

Contributions of Voltage-Gated Ca^{2+} Channels in the Proximal versus Distal Dendrites to Synaptic Integration in Prefrontal Cortical Neurons

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The electrogenesis of synaptically activated dendritic Ca^{2+} -mediated potentials, which may contribute to synaptic signal integration in pyramidal cells, was examined in rat layers V–VI prefrontal cortical (PFC) neurons *in vitro*. Intracellularly recorded suprathreshold synaptic responses evoked by stimulation of the distal dendrites were attenuated by focal Cd^{2+} application to the proximal apical dendritic stem (100–200 μm from soma), but not to the apical dendritic tuft (>500 μm from soma). With use of intracellular QX-314 and Cs^+ to block Na^+ and K^+ currents, intracellular recordings revealed that the Cd^{2+} -induced attenuation of synaptic responses was attributable to the blockade of a dendritic Ca^{2+} -mediated “hump” potential and high-threshold Ca^{2+} spike activated by NMDA EPSPs. The hump potential was not blocked by bath application of Ni^{2+} (100 μM) but was blocked by focal application of Cd^{2+} to the proximal but not distal apical dendrites, suggesting

that it was generated by Ca^{2+} channels located in the proximal dendrites. Direct patch-clamp recordings made from the distal apical tuft of layers V–VI PFC neurons revealed that layers I–II synaptic stimulation or intradendritic depolarizing current pulses evoked tetrodotoxin- and QX-314-sensitive Na^+ spikes. Unlike in the stem of the apical dendrite, Ca^{2+} spikes were not easily evoked in the distal apical tuft when Na^+ channels were blocked. When triggered, the Cd^{2+} -sensitive Ca^{2+} spikes in the dendritic tuft were nonregenerative and had very high activation thresholds (approximately +10 mV). These results suggested that the high voltage-activated Ca^{2+} potentials that amplify distal EPSPs are primarily generated in the proximal stem of the apical dendrite and not within the fine dendritic branches of the apical tuft of layers V–VI PFC neurons.

Key words: dendrites; PFC; amplification; calcium; NMDA; EPSP; apical tuft; electrophysiology

Pyramidal neurons within layers V–VI of the neocortex possess a long ascending apical dendrite that extends to layers II–III where it bifurcates into the fine branches of the apical tuft (see Fig. 1A). The apical tuft in layers I–II is a major receptive zone for synaptic inputs (Ramón y Cajal, 1889; Peters, 1987). Signal integration by the soma of cortical neurons is a considerable task, because distal EPSPs arriving in the apical tuft are strongly filtered by the passive cable properties of the dendrite before reaching the soma (Caulier and Connors, 1992; Mel, 1994; Spruston et al., 1994; Johnston et al., 1996; Yuste and Tank, 1996). In addition, ongoing synaptic inputs provide a background activity that can serve to increase the electrotonic length of the neuron and thereby attenuate the distally generated synaptic signal even further (Holmes and Woody, 1989; Bernander et al., 1991). Furthermore, strategically placed inhibitory inputs within the dendrites may prevent a considerable portion of the synaptic signal from reaching the soma (Koch et al., 1983; Kim et al., 1995; Miles et al., 1996).

One solution to overcome such problems has been to suggest

that voltage-gated ionic conductances in the dendrites of pyramidal neurons boost the effects of local synaptic inputs by acting as either voltage or current amplifiers (Spencer and Kandel, 1961; Shepherd et al., 1985; Bernander et al., 1994; Yuste et al., 1994). Slowly inactivating Na^+ currents have been shown to enhance distal EPSPs and currents evoked by iontophoresis of glutamate onto the apical dendrites of layers V–VI cells (Schwindt and Crill, 1995; Stuart and Sakmann, 1995). In addition, both low- and high-threshold Ca^{2+} currents have been recorded in the dendrites of pyramidal neurons and might also serve to amplify synaptic signals (Deisz et al., 1991; Amitai et al., 1993; Kim and Connors, 1993; Markram and Sakmann, 1994; Magee and Johnston, 1995a,b; Magee et al., 1995).

An understanding of signal integration by pyramidal neurons requires insight into the spatial locations of the voltage-gated channels that amplify distal EPSPs. One might expect that EPSPs generated within the apical tuft would be amplified by voltage-gated currents locally. In a recent study, Stuart and Sakmann (1995) demonstrated that this is not always the case. They showed that slowly inactivating Na^+ channels located primarily in the axosomatic region, rather than along the apical dendrite of layer V cortical neurons, amplify distal EPSPs. It is unclear whether a similar arrangement exists with respect to Ca^{2+} channels. Although evidence from immunocytochemical and electrophysiological studies indicates that Ca^{2+} channels are located throughout the dendrites of pyramidal neurons, there is a clustering of large conductance (L-type) high-threshold Ca^{2+} channels at the base of the major dendrites (Westenbroek et al., 1990; Magee

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and Johnston, 1995b). In addition, Ca^{2+} imaging studies have shown that synaptic activation of the apical dendrites or back-propagating action potentials evoke significant Ca^{2+} influx in the proximal apical and basal dendrites of both cortical and hippocampal pyramidal neurons, and Ca^{2+} influx tends to decrease with distance from the soma (Jaffe et al., 1992; Miyakawa et al., 1992; Müller and Connor, 1992; Regehr and Tank, 1992; Schiller et al., 1995; Johnston et al., 1996; Svoboda et al., 1997). Furthermore, although there is a considerable rise in Ca^{2+} influx in the proximal dendrites of cortical neurons after whisker stimulation *in vivo*, no detectable rise in Ca^{2+} influx is observed within the distal apical tufts (Svoboda et al., 1997). However, a band of Ca^{2+} influx has been observed in the mid-distal portion of the apical dendritic stem of layer V cortical neurons after layer I stimulation (Yuste et al., 1994). Thus, Ca^{2+} influx can be greatest in different regions of pyramidal neurons under different conditions. It is presently unclear whether activation of Ca^{2+} channels located along the apical dendritic stem or the apical tufts of pyramidal neurons are responsible for electrical amplification of distal EPSPs.

The present study examined the functional roles of the dendritic Ca^{2+} channels distributed at different locations along layers V–VI prefrontal cortical (PFC) neurons. Intracellular and patch-clamp somatic recordings, used in combination with focal pressure application of the voltage-gated Ca^{2+} channel blocker Cd^{2+} , revealed that layers I–II EPSPs triggered a late Ca^{2+} -mediated potential and high-threshold Ca^{2+} spikes in the proximal dendrites. On the other hand, direct patch-clamp recordings from the apical tuft dendrites of deep layer neurons revealed that both intradendritic current injection and synaptic stimulation were largely ineffective in evoking Ca^{2+} spikes. The present results suggested that the Ca^{2+} currents that enhance distally generated EPSPs are located primarily along the apical dendritic stem of layer V PFC neurons and not within the apical tuft.

Preliminary results have been published previously in abstract form (Seamans et al., 1996).

MATERIALS AND METHODS

Brain slice preparation. Experimental procedures for *in vitro* brain slice preparation and intracellular recordings were modified from those described in detail by Yang et al. (1996a). Briefly, coronal brain slices (450 μm) containing the prelimbic portion of the medial PFC (Uylings and van Eden, 1990; Condé et al., 1995) were prepared from young adult (≥ 5 weeks) male Sprague Dawley rats (80–200 gm; University of British Columbia colony). The prelimbic cortex is that part of the medial PFC that is flanked by the corpus callosum. Slices were transferred to a submerged-style recording chamber (transilluminated so that the cortical layers could be identified) and continuously perfused with an oxygenated (95% O_2 , 5% CO_2) solution containing (in mM): NaCl 126, KCl 3, NaHCO_3 26, MgCl_2 1.3, CaCl_2 2.3, and glucose 10. Experiments were performed at 23–33°C.

Recording and stimulation. Standard sharp electrode intracellular recordings of layers V–VI PFC neurons were made in current-clamp mode, and whole-cell patch-clamp recordings were made in current-clamp or voltage-clamp mode. Intracellular microelectrodes were made from borosilicate tubing [1.2 mm outer diameter (o.d.), 0.69 mm inner diameter (i.d.); Sutter Instruments] and filled with 2–3 M potassium acetate, 1 M cesium acetate, and 80–100 mM QX-314. Electrodes had a resistance between 110 and 170 M Ω . Patch pipettes (1.5 mm o.d., 1.1 mm i.d.) were filled with (in mM): potassium gluconate 130, KCl 10, EGTA 1, MgCl_2 2, NaATP 2, and HEPES 10 and 0.3% biocytin, and had a resistance between 8 and 12 M Ω for dendritic recordings and <5 M Ω for somatic recordings. In some experiments QX-314 (1 mM) or CsCl (10 mM) was also added to the internal patch solution. Seal resistance before break-in was >2 G Ω , and after break-in, access resistance was routinely 60–90 M Ω for dendritic recordings and <20 M Ω for somatic recordings.

Microelectrodes were connected to the head stage of an Axoclamp-2B (Axon Instruments, Foster City, CA) amplifier by an Ag/AgCl wire. Bridge balance was monitored continually, and capacitive transients were compensated optimally. The recorded voltage or current signals were amplified, digitized by a Digidata 2000 analog-to-digital board (Axon), and sampled by a PC running pClamp software (version 5.7; Axon). Voltage-clamp recordings were obtained using the Axoclamp-2B in continuous single-electrode voltage-clamp mode. Series resistance was 80% compensated, and the gain was increased up to 25 $\mu\text{A}/\text{mV}$. Data were filtered at 10 kHz.

