

Noradrenaline Hyperpolarizes Identified Rat Mesopontine Cholinergic Neurons *in vitro*

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Inhibition of brainstem cholinergic neurons by noradrenergic neurons of the locus ceruleus has long been suggested as a key mechanism of behavioral state control. In particular, the commonly held view is that noradrenaline (NA) plays a permissive role in rapid eye movement (REM) sleep generation by disinhibiting brainstem cholinergic neurons. While this notion has been supported by numerous investigations, the inhibition of cholinergic neurons by NA has never been directly demonstrated. The purpose of this study was to investigate the effects of NA upon identified cholinergic neurons in the rat mesopontine tegmentum.

Using whole-cell patch-clamp recordings in slices, 175 cells were studied during bath application of 50 μM NA. Cholinergic neurons were positively identified by intracellular labeling with biocytin and subsequent staining with NADPH-diaphorase, a reliable marker for brainstem cholinergic neurons (Vincent et al., 1983). Successful intracellular labeling was obtained in 96 cells. Ninety-two percent (36 of 39) of cholinergic neurons hyperpolarized in response to NA, while noncholinergic cells ($n = 57$) exhibited mixed responses. Application of NA in a low- Ca^{2+} , high- Mg^{2+} solution elicited the same hyperpolarizing effect as in normal solution, which indicated that the effect of NA on cholinergic neurons was direct. The noradrenergic hyperpolarization was mimicked by the α_2 -adrenoceptor agonist UK-14,304, and was blocked by the α_2 -adrenoceptor antagonist idazoxan, which suggested an α_2 -mediated response. Finally, voltage-clamp experiments revealed that NA activates the inwardly rectifying potassium current, I_{K} .

These data unambiguously confirm the hypothesis that NA inhibits brainstem cholinergic neurons, and are fundamental to understanding the role of noradrenergic–cholinergic interactions in behavioral state control.

[Key words: REM sleep, locus ceruleus, α_2 -adrenergic receptors, potassium currents, NADPH-diaphorase, behavioral state control]

The most widely accepted model of rapid eye movement (REM) sleep generation is that release of ACh within the medial pontine reticular formation (MPRF) is one, if not *the*, natural trigger for

REM sleep generation. This is based upon the well-established observation that microinjection of cholinergic agonists into the MPRF induces a state indistinguishable from REM sleep (Baxter, 1969; Mitler and Dement, 1974; Amatruda et al., 1975). That amines inhibit this cholinergic trigger was suggested by the observation that systemic administration of the anti-AChE agent eserine induces a state indistinguishable from REM sleep, but only after depletion of amines by reserpine (Karczmar et al., 1970). Based upon extracellular recordings of (1) neurons selectively active during REM sleep in the gigantocellular tegmental field (FTG) where AChE-positive neurons are found, and (2) neurons that fall silent during REM sleep in the noradrenergic locus ceruleus (LC) (Hobson et al., 1975) and serotonergic dorsal raphe (DR) nuclei (McGinty and Harper, 1972), McCarley and Hobson (1975) proposed the reciprocal-interaction hypothesis: that the sleep cycle is controlled by an inhibitory aminergic population and a reciprocally excitatory cholinergic population, in which the silence of aminergic neurons would disinhibit cholinergic neurons and thereby evoke REM sleep by release of ACh in the MPRF.

