culture dishes as described above. Neurons were cultured to DIV7, then wells
204 divided into 2 groups – DMSO vehicle control and 1nM rotenone. Each condition was done in duplicate
205 or triplicate on separate dishes, resulting in n=11-13 for each condition across 4 independent neuronal
206 preparations. After 1wk or 2wk of treatments, cells were collected and subjected to Western blot and
207 immunochemical detection analysis as described above. Data for the DMSO vehicle and 1nM rotenone
208 groups were compared and statistically analyzed by unpaired, two-tailed t test using GraphPad Prism
209 analysis software (Ver 7.0c). For COXIV intensity analysis (Figures 4K-N) primary cortical neurons from
210 3 separate, independent neuronal preparations (as indicated) were cultured in individual microfluidic
211 devices as described above. Neurons were cultured to DIV7, then individual wells/devices were divided
212 into 2 groups – Controls exposed to DMSO vehicle (*Control*), or 1nM rotenone (*Rotenone*). After 1
213 week of treatments, cells were subjected to immunochemical staining and imaging as described above.
214 Each individual field from the axon chamber images and each individual cell from the cell chamber

215 images from the *Control* and *Rotenone* groups were quantified as described, and data compared and
216 statistically analyzed by unpaired, two-tailed t test using GraphPad Prism analysis software (Ver 7.0c).

217 **RESULTS**218 *Detection of BrdU and EdU incorporation in primary neurons as a measure of mtDNA replication.*

219 In order to assess specific anatomic localization of mitochondrial biogenesis within the neuron, we first
220 optimized methodology to localize and quantify markers of biogenesis, via incorporation of the
221 thymidine analog 5-bromo-2-deoxyuridine (BrdU) into mtDNA in cultured neurons as a measure of
222 mtDNA replication (Amiri and Hollenbeck, 2008; Lentz et al., 2010). To establish mitochondrial BrdU
223 detection in CNS neurons, we exposed primary cortical neurons at DIV14 to 10 μ M BrdU for various
224 time points (15min-72hr), then fixed the cells. We then developed an immunocytochemical
225 methodology that provided clear detection of BrdU-positive puncta in both cell bodies and neurites as
226 described in *Methods*. We were able to detect mitochondrial BrdU incorporation within neurons as
227 early as 15min (not shown), but consistent results were obtained at 1hr of incubation, similar to
228 previous studies (Davis and Clayton, 1996). To verify mitochondrial localization, neurons were
229 transfected at DIV6 to express mitochondrially-targeted photoactivatable GFP (PA-mtGFP), a
230 mitochondrial marker that we found to be resistant to the acid treatment required in the BrdU
231 immunochemical detection process. At DIV14, neurons were then treated with BrdU. At 1hr, BrdU
232 incorporation was detected specifically in mitochondria both in soma and in distal axons (Figure 1A, D).
233 As expected, BrdU puncta increased with prolonged (3hr) BrdU incubation (Figure 1B). Further, we did
234 not observe BrdU in the nuclei of neurons, since they are post-mitotic cells. To further verify that BrdU
235 puncta represented incorporation into mtDNA, we used 2',3'-dideoxycytidine (ddC), an inhibitor of
236 mitochondrial polymerase gamma (Zimmermann et al., 1980; Starnes and Cheng, 1987), as a negative
237 control. Co-treatment of 10 μ M BrdU with 100 μ M ddC prevented BrdU incorporation, even after 3hr of
238 BrdU incubation (Figure 1C).

239

240 To further verify our findings with BrdU, we evaluated mtDNA replication using another thymidine
241 analog, 5-ethynyl-2'-deoxyuridine (EdU), which can be detected via “click chemistry” reaction (Haines
242 et al., 2010; Lentz et al., 2010), eliminating the acid denaturation required for immunochemical BrdU
243 detection. Neurons expressing mitochondrially-targeted DsRed2 (mtDsRed) were exposed to 10 μ M
244 EdU for 3hr. Similar to BrdU, we observed mitochondria-specific EdU puncta in both the soma and
245 distal neurites of primary neurons (Figure 1E).

246

247 *Chronic, sublethal rotenone exposure increases mtDNA replication rates first in neurites, and later in*
248 *cell bodies.*

249 As noted, we previously observed that mitochondrial density increased only in distal axons after 1wk
250 exposure to chronic sublethal doses of rotenone (Arnold et al., 2011). After 2wk of chronic rotenone,
251 we then observed increased mitochondrial density in both distal axons and cell bodies (Arnold et al.,
252 2011). These data suggested the possibility of increased axon-specific mitochondrial biogenesis as an
253 early response to chronic stress. To test this hypothesis, we examined whether chronic, low-dose
254 rotenone exposure affected mtDNA replication in a similar neuroanatomic and temporal pattern.
255 Beginning at DIV7, primary neurons were exposed to 1nM rotenone or DMSO vehicle control for 1wk
256 or 2wk, a rotenone concentration we previously showed to result in minimal cell death (Arnold et al.,
257 2011). Following rotenone treatment, neurons were pulsed for 1hr with 10 μ M BrdU, immunostained
258 for BrdU and MAP2 (which in these cultures identify neuronal cell bodies, dendrites, and, weakly,
259 axons), and evaluated by confocal analyses (Figure 2). To evaluate anatomical localization of BrdU
260 puncta, image fields were processed to count puncta present specifically within cell bodies and outside
261 cell bodies, as described in *Methods*.

262

263 Following 1wk of exposure to rotenone, we observed no change in mtDNA replication in the cell bodies
264 at 1wk (Figure 2C). However, we observed a significant increase in the numbers of BrdU puncta
265 detected in neurites of primary neurons (50 ± 5 neurite puncta per field) as compared to vehicle-
266 exposed control neurons (36 ± 4 neuritic puncta per field, $p = 0.047$), a 1.4 fold increase (Figure 2D).
267 After 2 wks of rotenone exposure, we then observed a significant increase in mtDNA replication rates
268 in the soma (4.7 ± 0.4 BrdU puncta per cell body) compared to vehicle-exposed control neurons (3.5 ± 0.3
269 BrdU puncta per cell body, $p = 0.022$) (Figure 2G). Also, neuritic mtDNA replication remained
270 significantly elevated at 2wk of rotenone exposure (53 ± 4 neurite puncta per field) as compared to
271 vehicle-exposed control neurons (42 ± 3 neuritic puncta per field, $p = 0.023$) (Figure 2H). These data
272 complement our previously observed early increase in axonal mitochondrial density after chronic
273 rotenone exposure (Arnold et al., 2011). Of note, while incorporation of thymidine analogs occurs
274 after DNA damage and repair, this is considered unlikely to contribute significantly to signal from these
275 short BrdU incubations (Davis and Clayton, 1996). Further, we have shown previously that 10-fold
276 higher doses of rotenone did not elicit any mtDNA damage in primary rat cortical neurons (Sanders et
277 al., 2014).