For most experiments a concentric bipolar stimulating electrode (SNE-100, David Kopf Company) was placed in layers I–II. Electrical stimulation (0.2 msec, 50–500 μA) was delivered to layers I–II at low frequencies (≤ 0.1 Hz) and consisted of monophasic square pulses delivered via an optically isolated stimulation unit (ISO-FLEX, A.M.P.I.). Stimulation frequencies were programmed by a Master-8 pulse generator (A.M.P.I.). In some experiments, to isolate layers I–II inputs, a vertical cut from the corpus callosum to layer II was made using a 30.5 ga syringe needle mounted on a micromanipulator. The stimulating electrode was then placed at least 0.3 mm to one side of the cut (Cauller and Connors, 1994). In other experiments, 300 μM L-glutamic acid monosodium salt (Sigma, St. Louis, MO) plus fast green (Sigma) was applied to layers I–II via a glass pipette (tip diameter, 1–2 μm) using a Picospritzer II (General Valve, Fairfield, NJ) pressure ejection device (using 10–500 msec pressure pulses, 20–50 psi), and diffusion was monitored visually under a microscope.

Pharmacological treatments. In some cells a large EPSP could be evoked in the absence of externally applied drugs (nonisolated EPSP); however, in many cells a strong IPSP occluded the EPSP and prevented the initiation of Na^+ or Ca^{2+} spikes. The concentrations of bicuculline (>1.5 μM) necessary to block this strong IPSP resulted in the emergence of a large plateau potential that showed highly variable onset latencies and reversed at ~ 0 mV. This large potential was likely a giant polysynaptic EPSP (Johnston and Brown, 1981; Tasker and Dudek, 1991), which completely occluded synaptically evoked Ca^{2+} potentials and spikes. Application of APV (50 μM) only served to decrease the duration of the large potential, whereas DNQX (10 μM) (in the absence of APV) blocked it. In the presence of bicuculline and DNQX, an isolated monosynaptic NMDA EPSP was evoked. The layers I–II-evoked isolated NMDA EPSP was not contaminated by collateral inputs synapsing in deeper layers, because application of APV (250 μM) to the apical dendrite (100–200 μm from soma) had no effect on the magnitude of the evoked response. All glutamatergic and GABAergic antagonists were obtained from Precision Biochemicals (Vancouver, British Columbia, Canada).

In experiments in which Ca^{2+} spikes were evoked by intracellular current pulses, tetraethylammonium hydrochloride (TEA) (20 mM) and tetrodotoxin (TTX) (0.5–1 μM) were bath-applied to block K^+ and Na^+ channels, respectively. In other experiments NiCl_2 (100 μM) was bath-applied to block low-threshold Ca^{2+} currents. The above agents were obtained from Research Biochemicals (Natick, MA) or Sigma.

Focal Cd^{2+} applications. To determine the site of electrogenesis of Ca^{2+} -mediated potentials, CdCl_2 (2–50 mM in puff pipette) plus fast green was pressure-ejected focally (using 100–500 msec pressure pulses, 20–50 psi) to the apical dendritic stem at the region between the dorsal borders of layers III and V (100–200 μm from the soma), the border between layers II–III (300–400 μm from the soma), or to layers I–II (>500 μm from the soma, <200 μm from pia) via a glass pipette (tip diameter, 1–2 μm) using a Picospritzer II pressure ejection device (General Valve). To minimize spreading, small diameter pipettes were used, and the speed of perfusion was increased to 5 ml/min. Diffusion was monitored visually through a microscope.

Apical dendritic tuft patch-clamp recordings and staining. Dendritic tuft recordings were made by patch pipettes in layers I–II, and biocytin was diffused passively throughout the neuron during the course of the experiments. At the end of each experiment, brain slices were fixed and stained for biocytin (Yang et al., 1996a). Dimethylsulfoxide was used as the mounting medium for cover-slipping. When viewed under a microscope, the soma of stained neurons were located in layers III–VI, and the corresponding recording was in the apical tuft. In some cells, the recording site could be observed clearly on the stained dendrite as a small notch (see Fig. 5).

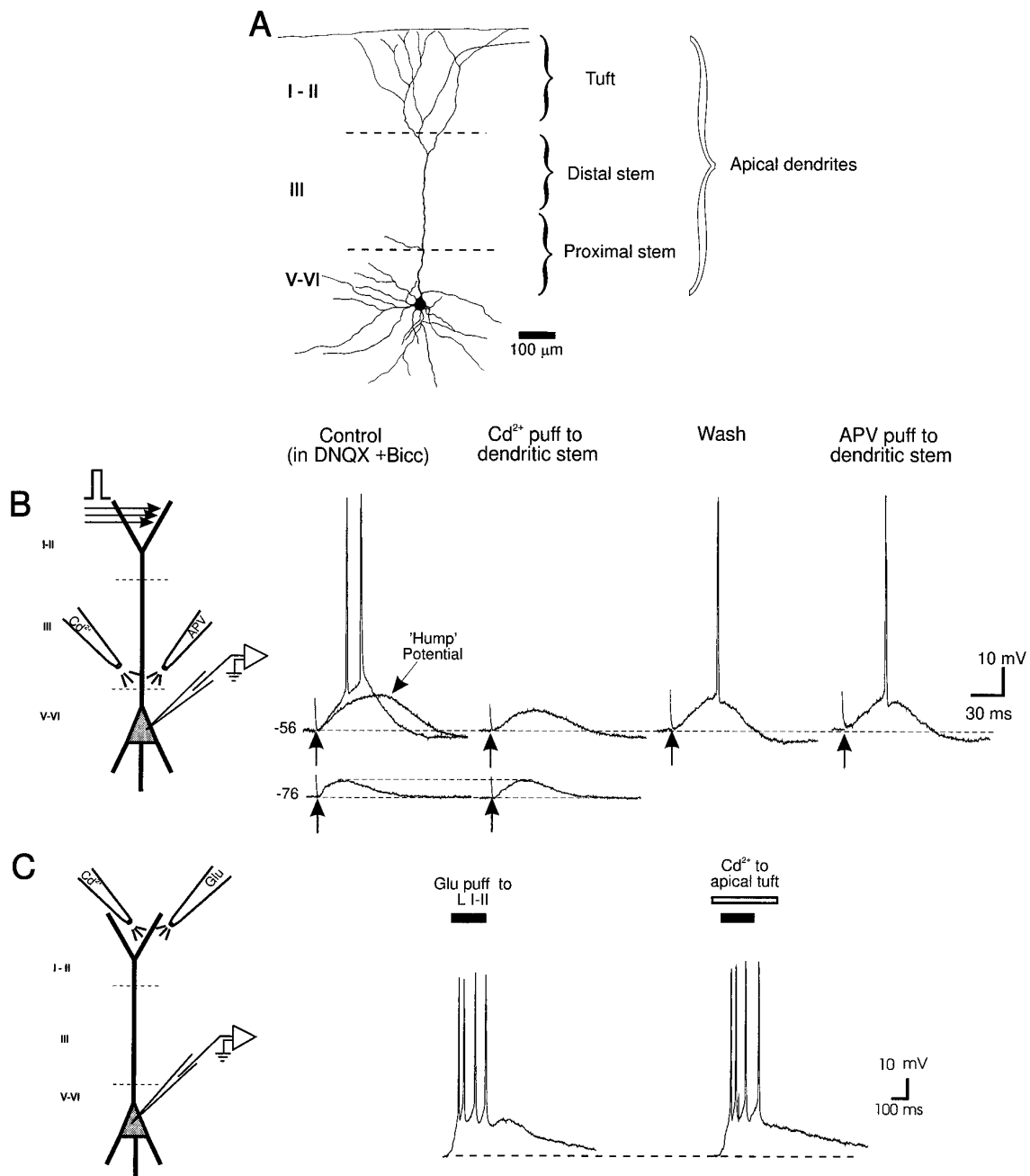


Figure 1. Cd^{2+} -sensitive currents proximal to the soma of layer V PFC neurons enhance responses evoked by stimulation of layers I–II. *A*, Camera lucida tracing of a layer V PFC neuron, with the apical dendritic tuft and distal and proximal apical dendritic stem regions demarcated. *B*, (left to right) Schematic diagram of a layer V PFC neuron and the location of synaptic stimulation and Cd^{2+} (5 mM in puff pipette) and APV (250 μM in puff pipette) pressure ejection pipettes. Synaptic stimulation of layers I–II (in the presence of bath-applied DNQX, 10 μM , and bicuculline, 4 μM) evoked either a large subthreshold NMDA EPSP or a suprathreshold response at a V_m of -56 mV. Note the hump-like potential during the late portion of the subthreshold EPSP. At a V_m of -76 mV a smaller subthreshold NMDA EPSP was evoked. Cd^{2+} application to the proximal apical dendritic stem region (100–200 μm from the soma) reduced the large subthreshold EPSP and abolished synaptically evoked action potentials but had no effect on the EPSP evoked at -76 mV. After partial recovery from the effects of Cd^{2+} application, focal application of APV to the same site had no effect on the evoked response. In all figures, an arrow denotes the time of synaptic stimulation. *C*, (left to right) Schematic diagram of a layer V PFC neuron and the location of the glutamate and Cd^{2+} pressure ejection pipettes. Focal pressure ejection of glutamate to the apical tuft evoked a suprathreshold response recorded at the soma. Cd^{2+} application just below the glutamate pipette in the apical tuft did not block the glutamate-evoked response.