The striking behavioral neurophysiological profile of noradrenergic and serotonergic neurons has been fully confirmed in numerous studies (McGinty and Harper, 1976; Trulson and Jacobs, 1979; Aston-Jones and Bloom, 1981; Reiner, 1985). However, two features of the original reciprocal-interaction model were subsequently shown to be invalid. First, the REM selectivity of FTG neuronal activity was found to be an artifact of head restraint (Siegel and McGinty, 1977; Vertes, 1977). Second, the AChE-positive neurons of the FTG were found not to be cholinergic when studied with ChAT immunohistochemistry (Jones and Beaudet, 1987; Vincent and Reiner, 1987; Shiromani et al., 1988). However, these same studies unambiguously demonstrated that the neurons of the laterodorsal (LDT) and pedunculopontine (PPT) tegmental nuclei represent the predominant group of brainstem cholinergic neurons, and these neurons give rise to a massive innervation of the thalamus (Sofroniew et al., 1985; Satoh and Fibiger, 1986; Woolf and Butcher, 1986; Hallanger and Wainer, 1988; Steriade et al., 1988), as well as direct projections to the MPRF (Mitani et al., 1988; Shiromani et al., 1988; Quattrochi et al., 1989; Jones, 1990; Semba et al., 1990). Unfortunately, the behavioral neurophysiology of mesopontine cholinergic neurons is still not known with certainty. A small percentage of neurons in this region exhibit REM-selective discharge patterns, but the majority do not (El Mansari et al., 1989; Steriade et al., 1990). Based upon these data, Steriade et al. (1990) have concluded that cholinergic neurons are active during both wake and REM sleep, while other authors have argued otherwise (Sakai, 1988; Kamondi et al.,

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1992). Barring more direct evidence, the behavior of mesopontine cholinergic neurons across states cannot be stated with complete confidence. Nonetheless, both the LDT and PPT contain neurons that are at least active during REM, and are thus candidates for being the cholinergic neurons that trigger the state of REM sleep.

Given these observations, the original reciprocal-interaction hypothesis has been considerably modified by various groups (Hobson et al., 1986; Sakai, 1988; Steriade and McCarley, 1990). One explicit prediction of all these models is that noradrenaline (NA) and 5-HT inhibit mesopontine cholinergic neurons. We have recently shown that 5-HT inhibits identified cholinergic neurons (Luebke et al., 1992). Utilizing whole-cell patch-clamp recordings of LDT neurons in rat brain slices, we have now directly tested the other arm of this hypothesis, that NA hyperpolarizes mesopontine cholinergic neurons.

Materials and Methods

Methods for whole-cell recording, intracellular labeling, and histochemical identification of LDT cholinergic neurons in rat brain slices were similar to those of Kamondi et al. (1992). Briefly, rats 7–15 d old were anesthetized with halothane and decapitated, and the brains were rapidly removed and immersed in cold artificial cerebrospinal fluid (ACSF). The brain was trimmed to a block containing the pontomesencephalic tegmentum and cut into 400 μm coronal sections with a Vibratome. Usually, two slices containing the LDT were obtained from each brain. Slices were stored in a holding chamber for at least 1 hr before being transferred to a recording chamber where they were superfused with ACSF at 2 ml/min. ACSF contained (in mM) 126 NaCl, 25 NaHCO_3 , 1.2 NaH_2PO_4 , 2.5 KCl, 2.5 CaCl_2 , 1.2 MgCl_2 , and 11 glucose, pH 7.4, when saturated with 95% O_2 , 5% CO_2 . All experiments were carried out at room temperature.

Drugs were applied by superfusing the slice in ACSF containing a given concentration of the drug. Except for idazoxan and barium, drugs were stored in frozen aliquots at high concentrations and were thawed and diluted in ACSF prior to the experiment. NA, phenylephrine, and isoproterenol were obtained from Sigma Chemical Co.; idazoxan and UK-14,304, from Research Biochemicals, Inc.; and clonidine, from Boehringer Ingelheim, Ltd.

Patch pipettes were constructed from thin-wall (1.5 mm o.d., 1.1 mm i.d.) borosilicate glass (Sutter Inst. Co.). The electrode solution contained (in mM) 15 NaCl, 10 Na-HEPES, 11 EGTA, 140 KOH, 1 CaCl_2 , 2 Mg-ATP, 0.3 GTP, and 0.2% biocytin, and was pH balanced with methanesulfonic acid to 7.4. Electrode resistance ranged from 4 to 6 M Ω in the recording chamber, typical electrode seals were 9–12 G Ω , and access resistance following establishment of the whole-cell recording configuration ranged from 10 to 80 M Ω . Both bridge mode and continuous single-electrode voltage-clamp recordings were obtained with an Axoclamp-2A. For voltage-clamp experiments, gains were from 5 to 10 nA/mV, and the output filter reduced from 30 to 1 kHz; neither capacitance nor series resistance compensation was utilized, as described elsewhere (Kamondi et al., 1992). Data were collected through an Axolab interface using pCLAMP computer software, version 5.0 (Axon Instruments), and recorded onto videotape in a digitized format. Data are reported as the mean \pm SD.

