Prefrontal Projections to the Thalamic Reticular Nucleus form a Unique Circuit for Attentional Mechanisms

Basilis Zikopoulos\(^1\) and Helen Barbas\(^1,2,3,4\)

\(^1\)Department of Health Sciences and \(^2\)Program in Neuroscience, Boston University, Boston, Massachusetts 02215, \(^3\)New England Primate Research Center, Harvard Medical School, Boston, Massachusetts 02115, and \(^4\)Department of Anatomy and Neurobiology, Boston University School of Medicine, Boston, Massachusetts 02118

The inhibitory thalamic reticular nucleus (TRN) intercepts and modulates all corticothalamic and thalamocortical communications. Previous studies showed that projections from sensory and motor cortices originate in layer VI and terminate as small boutons in central and caudal TRN. Here we show that prefrontal projections to TRN in rhesus monkeys have a different topographic organization and mode of termination. Prefrontal cortices projected mainly to the anterior TRN, at sites connected with the mediodorsal, ventral anterior, and anterior medial thalamic nuclei. However, projections from areas 46, 13, and 9 terminated widely in TRN and colocalized caudally with projections from temporal auditory, visual, and polymodal association cortices. Population analysis and serial EM reconstruction revealed two distinct classes of corticoreticular terminals synapsing with GABA/parvalbumin-positive dendritic shafts of TRN neurons. Most labeled boutons from prefrontal axons were small, but a second class of large boutons was also prominent. This is in contrast to the homogeneous small TRN terminations from sensory cortices noted previously and in the present study, which are thought to arise exclusively from layer VI. The two bouton types were often observed on the same axon, suggesting that both prefrontal layers V and VI could project to TRN. The dual mode of termination suggests a more complex role of prefrontal input in the functional regulation of TRN and gating of thalamic output back to the cortex. The targeting of sensory tiers of TRN by specific prefrontal areas may underlie attentional regulation for the selection of relevant sensory signals and suppression of distractors.

Key words: laminar origin of projections; presynaptic specificity; macaque brain; dorsolateral prefrontal cortex; inhibitory control; 3D reconstruction

Introduction

The thalamic reticular nucleus (TRN) is composed entirely of inhibitory neurons, unlike other thalamic nuclei, and surrounds the thalamus along its entire anteroposterior extent. Corticothalamic and thalamocortical axons must, therefore, pass through its mesh of inhibitory neurons (supplemental Fig. 1, Movie 1, available at www.jneurosci.org as supplemental material) (Jones, 1985). The TRN receives unidirectional projections from the cortex and has bidirectional connections with all thalamic nuclei and is thus in a strategic position to modulate the flow of information between thalamus and cortex.

The TRN has a crude topographic map of the cortex and thalamus, so that cortices and their associated thalamic nuclei project to the same parts of TRN. This topography broadly divides TRN into motor and sensory sectors (for review, see Guillery et al., 1998). The topography, however, is blurred, hence TRN connection zones of nearby cortical or thalamic sites overlap, allowing indirect modulation of TRN inhibition.

The activity of TRN changes dramatically during the sleep–wake cycle, shifting from spindle oscillations during slow-wave sleep that inhibit thalamocortical activity, to single-spike activity during wakefulness. These properties implicate TRN in attention, highlighted by TRN inhibition of thalamocortical neurons during cortically generated absence seizures accompanied by loss of consciousness (Pinault, 2004; Fuentealba and Steriade, 2005; Steriade, 2005). Because of its unique placement and physiology, it has been suggested that TRN is the attentional searchlight of the brain (Crick, 1984) and a key component of a larger network including the prefrontal cortex and thalamus, with an important role in cognition.

Despite these indications, there is no information on prefrontal corticoreticular projections in primates, the interaction of prefrontal areas, and their associated thalamic nuclei, or the pattern of prefrontal projections in TRN compared with other cortices. Sensory and motor cortices are thought to issue projections to TRN exclusively from layer VI, which terminate as small boutons (Guillery et al., 1998; Rouiller et al., 1998; Kakei et al., 2001). Layer V does not appear to contribute to the corticoreticular projection but innervates other thalamic nuclei through large boutons (Schwartz et al., 1991; Kakei et al., 2001). Corticothalamic projections from layer V are thought to be driving afferents...
of an intrathalamic corticocortical communication system, whereas layer VI projections are regarded as modulators of thalamic relay activity (Guillery, 1995).

The prefrontal system is an excellent model to investigate the relationship of high-order association areas with TRN because it issues substantial projections from layer V to other thalamic nuclei (Arikuni et al., 1983; Giguere and Goldman-Rakic, 1988; Xiao and Barbas, 2002, 2004). We examined the organization of projections from several prefrontal areas and their thalamic nuclei in TRN and compared them with projections to TRN from sensory and polymodal association cortices. Our results show, for the first time in a primate, the topography and mode of prefrontal corticoreticular terminations and establish that they differ from TRN projections originating in sensory cortices. Our findings provide the anatomic basis for the gating of sensory-related corticothalamic communications by the prefrontal cortex and TRN in mechanisms underlying selection or enhancement of relevant stimuli.

### Materials and Methods

#### Animal and tissue preparation

Experiments were conducted on 12 adult rhesus monkeys (Macaca mulatta) in accordance with National Institutes of Health Guide for the Care and Use of Laboratory Animals (publication 80–22 revised, 1996). The experiments were approved by the Institutional Animal Care and Use Committee at Boston University School of Medicine, Harvard Medical School, and New England Primate Research Center. Procedures were designed to minimize animal suffering and reduce their number.

Magnetic resonance imaging. Before injection of neural tracers, we obtained three-dimensional (3D) scans of each brain using magnetic resonance imaging (MRI) in animals anesthetized with propofol (loading dose, 2.5–5 mg/kg, i.v.; continuous rate infusion, 0.25–0.4 mg·kg⁻¹·min⁻¹). The interaural line was rendered visible in the scan by filling the hollow ear bars of the stereotaxic apparatus with betadine salve. We then calculated the stereotaxic coordinates for each injection in three dimensions using the interaural line as reference.