RESULTS

The database was derived from 127 neurons recorded from the prelimbic region of the PFC using either intracellular or patch pipettes. Only cells with a V_m more negative than -60 mV and action potentials (observed before QX-314 took effect) that overshoot 0 mV were analyzed.

Contribution of Cd^{2+} -sensitive dendritic potentials to layers I–II-evoked responses: intrasomatic recordings from layers V–VI PFC pyramidal neurons

To examine the spatial locations of Ca^{2+} channels that contributed to distally generated suprathreshold responses, the Ca^{2+} channel blocker Cd^{2+} (2–50 mM in puff pipette) was pressure-

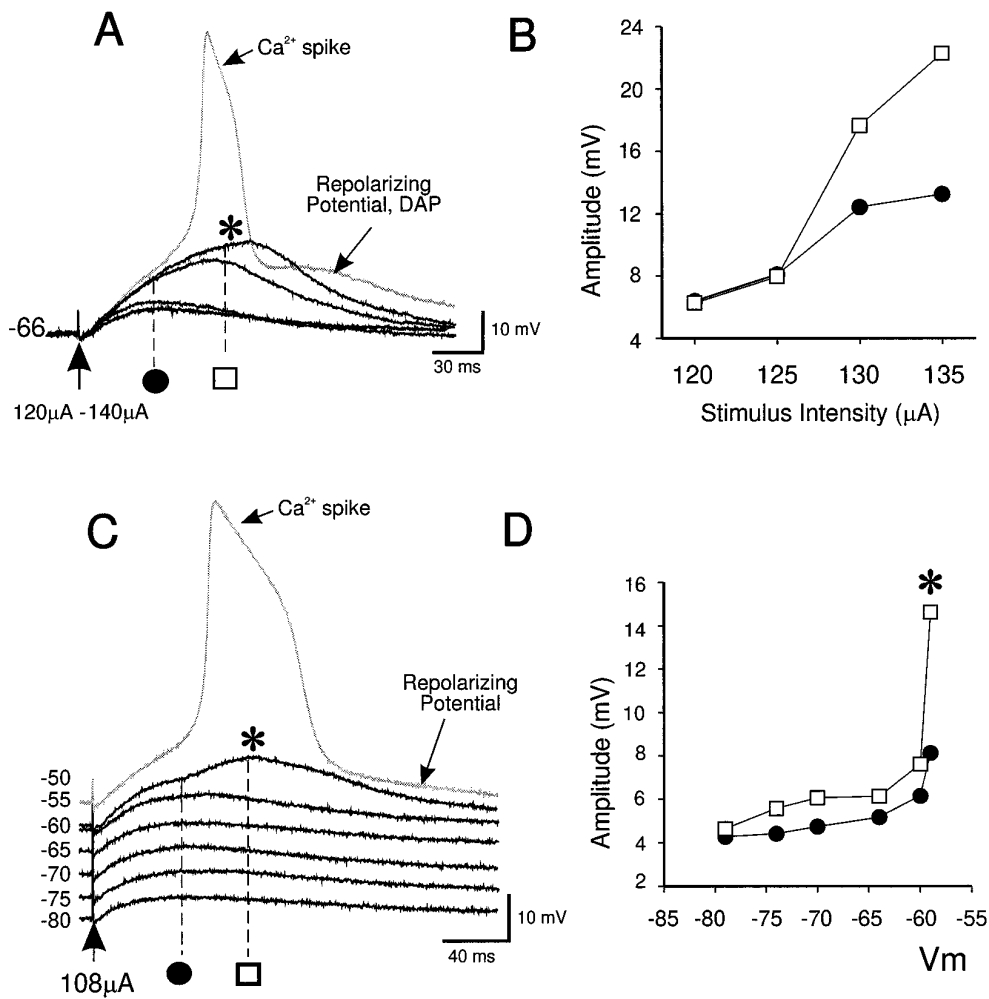


Figure 2. Layers I–II EPSPs and synaptically evoked depolarizing potentials in QX-314. *A*, A synaptically evoked hump potential (asterisk) and Ca^{2+} spike (gray trace) was evoked by progressive increases in stimulation current intensity, with the V_m held at a constant value (-66 mV) in cells recorded with QX-314 and Cs^+ -filled electrodes. *B*, Graph of subthreshold response amplitude with increased stimulation current intensity. Black circles, Amplitudes of the early component of the EPSPs illustrated in *A*; open squares, amplitudes of the late component of the EPSPs illustrated in *A*. *C*, The initiation of a synaptically evoked hump potential (asterisk) and Ca^{2+} spike (gray trace) by progressive membrane depolarization using a constant stimulation current ($108 \mu\text{A}$, 0.1 Hz). *D*, Graph of subthreshold response amplitude evoked at different membrane potentials. Black circles, Amplitudes of the early component of the EPSPs shown in *C*; open squares, amplitudes of the late component of the EPSPs shown in *C*. These particular responses were recorded in bicuculline and DNQX.

ejected focally to the apical dendritic stem or apical dendritic tuft (Fig. 1*A*). A number of preliminary experiments were conducted to determine the possible contributions of polysynaptic collateral inputs (synapsing in layers III–V) to the synaptic response recorded at the soma, because these collaterals could potentially be affected by Cd^{2+} applications. Although a vertical cut from the corpus colosum to layers I–II was made in the brain slices, it was not always possible to rule out completely the contribution of collateral inputs to layers III–V. Thus, APV ($250 \mu\text{M}$ in puff pipette) or DNQX ($50 \mu\text{M}$ in puff pipette) was applied focally to the apical dendritic stem in layers III–V either before or after recovery from Cd^{2+} application. Although nonisolated EPSPs evoked by layers I–II stimulation were often reduced by focal application of APV and DNQX to the proximal apical dendrite (not shown), isolated NMDA EPSPs (in the presence of bath-applied DNQX and bicuculline) were unaffected by focal application of APV to the same location ($n = 5$ of 5) (Fig. 1*B*). This indicated that the polysynaptic collateral inputs to layers III–V were primarily AMPA receptor-mediated and blocked by DNQX. Thus experiments were performed using vertically cut brain slices or in bicuculline and DNQX to reduce IPSPs and the effects of polysynaptic collateral inputs.

Layers I–II NMDA EPSPs that evoked action potentials (suprathreshold responses) were reduced significantly by Cd^{2+} application to the proximal apical dendrite and soma ($n = 8$ of 9) (Fig. 1*B*). After Cd^{2+} application, action potentials were not

triggered synaptically unless the V_m was depolarized by at least 2–4 mV more positive than the control V_m . In contrast, focal Cd^{2+} application to the proximal apical dendrite had no effect on subthreshold NMDA EPSPs evoked >5 mV more negative than the threshold for triggering action potentials (Fig. 1*B*). Thus, Cd^{2+} application to the apical dendritic stem reduced the suprathreshold synaptic responses evoked by synaptic stimulation of layers I–II.

To test whether Cd^{2+} -sensitive Ca^{2+} channels in the distal apical tuft also contributed to the suprathreshold response recorded at the soma, responses were evoked nonsynaptically by focal glutamate application to the apical tuft ($>500 \mu\text{m}$ from soma). The glutamate-evoked suprathreshold response recorded from the soma was unaffected by focal Cd^{2+} application to the apical tuft ($>500 \mu\text{m}$ from the soma) just below the site of glutamate application ($n = 5$ of 5) (Fig. 1*C*). In contrast, Cd^{2+} application to the proximal apical dendrite (100 – $200 \mu\text{m}$ from soma; $n = 8$ of 8; not shown) reduced the glutamate-evoked response; however, with use of this preparation it was not possible to rule out that glutamate-activated collateral inputs were also blocked by Cd^{2+} . Collectively, the results illustrated in Figure 1 suggested that dendritic voltage-gated Ca^{2+} channels proximal to the soma and not within the apical tuft functionally amplified distally evoked suprathreshold synaptic responses.