Histochemical identification of recorded neurons. In order to identify biocytin-filled neurons unambiguously, only one cell was obtained from each side of a slice. Slices were nicked on one side during preparation to differentiate one side from the other. After experiments were complete, each slice was fixed overnight in 2% paraformaldehyde and 15% picric acid in 0.1 M phosphate-buffered saline (PBS), pH 7.4. The following day, slices were removed from the fixative and rinsed three times for 20 min in 0.1 M PBS. Slices were then incubated in PBS containing 20 $\mu\text{g}/\text{ml}$ Texas red-conjugated avidin and 0.3% Triton-X for 2 hr, rinsed in PBS as before, and left in a Triton/PBS solution with 15% sucrose overnight. The next day slices were cut into 40–50 μm sections with a cryostat and mounted onto coated slides.

Cholinergic neurons were identified using NADPH-diaphorase histochemistry, a reliable marker of LDT cholinergic neurons (Vincent et al., 1983). Slides were immersed in a solution containing 1 mg/ml NADPH and 0.1 mg/ml nitroblue tetrazolium in PBS and incubated at

37°C for 45–60 min. Afterward, slices were rinsed in PBS and stored in the dark to dry. Slides were coverslipped and examined under a microscope equipped with both bright-field optics and epifluorescence for identification of NADPH-positive and Texas red-positive neurons, respectively.

Results

Identification of cholinergic and noncholinergic neurons

This study is based upon whole-cell patch-clamp recordings of 175 cells in the LDT. Successful intracellular labeling with biocytin was obtained in 96 cells, and these were all processed for NADPH-diaphorase histochemistry. Forty-one percent (39 of 96) of biocytin-labeled cells were NADPH-diaphorase positive and thus identified as cholinergic. The remaining cells (57 of 96, or 59%) were noncholinergic (Fig. 1). The focus of the results reported below is largely upon the identified cholinergic neurons ($n = 39$).

The salient biophysical properties of the cholinergic neurons of the LDT were identical to those reported previously (Kamondi et al., 1992; Luebke et al., 1992). In brief, the majority of cholinergic neurons exhibited both the transient outward K^+ current I_A and the transient inward Ca^{2+} current I_T . In bridge mode these could be observed on the offset of hyperpolarizing current pulses: there was a delayed return to the resting potential (I_A) followed by a depolarizing overshoot (I_T) that often evoked a burst of action potentials.

NA hyperpolarizes LDT cholinergic neurons

Ninety-two percent (36 of 39) of identified cholinergic neurons hyperpolarized by 10.1 ± 4.1 mV in response to 50 μM NA. In contrast, noncholinergic neurons exhibited heterogeneous responses to NA [35% (20 of 57) hyperpolarized, 46% (26 of 57) depolarized, 19% (11 of 57) no response]. The hyperpolarization of cholinergic neurons was characterized by an increase in conductance, as illustrated in Figure 2, *A* and *B*. When the cell hyperpolarized by NA was returned to the resting potential by injection of depolarizing current, the input resistance was decreased as evidenced by smaller voltage deflections during the hyperpolarizing pulses as compared to control. Thus, NA hyperpolarizes cholinergic neurons by increasing an ionic conductance.

