Development/Plasticity/Repair

Layer III Neurons Control Synchronized Waves in the **Immature Cerebral Cortex**

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Correlated spiking activity prevails in immature cortical networks and is believed to contribute to neuronal circuit maturation; however, its spatiotemporal organization is not fully understood. Using wide-field calcium imaging from acute whole-brain slices of rat pups on postnatal days 1-6, we found that correlated spikes were initiated in the anterior part of the lateral entorhinal cortex and propagated anteriorly to the frontal cortex and posteriorly to the medial entorhinal cortex, forming traveling waves that engaged almost the entire cortex. The waves were blocked by ionotropic glutamatergic receptor antagonists but not by GABAergic receptor antagonists. During wave events, glutamatergic and GABAergic synaptic inputs were balanced and induced UP state-like depolarization. Magnified monitoring with cellular resolution revealed that the layer III neurons were first activated when the waves were initiated. Consistent with this finding, layer III contained a larger number of neurons that were autonomously active, even under a blockade of synaptic transmission. During wave propagation, the layer III neurons constituted a leading front of the wave. The waves did not enter the parasubiculum; however, in some cases, they were reflected at the parasubicular border and propagated back in the opposite direction. During this reflection process, the layer III neurons in the medial entorhinal cortex maintained persistent activity. Thus, our data emphasize the role of layer III in early network behaviors and provide insight into the circuit mechanisms through which cerebral cortical networks maturate.

Introduction

Propagating waves of neuronal activity are crucial in computation and communication between neuronal networks (Ermentrout and Kleinfeld, 2001; Lubenov and Siapas, 2008; Wu et al., 2008) and have been described in various nervous systems, including sensory systems (Kleinfeld et al., 1994), the neocortex (Massimini et al., 2004; Xu et al., 2007), and the hippocampus (Lubenov and Siapas, 2009). In particular, wave activity prevails in immature neural networks of model animals (Katz and Shatz, 1996; O'Donovan, 1999; Peinado, 2000; Ben-Ari, 2001; Khazipov et al., 2001; Zhang and Poo, 2001; Calderon et al., 2005; Bolea et al., 2006; Khazipov and Luhmann, 2006; Allène et al., 2008; Golshani et al., 2009; Seki et al., 2012), and its phenomenological characteristics resemble those observed in the developing human brain (Colonnese et al., 2010). Early network waves are believed to be essential in neuronal differentiation, synaptogenesis, and circuit wiring (Moody and Bosma, 2005; Spitzer, 2006; Blankenship and Feller, 2010), and abnormal wave patterns during development are subsequently related to neurological disorders (Le Van Quyen et al., 2006; Allène et al., 2008; Seki et al., 2012).

Cortical waves are diverse in their spatiotemporal patterns (Arieli et al., 1996; Benucci et al., 2007; Han et al., 2008; Huang et al., 2010). A prominent example is the reflection of waves at the border between different cortical areas (Xu et al., 2007). Bidirectional waves are also found in the adult brain in vitro (Kim et al., 1995; Sanchez-Vives and McCormick, 2000) and in vivo (Bao and Wu, 2003; Rubino et al., 2006; Luczak et al., 2007). Waves with different directions may carry different information content (Roland et al., 2006; Rubino et al., 2006; Takahashi et al., 2011a).

Previous studies have focused mainly on the macroscopic behavior of waves, and little is known about how the waves emerge, propagate, terminate, or reflect at the microcircuit level. This gap in the literature is largely due to the lack of an appropriate experimental method; that is, macroscopic imaging lacks cellular resolution, and higher resolution imaging makes it extremely difficult to identify the generation or termination site of waves. To overcome this problem, we used both macroscopic and microscopic imaging techniques in combination with electrophysiological recordings.

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Materials and Methods

Animal experiment ethics. All experiments were performed with the approval of the animal experiment ethics committee at the University of Tokyo (approval number: 19-35) and in accordance with the University of Tokyo guidelines for the care and use of laboratory animals.

Materials. Oregon Green BAPTA-1 AM (OGB1AM), NeuroTrace 530/615, and Pluronic F-127 were obtained from Invitrogen. D-2-

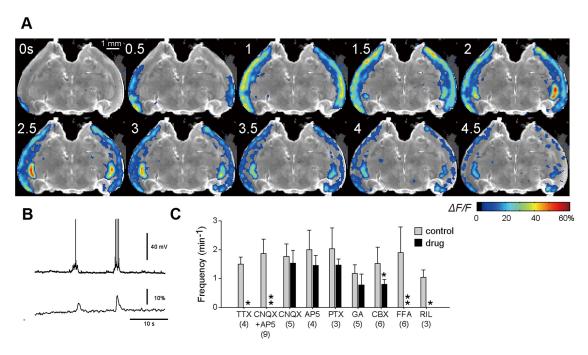


Figure 1. Large-scale cortical synchronized waves in a cute brain slices prepared from immature rats. *A*, Low-magnification time-lapse calcium imaging of a synchronized wave in a horizontal whole-brain slice. A posterior region of the cerebral cortex is the source of the synchronized wave. *B*, Simultaneous whole-cell patch-clamp recording (top) and calcium imaging (bottom) from the same cell, revealing that individual calcium activities reflected action potentials. *C*, Frequencies of synchronized waves before and after bath application of inhibitors [i.e., 1 μΜΤΙΧ, 20 μΜ CNQX plus 50 μΜ AP5 (CNQX + AP5), 20 μΜ CNQX, 50 μΜ AP5, 100 μΜ picrotoxin (PTX), 100 μΜ 18 β-glycyrrhetinic acid (GA), 100 μΜ carbenoxolone (CBX), 100 μΜ flufenamic acid (FFA, CAN channel blocker), and 30 μΜ riluzole (pNa channel blocker)]. The numbers in parentheses indicate the numbers of slices tested. Data are means \pm SDs. *p < 0.001 versus control, Mann—Whitney U test.