Surgical procedures. One week after the MRI, the monkeys were anesthetized with ketamine hydrochloride (10–15 mg/kg, i.m.) followed by gas anesthetic (isoflurane), until a surgical level of anesthesia was accomplished. Surgery was performed under aseptic conditions while heart rate, muscle tone, respiration, and pupillary dilation were closely monitored. The monkeys were placed in a stereotaxic apparatus, and a small region of the cortex was exposed.

Injection of neural tracers. In an effort to minimize animal numbers, injections of distinct neural tracers were made in the right and left hemisphere, as indicated in Table 1 (for map of injection sites, see Fig. 1A–F). We injected tracers in prefrontal areas 9, 10, 46, 13, and 32, as well as temporal areas TE1, Ts1, Ts2, and 36, and the anteromedial (AM), ventral anterior (VA), and mediadorsal (MD) thalamic nuclei, which are connected with prefrontal cortices. Using a microsyringe (Hamilton, 5 μl) mounted on a microdrive, we injected the bidirectional tracers biotinylated dextran amine (BDA) (10% solution, 6–10 μl, 10 kDa; Invitrogen, Carlsbad, CA), fluoro-emerald (FE) (dextran fluorescein, 10% solution, 3–5 μl, 10 kDa, and in some cases a mixture of 3 and 10 kDa; Invitrogen), fluorescein-ruby (FR) (dextran tetramethylrhodamine, 10% solution, 3–4 μl, 10 kDa, and in some cases a mixture of 3 and 10 kDa; Invitrogen), and Lucifer yellow (LY) (dextran, lucifer yellow, anionic, lysine fixable, 10% solution, 3–4 μl, 10 kDa; Invitrogen). All of these tracers are excellent anterograde tracers that label the entire extent of restricted to the cell bodies and proximal dendrites of projection neurons and the tracer does not enter axon collaterals (Veenman et al., 1992; Richmond et al., 1994; Reiner et al., 2000). In some cases, we injected the retrograde tracer fast blue (FB) in the thalamus (MD and VA; 1% solution, volume of 0.3 μl, 379.84 Da; Sigma, St. Louis, MO). Trans-synaptic transport of any of these tracers has not been observed. In each case, the tracer was injected in two penetrations and, for the cortical injections, at a depth of 1.2–1.6 mm below the pial surface. After injection, the needle was left in place for 10–15 min to allow the dye to penetrate at the injection site and prevent upward diffusion of the dye during retraction of the needle.

Perfusion and tissue processing. After a survival period of 18 d, the animals were anesthetized with a lethal dose of sodium pentobarbital (>50 mg/kg, to effect) and transcardially perfused with 4% paraformaldehyde or, for electron microscopy, 4% paraformaldehyde and 0.2% glutaraldehyde in 0.1 M phosphate buffer (PB), pH 7.4. The brains were removed from the skull, photographed, and cryoprotected in graded solutions of sucrose (10–30%) in 0.01 M PBS. Brains were then frozen in −75°C isopentane (Fisher Scientific, Pittsburgh, PA) and cut on a freezing microtome in the coronal plane at 40 or 50 μm to produce 10 series. In cases with injection of fluorescent tracers, one series was mounted on glass slides, cryosectioned, and used to map labeled neurons and terminals.

Histochemical and immunohistochemical procedures

Bright-field or fluorescent microscopy. In cases with BDA injections, free-floating sections were rinsed in 0.01 M PBS and incubated for 1 h in an avidin–biotin HRP complex [AB kit (Vector Laboratories, Burlingame, CA); diluted 1:100 in 0.01 M PBS with 0.1% Triton X-100]. The sections were then washed and processed for immunoperoxidase reaction using diaminobenzidine (DAB) (Zymed, San Francisco, CA). Sections were then mounted, dried, and coverslipped with Permount or Entellan (Merck, Whitehouse, NJ).

In some cases with FE, FR, and LY injections in the prefrontal cortex, we used polyclonal antibodies (anti-fluorescein/Oregon Green, anti-tetramethylrhodamine, and anti-LY, 1:800; Invitrogen) to convert the fluorescent tracers and visualize them with the peroxidase-catalyzed polymerization of DAB. To avoid cross-reaction with the BDA, we used non-biotinylated polyclonal anti-rabbit secondary antibody followed by tertiary anti-rabbit solution (PAP method, 1:200; Sternberger Monoclonals, Lutherville, MD).

To identify TRN inhibitory neurons, we used antibodies against the inhibitory neurotransmitter GABA or the calcium binding protein parvalbumin (PV). The tissue was rinsed in 0.01 M PBS, pH 7.4, followed by

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Table 1. Injection sites and neural tracers used in 12 cases analyzed
10% normal goat serum, 5% bovine serum albumin, and 0.1% Triton X-100 in 0.01 M PBS blocking solution for 1 h and incubated for 1–2 d in primary antibody for GABA [1:1000, mouse monoclonal (Sigma) or 1:1000, rabbit polyclonal (DiaSorin, Stillwater, MN) or PV [1:2000, mouse monoclonal; Chemicon, Temecula, CA]. The sections were rinsed in PBS, incubated for 1 h with a goat anti-rabbit (for GABA polyclonal) or anti-mouse (for GABA and PV monoclonals) IgG conjugated with the fluorescent probes Alexa Fluor 488 (green) or 568 (red; 1:1000; Invitrogen) and thoroughly rinsed with PBS. In some cases for PV labeling, a biotinylated secondary antibody and an avidin–biotin–peroxidase kit was used to label PV-positive (PV or anti-mouse (for GABA and PV monoclonals) IgG conjugated with the fluorescent probes Alexa Fluor 488 (green) or 568 (red; 1:1000; Invitrogen) and thoroughly rinsed with PBS. In some cases for PV labeling, a biotinylated secondary antibody and an avidin–biotin–peroxidase kit was used to label PV-positive (PV or anti-mouse (for GABA and PV monoclonals) IgG conjugated with the fluorescent probes Alexa Fluor 488 (green) or 568 (red; 1:1000; Invitrogen) and thoroughly rinsed with PBS. In some cases for PV labeling, the experiments described above. These included omission of the primary antibodies and incubation with all secondary or tertiary antisera used. All control experiments resulted in no immunocytochemical labeling.

