Organ of Corti Potentials and the Motion of the Basilar Membrane

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During sound stimulation, receptor potentials are generated within the sensory hair cells of the cochlea. Prevailing theory states that outer hair cells use the potential-sensitive motor protein prestin to convert receptor potentials into fast alterations of cellular length or stiffness that boost hearing sensitivity almost 1000-fold. However, receptor potentials are attenuated by the filter formed by the capacitance and resistance of the membrane of the cell. This attenuation would limit cellular motility at high stimulus frequencies, rendering the above scheme ineffective. Therefore, Dallos and Evans (1995a) proposed that extracellular potential changes within the organ of Corti could drive cellular motor proteins. These extracellular potentials are not filtered by the membrane. To test this theory, both electric potentials inside the organ of Corti and basilar membrane vibration were measured in response to acoustic stimulation. Vibrations were measured at sites very close to those interrogated by the recording electrode using laser interferometry. Close comparison of the measured electrical and mechanical tuning curves and time waveforms and their phase relationships revealed that these extracellular potentials indeed could drive outer hair cell motors. However, to achieve the sharp frequency tuning that characterizes the basilar membrane, additional mechanical processing must occur inside the organ of Corti.

Key words: cochlea; basilar membrane; laser interferometry; guinea pig; electromotility; outer hair cells

Introduction

During sound-evoked vibration of the hearing organ, the gating of mechanically sensitive ion channels on the sensory cells produces rapidly alternating electric potentials. One type of sensory cell, the outer hair cell (Fig. 1A), responds to changes in membrane potential with alterations of cellular length and stiffness (Brownell et al., 1985; He and Dallos, 1999). Length and stiffness changes depend on a specialized motor protein, prestin (Zheng et al., 2000). Electrically evoked hair cell motility is fast, with an upper frequency limit of at least 80 kHz (Frank et al., 1999; Grosh et al., 2004). Most theories of hearing organ function assume that force generated by prestin amplifies the motion of the organ, yielding a large increase of auditory sensitivity (Brownell et al., 1985; Ashmore, 1987; Ruggero and Rich, 1991; Evans and Dallos, 1993; Nuttall and Ren, 1995; Liberman et al., 2002; Fridberger and Boutet de Monvel, 2003).

A fundamental problem inherent to this theory is that the resistance and capacitance of the cell membrane of the outer hair cell creates a low-pass filter that attenuates rapid voltage changes. Therefore, receptor potentials are too small to induce physiologically relevant motion at stimulus frequencies exceeding a few kilohertz (Fig. 1B, C) (Santos-Sacchi, 1992; Preyer et al., 1996). However, during sound stimulation, fast potential changes occur in the fluid space surrounding the outer hair cells (Geisler et al., 1990; Kössl and Russell, 1992). These voltage changes are generated by the transducer channels, but the exact current path is not fully understood. Their bandwidth much exceeds that of the hair cell receptor potentials. Therefore, Dallos and Evans (1995a,b) proposed that such voltage changes could drive motor proteins, thus alleviating the high-frequency problem.

Their argument hinges on a simple fact: if the fluid surrounding a cell becomes more positive, the cell is in effect hyperpolarized with respect to that same fluid. Consequently, prestin could be driven by the membrane potential changes that may arise as a result of the sound-evoked extracellular potentials mentioned above. Dallos and Evans derived an equivalent circuit for this type of hair cell stimulation, suggesting that little attenuation should occur even at very high stimulus frequencies (Fig. 1D). Some support for the theory came from measurements on isolated hair cells, the motility of which showed a frequency dependence compatible with the proposed equivalent circuit. Experimental evidence from more intact preparations is lacking.

Recently, we measured electric potentials within the organ of Corti and basilar membrane (BM) motion in the same animal (Fridberger et al., 2002b). Such measurements may also be used to shed light on the high-frequency problem by enabling comparison of the input to the system presumed by Dallos and Evans (electric potential) with the output, BM motion.
Materials and Methods

Young guinea pigs were anesthetized with ketamine (40 mg/kg) and xylazine (10 mg/kg) and prepared for recording of BM velocities, as described previously (Nuttall et al., 1991). All animals were tracheotomized, but artificial ventilation was not used. Animal temperature was continuously monitored with a rectal probe and maintained at 38°C using a heating blanket. After exposing the auditory bulla, the compound action potential of the auditory nerve was recorded using a silver ball electrode positioned in the round window niche. Stimuli were gated tone bursts with a 1 msec rise/fall time, repeated up to 50 times to allow averaging.