278

279 *mtDNA replication occurs specifically in distal axons, and rates are increased by low-level, chronic*
280 *rotenone exposure.*

281 The above results demonstrate that mitochondria with evidence of active mtDNA replication can be
282 found in axons and dendrites, and also that rates of mtDNA replication increase in a
283 compartmentalized manner following chronic rotenone exposure. Yet these studies cannot
284 unequivocally confirm that the increased mtDNA replication is occurring specifically in distal axons,
285 where we previously observed early rotenone-associated increases in mitochondrial density (Arnold et

286 al., 2011). Given the distribution of axons, dendrites and neuronal cell bodies across our cultures, it
287 was not possible to identify distal axons conclusively. In addition, while we utilized the shortest BrdU
288 incubation period possible in order to differentiate mtDNA replication in neurites from replication in
289 cell bodies, we could not completely rule out an influence of axonal anterograde transport of newly-
290 made mitochondria originating from the cell body. Thus, to answer definitively whether mtDNA
291 replication, and therefore biogenesis, can occur specifically in distal axons, we utilized microfluidic
292 devices in which we could isolate axons from cell bodies and dendrites, and could limit BrdU exposure
293 to axonal mitochondria.

294

295 Neurons were cultured in microfluidic cell culturing devices with microchannels, environmentally
296 separating cell bodies from their distal axon projections by 450 μ m or 900 μ m, allowing us to specifically
297 evaluate distal axons (Figure 3A) (Taylor et al., 2005). We first confirmed that axons contain the
298 transporter that imports nucleosides, including BrdU, the equilibrative nucleoside transporter (ENT-1)
299 (Sivakumar et al., 2004). Immunocytochemistry revealed that primary cortical neuron distal axons do
300 exhibit ENT-1 (Figure 3B). After verifying this, cell and axon chambers were treated for 1wk with 1nM
301 rotenone or DMSO vehicle control as described above. At DIV14 (1wk of rotenone exposure), only the
302 axon chamber of the microfluidic device was exposed to 10 μ M BrdU for 3hr. We then evaluated
303 whether mtDNA replication could occur directly in distal axons. We indeed found mtDNA replication in
304 the most distal axons, >500-1000 μ m from the channels (>1000-2000 μ m from their cell bodies; Figure
305 3C). Importantly, there was no BrdU incorporation observed on the cell body chamber side of the
306 device after 3hr of incubation with BrdU in the axon chamber (Figure 3C). This eliminated the
307 possibility that BrdU was diffusing from the axons to the cell bodies on the other side of the channel,
308 becoming incorporated into replicating mtDNA in the cell body, and then being transported in those

309 mitochondria back to distal axons. In addition, co-treatment of the DNA polymerase inhibitor ddC
310 exclusively in the axon chamber resulted in a significant decrease in detectable BrdU puncta (12 ± 0.8
311 puncta/field after 3hr BrdU vs. 7 ± 0.6 puncta/field after BrdU+ddC; $n=48$ [BrdU+ddc] and 73 [BrdU
312 control] fields representing four independent neuron preps; difference in means = -4.6 ± 1.1 ; $t(119) =$
313 4.2 ; $p = 0.000055$; two-tailed unpaired t-test). Thus, BrdU puncta present in the axons represent
314 incorporation into mtDNA, originating there, rather than soma-based mitochondrial replication
315 followed by transport.

316

317 We next examined the effect of rotenone specifically on distal axonal mtDNA replication. Both axon
318 and cell body chambers were treated with 1nM rotenone for 1wk. We then exposed the axon chamber
319 only to $10 \mu\text{M}$ BrdU for 3hr. We found that 1wk treatment with rotenone significantly increased the
320 rate of mtDNA replication (13.7 ± 0.8 puncta per field) in distal axons as compared to vehicle-exposed
321 control (9.8 ± 0.6 puncta per field, $p = 0.0001$) (Figure 3D). This represents a 1.6 fold increase in mtDNA
322 replication, corresponding with our previous results. This provided further proof that chronic exposure
323 to low-dose, nonlethal concentrations of rotenone triggers direct, distal axonal mtDNA replication.

324

325 *Changes in abundance of PGC1 α , COXIV, and ATP5G1 in neurons follow different time course after*
326 *chronic rotenone exposure.*

327 To further assess mitochondrial biogenesis, we examined changes in levels of the mitochondrial
328 biogenesis regulator and transcriptional co-activator, PGC1 α , in cultured neurons (Figure 4). Protein
329 lysates from neurons after 1wk of chronic rotenone exposure did not reveal any changes in PGC1 α
330 levels, but PGC1 α abundance significantly increased after 2wk of rotenone exposure as compared to
331 vehicle control (2wk rotenone PGC1 α levels at 112% of control, $p = 0.0013$) (Figure 4C, H). This

332 suggests the possibility of distinct mechanisms for early axonal changes in biogenesis as compared to
333 later cell body increases. To further evaluate this possibility, we examined levels of electron transport
334 chain Cytochrome Oxidase subunit IV (COXIV). COXIV mRNA has been reported to be transported down
335 distal axons and locally synthesized (Gioio et al., 2001; Aschrafi et al., 2016). We hypothesized that if
336 local biogenesis was occurring in distal axons, we might see temporal asynchrony between PGC1 α
337 levels and upregulation of axonally translated mitochondrial protein levels. Specifically, we might
338 expect rotenone exposure to lead to earlier increase in COXIV abundance (due to distal biogenesis)
339 without a concomitant increase in PGC1 α (acting at the nucleus later to upregulate transcription).
340 Supporting this, we found that unlike PGC1 α , COXIV levels were significantly increased following only
341 1wk of rotenone exposure as compared to vehicle control (1wk rotenone COXIV levels at 151% of
342 control, $p = 0.020$), and remained significantly elevated after 2wk (2wk rotenone COXIV levels at 133%
343 of control, $p = 0.044$) (Figure 4D, I). To add further support, we examined changes in levels of a second
344 mitochondrial protein, ATP synthase subunit 9 (ATP5G1), a component of ETC Complex V. ATP5G1
345 mRNA has also been demonstrated to be transported down axons and locally translated there (Natera-
346 Naranjo et al., 2012). Like COXIV levels, we found that ATP5G1 levels were also increased after 1 wk of
347 chronic rotenone exposure (1wk rotenone ATP5G1 levels at 143% of control, $p = 0.028$), and remained
348 elevated after 2 wks of exposure, relative to vehicle-treated control (2wk rotenone ATP5G1 levels at
349 123% of control, $p = 0.014$) (Figure 4E, J).