Electron microscopy. Sections treated for bright-field BDA, FE, FR, or LY were processed for electron microscopy. We used the protocols described above, but Triton X-100 concentration in all solutions was reduced to 0.025%. To determine the relationship of labeled boutons from prefrontal areas with inhibitory TRN neurons, we double labeled sections using antibodies against GABA or PV and preembedding immunohistochemistry and visualized label with gold-conjugated anti-mouse or anti-rabbit secondary antibodies (1:50; gold particle diameter, 1 nm; Amersham Biosciences, Piscataway, NJ). To prevent diffusion of the gold particles, tissue was postfixed in a microwave with 2% glutaraldehyde (described by Jensen and Harris, 1989). Labeling was intensified with the use of the Amersham Biosciences silver enhancement kit (IntenseSE). Tissue sections were mounted on slides and quickly viewed under the light microscope, and images were captured with a CCD camera. Small blocks of sections with anterograde and GABA or PV label were cut under a dissecting microscope, postfixed in 1% osmium tetroxide with 1.5% potassium ferrocyanide in PB, washed in buffer (PB) and water, and dehydrated in an ascending series of alcohols. While in 70% alcohol, they were stained with 1% uranyl acetate for 30 min. Subsequently, they were cleared in propylene oxide and embedded in araldite at 60°C. Serial ultrathin sections (50 nm) were cut with a diamond knife (Diatome, Fort Washington, PA) using an ultramicrotome (Ultracut; Leica, Wein, Austria) and collected on single slot grids, to view with a transmission electron microscope (100CX; Jeol, Peabody, MA).

Stereological analysis

The architecture of thalamic nuclei, the cortex, and other brain areas was determined in Nissl and AChE-stained sections. The nomenclature of the thalamus is according to the map of Jones (1985), as modified from the map of Olzewska (1952), and for the prefrontal cortex is according to the map of Barbas and Pandya (1989), modified from the map of Walker (1940).

Bouton population analysis. We analyzed anterograde labeling in the TRN at high magnification (1000 x) using unbiased stereological methods (for review, see Howard and Reed, 1998). Progressive mean analysis was used to determine the systematic, random sampling fraction, which was 1/160 of the total volume of TRN and resulted in measurements of the total number of labeled neurons or boutons. The volume of TRN was estimated by multiplying the number of measured dissectors with the same denominator and was used to assess the density of labeled boutons in each case by dividing the total number of boutons by the corresponding TRN volume. We normalized data by expressing the density of neurons or large and small boutons as a percentage of the total density of all neurons or boutons in each case.

3D reconstruction and imaging

Light microscopy. In some cases, the labeled axonal pathways were followed all the way from the injection sites in the prefrontal cortex to their termination sites in TRN, at low magnification (40 x) in serial sections. The section surface, the cytoarchitectonic borders of various nuclei, and labeled pathways, were outlined with the aid of a commercial computerized microscope system and motorized stage (Neurolucida; MicroBrightField).

Sections ipsilateral to the injection sites containing areas of interest (TRN, MD, VA, caudate, and amygdala) were viewed under bright-field or fluorescence illumination, and their surface as well as architectonic borders were outlined using the Neurolucida software. The procedure involves setting a reference point for every brain hemisphere analyzed, and, as a result, the outlines are automatically registered and aligned to the actual corresponding sections, retaining information about the 3D coordinates of every mark or trace. These sections and outlines were used for stereological analyses of the anterograde labeling presented earlier. Retrogradely labeled neurons in the TRN directed to MD, VA, and AM were mapped and their stereotaxic coordinates were marked.

To compare the topography and distribution of anterograde and retrograde labeling across cases and exhibit their overlap in TRN, we reconstructed in three dimensions the entire nucleus using the free, open source software Reconstruct (Fiala, 2005). With the aid of Neurolucida, we outlined the surface and the borders of TRN of all Nissl-stained coronal sections spanning the rostrocaudal extent of the nucleus from a representative case and used them as reference. We then imported these reference outlines along with outlines containing 3D information about the topography of labeling in Reconstruct, aligned them, and generated 3D models. As a result, all markers and traces were stereotaxically registered and superimposed on the 3D models. This method made it possible to compare the relative distribution of different cortical axonal terminations in TRN and labeled neurons in TRN projecting to MD, VA, or AM to assess possible overlaps.

To exhibit the distribution of large and small terminals in TRN originating in different prefrontal areas, we imported Neurolucida outlines of sections and labeling in Reconstruct, in which we color coded the bouton markers (large boutons, blue; small boutons, red) and generated 3D maps.

Images presented or used for analyses were captured at high resolution with a CCD camera mounted on an Olympus Optical (Thornwood, NY) BX51 microscope connected to a personal computer using commercial imaging systems [MetaMorph version 4.1 (Universal Imaging Corporation) or Neurolucida]. Image stacks of several focal planes were acquired in each area of interest, resulting in pictures with high depth of field of 40- to 50-μm-thick sections focused throughout their z-axis extent. Stacked images from adjacent serial sections were superimposed to highlight the differential distribution or overlap of retrogradely labeled neurons and anterogradely labeled boutons. Photomicrographs were prepared with Adobe Photoshop (Adobe Systems, San Jose, CA), and overall brightness and contrast were adjusted without retouching.

Stereological measurements of the numbers of labeled fibers that contained exclusively small or large boutons or a mixed population were conducted on high-resolution stacks of images captured as described above. These stacks were imported in NIH ImageJ, reconstructed in 3D,
and rotated, in 1° increments in the x-, y-, or z-axis, using the 3D project plugin (supplemental Movie 2, available at www.jneurosci.org as supplemental material). This allowed us to distinguish whether various boutons were part of the same or different labeled axons.