Recording of BM velocity. After opening the basal turn, gold-coated glass beads were placed on the BM to provide a high-reflectance target for the laser velocimeter (OFV1102; Polytec Inc., Waldbronn, Germany). Tip diameters were slightly <1 μm. Because we had no desire to perform intracellular recordings, these relatively large electrodes were used to minimize filtering of electric potentials by the electrode. As electrodes advanced through the scala tympani fluid, voltage changes induced by continuous sound stimulation at 17 kHz were monitored. The amplitude of these potentials increased on approaching the hearing organ. BM penetration was evident through a transient negative voltage followed by an increase of the measured potential evoked by the 17 kHz tone. Potentials were recorded using a BMA-200 amplifier (CWA Inc., Ardmore, PA) connected to the SR830 lock-in amplifier.

As a result of its resistance and capacitance, the electrode filtered the electric potentials. Each electrode was therefore calibrated while still in position within the organ of Corti, using the circuit described by Baden-Kristensen and Weiss (1983). Figure 2 shows a representative calibration of the recording system (including the amplifier), with settings identical to those used during recording of the organ of Corti potential. Least-squares fits of the calibration data showed that the system behaved as a single-pole low-pass filter, with 3 dB cutoff frequencies ranging from 1.6 to 8 kHz (capacitance compensation was not used). Although the amplitude response was that of a first-order filter, the phase deviated from this behavior. The main reason for this discrepancy was that the amplifier introduced additional frequency-dependent phase lag. Filtering properties systematically depended on the recording location. Higher cutoff frequencies were found with the electrode positioned close to the surface of the scala tympani fluid. Cutoff frequencies were lower when positioned inside the organ of Corti. Such effects of fluid depth are well known in electrophysiological recordings, and they necessitate in situ electrode calibration.

After electrode calibration, BM velocities were again measured, using identical stimulus parameters. Electrode penetration frequently induced some loss of auditory sensitivity. Subsequent graphs therefore use BM
data acquired after withdrawal of the recording electrode, except where otherwise noted.

Signal processing. Data analysis was performed using Matlab (release 14; The MathWorks Inc., Natick, MA). Electrical data were corrected for the frequency response of the electrode and recording system. Time domain data were used to verify the phase relationships found with the lock-in amplifier, because these data allowed the absolute time relationships between electrical potentials and BM motion to be seen, whereas the lock-in amplifier provided a phase value that was always between 180° and −180°. Q10db values were calculated by dividing the stimulus frequency giving the maximal response with the bandwidth 10 dB below the peak.

Potentials in the organ of Corti fluid spaces are dominated by contributions from outer hair cells, which most likely respond to displacement of the BM (von Békésy, 1951). Therefore, tuning curve amplitudes were corrected for the response properties of the recording system. Recordings were from a relatively sensitive animal (total sensitivity loss of 10 dB after withdrawal of recording electrode).

Results

Tuning curve shape and amplitude

When the electrode tip was positioned at the surface of the fluid in scala tympani, tuning was essentially absent, and amplitudes of the electric potentials were low. Most likely, this occurred because neighboring hair cells may vibrate with very different phase, their microphonic potentials canceling each other. Closer to the BM, the measured potentials should become dominated by a smaller number of generators with similar tuning and phase, resulting in increased amplitude. Curves acquired close to the BM indeed resembled mechanical tuning curves, although the electric potentials were less sharply tuned. The same was true for potentials inside the organ of Corti. Figure 3 shows BM displacement (dots; left y-scale) as a function of stimulus frequency for two different stimulus levels. Amplitudes were corrected for the response properties of the recording system. Recordings were from a relatively sensitive animal (total sensitivity loss of 10 dB after withdrawal of recording electrode).

![Figure 3. BM displacement (left y-scale) and organ of Corti electric potentials (OoC; right y-scale) as a function of stimulus frequency for two different stimulus levels. Amplitudes were corrected for the response properties of the recording system. Recordings were from a relatively sensitive animal (total sensitivity loss of 10 dB after withdrawal of recording electrode).](Image)

Phase relationships

If extracellular potentials drive outer hair cell motility, as suggested by Dallos and Evans, it is of interest to examine the temporal relationship between potentials and the motion of the BM (i.e., their relative phase). Figure 4 shows time-domain records acquired with a 100 dB SPL stimulus at 2 kHz. In this and all subsequent figures, positive BM data mean motion directed at scala tympani. Inspection of this graph reveals that electric potentials inside Corti’s organ precede BM velocity. Fourier trans-
formation gave a phase lead of 59° after correction for the phase response of the electrode and the velocimeter.