To examine the properties of the Cd^{2+} -sensitive dendritic Ca^{2+} -mediated potentials that amplified distally generated re-

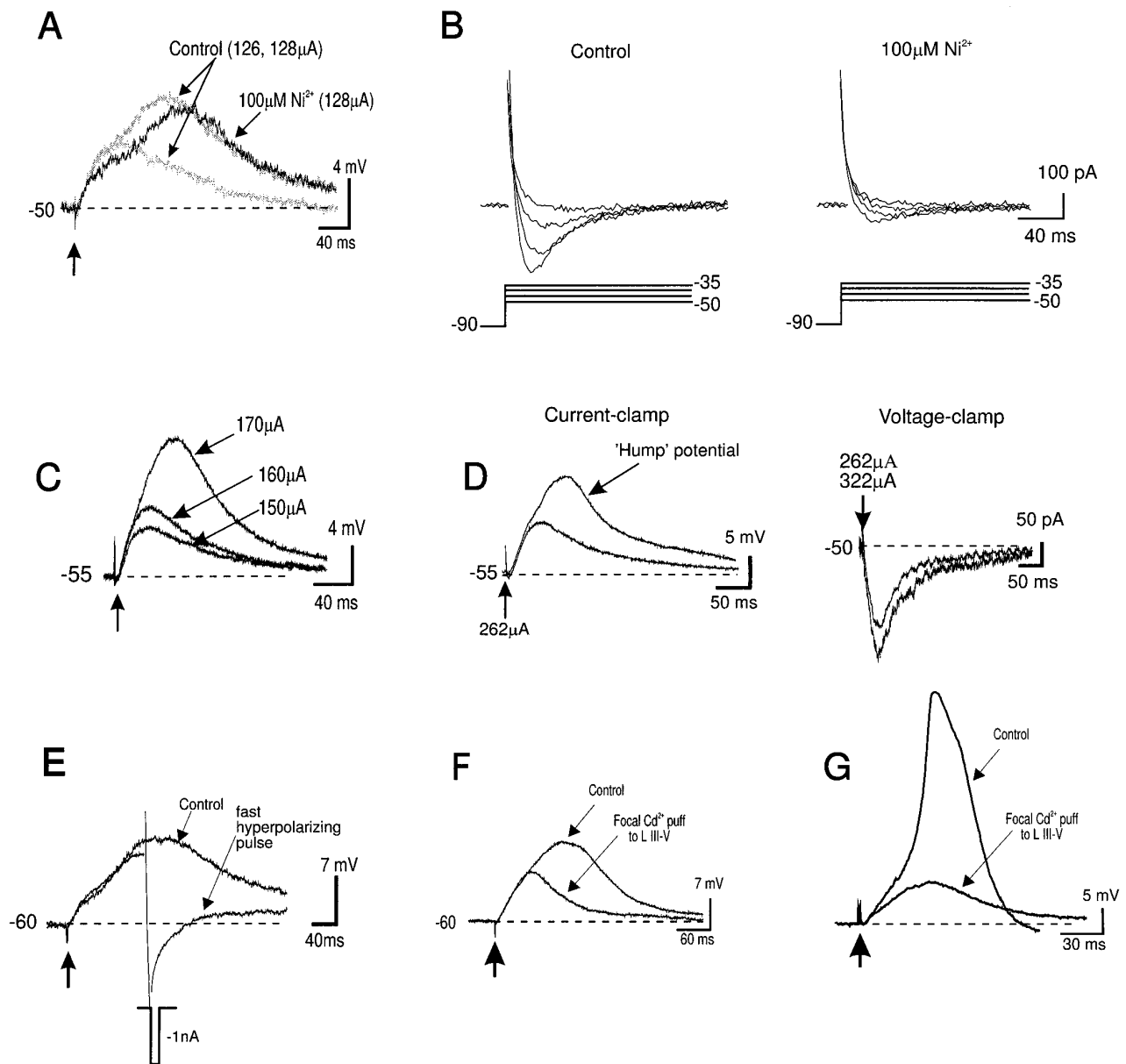


Figure 3. Properties of the synaptically evoked hump potential. *A*, The hump potential was not mediated by a low-threshold Ca^{2+} (T-type) current. The synaptically evoked hump potential was evoked at a steady state V_m of -50 mV and was not blocked by bath application of Ni^{2+} ($100 \mu\text{M}$). *B*, In the same neuron, however, the low-threshold T-current evoked under voltage clamp (with leak currents subtracted) was greatly attenuated by bath application of $100 \mu\text{M}$ Ni^{2+} . *C*, The hump potential was evoked in the presence of the NMDA antagonist APV ($50 \mu\text{M}$) (without DNQX, 1 mM $[\text{Ca}^{2+}]_o$ and 4 mM $[\text{Mg}^{2+}]_o$, suggesting that it was not mediated by an NMDA-dependent polysynaptic EPSP. *D*, The 'Hump' potential was evoked synaptically in a voltage-dependent manner in current clamp mode (*left*). In the same neuron, the hump potential was absent in voltage-clamp mode even when the stimulation intensity was increased from 262 to $322 \mu\text{A}$ (*right*), indicating that it was mediated by a voltage-gated current. *E*, The synaptically evoked hump potential was repolarized by a fast hyperpolarizing somatic current pulse ($-1000 \text{ pA}/10 \text{ msec}$). *F*, *G*, The synaptically evoked hump potential and Ca^{2+} spike were blocked by focal Cd^{2+} puff to the proximal apical dendritic stem (100 – $200 \mu\text{m}$ from soma). All responses shown were recorded using QX-314 and Cs^+ -filled electrodes.

sponses, the Na^+ channel blocker QX-314 alone or in combination with the K^+ channel blocker Cs^+ was included in the recording pipette. Under these conditions, if the intensity of layers I–II stimulation was increased gradually or the membrane potential was clamped more positive than -55 mV, a late hump potential (Fig. 2*A,C*, *asterisk*) and Ca^{2+} spike (Fig. 2*A,C*, *gray traces*) were evoked on top of the nonisolated EPSP or the isolated NMDA EPSP. Ca^{2+} spikes evoked synaptically in the presence of QX-314 and Cs^+ ($n = 15$) (Fig. 2*A,C*) or by intracellular current pulses in the presence of TTX and TEA (not

shown; $n = 12$) were followed by a single long duration repolarizing potential that reversed in polarity at approximately -55 mV. The repolarizing potential was often accompanied by a short duration depolarizing afterpotential (Fig. 2*A*, *DAP*). Unlike somatosensory cortical neurons (Reuveni et al., 1993), stepwise repolarization from the Ca^{2+} spike (in Cs^+ and QX-314 or TTX and TEA) was absent in PFC neurons. Because this stepwise repolarization of Ca^{2+} spikes has been attributed to distal Ca^{2+} electrogenesis, this finding suggested that in PFC neurons there was a lack of such distal electrogenesis.

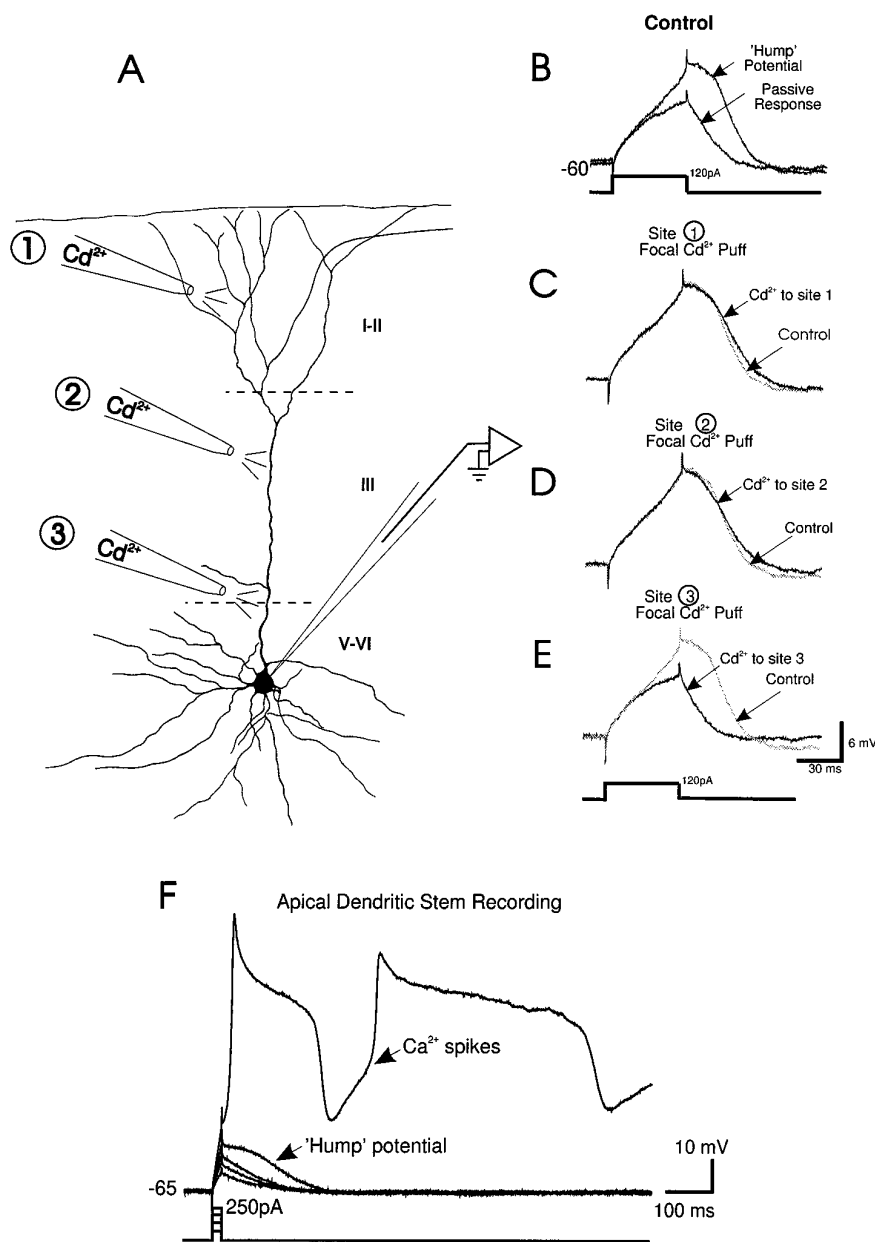


Figure 4. Sites of electrogenesis of the hump potential. *A*, Camera lucida tracing of a typical layer V PFC neuron and three sites where Cd^{2+} was applied focally: the apical tuft (site 1), the distal apical dendritic stem (site 2), and the proximal apical dendritic stem (site 3) during somatic recordings with pipettes filled with QX-314 and Cs^+ . *B*, A passive membrane response was evoked by an intrasomatic depolarizing current pulse (50 msec, 120 pA) at a V_m of -60 mV, whereas the hump potential was evoked by the same current pulse at a V_m of -58 mV. *C*, Focal Cd^{2+} application to the apical tuft (Site 1) or (*D*) distal apical dendritic stem (Site 2) had no effect on the hump potential recorded from the soma. *E*, The hump potential was blocked by focal Cd^{2+} application to the proximal apical dendritic stem (Site 3). *F*, Direct patch-clamp recording from the proximal apical dendritic stem (in TTX and TEA) revealed that a hump potential was evoked by intradendritic current pulses (20 msec, 50 pA) before the initiation of regenerative Ca^{2+} spikes.