**Electron microscopy.** We viewed labeled boutons emanating from prefrontal axons synapsing on TRN neurons and neighboring unlabeled boutons at high magnification (10,000×) and photographed them throughout their whole extent (between 10 and 80 serial ultrathin sections). Film negatives were scanned at high resolution (Minolta Dimate Scan Multi II; Konica Minolta, Mahwah, NJ) and imported as a series in Reconstruct, in which they were aligned. Boutons, postsynaptic densities, and postsynaptic structures (e.g., dendrites) were traced. Section thickness was calibrated through measurements of the diameter of mitochondria (Fiala and Harris, 2001). Structures were reconstructed in three dimensions, and their volumes, surface areas, and diameters were calculated. We used classic criteria for identifying synapses and profiles (for review, see Peters et al., 1991) as described previously (Barbas et al., 2003; Germuska et al., 2006).

**Statistical analysis**

Data were evaluated with Statistica (StatSoft, Tulsa, OK), through scatter and frequency distribution plots and K-means cluster analysis with parameters set to maximize initial between-cluster distances. χ² and Kolmogorov–Smirnov tests were used to examine the bouton size distributions. We used ANOVA to test for differences among bouton populations and densities, and p values <0.01 were taken as statistically significant. Post hoc analyses using Bonferroni’s/Dunn’s (all means) was performed to identify possible differences between groups.

**Results**

**TRN features**

The TRN covers the dorsal and lateral parts of the thalamus as an open umbrella with the handle positioned on the midline (supplemental Figs. 1, 2, Movie 1, available at www.jneurosci.org as supplemental material). It has a similar shape across animals, appearing round rostrally, becomes progressively thinner along the mediolateral dimension and elongates along the dorsoventral axis, and tapers to a narrow spur at its most caudal extent. The TRN in the rhesus monkey was remarkably similar across cases, containing 28 ± 2 sections (mean ± SE), with a mean volume of 44 ± 3.7 mm³. On each side, the TRN consisted of ~1 million neurons (1,077,091 ± 108,509) and a mean density of 15,093 ± 73 neurons/mm³. All neurons in TRN were GABAergic and most were PV⁺ (~70 ± 13%; mean density ± SE, 10,588 ± 1,862 neurons/mm³) (supplemental Fig. 1, available at www.jneurosci.org as supplemental material). The small variability in TRN size and shape facilitated a reliable comparison of projections from cortical areas across cases through 3D reconstruction.

To facilitate the description of projections and overlaps in TRN, the nucleus was divided rostrocaudally into four quarters. The rostral quarter extended from the rostral pole of TRN to the anterior extent of the VA and the anterior thalamic nuclei. The rostral pole was bordered laterally by the internal capsule and medially by the bed nucleus of the stria terminalis. The central quarters of TRN, namely the rostrocentral and caudocentral, were crescent shaped and surrounded the central VA, MD, lateral posterior, ventral nuclei, and the anterior part of the pulvinar. The caudal quarter of TRN surrounded the rest of the pulvinar and included the perigeniculate area.

**Topography of prefrontal terminations in the thalamic reticular nucleus**

The injection sites of anterograde tracers in prefrontal cortices are shown on the lateral (Fig. 1A), medial (Fig. 1B), and basal (Fig. 1C) surfaces of the brain. Three-dimensional reconstruction of the injection sites showed that injections encompassed all cortical layers, and the needle tracts were restricted to the cortical mantle. We mapped the distribution of labeled terminals from cortical axons in TRN in one series of sections (1/6 of the total nucleus) and estimated stereologically the axonal bouton density.

In all cases, labeled axons from prefrontal cortices entered TRN rostrally from the adjacent internal capsule and some axons passed through TRN without sending terminations (Fig. 2A). A majority of prefrontal corticoreticular terminals were found in primarily overlapping sites of the anterior and dorsal TRN. On average, 83% of labeled boutons were distributed in the rostral quarter of TRN. The central quarters of TRN included, respectively, 6 and 9%, and the caudal quarter of the nucleus had a few labeled boutons only after injections in area 9 (~2%).

Axons from area 32 terminated predominantly in the first quarter of the nucleus (~98%), concentrated mainly in the rostral pole, with only 2% in the second quarter of TRN. Although anterograde labeling was prominent in the dorsal part of TRN, a few terminals were scattered along its ventral and central regions (Fig. 2B). A similar distribution of anterograde label was observed after injections in area 10 in the frontal pole. Labeled terminals were found only in the first quarter of TRN and almost exclusively in its dorsal part (Fig. 2B).

In contrast to the above areas, axons from the dorsalmedial part of area 9 terminated in patches throughout the nucleus (Fig. 2B). Labeled boutons were found predominantly in the dorsal part of TRN, most within the first quarter (~86%), but some were seen also in the central and caudal quarters of the nucleus (~14%). Axonal terminations from dorsal area 46 occupied larger zones of the first three quarters of TRN (~69, 14, and 17%, respectively) and extended throughout the dorsoventral axis of the nucleus. Among prefrontal areas studied, dorsal area 46 issued the most robust projections to central-caudal regions of TRN (second and third quarters of the nucleus) (Fig. 2B). The same pattern, but with sparser label, was seen in the axonal terminations of orbital area 13, which were found in the first three quarters of TRN (~66, 9, and 25%, respectively) and spread over dorsal and ventral sites of the nucleus (Fig. 2B).