If such an obvious phase lead were present also at the start of the stimulus, it would mean that electric potentials were produced before BM motion. Figure 5 shows that this is not the case. Using a 3 kHz stimulus at 100 dB SPL, it can be seen that the BM began moving before detectable potentials were generated. Phase modulation occurred thereafter, resulting in a phase lead for the electric potential of 58°.

Figure 6 plots the steady-state phase difference for four different stimulus levels at frequencies between 7 and 22 kHz. In this and subsequent figures, computations are based on BM displacement, which lags velocity by 90°; positive phase values mean that electric potentials lead the BM displacement. Note that data in the low-frequency region show little variability (average phase difference for all stimulus levels was 130°; SD, 5°). Well below the best frequency, level dependent phase shifts are absent (Sellick et al., 1982). Because the electrical data underlying this graph were acquired over a 25 min period, this implies that the electrical properties of the animal-electrode interface remained constant through this time period. Phase difference curves began diverging at ∼15 kHz; the peak response amplitude was found at 17.4 kHz. At frequencies higher than this, data acquired at 20 and 40 dB SPL had a larger phase lead than those at 60 and 80 dB SPL. The 20 dB SPL curve reached a maximum phase lead in excess of a full cycle, whereas the 80 dB SPL curve lagged the low-frequency responses.

Data were also acquired from animals with substantially larger loss of auditory sensitivity. The basic pattern of phase difference also persisted in these preparations. A nearly constant phase difference was found well below the best frequency; closer to the peak of the tuning curve, the phase difference increased. Level-dependent phase changes of the kind shown in Figure 6 were only found in the best preparations. In the seven preparations that contributed substantial tuning curve data, the average phase difference in the 10 –12 kHz region was 141° (SD, 27°; much of this variation came from poor preparations, which had worse signal-to-noise ratios).

It is possible that these phase relationships were influenced by the presence of the electrode that penetrated the BM. Therefore, electrical tuning curves were recorded at locations very close to the BM, before actual penetration (three preparations, two of which had <10 dB sensitivity loss at the time of the recording). In these recordings, the cochlea is in a close to undisturbed state. The inset in Figure 7 shows one such electrical tuning curve plotted together with its mechanical counterpart (both curves were normalized to a peak value of 1). Again, electrical tuning curves were less sharply tuned than the mechanical ones. Phase difference curves had characteristics similar to those found when the electrode was positioned inside the organ (Fig. 7, main graph). At frequencies ∼10 kHz, the average phase difference was 158°. A slightly decreased phase lead was seen on approaching the best frequency (15.4 kHz). At frequencies higher than this, electric potentials showed an increasing phase lead over the BM displacement.

**Theoretical results**

Phase differences close to and above the best frequency might arise by interference between potentials generated at sites adja-
cent to each other along the BM. To test this, a mathematical model was designed, using the assumption that the transduction current at a particular cochlear location was proportional to BM displacement. To estimate how the resulting potentials spread within the fluid spaces inside the organ of Corti, this compartment was regarded as a long cylinder with homogeneous electrical properties, enclosing a conducting fluid core provided by perilymph. Cable theory (Hodgkin and Rushton, 1946) then predicts that a sinusoidal source of current of complex amplitude \( I_0 \) and frequency \( f \) generates a sinusoidal potential whose amplitude \( V(x) \) at distance \( x \) from the source is affected by the following complex attenuation factor:

\[
V(x) \approx \exp(-x/\kappa)I_0.
\]

Here, the complex number \( \kappa \) characterizes the electrical properties per unit length (tissue resistance, capacitance, and the conductivity of fluids inside the organ of Corti). In terms of the space constant \( \lambda \) and the time constant \( \tau \) of the cable, \( \kappa \) is given by the following:

\[
\kappa = \lambda/(1 + \omega^2 \tau^2)^{1/4} \exp(-i\theta/2), \quad \theta = \arctan(\omega \tau), \quad \omega = 2\pi f.
\]

In other words, \( \kappa \) is the square root of \( \lambda^2/(1 + i\omega \tau) \) having a positive real part. The potential recorded by the electrode at a location \( x_0 \) and a particular frequency \( \omega \) is modeled by summing the contributions from different points \( x \) along the cochlear partition, as follows:

\[
V_{\text{model}}(x_0, \omega) \approx \int \exp(-|x-x_0|/\kappa) I_0(x, \omega) \, dx \equiv -i/\omega \int \exp(-|x-x_0|/\kappa)v_{\text{BM}}(x, \omega) \, dx,
\]

where \( v_{\text{BM}}(x,\omega) \) denotes the complex amplitude of the velocity of the BM (counted positive toward scala vestibuli) at point \( x \) and frequency \( \omega \). We have used the relationship \( I_0(x,\omega) \approx -i/\omega v_{\text{BM}}(x,\omega) \), which follows from the above assumptions.