Ionic mechanisms underlying the dendritic hump potential

A number of experiments were conducted to examine the mechanisms responsible for the activation of the hump potential. Although cortical neurons possess a TTX- and intracellular QX-314-sensitive slowly inactivating Na^+ current that is activated in the subthreshold voltage range (Connors et al., 1982; Stafstrom et al., 1982, 1985; Hirsch and Gilbert, 1991; Hwa and Avoli, 1992; Yang et al., 1996a), the synaptically evoked hump potential shown in this study was not mediated by this Na^+ current, because the potential was evoked synaptically or by intrasomatic current injection in the presence of QX-314 ($n > 100$) or TTX ($n = 4$).

A low-threshold Ni^{2+} -sensitive T-type Ca^{2+} -current is also activated synaptically in pyramidal neurons (Markram and Sakmann, 1994; Magee et al., 1995b). The hump potential, however, was not mediated by this current because (1) it was evoked at membrane potentials near -50 mV where T-currents are inacti-

vated (Tsien et al., 1988), and (2) it was not blocked by Ni^{2+} ($100 \mu\text{M}$) ($n = 4$ of 4) (Fig. 3A). Voltage-clamp analysis (Fig. 3B) confirmed that this concentration of Ni^{2+} greatly reduced the low-threshold T-current in these neurons.

The hump potential appeared similar to a late polysynaptic EPSP recorded in layer V neocortical neurons (Sutor and Hablitz, 1989); however, the late hump potential could be evoked synaptically in high (10 mM) $[\text{Ca}^{2+}]_o$ or APV and low (1 mM) $[\text{Ca}^{2+}]_o$ + high (4 mM) $[\text{Mg}^{2+}]_o$ ($n = 6$) (Fig. 3C). Because APV blocked NMDA receptors and these alterations in external $[\text{Ca}^{2+}]$ and $[\text{Mg}^{2+}]$ strongly attenuated polysynaptic responses (Berry and Pentreath, 1976), it is not possible that the late hump potential was mediated by a polysynaptic NMDA-dependent EPSP.

Additional evidence that the hump potential was not mediated by a polysynaptic EPSP came from comparisons of current-clamp and voltage-clamp responses during synaptic stimulation of layers I–II. In current-clamp mode, the hump potential was evoked synaptically in a voltage-dependent manner, e.g., at -50 to

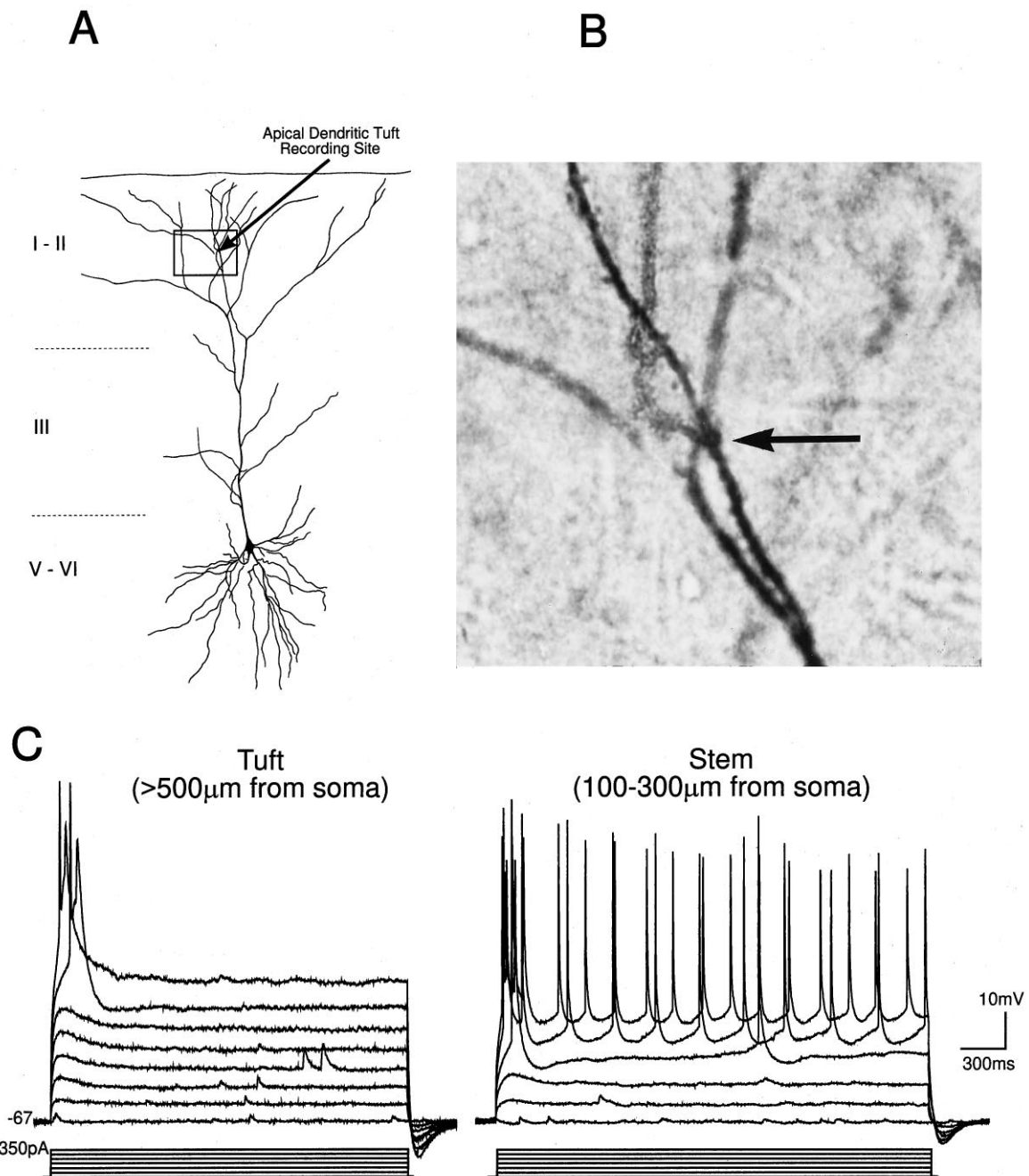


Figure 5. Typical recording sites and electrophysiological properties of the apical tuft of layer V PFC neurons. *A*, Camera lucida tracing of a biocytin-stained layer V PFC neuron in which a patch-clamp recording was made from the apical tuft. *B*, A photomicrograph of the region shown in the box in *A*. The recording site within the tuft is indicated by the arrow. *C*, *Left*, A distal apical tuft dendrite recorded beyond the main bifurcation (>500 μm from the soma) responded to intradendritic current pulses with fast spikes; *right*, a recording from the main stem of the apical dendrite (~200 μm from soma) showing the response to intradendritic depolarizing current pulses for comparison.

–55 mV (Fig. 3*D*); however, in the same neuron when the soma was voltage-clamped just below the activation threshold for the hump potential, only an EPSC was evoked synaptically (Fig. 3*D*). Because the voltage clamp should not have prevented the activation of a polysynaptic EPSC, the present result suggested that the hump potential was mediated by a voltage-activated current and not a polysynaptic EPSP.

As a result of the considerable space-clamp limitations associated with voltage-clamping large pyramidal neurons

(Spruston et al., 1993), the data shown in Figure 3*D* also suggested that the hump potential was generated electrotonically close to the soma in a region adequately voltage-clamped by the somatic electrode. In accordance with this hypothesis, the hump potential was repolarized by a fast hyperpolarizing (–1 to –3 nA/10–20 msec) somatic current pulse (Fig. 3*E*) ($n = 5$ of 5). Such fast intrasomatic hyperpolarizing pulses do not propagate far from the soma because they are strongly filtered by the passive cable properties of the dendrites (i.e.,