**Projections from areas 46, 13, and 9 colocalized with cortical auditory, visual, and polymodal terminations in TRN**

We then investigated whether these posterior terminations of prefrontal axons overlapped with projections from temporal auditory (Ts1, Ts2), visual (TE1), and polymodal (36) association areas in four cases (case BC, area Ts2; case AT, area 36; case BA, area TE1; and case AV, areas Ts1/TE1) (Table 1; Fig. 1A,C). The comparison was made from different experiments after alignment and registration of all sections containing TRN. Labeled terminals were superimposed on a reference map, and three-dimensional reconstruction revealed a significant extent of colocalization (Fig. 3). Anterogradely labeled terminals in the TRN from Ts1 and Ts2 were found mainly in its caudal regions, consistent with the location of the auditory sectors of TRN in other species. Axonal terminations from areas 36 and TE1 were found rostral to the auditory sector, in the visual sector of TRN, situated in the central-caudal parts of the nucleus. These projections were more prominent in the ventral parts of the nucleus, which included the perigeniculate region. Interestingly, among prefrontal areas studied only terminations from dorsal area 46 overlapped with projections from all of the injected temporal cortices in the caudocentral and ventral segments of TRN, in the sensory sectors of the nucleus (Fig. 3). Projections from orbital area 13 and temporal areas 36 and Ts2 overlapped extensively in dorsal and ventral parts of the sensory TRN sectors. In contrast, there was only
limited overlap in the terminations from area 9 with those from areas 36 and Ts2, restricted to the dorsal regions of TRN (Fig. 3B).

Prefrontal terminations colocalized with TRN connections with MD, VA, and AM thalamic nuclei
We then investigated whether axonal terminations from prefrontal cortices were localized in sites of TRN that are connected with thalamic nuclei associated with prefrontal cortices. We focused on MD, VA, and AM, three thalamic nuclei that receive the majority of prefrontal cortical input (Dermon and Barbas, 1994; Kultas-Ilinsky et al., 1995; Tai et al., 1995; Ilinsky et al., 1999; Xiao and Barbas, 2004) (for review, see Steriade et al., 1997). After injection of retrograde tracers in each of these thalamic nuclei (Fig. 1B, D–F), labeled projection neurons in TRN were most prevalent in the anterior and dorsal half of the nucleus, but some were also found in its ventral sector. Both VA and AM received projections from rostral TRN, especially its medial segment, whereas projection neurons directed to MD originated from a wider region, encompassing the first three quarters of TRN.

Reconstruction of TRN in three dimensions and overlay of terminals from prefrontal cortices and retrogradely labeled neurons that projected to AM, VA, and MD revealed that prefrontal axons terminated primarily in TRN sectors that are reciprocally connected with AM (Fig. 4A), VA (Fig. 4B), and MD (Fig. 4C). It is evident that there is a linkage throughout nearly the entire rostrocaudal extent of TRN with nuclei associated with the prefrontal cortex (Kievit and Kuypers, 1975, 1977). When these reconstructions included the anterograde terminals from auditory and visual association cortices TE1 and Ts1, a partial overlap with projections from dorsal area 46 was seen in the third quarter of TRN, in which some reticular projection neurons to MD were also found (Fig. 5).

Dual-termination mode of prefrontal axons in TRN
Corticothalamic terminals were both of the en passant and terminal type and were polymorphic. At least two classes of labeled presynaptic profiles could be distinguished (Fig. 6A) (supplemental Movie 2, available at www.jneurosci.org as supplemental.

Figure 1. Composite of cortical and thalamic injection sites. Cortical injection sites shown on the lateral (A), medial (B), and basal (C) surfaces of the brain of the rhesus monkey. D–F, Representative coronal sections through the thalamus (photomicrographs on the right column and corresponding outlines on the left column). Brown, BDA; red, fluoro-ruby; green, fluoro-emerald; yellow, Lucifer yellow; blue, fast blue. Case names appear in black. Outlines and names of areas and nuclei in A–C are in blue. AD, Nucleus anterior dorsalis; AV, nucleus anterior ventralis; Cl, nucleus centralis lateralis; CM, nucleus centrum medianum; LD, nucleus lateralis dorsalis; MDmc, nucleus medialis dorsalis, pars magnocellularis; MDpc, nucleus medialis dorsalis, pars parvocellularis; TRN, thalamic reticular nucleus; VAmc, nucleus ventralis anterior, pars magnocellularis; VPL, nucleus ventralis posterior lateralis.
Most corticoreticular boutons were small (diameter < 1.4 μm) and spherical in shape, but a significant number of large (1.4 μm < diameter < 4.5 μm), round, ovoid, or irregularly shaped boutons were also present. Stereological sampling and measurement of the major diameter, perimeter, and cross-sectional surface area of at least 4000 labeled profiles per case enabled us to construct a bouton population profile in TRN. Scatter and frequency distribution plots showed that the population of boutons was continuous, covering a wide range of sizes (0.1 μm < diameter < 4.5 μm) (Fig. 6B). However, cluster analysis indicated that there were at least two distinct bouton populations (p < 0.00001), one corresponding to the small terminals (mean diameter ± variance, 0.82 ± 0.05 μm), and the other corresponding to large boutons (mean diameter ± variance, 1.69 ± 0.19 μm). Moreover, comparison of all prefrontal projections revealed that all labeled bouton populations found in TRN were similar, regardless of origin in prefrontal cortex.

To quantitatively map the distribution and density of large and small terminals in TRN emanating from prefrontal cortices, we estimated their numbers using stereological procedures. Large boutons were on average 9% of the population (mean density, 1176 boutons/mm³), and small boutons made up the other 91% (mean density, 15,015 boutons/mm³). With the exception of cases with tracer injections in dorsal area 46, the vast majority of large boutons were found in the rostrodorsal regions of TRN, occupying more focal sites than the small terminals, often overlapping with them (Fig. 7A). Large boutons observed in central and posterior sectors of TRN emanated exclusively from axons of dorsal area 46. In addition to the more widespread distribution of large boutons from dorsal area 46, quantitative analysis revealed that they comprised 14% of all labeled terminals in TRN compared with <10% from all other prefrontal areas (Fig. 7B). Moreover, in central and posterior sectors of TRN, large and small boutons from dorsal area 46 rarely occupied overlapping sites (Fig. 7A).