350

351 To further test the hypothesis that the early upregulated mitochondrial protein levels were indeed due
352 to distal *axonal* mitochondrial biogenesis, we utilized the microfluidic chambers to separate
353 immunochemical analysis of axons from cell bodies and dendrites, and evaluated anatomically
354 localized COXIV levels after 1 wk of DMSO vehicle control or 1 nM rotenone exposure via

355 immunocytochemistry (Fig 4K, M). In axons, we found that COXIV protein levels were significantly
356 increased following 1wk of 1nM rotenone as compared to vehicle control (1wk rotenone axonal COXIV
357 levels at 132% of control, $p = 0.0005$) (Figure 4L). However, COXIV levels in the soma were not
358 different between vehicle control and rotenone at 1wk (Figure 4N). These data suggest that the
359 increase in whole-cell COXIV abundance we detected after 1wk of rotenone is largely due to the
360 increase in COXIV protein levels specifically in the axons.

361

362

363 *Evidence of mtDNA replication at mitochondrial-endoplasmic reticulum (ER) interaction sites within*
364 *axons.*

365 Mitochondrial replication and distribution to daughter mitochondria were recently reported to be
366 initiated at mitochondrial-ER contact sites in replicating mammalian cells and yeast (Murley et al.,
367 2013; Lewis et al., 2016). However, although ER has been demonstrated to extend throughout axonal
368 networks in the CNS (Luarte et al., 2017), mtDNA replication at ER-mitochondrial contact sites in axons
369 had never been demonstrated. We therefore examined the interaction of mitochondria and ER at sites
370 of active mtDNA replication within axons. Primary cortical neurons were transfected to express
371 mtDsRed2 and GFP-tagged ER protein Sec61beta (GFP-Sec61beta). After 1wk of expression, neurons
372 were exposed to 10 μ M EdU for 3hr, fixed, and stained for both GFP and EdU. Using super-resolution
373 stimulated emission depletion (STED) microscopy, we examined both soma and axons. We observed
374 mitochondrial-ER interactions within the soma and neurites (Figure 5). In neurites, the mitochondria
375 appeared to be nearly enveloped by ER (Figure 5B, C). Some mitochondria also displayed evidence of
376 mtDNA replication via EdU incorporation. We observed mitochondria which exhibited EdU puncta at
377 their tips (Figure 5B), which based on previous studies (Lewis et al., 2016) would suggest recently-

378 divided mitochondria, where division occurred at the site of mtDNA replication. We also observed
379 mitochondria with EdU incorporation occurring at midpoints within their length, as opposed to the
380 tips. At these points, ER showed intimate interaction with the mitochondria, wrapping around and
381 overlapping the mtDNA replication site (Figure 5C). This is, to our knowledge, the first observation of
382 mtDNA replication site interactions with ER in axons, providing further evidence of active biogenesis
383 away from the cell body.

384

385 As further evidence that biogenesis likely takes place in axons, we performed immunocytochemistry
386 for mitochondrial single-stranded DNA binding protein (mtSSBP), which binds specifically to single-
387 stranded mtDNA during replication (Curth et al., 1994; Tiranti et al., 1995). After 3hr of BrdU exposure,
388 we observed mtSSBP puncta co-localized to sites of BrdU incorporation within distal axonal
389 mitochondria (Figure 5D).

390

391

392 **DISCUSSION**

393 In these studies, we provide evidence supporting the hypotheses that mitochondrial biogenesis occurs
394 in distal CNS axons in addition to cell bodies, and that distal axonal mitochondrial biogenesis is
395 upregulated in response to a chronic stressor linked to PD.

396

397 *Mitochondrial biogenesis in distal axons.*

398 It is generally assumed that mitochondrial biogenesis takes place only in cell bodies, in perinuclear
399 regions, as has been shown for muscle cells and PC12 cells (Davis and Clayton, 1996; Schultz et al.,
400 1998). However, our present studies demonstrated that mtDNA replication occurs in the most distal
401 axons as well, providing new evidence that at least one important component of biogenesis of new

402 mitochondria does occur far from the cell body. In addition, we provided the first demonstration of
403 mtDNA replication sites adjacent to ER in axons, providing evidence supporting localized axonal ER-
404 mitochondrial sites of replication and division into new mitochondria, as was previously observed in
405 cell bodies of yeast and replicating mammalian cells (Murley et al., 2013; Lewis et al., 2016). Although
406 it is difficult to definitively delineate neuroanatomical localization of mitochondrial biogenesis, since
407 most other measures of biogenesis cannot give anatomical localization, our present findings are
408 bolstered by our previously reported findings of increased mitochondrial density in neurons under
409 similar conditions (Arnold et al., 2011). In that study, under similar chronic exposures, we found
410 localized increases in mitochondrial density in distal axons — without evidence of increased
411 anterograde transport, decreased retrograde transport, decreased distal mitophagy, or concurrent
412 mitochondrial density increases in the soma —further supporting the presence of axonal biogenesis.

413

414 Coordination of the components of mitochondrial biogenesis in neurons is not well understood. The
415 supposition that mitochondrial biogenesis takes place only in neuronal cell bodies, near nuclei, with
416 new mitochondria transported to distal regions via axonal transport, arises from the fact that
417 translation of nuclear-encoded, mitochondrially-targeted proteins has to be coordinated with
418 membrane biosynthesis, mtDNA replication, and mitochondrial division (Davis and Clayton, 1996;
419 Nisoli et al., 2004). In addition, upregulation of nuclear gene expression for mitochondrially-targeted
420 proteins and mitochondrial transcription factors via the nuclear transcription co-activator PGC1 α is one
421 known regulator of the coordinated mitochondrial biogenesis process (Stevens et al., 2015; Lee et al.,
422 2017). However, a system that would not allow for biogenesis locally would be an inefficient means of
423 replacing dysfunctional mitochondria at distal terminals of long axons, and thus would seem
424 detrimental to survival in neurons with long or high-energy-demand projections. This is particularly

425 relevant to neuronal populations vulnerable in PD neurodegeneration, which contain particularly long
426 axons with extensive arborization (Braak et al., 2004; Surmeier et al., 2017).

427

428 The present study is the first to examine mitochondrial biogenesis directly in CNS distal axons. There
429 has been previous support for non-cell body mtDNA replication in the peripheral nervous system
430 (PNS). Two studies using thymidine analogs in PNS neurons detected mtDNA replication in both soma
431 and proximal neurites (Amiri and Hollenbeck, 2008; Lentz et al., 2010), with one study showing that
432 mtDNA replication occurred in the axons of peripheral sympathetic ganglia neurons after being
433 physically separated from cell bodies (Amiri and Hollenbeck, 2008). A third study was performed in CNS
434 neurons, examining BrdU incorporation into both somal and neuritic mitochondria in mouse
435 hippocampal neurons after acute exposure to toxicants and in neurons from a transgenic mouse
436 Alzheimer disease model (Calkins and Reddy, 2011). However, neuritic origin of the mtDNA replication
437 could not be distinguished from transported somal mitochondria in that study, since prolonged BrdU
438 exposure periods (20 hours) were utilized, and distal axons were not delineated. Our present studies,
439 then, provide the first definitive confirmation of CNS distal axonal mtDNA replication, and further link
440 it to both increased mitochondrial density and mitochondrial-ER localization.