We next carried out experiments to determine if the noradrenergic effect was direct. To test this, two paradigms were utilized. First, in the majority of cases ($n = 27$), 300 nM TTX was included in the bath solution to block voltage-dependent sodium channels. The noradrenergic hyperpolarization always persisted under such conditions. Second, some slices ($n = 4$) were bathed in ACSF with low Ca^{2+} and high Mg^{2+} concentration (0.5 mM and 10 mM, respectively) in addition to TTX to abolish Ca^{2+} -dependent synaptic transmission. As shown in Figure 2*B*, the low- Ca^{2+} ACSF abolished the low-threshold Ca^{2+} spike normally seen after a 500 msec hyperpolarizing pulse, thus ensuring a minimal synaptic Ca^{2+} influx and thereby synaptic transmission. Responses to NA in low Ca^{2+} were identical to those seen in the normal solution, which indicates that the noradrenergic effect is indeed direct (compare Fig. 2*A,C*).

Noradrenergic hyperpolarization is mediated by α_2 -receptors

Application of 1 μM idazoxan, an α_2 -adrenergic antagonist, completely blocked the hyperpolarizing effect of NA on five of eight cholinergic cells and reduced the hyperpolarization in the other three cells by 2.3 ± 0.5 mV. Interestingly, in three of the