Additional stereological analysis, 3D reconstruction, and rotation of 50-μm-thick sections (as described in Materials and Methods) revealed that the majority of labeled axons formed only small boutons (~62% of all labeled axons with terminals in TRN), whereas only 9% of the axons gave rise exclusively to large boutons (Fig. 7C–E) (supplemental Movie 2, available at www.jneurosci.org as supplemental material). In a few occasions, large boutons branching out from the latter axons formed aggregated clusters, resembling bunches of grapes, as described for sensory cortical projections in several other thalamic nuclei but not TRN (Ojima, 1994; Bourassa et al., 1995; Ojima et al., 1996). Some axons with large en passant boutons formed round arbors at the termination sites, similar to those described for corticopulvinar projections (Rockland, 1996). The
rest of the axonal branches (29%) gave rise to a mixture of small and large boutons. Interestingly, although large boutons made up ~9% of the prefrontal labeled terminals in TRN, they were observed on 38% of the labeled axonal branches.

Figure 3. Prefrontal and temporal cortical terminations in the reticular nucleus reconstructed in three dimensions. A, Projections to TRN from dorsal area 46 (red) and TE1/Ts1 (green). Overlapping terminals can be seen at the bottom 3D model in yellow. B, Projections to TRN from areas 36 (left column) and Ts2 (right column) and their respective overlaps with projections from prefrontal areas 9, 13, and 46. The overlaps of area 9 with the temporal cortices studied are minor, whereas the overlaps of areas 13 and 46 with the same temporal cortices are more extensive.

Figure 4. Colocalization of prefrontal axonal terminations with TRN projection neurons directed to anterior and medial thalamic nuclei. A, Terminals from area 10 (green) overlap with labeled neurons in TRN projecting to AM (red). B, Terminals from area 32 (red) overlap with labeled neurons in TRN projecting to VA (green). C, Terminals from area 46 (green) overlap with labeled neurons in TRN projecting to VA (red and violet) and MD (blue and violet). Cells that appear violet are double labeled, projecting to MD and VA. Scale bars, 50 μm.
Features of prefrontal corticoreticular synapses

Ultrastructural analysis of serial ultrathin sections at the EM level and subsequent 3D reconstruction suggested the presence of at least two classes of labeled presynaptic profiles in TRN, both forming asymmetric (presumed excitatory) synapses with gold-labeled PV⁺ or GABA⁺ dendritic shafts (Figs. 8, 9). The first class consisted of small boutons with round synaptic vesicles and one or no mitochondria (mean volume ± SE, 0.16 ± 0.02 μm³; mean area ± SE, 2.07 ± 0.33 μm²). The second class consisted of large boutons also with round synaptic vesicles but with two or more mitochondria (mean volume ± SE, 0.41 ± 0.02 μm³; mean area ± SE, 3.53 ± 0.17 μm²). Interestingly, large boutons formed larger synapses (as measured by the areas of the postsynaptic densities) than the small boutons, but the overall ratio of the synapse to bouton area was the same (0.05 ± 0.01). Comparison of the volumes of labeled boutons with unlabeled presynaptic terminals synapsing on the same or neighboring PV⁺ or GABA⁺ dendrites showed no significant difference between the two populations.

Some studies in other brain regions and systems have indicated that large boutons synapse primarily on the proximal dendrites and cell bodies, whereas small boutons synapse on distal dendrites (Jones and Powell, 1969; Sato et al., 1997; Liu and Jones, 1999). In the prefrontal pathway to TRN, however, both large and small boutons synapsed on similar dendrites with an average diameter of 1.42 ± 1.07 μm, a size that covers both proximal and distal dendrites.
Similarity of prefrontal terminations in TRN with those in other thalamic nuclei, striatum, and amygdala

To infer the laminar origin of prefrontal corticoreticular terminations, we compared them with a series of other anterogradely labeled bouton populations (at least 4000 per case) in other thalamic nuclei, the caudate, and amygdala, whose laminar origin has been described in detail. This indirect method was necessary because tracer injections exclusively in layers V or VI are difficult to make. Moreover, even if an injection is confined to layer VI, the needle will transect axons from the overlying layer V, which will then be labeled by the tracer. Conversely, an injection limited to cortical layer V will likely transect and label the dendritic tree of the underlying neurons in layer VI. Single-cell injections in lightly fixed brain slices could provide an alternative and accurate method, but it is very difficult to obtain slices that would include intact axons all the way from the prefrontal cortex to the thalamus of the rhesus monkey brain.

Three-dimensional reconstruction of corticothalamic pathways highlights the intricate complexities of such a long pathway and could indicate the feasibility of this approach on a case-by-case basis depending on the site of injection.

Terminals of projections from prefrontal cortex to MD and VA, which originate in layers VI and V, as well as the caudate nucleus and the amygdala (which receive projections mainly from layer V) were comparable in size and shape with the bouton population found in TRN from prefrontal cortical projections (Figs. 10A–E, 11A). All bouton populations were continuous, covering a wide range of sizes (0.1 μm < diameter < 6 μm) (Fig. 11A). Cluster analysis indicated that they could include at least two distinct bouton populations, corresponding to a large population of small terminals, and a small population of large boutons (p < 0.00001) (Fig. 11B).

Differences in size of axonal terminations in TRN from prefrontal and sensory cortices

Previous studies indicated that projections from auditory and other sensory cortices to TRN originate only from layer VI (Rouiller and Welker, 1991, 2000) and terminate exclusively as small boutons. We therefore compared terminations from the temporal auditory (Ts1 and Ts2), visual (TE1), and polymodal (36) association areas in TRN with the prefrontal. The bouton population from the temporal cortices was continuous but differed significantly from all other populations examined, covering a small range of sizes (0.1 μm < diameter < 1.4 μm) (Figs. 10F, 11) and corresponding to the group of small boutons from prefrontal cortices. These results suggest that prefrontal and sensory corticoreticular projections are structurally different and could have different laminar origins.

Methodological controls

The presence of small and large boutons from prefrontal cortices differed from terminations originating in sensory and motor cortices, which are small. To rule out the possibility that the large boutons seen in TRN belonged to collateral axons from retrogradely labeled neurons in other thalamic nuclei that project to prefrontal cortex and TRN, we used mainly the 10 kDa form of BDA, FE, or FR, which is optimal for anterograde but not retrograde labeling (Veenman et al., 1992; Reiner et al., 2000). Comparison of the labeled bouton population in these cases and in cases in which a mixture of 3 and 10 kDa FE or FR were used revealed no differences. This finding is consistent with evidence that retrograde labeling over long distances and long survival times is present mainly in the cell body and dendrites and does not enter collateral axons (Wouterlood and Jorritsma-Byham, 1993). Moreover, axons from temporal sensory association cortices terminated exclusively as small boutons in TRN, even when some retrogradely labeled neurons were present in the thalamus.