441

442 *Exposure to a chronic PD-relevant stressor may cause early increased axonal mitochondrial biogenesis.*

443 Chronic exposure to low, non-lethal concentrations of the mitochondrial toxin rotenone results in a
444 specific PD phenotype in rats, with neurodegeneration and pathological changes typical of human
445 disease (Betarbet et al., 2000; Cannon et al., 2009), and chronic rotenone is an established PD model.
446 Rotenone exposure is a risk factor in human PD (Tanner et al., 2011; Wirdefeldt et al., 2011), and
447 elucidation of mechanisms involved in chronic rotenone exposure is likely to yield information relevant

448 to PD neuropathogenesis. Both human PD and chronic rotenone exposure lead to loss of dopaminergic
449 axon loss first, then followed by cell death (Betarbet et al., 2000; Tagliaferro and Burke, 2016).
450 Therefore, early adverse effects on distal axonal mitochondrial homeostasis may have key involvement
451 in initial changes leading to later neuron death.

452

453 We previously demonstrated that low-dose rotenone exposure results in early changes in axonal
454 mitochondrial fission, fusion, and transport prior to cell death (Arnold et al., 2011). We also observed a
455 temporal effect of increasing mitochondrial density, where mitochondrial density in distal axons
456 increased early and without concurrent increases in somal density, but density was increased in both
457 axons and cell bodies at later time points (Arnold et al., 2011). Our present studies demonstrate similar
458 time-dependent alterations in distal axonal mtDNA replication after chronic exposure to
459 concentrations of rotenone that cause early neurite pathology but no cell death. Initially, chronic
460 sublethal rotenone exposure caused increased mtDNA replication localized to neurites, and only after
461 longer exposures is there a concomitant increase in cell body mtDNA replication. Our work further
462 confirms that chronic rotenone exposure increases mtDNA replication specifically in distal axons, along
463 with concomitant upregulation of mitochondrially-targeted protein known to be locally translated in
464 axons via axonally transported mRNA. These changes correlate to the anatomic localization and time
465 course of increases in mitochondrial density in neurons after rotenone exposure observed in our
466 previous work (Arnold et al., 2011).

467

468 We hypothesize that the rotenone-induced early upregulation mitochondrial biogenesis in axons, and
469 increased mitochondrial density, is a compensatory process in response to chronic low-level
470 mitochondrial disruption, in an attempt to prevent cell death. In neurons with a lower capacity for

471 axonal mitochondrial biogenesis, risk for subsequent neuron death would be increased. If the localized
472 mitochondrial biogenesis response is insufficient, mitochondrial stress in energy-requiring distal axons
473 could trigger axonal neurodegeneration and subsequent neuronal cell death. This is particularly
474 relevant for PD neurodegeneration, since it is known that genes such as Parkin, whose loss-of-function
475 mutations cause PD, are known to regulate mitochondrial biogenesis (Stevens et al., 2015; Lee et al.,
476 2017).

477

478 *Proposed model of early and late regulation of neuronal mitochondrial biogenesis in response to PD-*
479 *relevant chronic stress.*

480 Our present studies support the following proposed model: After initiation of a chronic neurotoxic
481 exposure such as rotenone, known to result in axonal loss prior to cell death, the initial response to
482 mitochondrial stress may be upregulation of biogenesis through *localized* means (Figure 7). Detailed
483 imaging studies have demonstrated that ribosomes on ER, capable of protein translation, are present
484 throughout the length of axons (Luarte et al., 2017). mRNAs of nuclear-expressed mitochondrially-
485 targeted proteins have been shown to be transported down axons, and regulation of axon protein
486 expression affects mitochondrial function (Gioio et al., 2001; Hillefors et al., 2007; Willis et al., 2011;
487 Spillane et al., 2013; Minis et al., 2014; Aschrafi et al., 2016). More directly, dynamic regulation of
488 localized translation of nuclear-expressed mitochondrially-targeted proteins in axons has been recently
489 demonstrated (Shigeoka et al., 2018). We believe it is possible that in distal axons, a localized pool of
490 mRNA and translation machinery, combined with signaling mechanisms for mtDNA replication and
491 other steps of biogenesis, are available for more rapid upregulation of mitochondrially-targeted
492 protein synthesis, particularly in response to stress. As stress continues over a longer period, PGC1 α is
493 upregulated, leading to transcriptional co-activation of downstream effectors which could then

494 upregulate overall mitochondrial biogenesis throughout the neuron. An inadequate early
495 compensatory response to stress in the axons of vulnerable neurons, then, could lead to initiation of
496 neurodegeneration. Further studies are necessary to verify this hypothesized model.

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620 **FIGURE LEGENDS**

621

622 **Figure 1**

623

624 **Incorporation of BrdU and EdU into neuronal mitochondria in cell bodies and axons within 1-3hr of**
625 **exposure.**

626 A-C) Primary neurons (DIV14) expressing mitochondrially-targeted photoactivatable GFP (Mitochondria)
627 were treated with 10 μ M BrdU for 1hr (A) or 3hr (B). Control cells were incubated with 100 μ M
628 dideoxycytidine (ddC), an inhibitor of mitochondrial DNA polymerase gamma, for 6hr prior to and then
629 during 3hr of BrdU exposure (C). Cells were immunofluorescently stained for BrdU, and confocal
630 imaging revealed BrdU puncta associated with mitochondria in cell bodies (A, B), but minimal, if any,
631 incorporation when ddC was present (C), confirming specificity for mtDNA replication. D) BrdU puncta
632 were also found in mitochondria of distal axons after 1hr of BrdU exposure. E) Primary neurons (DIV14)
633 expressing mitochondrially-targeted DsRed2 (Mitochondria) were treated with EdU for 3hr, then
634 stained using the EdU Click-iT system. EdU positive puncta were again observed in mitochondria of the
635 cell body and axons (E; *arrow*).