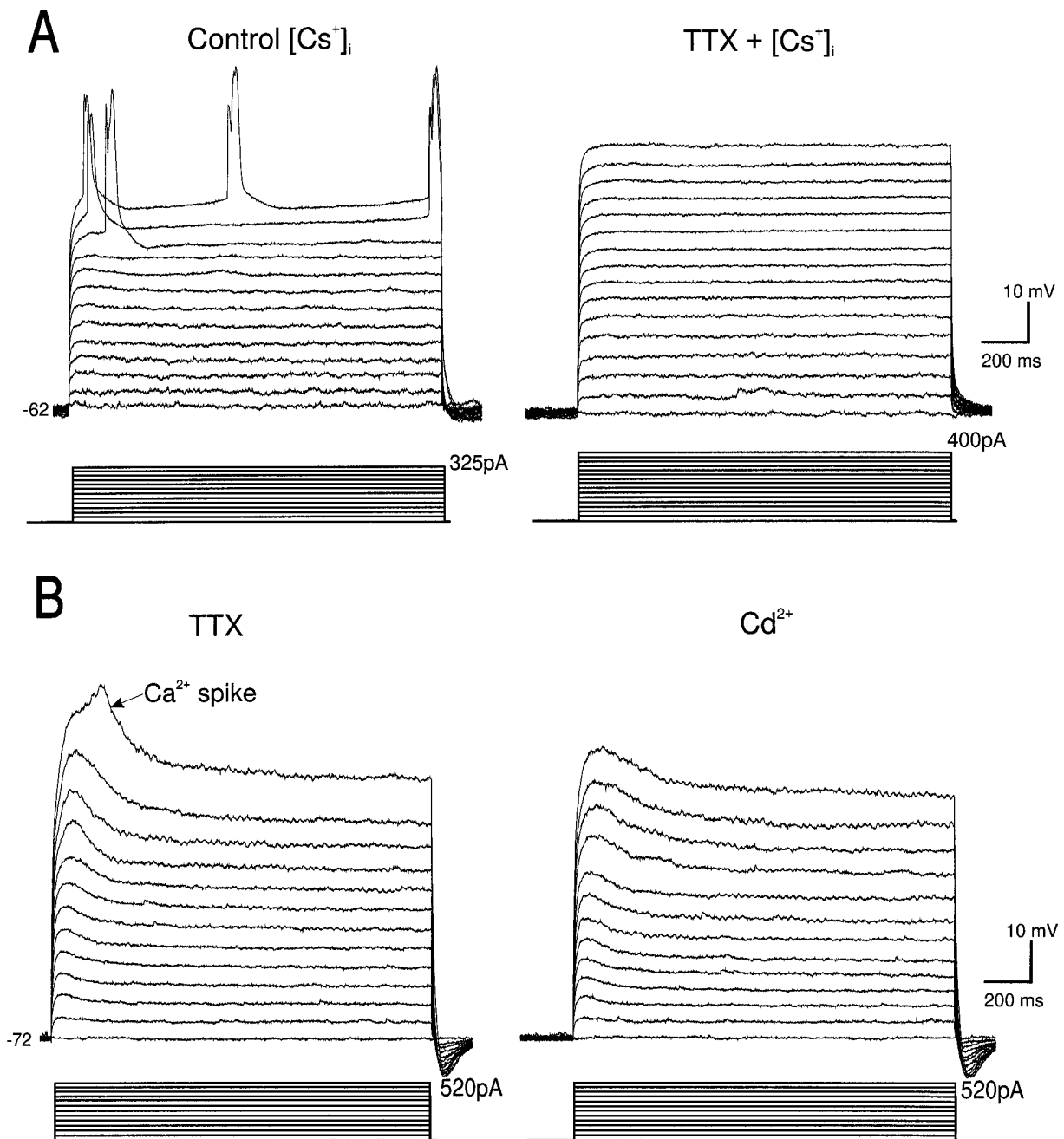


Figure 6. Electrophysiological responses of apical tuft dendrites after blockade of Na^+ and Ca^{2+} channels. *A*, In a dendrite recorded using a Cs^+ -filled electrode, spikes evoked by injecting depolarizing intradendritic current pulses (*right*) were blocked by bath application of TTX (500 nM) (*left*). *B*, In a different tuft dendrite it was possible to evoke Ca^{2+} spikes by injecting large depolarizing intradendritic current pulses in TTX (500 nM) (*left*). Ca^{2+} spikes were blocked by bath application of Cd^{2+} (200 μM) (*right*).

estimated to be $\sim 50\%$ attenuation at 333 μm from the soma) (Jack et al., 1975; Johnston and Brown, 1983; Spruston et al., 1993, 1994; Spruston and Stuart, 1996). Thus the hump potential was generated by a voltage-activated current located electrotonically close to the soma. Accordingly, the synaptically evoked hump potential (Fig. 3*F*) was blocked by focal pressure ejection of Cd^{2+} to the proximal apical dendritic stem (100–200 μm from the soma) ($n = 11$ of 12). Likewise, the synaptically evoked Ca^{2+} spike was also blocked by focal Cd^{2+} application to this region (Fig. 3*G*) ($n = 6$).

The hump potential was also evoked by intrasomatic depolarizing current pulses (>50 msec) in the absence of synaptic stimulation using electrodes containing QX-314 and Cs^+ or in the presence of external TTX and TEA (total $n = 15$). The hump potential generated by intrasomatic current pulses (Fig. 4*B*) was blocked by Cd^{2+} application to the proximal apical dendritic stem and soma (Fig. 4*A,E*, Site 3) but unaffected by focal Cd^{2+} application to the apical tuft (>500 μm from the soma) (Fig. 4*A,C*, Site 1), or the distal apical dendritic stem (300–400 μm from the soma) (Fig. 4*A,D*, Site 2). This suggested that the hump

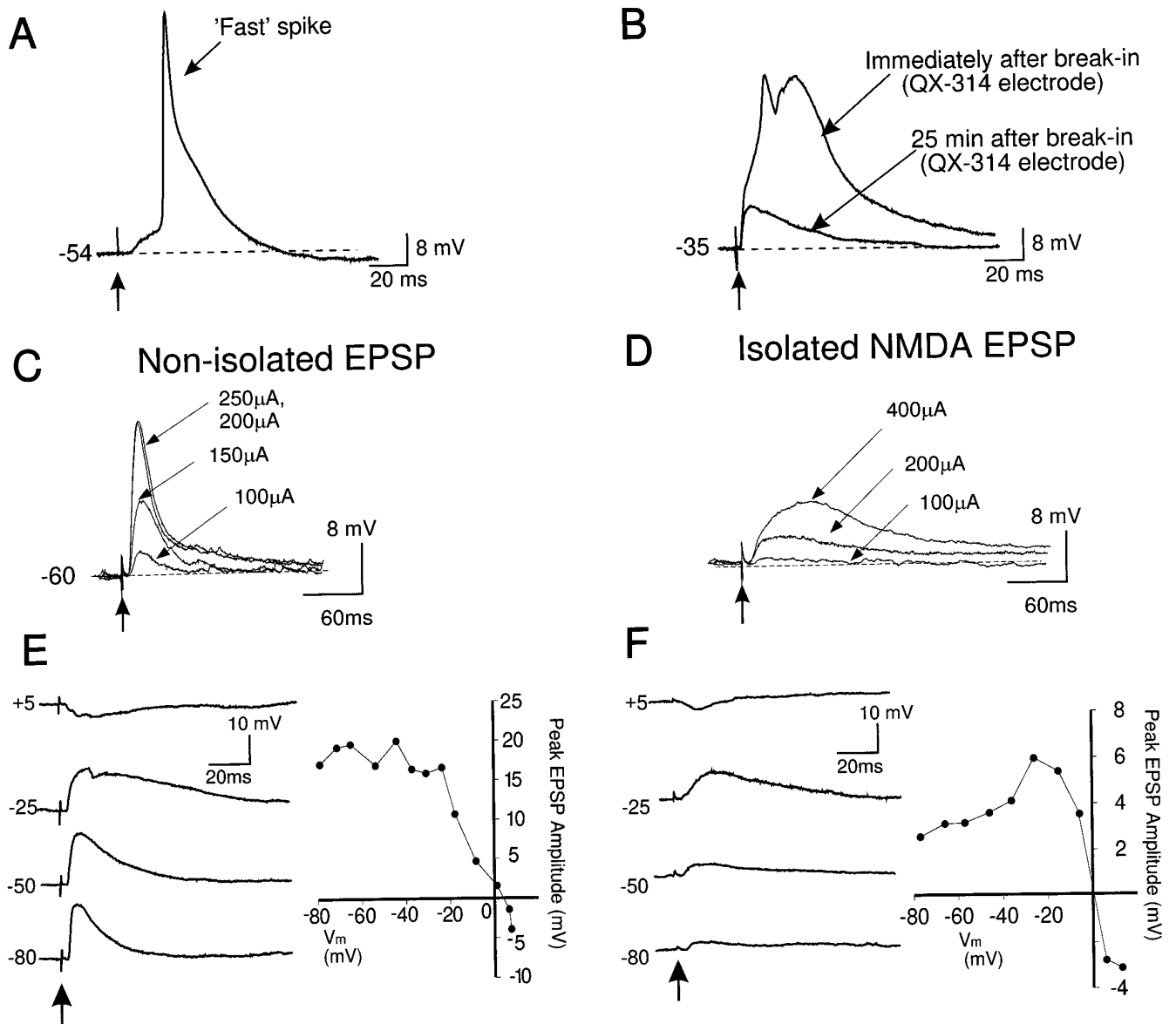


Figure 7. Synaptic responses of apical tuft dendrites. *A*, Synaptic stimulation of layers I–II often evoked an EPSP with an overriding fast spike. *B*, A dendrite recorded using a QX-314-filled electrode. Fast and slow spikes were evoked by synaptic stimulation of layers I–II immediately after break-in of the dendritic patch. These spikes disappeared during the recording period as QX-314 blocked Na^+ channels, leaving an EPSP. *C*, After QX-314 sufficiently blocked Na^+ channels, synaptic stimulation of layers I–II evoked a subthreshold EPSP that increased in amplitude uniformly with increases in stimulation intensity. No Ca^{2+} spikes were evoked synaptically. *D*, *Left*, Synaptic stimulation of the same dendrite as shown in *C* after application of bicuculline and DNQX. Current intensities were increased from 100 to 200 μA and then 400 μA , but Ca^{2+} spikes were not evoked. *E*, *Left*, In a different dendrite recorded in the presence of 0.5 μM bicuculline, EPSPs decreased in amplitude as the dendritic membrane was current-clamped from -80 mV and reversed at approximately $+5$ mV. Note that EPSP duration was enhanced with membrane depolarization from -80 to -25 mV because of activation of NMDA receptors. *Right*, A graph showing the changes in the amplitude of the layers I–II EPSP with changes in dendritic membrane voltage. *F*, *Left*, In the presence of bicuculline and DNQX, the NMDA EPSP increased in amplitude as the steady-state dendritic membrane potential was depolarized from -80 to -30 mV. With further membrane depolarization, the NMDA EPSP decreased in amplitude and reversed in polarity at potentials more positive than 0 mV. Again, no Ca^{2+} spikes were observed. *Right*, A graph showing the changes in the amplitude of the layers I–II NMDA EPSP, with changes in dendritic membrane voltage.

potential was not a Ca^{2+} spike generated distally and attenuated en route to the soma.