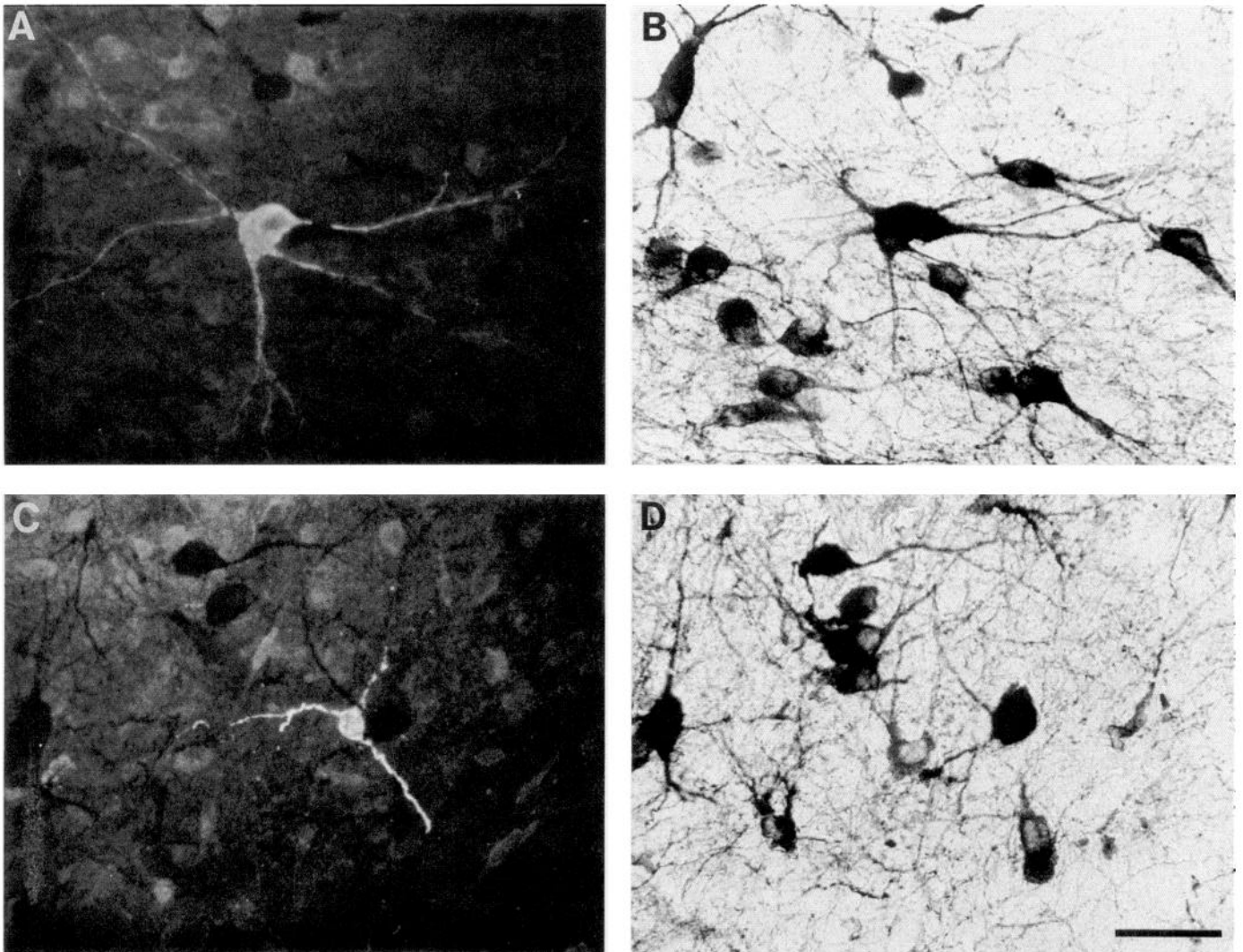


Figure 1. Histochemical identification of LDT nuclei. *A* and *C*, Biocytin-filled neurons. *B*, NADPH-diaphorase histochemistry shows that the labeled neuron in *A* is NADPH positive and therefore cholinergic. *D*, The labeled cell in *C* did not stain for NADPH and is therefore noncholinergic. Scale bar, 25 μ for *A*–*D*.

five cells in which the hyperpolarization was abolished by idazoxan, NA induced a depolarization of 5.0 ± 1.6 mV when α_2 -receptors were blocked (Fig. 2*D*). Thus, NA may have an additional effect on cholinergic neurons mediated by receptors other than the α_2 -receptor. However, these experiments were not carried out in low- Ca^{2+} ACSF, and therefore we do not know if the depolarization is a direct effect of NA. Both the α_1 -agonist phenylephrine (10 μM , $n = 2$) and the β -agonist isoproterenol (5 μM , $n = 1$) elicited no response from cells that exhibited a large hyperpolarization to NA. UK-14,304, a full α_2 -adrenoceptor agonist (Cambridge, 1981), induced a hyperpolarization of 5.8 ± 2.3 mV on cholinergic neurons (10 μM , $n = 3$). Clonidine, a partial α_2 -adrenoceptor agonist (Medgett et al., 1978), had no effect on cholinergic neurons that were hyperpolarized by NA (1–10 μM , $n = 6$). Because clonidine has also been reported to act as a competitive α_2 -antagonist, we tested its ability to block the noradrenergic hyperpolarization. It did not ($n = 3$). We therefore concluded that NA exerts its hyperpolarizing effect through an α_2 -adrenoceptor.

NA activates I_{KG}

As described above, the bridge mode data indicated an increase in conductance during the noradrenergic hyperpolarization of cholinergic neurons. Based upon the calculated equilibrium potentials of each of the ions in solution, we hypothesized that the increase in conductance was due to the activation of an outward potassium current. We tested this hypothesis by obtaining current–voltage (I – V) ramps before and during application of NA ($n = 5$). As shown in Figure 3*A*, the ramps crossed at a position that approximated the calculated potassium equilibrium potential of -101.5 mV. When the control I – V ramps were subtracted from ones obtained during NA, the resulting curves showed inward rectification (Fig. 3*B*). This phenomenon is characteristic of the K^+ current directly activated by G-proteins, I_{KG} (Hille, 1992). Addition of 100 μM Ba^{2+} (a nonspecific K^+ channel blocker) to the bath markedly reduced the current in three of three cells, as illustrated in Figure 3*C*, consistent with the hypothesis that NA is activating a K^+ current. We conclude that the nor-