636

637

638 **Figure 2**

639

640 **Quantifying localized mtDNA replication in soma and neurites of primary neurons in response to**
641 **chronic exposure to rotenone.**

642 A-B) Representative confocal z-stack images of neurons exposed to 10 μ M BrdU for 1hr following
643 exposure to 1wk of DMSO vehicle control (A) or 1nM rotenone (B). C) Quantitative analysis of BrdU
644 puncta revealed no difference in number of puncta per cell body between control and rotenone at 1wk
645 ($t(78) = 0.012$; $p = 0.99$; two-tailed unpaired t-test; puncta/cell body \pm SEM). D) In neurites, we
646 observed a significantly increased number of BrdU puncta of rotenone-exposed neurons compared to
647 vehicle control at 1wk (difference in means = +13.2; $t(78) = 2.02$; $*p = 0.047$; two-tailed unpaired t-test;
648 puncta in processes/field \pm SEM). ($n=38$ [control] and 42 [rotenone] image fields representing three
649 independent neuronal preps)

650 E-F) Representative confocal z-stack images of neurons exposed to 10 μ M BrdU for 1hr following
651 exposure to 2wk of DMSO vehicle control (E) or 1nM rotenone (F). G-H) Quantitative analysis of BrdU
652 puncta revealed significant rotenone-associated increases in both cell bodies (G; difference in means =

653 +1.2; $t(81) = 2.34$; * $p = 0.022$; two-tailed unpaired t-test; puncta/cell body \pm SEM) and neurites (H;
654 difference in means = +11.3; $t(81) = 2.32$; * $p = 0.023$; two-tailed unpaired t-test; puncta in
655 processes/field \pm SEM) compared to vehicle control at 2wk. ($n=40$ [control] and 43 [rotenone] image
656 fields representing three independent neuron preps; \pm SEM)

657

658

659

660 **Figure 3**

661

662 **Distal axonal mtDNA replication is increased in response to chronic rotenone.**

663 A) Primary neurons were seeded into one side of microfluidic devices (Xona microfluidics) in order to
664 environmentally separate cell bodies and dendrites from axons for BrdU incorporation assessments. B)
665 Neurons were transfected to express mitochondrially-targeted mtDsRed2 (Mitochondria), and at DIV14
666 were immunofluorescently stained to detect equilibrative nucleoside transporter 1 (ENT-1), to ensure
667 that axons were capable of importing BrdU on their own (TLI: transmitted light image). C) Following
668 1wk of DMSO vehicle (*shown*) or 1nM rotenone, only the axons were exposed to 10 μ M BrdU for 3hr.
669 Confocal imaging of immunofluorescence for BrdU incorporation show that axon-localized BrdU puncta
670 can be found distally from the microfluidic grooves (*arrows*), with no BrdU incorporation on the cell
671 chamber side of the device. This suggests that mtDNA replication does occur locally in distal axons. D)
672 Quantification of BrdU puncta demonstrated that mtDNA replication in distal axons was significantly
673 increased following 1wk of chronic 1nM rotenone exposure, as compared to DMSO vehicle control.
674 ($n=123$ image fields per condition representing six independent neuron preps; difference in means =
675 +3.9; $t(244) = 4$; * $p = 0.0001$; two-tailed unpaired t-test; puncta in processes/field \pm SEM)

676

677

678

679 **Figure 4**

680

681 Effect of chronic rotenone on neuronal expression of PGC1 α and COXIV.

682 Primary neurons were treated with DMSO vehicle control or 1nM rotenone for 1wk (A-E) or 2wk (F-J),
683 and collected for Western blot and immunochemical detection analyses of PGC1 α and COXIV (A, F),
684 and of ATP5G1 (B, G). C) We observed that 1wk of chronic rotenone exposure did not alter PGC1 α
685 levels ($t(21) = 0.17$; $p = 0.87$; two-tailed unpaired t-test). D) Levels of COXIV were significantly increased
686 after 1wk of rotenone exposure as compared to vehicle control (difference in means = +51.4; $t(21) =$
687 2.5; * $p = 0.020$; two-tailed unpaired t-test). E) Levels of ATP5G1 were also significantly increased after
688 1wk of rotenone exposure as compared to vehicle control difference in means = +43.2; $t(24) = 2.3$; * $p =$
689 0.028; two-tailed unpaired t-test). H) After 2wk of chronic rotenone exposure, PGC1 α levels were
690 significantly increased as compared to vehicle control (difference in means = +11.7; $t(22) = 3.7$; * $p =$
691 0.0013; two-tailed unpaired t-test). I) Levels of COXIV remained significantly elevated after 2wk of
692 rotenone exposure as compared to vehicle control (difference in means = +33.2; $t(22) = 2.14$; $p =$

693 0.044; two-tailed unpaired t-test). J) Levels of ATP5G1 also remained significantly increased after 2wk
694 of rotenone exposure as compared to vehicle control (difference in means = +23.3; $t(24) = 2.66$; $p =$
695 0.014; two-tailed unpaired t-test). ($n=11-13$; percent of control \pm SEM)

696

697 To assess neuroanatomical localization of changes in COXIV protein, primary neurons were grown in
698 microfluidic devices (Xona microfluidics) in order to environmentally separate cell bodies and dendrites
699 from axons. K, M) Neurons were treated with DMSO vehicle control or 1nM rotenone for 1wk, then
700 fixed for fluorescent immunochemical detection of COXIV and detection of actin via phalloidin. L)
701 Quantitative fluorescence analyses demonstrated that after 1wk of 1nM rotenone, COXIV protein
702 levels in axons significantly increased as compared to vehicle control control (difference in
703 means=+31.8; $t(47) = 3.72$; $p = 0.0005$; two-tailed unpaired t-test) ($n=26$ [control] and 23 [rotenone]
704 image fields representing three independent neuron preps; \pm SEM). N) COXIV levels in cell bodies,
705 however, were unchanged following 1wk of rotenone as compared to control ($t(151) = 0.17$; $p = 0.87$;
706 two-tailed unpaired t-test) ($n=91$ [control] and 62 [rotenone] cells representing three independent
707 neuron preps; \pm SEM).

708

709

710

711 **Figure 5**

712

713 **Stimulated emission depletion (STED) super-resolution microscopy reveals mitochondrial-ER**
714 **interaction at axonal mtDNA replication sites, and mtSSBP localization suggests active mtDNA**
715 **replication in axons.**

716 A-C) Primary cortical neurons were co-transfected with mtDsRed2 (Mitochondria, Mitochondrion) and
717 GFP-tagged endoplasmic reticulum protein Sec61 β (ER). At DIV14, cells were exposed to EdU (10 μ M)
718 for 3hr, then fixed and stained for EdU using the Click-iT Alexa Fluor 647 kit and for GFP via
719 immunofluorescence. STED super-resolution microscopy was used to examine EdU staining relative to
720 both mitochondria and ER in the soma (A) and in axons (B,C). We observed mitochondria-ER
721 interaction in axons (B; *green arrowheads*) and specifically at sites of mtDNA EdU incorporation (C;
722 *white arrow*). D) Primary neurons were transfected with PA-mtGFP (Mitochondria), and at DIV14
723 exposed to BrdU (10 μ M) for 3hr. Cells were immunofluorescently stained for BrdU and mitochondrial
724 single-stranded DNA binding protein (mtSSBP). We observed mtSSBP co-localized with BrdU puncta
725 within distal axonal mitochondria, suggesting active mtDNA replication.