To test the above model and obtain an estimate of the constant \( \kappa \), we needed to know the BM velocity profile for a range of locations adjacent to the measurement site \( x_0 \). The only such data presently available to us are the measurements from the gerbil by Ren (2002). Using these measurements, the right-hand side of Equation 3 allowed us to compute a model potential amplitude \( V_{\text{model}} \) for locations \( y \) along the first turn of the gerbil cochlea as a function of frequency and for any given value of the constant \( \kappa \).

To compare this model with potential measurements in the guinea pig, we assumed that a simple linear rescaling in frequency could be used to match the gerbil data to the guinea pig data. We then obtained an estimate of \( \kappa \) by performing a least-squares fit of the rescaled model impedance \( z_{\text{model}}(\omega) = V_{\text{model}}(\omega) \) to the measured impedance, \( z_{\text{meas}}(\omega) = V_{\text{meas}}(\omega)/(I_0(\omega) + b)/(v_{\text{BM}}(\omega) + b)\), for the set of frequencies used in the experiments. The constants \( a \) and \( b \) denoting the linear rescaling used to fit the gerbil data to the guinea pig tuning curve.

The results of the above fitting procedure are illustrated in Figure 8. The measurement location \( x_0 \) in the guinea pig cochlea had a best frequency of 15.4 kHz. The location \( y_0 \) in the gerbil cochlea that best matched \( x_0 \) was situated at a distance of 2.53 mm from the base with a best frequency of 14 kHz. By fitting the tuning curves over frequencies below 22 kHz, we obtained a value of \( \kappa \) corresponding to an effective space constant of 40 \( \mu \)m. Values for the time constant \( \tau \) were quite small (~1 \( \mu \)sec); this parameter actually had little effect on the fit. Importantly, the model predicted a rapidly increasing phase difference in the region around the best frequency. The model also predicted the general shape of the tuning curves (Fig. 8, inset). Similar fitting results were obtained for the 20 dB SPL data shown in Figure 6 and the data from Figure 7; in both cases, space constants were in the same range as in Figure 8. Thus, this simplified analysis suggests that the phase differences that developed around the best frequency were caused by interference effects between several distributed generators, each having a rapidly varying phase. Such behavior is expected from the BM at these frequencies.

To verify a fundamental aspect of this model, the BM was penetrated with a current injection electrode and a recording electrode. Electrode separation was systematically altered by moving the recording electrode closer to the current injection site. Square current pulses with 500 msec duration were injected and the resulting potential measured at the end of the current step. Least-squares fits were performed using the relationship \( V = k \exp(-x/\lambda) \), where \( V \) is the measured potential, \( x \) is the electrode separation, and \( \lambda \) is the space constant. This experiment showed that potentials declined exponentially with increasing distance and gave a value for \( \lambda \) of 42 \( \mu \)m, consistent both with the model results detailed above and previous estimates (<300 \( \mu \)m) (Geisler et al., 1990).

**Discussion**

The Dallos-Evans theory

The kernel of this theory is that potential changes in the fluid space surrounding outer hair cells would drive cellular motor proteins. The mathematical analysis presented by Dallos and Evans suggests that such potentials could have a bandwidth much
larger than that of the hair cell receptor potentials, thereby alleviating the high-frequency problem described in the Introduction (Fig. 1). In our experiments, close examination of the onset response to tone bursts revealed that the BM began moving before detectable electric potentials were produced. Phase modulation occurred thereafter, resulting in a final phase lead between 130 and 160° for the electric potential. Such behavior is expected if electric potential changes in the fluid space surrounding outer hair cells drive cellular electromotility.

Our most sensitive preparation, with a 10 dB loss of auditory sensitivity, had maximum amplitude of 27 μV at 17 kHz at 20 dB SPL after correction for attenuation caused by the recording electrode. At such low stimulus intensities, the response of the organ is linear. Taking account of the 10 dB loss, this implies that the amplitude of the electric potentials would be ~8 μV for 0 dB SPL stimuli at the best frequency. These amplitudes are similar to the ones reported by Kössl and Russell (1992). Measurements from isolated outer hair cells show motility “gain” in the range of 2–30 nm per mV (Santos-Sacchi and Dilger, 1988; Santos-Sacchi, 1989). That is, for each millivolt of membrane potential change, isolated cells change their length by 2–30 nm on average. Because outer hair cells may be affected by the isolation procedure, a relatively conservative value of 20 nm per mV may be adopted. Furthermore, assuming that the effective stimulus is 20% of the measured extracellular response (Dallos and Evans, 1995a), we arrive at an outer hair cell motility of ~0.03 nm at 0 dB SPL. This is in the same range as the BM displacement measured in sensitive cochleae (~0.02 nm) (Cooper, 1998). Therefore, the potentials that we measured could be large enough to drive outer hair cell motility. In a system with positive feedback, a small amount of feedback may suffice to generate a relatively large response. Therefore, outer hair cells conceivably could provide effective enhancement of BM vibration at even lower amplitudes of the driving stimulus than those assumed here.