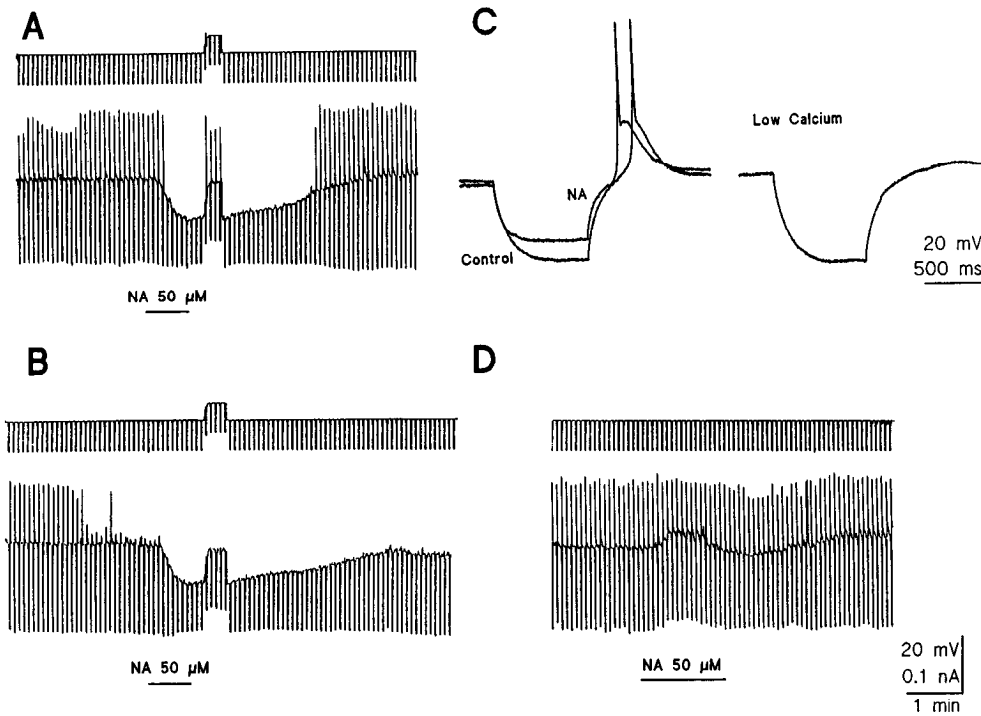


Figure 2. Bridge mode experiments on a single identified cholinergic neuron. *Top traces in A, B, and D* are hyperpolarizing current steps; *lower traces* reflect the voltage of the cell. *A*, Application of 50 μM NA hyperpolarized the cell with a complete recovery after washout. *B*, NA hyperpolarization as in *A*, except in low- Ca^{2+} ACSF solution, demonstrating that NA is acting directly on the cell. *C:Left trace*, Individual traces of a single voltage deflection in response to a hyperpolarizing current pulse prior to NA application (*Control*) superimposed on one obtained during NA. The smaller voltage deflection during NA demonstrates the increase in whole-cell conductance. *Right trace*. The same cell in a low- Ca^{2+} solution, showing the absence of the calcium spike seen in the *left trace*. *D*, Application of NA after pretreatment with 1 μM idazoxan. Idazoxan completely blocked the hyperpolarization (as in *A* and *B*), and demonstrated that NA also exerts a small depolarization through a non- $\alpha 2$ receptor.

adrenergic hyperpolarization of cholinergic neurons is mediated by the inwardly rectifying potassium current, I_{KG} .

Discussion

The principle findings of this study are (1) NA powerfully and directly inhibits identified LDT cholinergic neurons, (2) NA exerts its hyperpolarizing effect through an $\alpha 2$ -adrenergic receptor, and (3) the noradrenergic hyperpolarization is the result of activation of the inwardly rectifying potassium current, I_{KG} .

Identification of cells studied with whole-cell patch clamp

The present report, in addition to two recent studies using the whole-cell patch-clamp technique (Kamondi et al., 1992; Luebke et al., 1992), is a benchmark for being among the first to “patch and match” (Bloom, 1992). That is, identification of the neurotransmitter phenotype of a cell studied with the whole-cell patch-clamp technique is important for deriving conclusions about the significance of the results. We found that identified