Discussion

We present novel evidence on the unique nature of prefrontal cortical projections to TRN (Fig. 12). First, axons from some prefrontal areas terminated broadly in TRN and caudally overlapped with projections from sensory association cortices and...
TRN connections with MD. Second, unlike sensory and motor cortices, prefrontal axons exhibited a dual mode of termination in TRN, as elaborated below.

Topography of cortical projections in TRN: the prefrontal sector

In rats, cats, rabbits, and primates, cortical and thalamic afferents in TRN are topographically organized (Crabtree and Killackey, 1989; Conley and Diamond, 1990; Cornwall et al., 1990; Crabtree, 1992a,b, 1996, 1998, 1999; Lozsadi, 1994, 1995; Ilinsky et al., 1999). Along the rostrocaudal axis, centroposterior loci in TRN are respectively linked with the somatosensory, visual, and auditory cortices and their associated thalamic nuclei. In contrast to the detailed information on the central and caudal TRN sectors, little is known about its anterior part, which includes a motor sector, connected with motor cortex and ventrolateral thalamic nuclei, and the rostral pole, associated with the limbic cortex and anterior, midline, and intralaminar thalamic nuclei (Cicirata et al., 1990; Gonzalo-Ruiz and Lieberman, 1995; Lozsadi, 1995; Tai et al., 1995; Kolmac and Mitrofanis, 1997; Xiao and Barbas, 2004). We now provide evidence that the anterior quarter of TRN constitutes the prefrontal sector, overlapping with the motor and limbic sectors. In agreement with previous studies (for review, see Guillery and Harting, 2003), there was considerable overlap in the terminal fields of distinct prefrontal areas. Moreover, the prefrontal TRN sector projects to MD, VA, and AM, three thalamic nuclei connected with prefrontal cortices (Pinault and Deschenes, 1998; Ilinsky et al., 1999; Xiao and Barbas, 2004).

The most focal projections originated in prefrontal areas 10 and 32 and terminated in the rostral pole of TRN. Interestingly, such focal projection is uncharacteristic for area 32, which has otherwise widespread cortical and subcortical connections (Barbas, 2000). In contrast, terminations from areas 46, 13, and 9 were

Figure 8. Ultrastructure of large and small boutons in TRN. A, B, EM photomicrographs showing large labeled boutons synapsing on PV- (black dots). C, EM photomicrograph showing a small labeled bouton synapsing on a PV- dendrite. D, Small bouton synapsing on a PV- dendrite. E, Large bouton synapsing on a GABA- dendrite (black dots). F–H, Small boutons synapsing on GABA- dendrites. Asterisks indicate postsynaptic densities. Dendrites labeled for PV or GABA contain gold particles (black dots). Scale bars: A–C, E–H, 1 μm; D, 0.5 μm.

Figure 9. Labeled large and small boutons from prefrontal axons in TRN. 3D reconstruction of the boutons shown in Figure 7, A and C, (blue) synapsing on PV- dendrites (gray). Unlabeled boutons (yellow) are included for comparison. The large bouton at the top is at least three times bigger than the small bouton (bottom right).

Figure 10. Prefrontal projections to subcortical structures are similar but differ from temporal sensory projections to TRN. Bright-field photomicrographs showing BDA-labeled prefrontal (A–E) or temporal (F) axons and boutons terminating in various subcortical areas. A–E, Terminations from area 32 in MD (A), VA (B), caudate nucleus (C), amygdala (D), and TRN (E). F, Terminations in TRN from anterior temporal sensory association areas TE1/2. Note the similarity of all prefrontal terminations, consisting of large boutons (black arrowheads) and small boutons (silhouette arrows) with comparable diameters and surface areas. Terminals from anterior temporal sensory cortices consist solely of small boutons. Scale bar, 25 μm.
widespread in TRN, extending to its posterior (sensory) sectors. These projections overlapped with terminations from temporal auditory, visual, and polymodal association cortices within the second and third TRN quarters. Areas 46 and 13 exhibited the most extensive overlaps with temporal cortices, followed by area 9. None of the sensory association or polymodal areas studied had such widespread projections, consistent with their topography in other species.

**Presynaptic diversity of prefrontal corticoreticular pathways**

There was also a unique mode of prefrontal terminations in TRN, consisting mostly of small but also a significant proportion of large boutons. In contrast, projections from sensory and motor cortices terminate exclusively as small boutons in TRN and are thought to originate in layer VI (Guillery et al., 1998; Rouiller et al., 1998; Kakitani et al., 2001; Rouiller and Durif, 2004), which is also the predominant pattern of cortical innervation of other thalamic nuclei. Our results confirm and extend these findings by showing that axonal boutons from auditory, visual, and polymodal association cortices are also small. Some corticothalamic neurons are located in layer V, and these are thought to be the source of large boutons in several thalamic nuclei, although not TRN. Consistent with this hypothesis, we found both small and large boutons in prefrontal projections to MD and VA, which originate from layers VI and V (Arikuni et al., 1983; Giguere and Goldman-Rakic, 1988; Schwartz et al., 1991; McFarland and Haber, 2002; Xiao and Barbas, 2004). However, we also found similar populations of small and large prefrontal terminations in the amygdala and caudate, which receive the vast majority of their cortical projections from layer V (Aggleton et al., 1980; Arikuni and Kubota, 1986; Tanaka, 1987; Amaral and Insausti, 1992; Yeterian and Pandya, 1994; McDonald, 1998). Moreover, small and
large terminals were frequently found along the same axonal branches, suggesting that layer V and/or layer VI corticothalamic neurons can produce both endings. The above findings suggest that the most probable source of large boutons in TRN is layer V, although their origin in layer VI cannot be excluded.