726

727

728 **Figure 6**

729

730 **Proposed model of compartmentalized mitochondrial biogenesis response to stress in neurons**

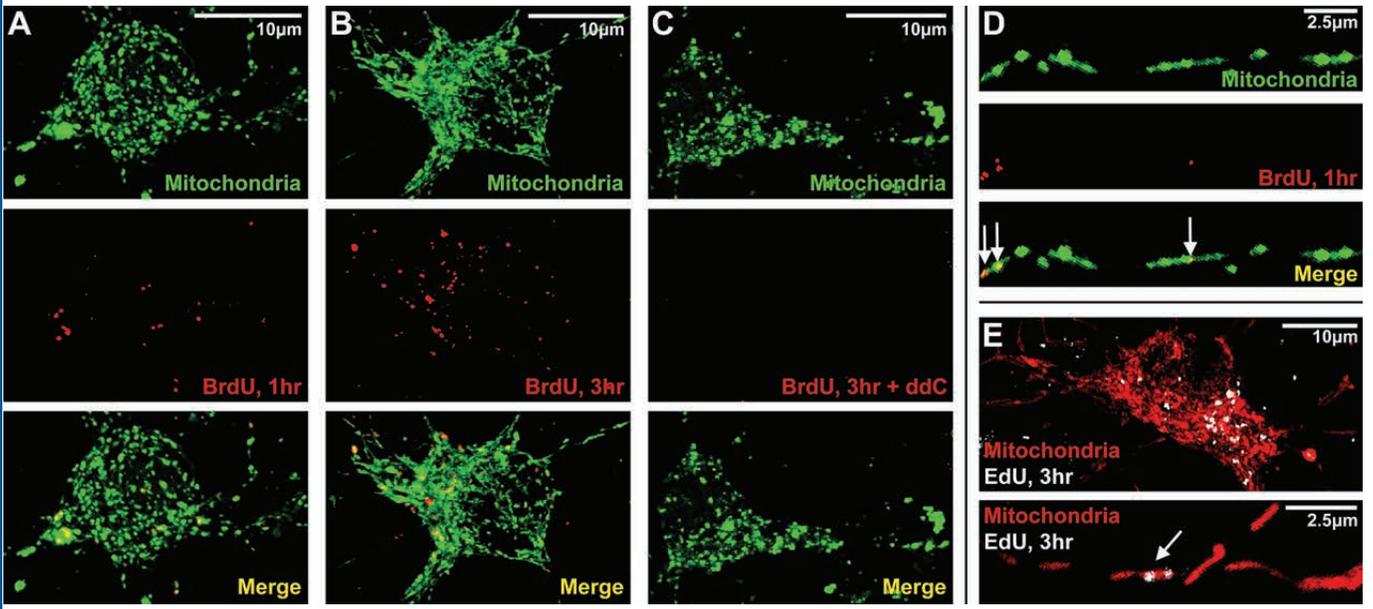
731 **Early Response to Chronic Stress:** As an initial response to low, chronic mitochondrial stress, high-
732 energy demanding arborized distal axons upregulate mitochondrial biogenesis locally, increasing

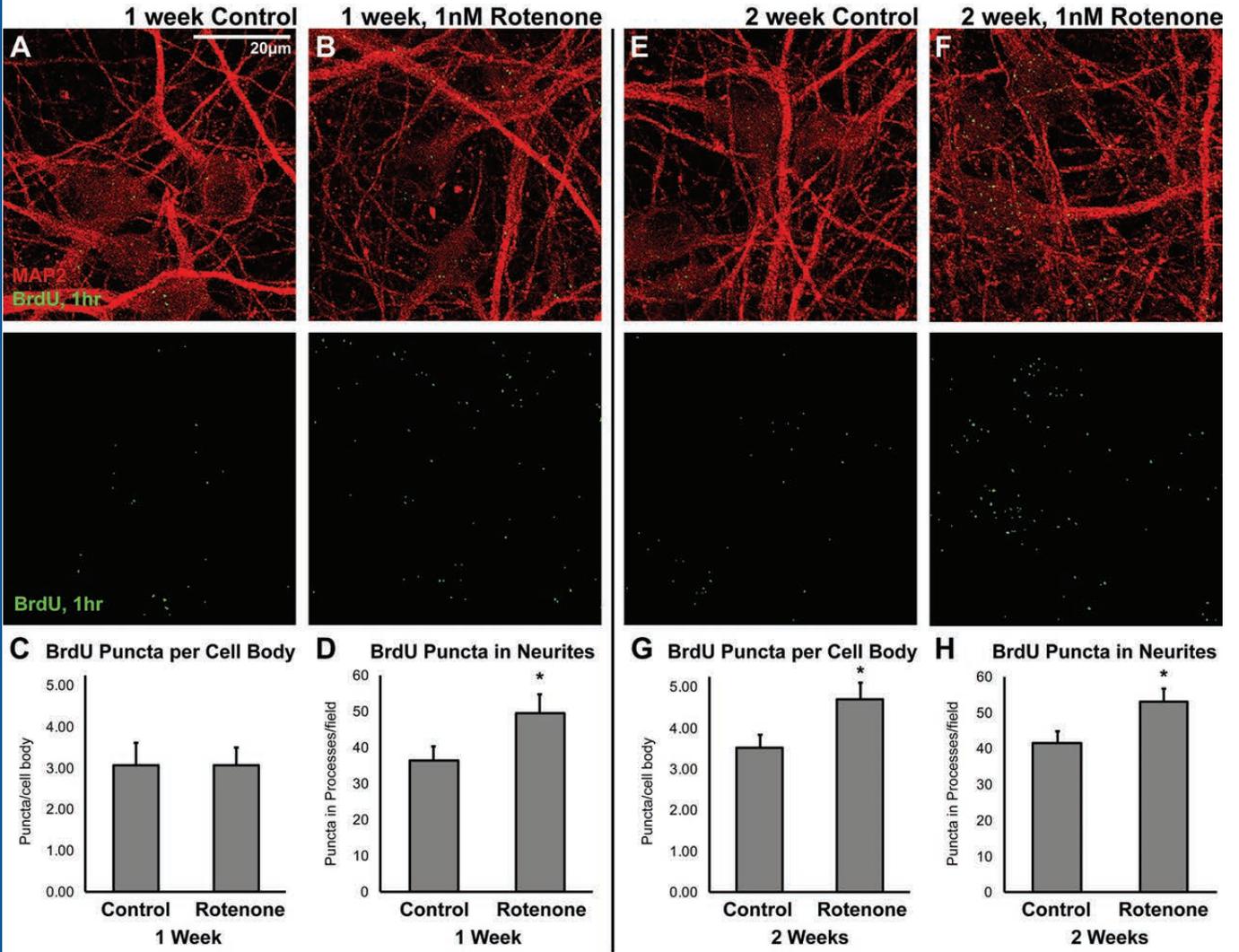
733 mtDNA replication, mRNA translation, and mitochondrial density in order to preserve axonal health
734 and function. This happens independent of the soma, where no significant changes in mitochondrial
735 biogenesis have yet occurred.