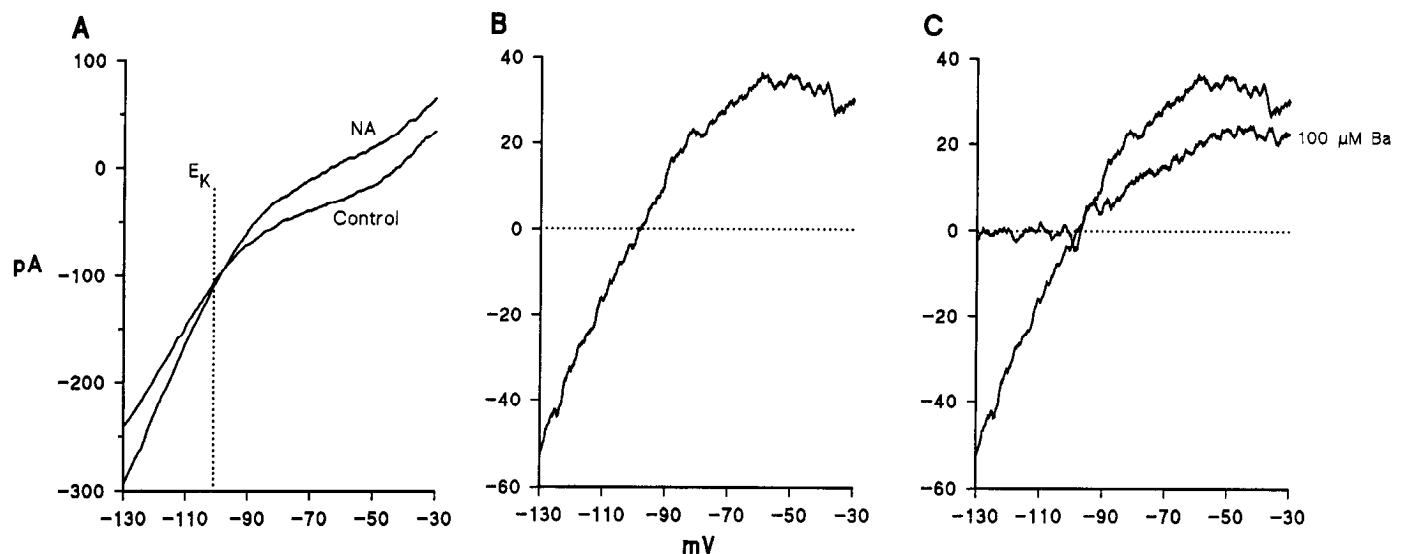


Figure 3. *I-V* curves obtained from voltage-clamp experiments on an identified cholinergic neuron. *A*, Whole-cell currents obtained from control and during NA application approximate the potassium equilibrium potential (E_{K}), indicating the activation of a K^{+} channel by NA. *B*, The NA-evoked current (obtained by subtracting the control curve from the NA curve in *A*) shows inward rectification. *C*, The same curve as in *B* superimposed on one obtained in a similar manner, except in a solution containing 100 μM Ba^{2+} . Barium completely blocked inward rectification as well as reduced the outward current. These results suggest that the NA hyperpolarization is due to the activation of the inwardly rectifying potassium current, I_{KG} .

cholinergic neurons had a homogeneous (and unambiguous) response to NA (92% hyperpolarized) whereas the noncholinergic cells, of which the phenotype is unknown, exhibited mixed responses to NA. Without histochemical identification of the cholinergic cells, we would have found that NA hyperpolarized only about half of LDT neurons, and to conclude that NA was inhibiting cholinergic neurons would have been only speculative. As it is, noradrenergic inhibition of cholinergic neurons is unequivocal.

The α_2 -adrenergic receptor

That the noradrenergic hyperpolarization of LDT cholinergic neurons is mediated by the α_2 -adrenergic receptor is supported by (1) blockade of the effect of NA by the α_2 -adrenergic antagonist idazoxan, (2) hyperpolarization induced by the α_2 -adrenergic agonist UK-14,304, and (3) the observation that the α_1 - and β -agonists phenylephrine and isoproterenol, respectively, failed to induce any effect.