Corticothalamic pathways from layer VI are considered “feedback modulators” of thalamic relay, whereas those from layer V are thought to be “drivers,” similar to the ascending sensory afferents to first-order thalamic nuclei (Guillery and Sherman, 2002). Layer V projections reach high-order thalamic nuclei and are thought to have a role in corticocortical communication, relaying preprocessed information from one area to another cortical area. In this context, prefrontal cortices, unlike other cortices, issue some “driver-like” projections to TRN. Large boutons are more likely to undergo multivesicular release (Rosenmund and Stevens, 1996; Murthy et al., 1997) and could be more efficient in activating TRN, suggested by the linear correlation between number of vesicles and bouton size (Pierce and Lewin, 1994; Shepherd and Harris, 1998; Germuska et al., 2006). Large boutons may be present in highly active networks, consistent with their increased mitochondrial content, which is activity dependent (Thomson, 2000). Reticular neurons are additionally coupled by electrical synapses (Landisman et al., 2002; Landisman and Connors, 2005), suggesting that even a small number of large boutons could initiate widespread activation in TRN and override input from small terminals arising in other cortices. Neighboring reticular neurons also communicate synaptically and thus can inhibit adjacent TRN regions (Zhang and Jones, 2004). As a result, subsets of thalamic neurons could be inhibited and others disinhibited, facilitating discrimination of incoming information.

Small and large boutons from prefrontal cortices may affect differentially the excitability of TRN neurons in the sleep–wake cycle. Glutamatergic cortical afferents, in general, provide the predominant synaptic inputs in TRN (Liu and Jones, 1999) and likely have a critical role in controlling the firing patterns of thalamocortical relay neurons (Pinault, 2004; Fuentealba and Steriade, 2005). Physiological studies and computational models of reconstructed reticular neurons have shown that the numbers of glutamate receptor subunits are significantly higher in corticoreticular synapses than in other corticothalamic synapses. Moreover, high densities of the low-threshold Ca\(^{2+}\) current in reticular dendrites endow them with exquisite sensitivity to cortical EPSPs when their dendrites are hyperpolarized (Destexhe, 2000; Golshani et al., 2001; Fuentealba and Steriade, 2005). As a result, corticothalamic EPSPs reinforce or evoke bursts in reticular neurons and have a net inhibitory effect on thalamic relay cells, as seen during the early stages of sleep (Steriade et al., 1993b). Conversely, when the dendrites of TRN neurons are depolarized, their activity switches from burst to tonic mode and the cortical influence on relay cells is mostly excitatory, resulting in the desynchronization of thalamocortical oscillations and transition to the alert state. Interestingly, area 46, which had the most widespread projections, was the only area studied that had large terminations in the caudal (sensory) sectors of TRN.

The noradrenergic and cholinergic systems, which have been implicated in the sleep–wake cycle, arousal, and attentional mechanisms (McCormick, 1989; Steriade et al., 1993a; Hasselmo, 1999; Aston-Jones et al., 1999), heavily innervate TRN (Cicirata et al., 1990; Asanuma, 1992; Heckers et al., 1992; Kolmac and Mitrofanis, 1998), and their input could be modulated by the widespread prefrontal projections. These neurotransmitter systems and dopamine also innervate robustly thalamic nuclei connected with prefrontal cortices. A delicate balance of norepinephrine, acetylcholine, and dopamine is essential for prefrontal cortical function (McCormick et al., 1991; Arnsten and Li, 2005; Sanchez-Gonzalez et al., 2005), and its disruption is implicated in several diseases, including attention deficit disorder and schizophrenia.

Implications of widespread prefrontal projections to TRN on thalamocortical communication

Prefrontal projections to TRN can regulate the activity of thalamic relay neurons in MD, VA, and AM. In addition, through their widespread projections to TRN, the prefrontal cortices could influence relay cells in other high-order or first-order thalamic nuclei situated beneath the central and posterior TRN sectors. The prefrontal cortex could thus exert indirect control on other cortices or subcortical structures. The unique prefronto–TRN interactions combined with the pacemaker attributes of TRN in the sleep–wake cycle are consistent with the hypothesis that TRN acts as the attentional searchlight of the brain (Crick, 1984). In the rat visual system, for example, a focus of attention is transmitted from the visual cortex to the thalamus, generating a core of excitation surrounded by inhibition initiated by the disynaptic cortico-reticulo-geniculate pathway (Montero, 1999). Approximately half of TRN synapses on anterior and mediodorsal thalamic nuclei in monkeys are with GABAergic neurons, suggesting disinhibition of thalamic relay neurons projecting to prefrontal cortices (Kultas-Illinsky et al., 1995; Tai et al., 1995). Consistent with this circuit, lesions of TRN increase the incidence of inhibition of thalamocortical projection neurons by local GABAergic neurons (Pinault, 2004; Fuentealba and Steriade, 2005).

The widespread terminations of prefrontal areas 46 and 13 in TRN may help modulate signals conveyed by efferents from temporal sensory association cortices in the same TRN sites. Efferents from area 46 overlap in TRN with projections from inferior temporal cortices associated with visual perception and visual memory (Fuster et al., 1981; Gross, 1994). Area 46 processes detailed sensory information, has a key role in working memory (Goldman-Rakic, 1996; Fuster, 1997; Funahashi and Takeda, 2002), and is connected with premotor cortices for action (Matelli et al., 1986; Barbas and Pandya, 1987). Conversely, area 13 has robust connections with limbic structures and is associated with emotional processing (Barbas, 2000). The interface of prefronto–sensory association pathways in TRN could have a role in selecting relevant and motivationally significant signals and suppressing distractors. The widespread prefrontoreticular projections may thus contribute to the supervisory and modulatory effects that specific prefrontal areas exert over other cortices.

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Zikopoulos and Barbos Prefrontal–Reticular Circuits in Executive Control J. Neurosci., July 12, 2006 • 26(28):7348–7361 • 7361