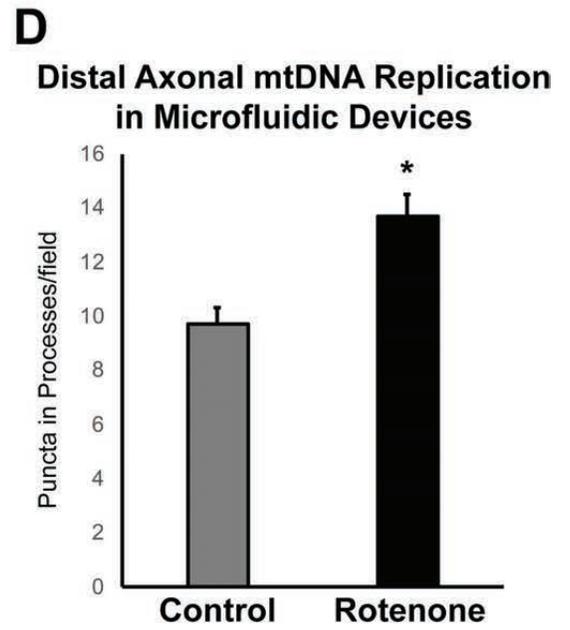
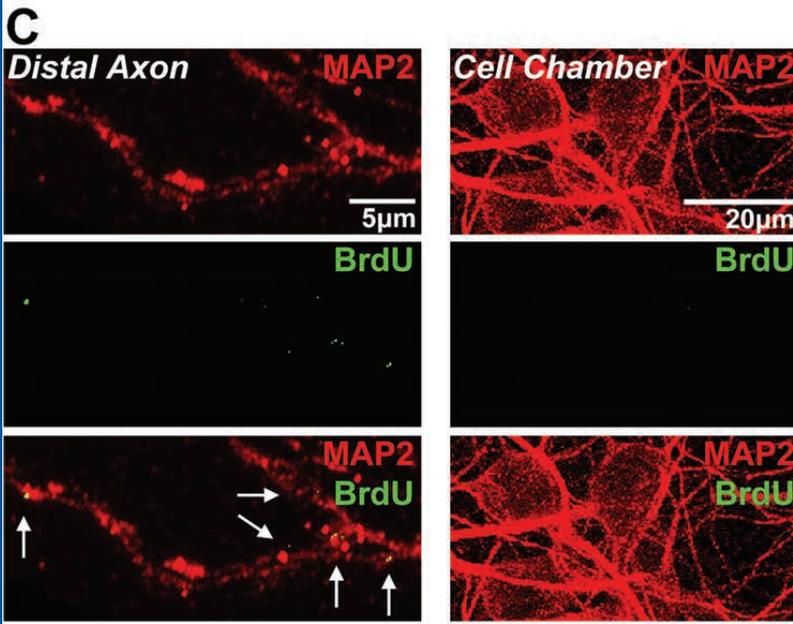
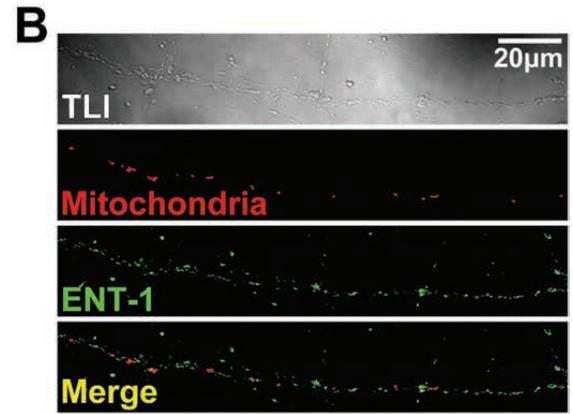
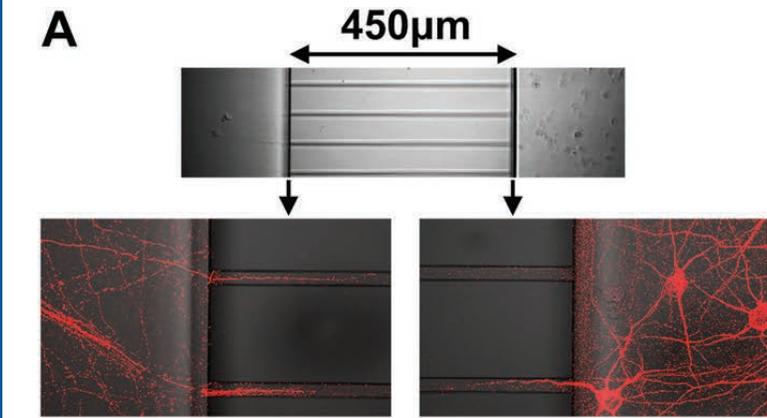
736 **Later Response to Chronic Stress:** As prolonged stress continues to tax mitochondrial function, nuclear
737 upregulation of the 'master mitochondrial biogenesis regulator', transcription co-activator PGC1 α ,
738 increases activation of mitochondrial biogenesis transcription factors (TFs), including NRF-1 and -2.
739 Mitochondrial biogenesis increases in the soma, leading to increased somal mitochondrial density, and
740 increased resources (such as nuclear-expressed mitochondrially-targeted transcription factors,
741 proteins, and mRNAs) are available for transport down the axon to maintain localized mitochondrial
742 biogenesis distally.

743 **Pathogenic Conditions in Vulnerable Neurons:** Neurons vulnerable to mitochondrial stressors may
744 lack the ability to quickly upregulate local mitochondrial biogenesis in distal axons in response to
745 stress. The poor early response to mitochondrial distress in the distal axon may lead to loss of the
746 axonal projection, and subsequent death of the neuron.