Additional evidence that the hump potential was not Ca^{2+} spike-generated in the apical dendritic stem and degraded en route to the soma came from direct patch-clamp recordings from the apical dendritic stem (100–300 μm from soma; $n = 3$). These recordings revealed that a hump potential was evoked before prominent and distinctive regenerative Ca^{2+} spikes (Fig. 4*F*).

Finally, the hump potential was not mediated indirectly by a $[\text{Ca}^{2+}]_i$ -activated current, because it was recorded with electrodes filled with the intracellular Ca^{2+} chelator BAPTA (100 μM) ($n = 5$ of 5; not shown). Collectively, the present results suggest that the hump potential was generated by dendritic slowly activating Ca^{2+} channels in the proximal dendrites. It is likely that the proximally generated hump potential and/or Ca^{2+} spikes contributed to the Ca^{2+} -sensitive Ca^{2+} -mediated amplification

of distally generated synaptic signals in PFC neurons, as shown in Figure 1.

Patch-clamp recordings from the apical dendritic stem and tuft of deep layer PFC cells

The results described above suggested that dendritic Ca^{2+} channels located in the proximal dendrites and not within the apical tuft were activated by layers I–II stimulation and shaped distal EPSPs. To determine directly whether dendritic Ca^{2+} channels at the site of synaptic input modulated the EPSP evoked by layers I–II stimulation, recordings were made from the dendrites of the apical tuft. Cells were stained for biocytin to confirm that recordings were made from the apical tuft dendrites of pyramidal cells whose soma could be traced to layers III–VI (Fig. 5*A,B*). The yield for such experiments was low because the mean diameter of the tuft branches was small, and patch recordings were made using the “blind” approach. Nevertheless, recordings were made from 17 apical tuft dendrites (above the main bifurcation). Eleven recordings were made from the apical tuft of histologically confirmed layer V neurons, whereas two recordings were made from histologically confirmed layer III neurons (no obvious differences were observed between these two groups of cells in the electrophysiological properties tested). Four additional presumed apical tuft dendrites were not stained but had electrophysiological properties similar to the apical tuft recordings from layers III–V cells. Four more stained dendrites were recorded from the main stem of the apical dendrite below the main bifurcation.

Electrophysiological responses to intracellular current pulse injection

Tuft dendrites had a mean resting V_m of -64 ± 2.89 mV and a mean R_{in} of 212 ± 29 M Ω ($n = 9$). The time course of the membrane voltage response to a hyperpolarizing current pulse (-10 pA) could be fitted by two exponentials with mean time constants of 1.65 ± 0.2 and 17.35 ± 3.83 msec. Tuft dendrites possessed voltage-dependent rectification and were capable of firing a mixture of fast and slow spikes with variable durations. Like recordings obtained from the main stem of the apical dendrite (Kim and Connors, 1993; Spruston et al., 1995b), intradendritic hyperpolarizing pulses injected into the dendritic tufts evoked a characteristic hyperpolarizing “sag” typically mediated by the time-dependent hyperpolarization-activated mixed cationic conductance I_h ($n = 7$ of 8; not shown). Also like the main stem of the apical dendrite (Fig. 5*D*), intradendritic depolarizing current pulses evoked spikes within the tufts ($n = 11$ of 14) (Fig. 5*C*). The spike threshold within the apical tufts was relatively high (-17.8 ± 5 mV; $n = 11$); however, spikes initiated in the main stem of the apical dendrite also have thresholds near 0 mV (Stuart and Sakmann, 1994). Such high spike thresholds may be caused by a low local Na^+ channel density (Mainen et al., 1995).

Spikes recorded from the tuft usually consisted of fast and slow components that slowly disappeared during recordings with QX-314-filled pipettes or were blocked by bath application of TTX (Fig. 6*A*), indicating that they were initiated by a Na^+ current. Unlike in the apical dendritic stem, however, where Ca^{2+} spikes in isolation from Na^+ spikes could be evoked readily (Fig. 4*F*) (Wong et al., 1979; Kim and Connors, 1993; Stuart and Sakmann, 1994), Ca^{2+} spikes evoked in the absence of Na^+ spikes were rare in the apical tuft dendrites. No Ca^{2+} -mediated potentials

were evoked by intradendritic current injection in 9 of 13 tuft dendrites when internal QX-314 or external TTX were used in either the presence or absence of internal Cs^+ (Fig. 6*A*). In the remaining four tuft dendrites, a broad low-amplitude spike could be evoked at a mean threshold of $+10 \pm 5.8$ mV (Fig. 5*B*). This spike was blocked by bath application of $200 \mu\text{M}$ Cd^{2+} (Fig. 5*B*), indicating that it was Ca^{2+} -mediated.

Electrophysiological responses to layers I–II synaptic stimulation

Fast, presumably Na^+ -mediated spikes (mean half-width = 5.3 ± 0.6 msec) were evoked synaptically in 50% of tuft dendrites tested (Fig. 7*A*). In 2 of 16 dendrites, synaptic stimulation of layers I–II evoked an initial fast spike that was followed by an additional long duration spike (mean half-width = 50.5 ± 17.8 msec) (Fig. 7*B*). In one of these two tuft dendrites, recorded close ($\sim 50 \mu\text{m}$) to the main bifurcation, long duration regenerative spikes were evoked (not shown) and appeared similar to dendritic putative Ca^{2+} spikes reported previously (Amitai et al., 1993). In the other tuft dendrite, synaptically activated mixed spikes were present immediately after break-in using a QX-314 electrode (Fig. 7*B*); however, spikes disappeared later during the recording session when QX-314 produced a sufficient block of the Na^+ channels (Fig. 7*B*). These observations suggested that in these two apical tuft dendrites, Ca^{2+} spikes may have been triggered by QX-314-sensitive Na^+ spikes.

In the presence of QX-314 (>25 min after break-in), it was not possible to evoke spikes synaptically within the tuft under control conditions ($n = 7$) (Fig. 7*C,D*) or in the presence of DNQX and bicuculline (Fig. 7*E,F*) ($n = 3$), even when delivering up to $400 \mu\text{A}$ of stimulation current or during strong membrane depolarization. In contrast, during intrasomatic recordings in the presence of DNQX and bicuculline, a hump potential or Ca^{2+} spike could be evoked synaptically using $\sim 150 \mu\text{A}$ of stimulation current at rest or using $\sim 100 \mu\text{A}$ of stimulation during membrane depolarization (Fig. 2). Thus, unlike the soma or apical dendritic stem, it was not possible to trigger Ca^{2+} potentials synaptically in the absence of Na^+ spikes in the apical tuft.

The amplitude of NMDA EPSPs recorded from the apical tufts was influenced by dendritic membrane voltage and reversed in polarity at 0 mV (Fig. 7*D,E*). This finding indicated that (1) the NMDA-mediated response had properties consistent with NMDA responses recorded from the soma (Nowak et al., 1984; Hestrin et al., 1990) and main stem of the apical dendrite (Spruston et al., 1995a), and (2) the high access resistance ($60\text{--}80$ M Ω) of the dendritic patch pipette did not seriously alter the recorded membrane voltage.

DISCUSSION

The present results suggest that dendritic voltage-gated Ca^{2+} channels located proximal to the soma generated Cd^{2+} -sensitive potentials that amplified distal EPSPs, whereas Ca^{2+} channels in the apical tuft contributed little to the shaping of distal EPSPs in layers V–VI PFC neurons.

Contribution of NMDA receptors to layers I–II EPSPs

There has been considerable controversy regarding the spatial locations of NMDA receptors that mediate distally generated EPSPs. Although NMDA R1 subunit immunoreactivity is found in virtually all lamina of the cortex (and PFC), including the superficial layers (Bröckers et al., 1994; Huntley et al., 1994; Rudolf et al., 1996), the electrical response of deep layer cortical

neurons to iontophoresis of NMDA has been reported to decrease with distance from the soma (Currie et al., 1994; Dodt et al., 1995). During tuft recordings, the initial synaptic response to layers I–II stimulation was greatly reduced by DNQX, but an NMDA EPSP could be evoked if the stimulation intensity was increased. This is consistent with the notion that the peak synaptic current is largely dependent on activation of dendritic non-NMDA receptors (Spruston et al., 1995a). Furthermore, in the absence of non-NMDA receptors, synaptic inputs will produce less membrane depolarization and therefore less relief of the voltage-dependent Mg^{2+} block of NMDA receptors. Thus, although NMDA EPSPs could be recorded within the tuft after layers I–II stimulation, NMDA receptor activation is likely dependent on AMPA receptor stimulation normally.