Although a weak partial α_2 -adrenergic agonist (Medgett et al., 1978), clonidine is remarkably effective in mimicking noradrenergic inhibition in several different regions in the nervous system, including the LC (Cedarbaum and Aghajanian, 1977; Reiner, 1985; Williams et al., 1985), the rat sympathetic ganglion (Brown and Caufield, 1979), substantia gelatinosa (North and Yoshimura, 1984), and dorsal motor nucleus of the vagus (Fukuda et al., 1987). A notable exception is the submucous plexus of the guinea pig ileum. In these neurons, clonidine competitively antagonized the hyperpolarizing action of NA (Surrenant and North, 1988). Surprisingly, in LDT cholinergic neurons, clonidine was ineffective as either agonist or antagonist. These data suggest that LDT neurons may express a novel α_2 -adrenergic receptor with a low affinity for clonidine. Support for this hypothesis derives from the recent cloning of three molecular species of α_2 -adrenergic receptor, although the pharmacological properties of these receptors are not yet known (Bylund, 1992). An alternative hypothesis is that dialysis of the cell interior using whole-cell patch-clamp recordings may modify the affinity of the receptor for clonidine. However, we found clonidine to hyperpolarize noradrenergic LC neurons powerfully using the whole-cell patch-clamp technique (J. A. Williams and P. B. Reiner, unpublished observations), making it unlikely that the inability of LDT cholinergic neurons to respond to clonidine is due to experimental error. Further investigation is needed to determine precisely which α_2 -adrenergic receptor subtype is involved in the noradrenergic inhibition of LDT cholinergic neurons.

Although we have deduced the mechanism of the NA hyperpolarization, the mechanism of the depolarizing effect of NA observed during α_2 -blockade by idazoxan has yet to be delineated. Clearly, a second receptor that is coupled to a different ion channel is involved. Consistent with these findings, in a minority of cells the reversal potential of the NA-induced hyperpolarization was somewhat depolarized to E_K (-80 to -70). Whether this phenomenon is due to a voltage-clamp error or to the activation of a separate set of ion channels is unclear. In any case, the hyperpolarization is unambiguously the predominant effect of NA and thus we did not investigate the "hidden" effect any further.

Implications for behavioral state control

For many years, several lines of evidence have implicated an inhibitory role of noradrenergic neurons of the LC in REM sleep

generation (reviewed in Steriade and McCarley, 1990). Karczmar et al. (1970) demonstrated that absence of aminergic transmission was required to induce REM sleep systemically with AChE inhibitors. Based upon these and other findings, many have hypothesized noradrenergic inhibition of brainstem cholinergic mechanisms (McCarley and Hobson, 1975; Sakai, 1988; Steriade and McCarley, 1990). Recent data have provided a plausible anatomical substrate for such effects: catecholaminergic fibers synapse onto LDT cholinergic neurons (Kubota et al., 1992), and LC neurons project to the LDT (Semba and Fibiger, 1992). The present data extend such findings into the functional realm by directly confirming the hypothesis that NA inhibits mesopontine cholinergic neurons. Taken together with previous work these results allow us to define selected aspects of the cellular control of behavioral state with certainty.

Based upon electrophysiological data showing that the LC and DR are most active during wake and silent during REM sleep (Hobson et al., 1975; McGinty and Harper, 1976; Trulson and Jacobs, 1979; Aston-Jones and Bloom, 1981; Reiner, 1985), and upon recent reports that both NA and 5-HT hyperpolarize LDT cholinergic neurons (Luebke et al., 1992; present report), we hypothesize that during wake cholinergic neurons are under considerable inhibitory tone. At the transition to REM sleep when aminergic neurons fall silent (Hobson et al., 1975; McGinty and Harper, 1976; Trulson and Jacobs, 1979; Aston-Jones and Bloom, 1981; Reiner, 1985), cholinergic neurons are disinhibited. Now capable of robust activity, they release ACh in the MPRF and trigger the state of REM sleep.

In the past few years, considerable strides have been made in understanding the neuronal control of behavioral states. Key to the enterprise have been critical tests of explicit and implicit models based upon molecular circuit analysis. The present experiments firmly establish the cellular basis of noradrenergic-cholinergic interaction in the brainstem, with attendant implications for behavioral state control.

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