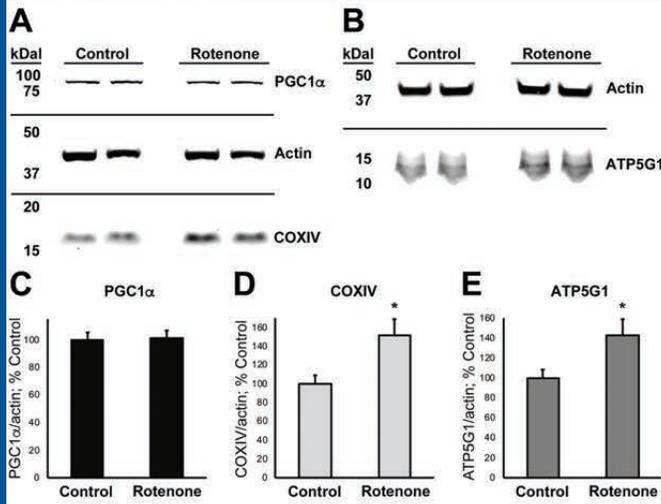
747



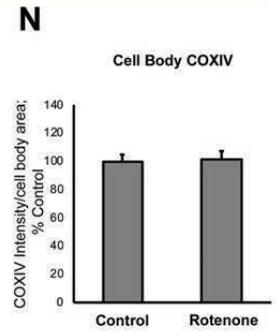
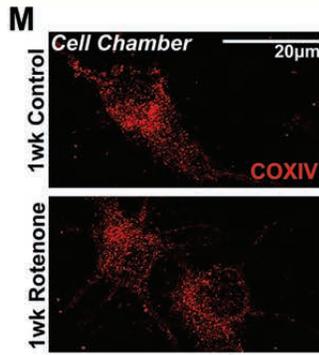
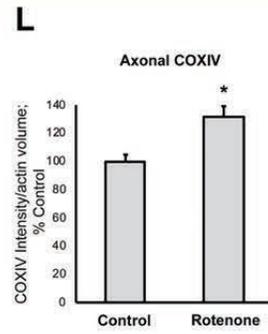
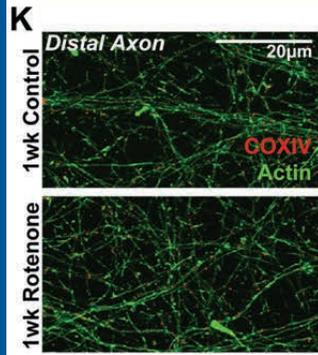
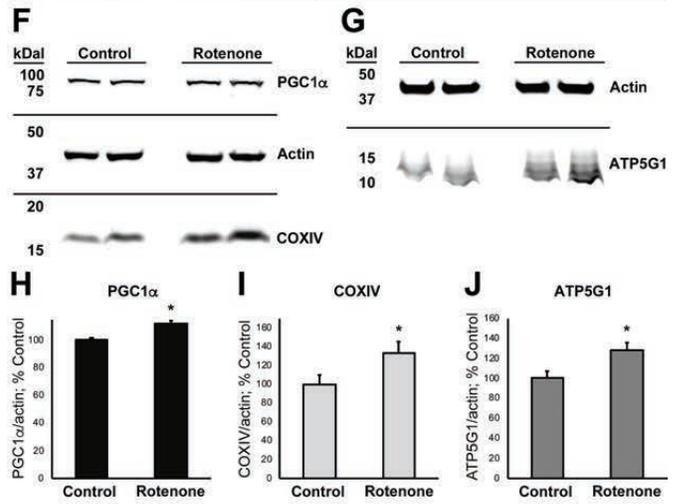


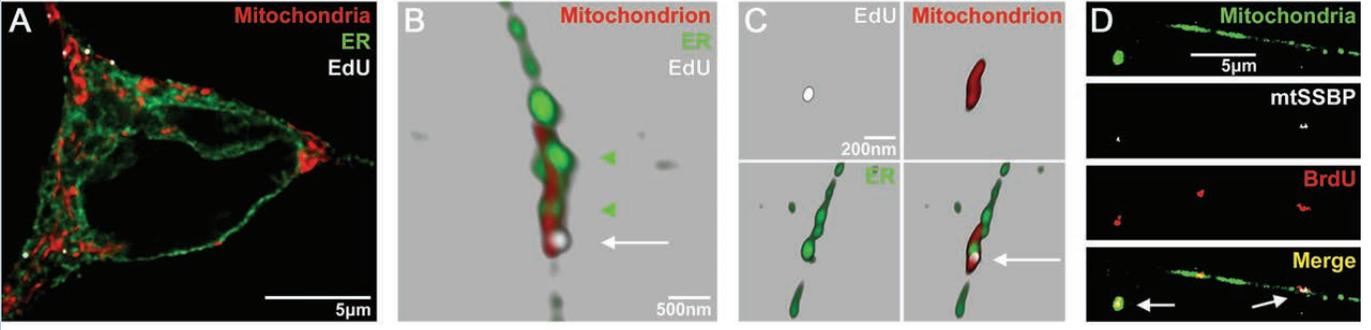


1 Week Treatment



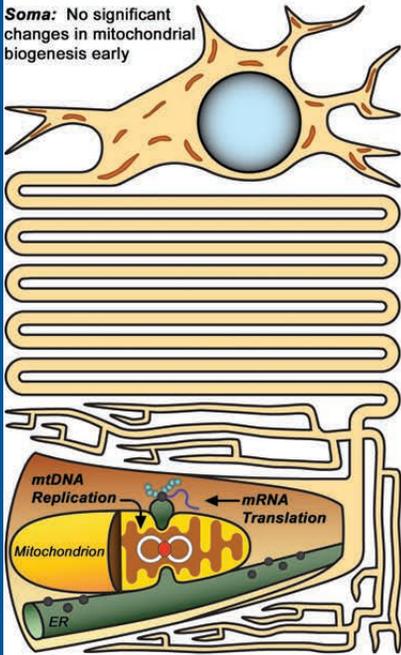
2 Week Treatment





Early Response to Chronic Stress

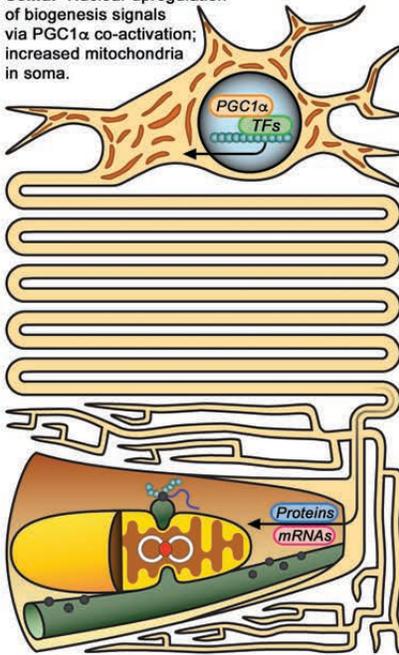
Soma: No significant changes in mitochondrial biogenesis early



Distal Axon: Localized upregulation of mitochondrial biogenesis in response to stress-induced increases in demand; increased mitochondria in distal axons.

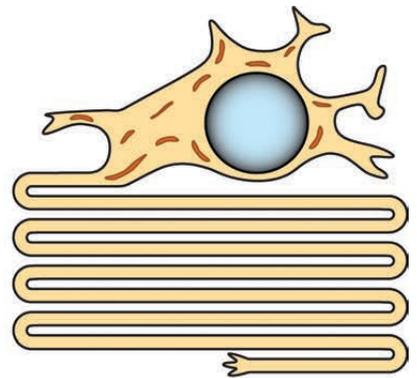
Later Response to Chronic Stress

Soma: Nuclear upregulation of biogenesis signals via PGC1 α co-activation; increased mitochondria in soma.



Distal Axon: Increased peripheral mitochondrial biogenesis is maintained, possibly in part by somal upregulation of resources for replication.

Pathogenic Conditions in Vulnerable Neurons



Distal Axon: Lower capacity for localized biogenesis upregulation in distal axons in response to stress may lead to bioenergetic distress and neuritic retraction ahead of cell body death.