Electrogenesis of dendritic Ca^{2+} -mediated potentials

The late hump potential that rode atop layers I–II nonisolated or isolated NMDA EPSPs was clearly different from a late polysynaptic NMDA-mediated EPSP described previously (Sutor and Hablitz, 1989), because (1) the hump potential was evoked abruptly during membrane depolarization; (2) the hump potential was evoked in media containing APV and low $[\text{Ca}^{2+}]_o$, high $[\text{Mg}^{2+}]_o$ or high $[\text{Ca}^{2+}]_o$, which block NMDA receptors and polysynaptic EPSPs, respectively (Berry and Pentreath, 1976); (3) the hump potential was repolarized by a fast hyperpolarizing pulse; (4) the hump potential was evoked by intrasomatic current pulses; and (5) a monosynaptic EPSC but no hump current was evoked synaptically when the soma was voltage-clamped below the activation threshold of the hump potential. The present results also suggested that the hump potential was not mediated by a QX-314-sensitive slowly inactivating Na^+ current or a Ni^{2+} -sensitive low-threshold Ca^{2+} current (Figs. 2, 3A). Rather, the hump potential may have been mediated by a slowly activating Ca^{2+} current located along the proximal apical dendritic stem, similar to that recorded intradendritically in hippocampal pyramidal and Purkinje neurons (Llinas and Sugimori, 1980; Benardo et al., 1982; Masukawa and Prince, 1984). However, although Cd^{2+} application specifically to the proximal apical dendrite blocked the hump potential and Ca^{2+} spikes, the spread of Cd^{2+} could also have affected Ca^{2+} channels in the basal dendrites and soma, which may also contribute to Ca^{2+} potential electrogenesis. It is likely that L-type Ca^{2+} channels contributed to the hump potential, because in other types of neurons, L-type Ca^{2+} channels are clustered along the proximal apical dendrite (Westenbroek et al., 1992; Magee and Johnston, 1995a,b) and mediate subthreshold membrane depolarization (Hernández-López et al., 1997).

During somatic or apical dendritic stem recordings, when a hump potential was evoked, slight membrane depolarization or further increases in stimulation intensity could trigger a Ca^{2+} spike. The relative contributions of the hump potential and Ca^{2+} spikes to the amplification of distal EPSPs is presently unclear; however, given the high input resistance, low capacitance, and relatively high density of Ca^{2+} channels along the proximal apical dendrite of pyramidal neurons (Westenbroek et al., 1992; Magee and Johnston, 1995a,b), it is possible that an orthodromically traveling EPSP could trigger both the hump potential and Ca^{2+} spikes en route to the soma. These Ca^{2+} potentials could then amplify EPSPs to ensure that spike firing is reached near the soma.

A key feature of Ca^{2+} spikes in layers V–VI PFC neurons evoked synaptically (in QX-314 and Cs^+) or by intrasomatic

depolarizing current pulses (in TTX and TEA) was that such spikes were followed by a single repolarizing potential and/or depolarizing after potential but no stepwise repolarization. Stepwise repolarization in layer V somatosensory cortical neurons has been attributed to Ca^{2+} electrogenesis in electrotonically distant sites of the neuron (Reuveni et al., 1993; Yuste et al., 1994), which suggests that PFC neurons lacked an electrotonically distal site of Ca^{2+} electrogenesis. Accordingly, Cd^{2+} application to the distal apical tufts had no effect on glutamate-evoked layers I–II suprathreshold responses or the Ca^{2+} -mediated hump potential evoked by intrasomatic current pulses in layers V–VI PFC neurons. Furthermore, during direct apical tuft recordings, injection of depolarizing current pulses or synaptic stimulation was usually ineffective in evoking Ca^{2+} spikes locally. The low probability of evoking Ca^{2+} spikes and their high activation threshold may be attributable to a low density of Ca^{2+} channels for a given length of dendrite in PFC neurons. As a result, high-threshold Ca^{2+} channels within the apical tuft of PFC neurons may be activated only by very strong and fast membrane depolarization caused by back-propagating or intradendritic Na^+ -mediated action potentials.

In both somatosensory (Amitai et al., 1993; Kim and Connors, 1993; Stuart and Sakmann, 1994) and PFC neurons (Figs. 4F, 5D) (Yang et al., 1996b), large and regenerative mixed $\text{Na}^+/\text{Ca}^{2+}$ spikes are evoked in the apical dendritic stem. Unlike PFC neurons, Ca^{2+} spikes can also be evoked directly from the apical tuft of layer V somatosensory neurons (Schiller et al., 1996). In somatosensory neurons *in vivo*, however, Ca^{2+} influx attributable to back-propagating action potentials or to whisker stimulation is large in the proximal dendrites but decreases dramatically with distance from the soma (Svoboda et al., 1997). Thus, although the contribution of Ca^{2+} channels within the apical tuft may be different in somatosensory and PFC neurons, it appears that in both types of cortical neurons the proximal dendrites are a prominent site for Ca^{2+} electrogenesis.

Signal integration by cortical pyramidal neurons: role of dendritic Ca^{2+} potentials

The results from the present study suggest that in layers V–VI PFC neurons, dendritic Ca^{2+} channels contribute to the amplification of layers I–II suprathreshold or large subthreshold responses but not small subthreshold EPSPs evoked well below action potential threshold (Fig. 1). Likewise, previous studies have also suggested that Ca^{2+} channels in the apical dendritic stem of layer V somatosensory neurons make only a minor contribution to the functional amplification of subthreshold EPSPs (Stuart and Sakmann, 1995). This differential contribution of high-threshold Ca^{2+} channels to subthreshold and suprathreshold EPSPs may be attributable to the relatively high activation threshold of such dendritic channels, which is not reached by small subthreshold EPSPs (Magee and Johnston, 1995a,b).

There is a functional advantage to having Ca^{2+} potentials evoked synaptically within the apical dendritic stem but not the apical tuft of PFC neurons. Specific information encoded by individual EPSPs arriving at different intervals within the apical tuft would not be completely obscured by the large prolonged change in membrane voltage caused by a Ca^{2+} spike. In the more proximal apical dendritic stem, synaptic activation of Ca^{2+} channels might overcome certain problems associated with effective synaptic signal processing in layers V–VI pyramidal neurons. The axial resistance of the main stem of the apical dendritic stem strongly limits the axial current that enters the soma (Bernander

et al., 1994). In contrast, there is minimal loss of synaptic current to membrane capacitance along the dendrite because the apical dendritic stem is very thin; however, a considerable portion of synaptic current is lost at the soma because of charging the large somatic membrane (Rall and Rinzel, 1973). Hence, synaptic activation of voltage-gated Ca²⁺ channels in the proximal apical dendritic stem would dramatically enhance the synaptic current before entering the signal-attenuating capacitive sink at the soma. In this way Ca²⁺ channels located in the proximal apical dendritic stem may serve as effective current amplifiers for distally generated EPSCs.

If Ca²⁺ channels proximal to the soma were to make a contribution to voltage amplification of distal EPSPs, they would have to be activated before an axosomatic action potential. This is because if action potentials were triggered, the soma would be so strongly depolarized that any subsequent depolarization by a Ca²⁺ spike would be minimal. The hump potential was observed before action potential initiation, and Cd²⁺ application to the proximal apical dendritic stem and soma inhibited EPSPs from reaching action potential threshold (Fig. 1). These data suggested that the Ca²⁺ channels that boosted distal EPSPs were indeed activated before axosomatic action potentials. Thus, it appears that Ca²⁺ channels proximal to the soma can act as voltage amplifiers of synaptic signals. It should be emphasized, however, that such a hypothesis does not preclude a role for Ca²⁺ channels in the distal apical dendritic stem from also acting as amplifiers of distally generated synaptic inputs (Yuste et al., 1994). Ca²⁺ channels in the proximal and distal apical dendritic stem, in addition to Na⁺ channels in the axosomatic region or dendrites (Stuart and Sakmann, 1995; Lipowsky et al., 1996), may act in concert to effectively boost distally generated EPSPs.

The proximal apical dendritic region of layer V neurons, which corresponds roughly to the border between layers III and V, is a likely site for neuromodulation of signal transmission and integration. These cortical layers in the primate and rodent PFC receive dense monoaminergic innervation, including the well characterized mesocortical dopamine (DA) input (Berger et al., 1991). We have shown recently that DA, via D1 receptors, attenuates dendritic Ca²⁺ potentials evoked synaptically or by local intradendritic depolarizing pulses (Yang and Seamans, 1996; Yang et al., 1996b). If these Ca²⁺ potentials serve as current or voltage amplifiers of distally generated synaptic signals, then DA may reduce the gain of such amplifiers, functionally uncoupling the distal input zone from the soma of layer V PFC neurons (Yang et al., 1996a,b). Thus, the neuromodulation of dendritic function may represent a crucial event in signal processing by pyramidal neurons, and the voltage-gated Ca²⁺ channels in the apical dendrites of PFC cells have proven to be some of the most important targets.

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