Our data imply that certain additional elements would have to be included in the theory. The correspondence between electrical and mechanical tuning curves at low frequencies attest to their close relationship, but the relatively poor tuning of electric potentials near the best frequency means that additional frequency-selective elements are necessary to generate the tuning curves measured from the BM. Such sharpening could be a property inherent to the “cochlear amplifier” itself or be caused by the passive mechanical properties of surrounding structures (supporting cells or the BM itself).

Below the best frequency, the phase difference shown in Figures 6 and 7 was essentially independent of the stimulus frequency. This result has a parallel in recent cochlear models (de Boer and Nuttall, 2003), which find that realistic BM tuning curves can be achieved by mechanical feedforward and feedback of energy lacking frequency-dependent phase shift. If we assume that the Dallos-Evans theory is correct, such mechanical effects could be produced by hair cells driven by the extracellular potentials that we characterize here.

The rapid increase of phase difference between the BM and the electric potentials at and above the best frequency is also important. Although this pattern of phase change may be caused by interactions between different adjacent generators (Fig. 8), these potentials would still be the effective stimulus driving outer hair cell motility. Therefore, an increasing phase lead for the electric potentials would have to be compensated by an equally large phase change of outer hair cell motility to generate the BM tuning curves that we measured. Such frequency-dependent phase change was not found in studies of outer hair cell motility in vitro (Frank et al., 1999). Conceivably, passive mechanical elements within the organ could introduce such a phase lag. To explain, outer hair cells connect to the BM through the cups of the Deiters’ cells. Thus, hair cell motility is coupled to the BM through a cellular structure that must possess stiffness, mass, and damping. This would result in a mechanical filter that may affect both amplitude and phase in a frequency-dependent manner.

Extracellular potentials within the organ of Corti represent the averaged response of a group of hair cells. This spatial averaging could be an important property. van Netten et al. (2003) found that the minimum hair bundle deflection that could be reliably detected in the receptor current of the cell was ~5 nm. This number, approximately two orders of magnitude larger than the BM displacement at threshold, is determined primarily by noise inherent to the transduction apparatus. Obviously, spatial averaging could render the system less susceptible to such noise and improve the detection limit, as suggested by van Netten et al. (2003).

**Micromechanics of the organ of Corti**

There is near-universal agreement that electric potentials within Corti’s organ are generated by stereocilia displacement (Flock, 1965; Hudspeth and Corey, 1977). In vitro measurements from hemicochlea preparations show that opening of mechanically sensitive ion channels occur when the organ of Corti is displaced toward scala vestibuli (at least at low stimulus frequencies) (von Békésy, 1951; He et al., 2004). In our experiments, electrical and mechanical amplitude tuning curves practically superimposed below 10 kHz, suggesting that electric potentials were related to BM displacement. Furthermore, the observed phase relationship between BM displacement and electric potential means that potentials are almost, but not exactly, in phase with BM displacement toward scala vestibuli. This discrepancy could be explained by several factors. Because we do not know the exact current path that generates the organ of Corti potential, it is possible that some element of the circuit causes the phase difference. Complex interactions between stereocilia and the tectorial membrane or fast structural alterations within the organ may also be important (Zwislocki and Kletsky, 1979; cf. Ulfendahl et al., 1995; Gummer et al., 1996; Fridberger et al., 2002a; Fridberger and Boutet de Monvel, 2003; Cai et al., 2004). Because of the lateral interference shown in Figure 8, comparisons between the BM and electric potential phases should be performed with caution. At low stimulus frequencies, large sections of the BM vibrate in phase, making this problem less severe.

In summary, confronting the Dallos-Evans model with physiological data shows that extracellular potentials could indeed drive basilar membrane motion. However, substantial sharpening of tuning curves would have to be generated by additional mechanical elements, the exact identity of which remains undefined. In addition, these elements would have to introduce a relatively large phase lag that develops at frequencies around the peak of the tuning curve. These data also imply that complex mechanical interactions may occur between different parts of the organ.

**References**


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