Amino-5-phosphonopentanoic acid (AP5), carbenoxolone, 6-cyano-7-nitroquinoxaline-2,3-dione (CNQX), Cremophor EL, flufenamic acid, 18β -glycyrrhetinic acid, picrotoxin, riluzole, and saclofen were obtained from Sigma-Aldrich. Stock solutions were stored at -20°C and diluted immediately before use.

Slice preparations. Postnatal 1–6-d-old (P1–P6) male rats (Japan SLC) were deeply anesthetized with ether and ice. The brain was quickly isolated (<30 s) and immersed in ice-cold modified artificial CSF (aCSF) consisting of (in mm) the following: 27 NaHCO₃, 1.4 NaH₂PO₄, 2.5 KCl, 7.0 MgSO₄, 1.0 CaCl₂, 222.1 sucrose, and 0.5 ascorbic acid. These salts were all obtained from Wako Chemicals. aCSF was continuously bubbled with 95% O₂ and 5% CO₂. The leptomeninx was carefully removed by two pairs of sharp tweezers, a process critical for making slice preparations flat enough for wide-field imaging. Horizontal slices 400 μ m thick were prepared using a vibratome (Vibratome 3000, Vibratome). Slices at depths of 400–1600 μm from the ventral surface of the brain were used for experiments. The same surface of each slice was kept upright during the incubation and experiments. The slices were maintained for 30 min at room temperature in aCSF, consisting of (in mm) the following: 127 NaCl, 26 NaHCO₃, 1.5 KCl, 1.24 KH₂PO₄, 1.4 MgSO₄, 2.0 CaCl₂, and 10 glucose. For P5-P6 slices, the concentration of CaCl₂ in aCSF was lowered to 1.0 mm because the extracellular calcium ion concentration in vivo reduces to this level after P5 (Math and Davrainville, 1979). To examine the reproducibility of the wave dynamics, we also used aCSF consisting of (in mm) the following: 124 NaCl, 26 NaHCO₃, 3.5 KCl, 1 MgCl₂, 1.2 CaCl₂, 10 glucose, modified from aCSF reported in Sanchez-Vives and McCormick (2000). Cortical waves were consistently observed in P1-P6 slices and did not show age-dependent differences in the parameters used in this work. Thus, all of the data were pooled for quantitative analysis, although only P1-P3 slices were used for the wholebrain slice imaging so that they could be viewed under the limited microscopic field (Figs. 1A, 2A).

Calcium imaging

The slices were transferred into a 35 mm dish filled with 4 ml of the recording aCSF, and 5 μ l of dye solution was directly puffed onto the slice surface (Ikegaya et al., 2005). The dye solution consisted of 0.07%

OGB1AM, 1.4% Pluronic F-127, and 0.7% Cremophor EL in DMSO (Takahashi et al., 2011b). The slices were incubated for 30 min at room temperature in a custom-made chamber. After washing, the slices were maintained in dye-free aCSF at room temperature for >30 min. A slice was transferred into an imaging chamber perfused with 34-36°C aCSF at 2-3 ml/min. After a stabilization period of 15 min, imaging of spontaneous or evoked calcium signals was begun. Electrical stimulation (pulse duration, 50 μ s; intensity, 5–80 μ A) was performed using bipolar tungsten electrodes. Images were captured at 10-50 frames/s with a Nipkow disk confocal unit (CSU22 or CSUX1, Yokogawa Electric), a cooled CCD camera (iXon DV887 or DV897, Andor Technology), an upright microscope (Eclipse FN1, Nikon), objectives (1×, 0.04 numerical aperture, Plan UW; 4×, 0.13 numerical aperture, Plan Fluor; 16×, 0.80 numerical aperture, CFI75 LWD 16XW; Nikon), and image acquisition software (Solis, Andor Technology). OGB1 was excited at 488 nm with an argon-krypton laser (10-20 mW, 641-YB-A01, Melles Griot) and visualized through a 507 nm longpass emission filter. For local application of GABA, 200 μ M GABA was loaded in glass pipettes and pressure-applied to the slice surface (Sasaki et al., 2011). In this experiment, 200 µM saclofen was also added to intrapipette aCSF to prevent GABA_R receptor activation, which may induce calcium mobilization from somatic endoplasmic reticulum.

Patch-clamp recording. Whole-cell patch-clamp recordings were performed with glass pipettes filled with solution containing (in mM) the following: 130 K-gluconate, 9 KCl, 0.1 CaCl₂, 10 HEPES, and 1 EGTA; 4 Mg-ATP, 0.4 Na₂GTP, pH 7.2, for voltage clamp; and 135 K-gluconate, 4 KCl, 10 HEPES, 10 phosphocreatine, 4 Mg-ATP, 0.3 Na₂GTP, pH 7.2, for current clamp. Filament borosilicate glass capillaries were used to prepared electrodes (5–8 MΩ). Capillaries were pulled with a puller (P-97, Sutter Instruments). Recordings were performed using Multiclamp 700B (Molecular Devices). Pipette seal resistances were typically >1 GΩ, and pipette capacitive transients were minimized before breakthrough. Glutamatergic and GABAergic postsynaptic currents (PSCs) were predominated at clamped voltages of 0 and -73 mV, respectively, in P5–P6 slices. Signals were low-pass filtered at 1 kHz, digitized at 10 kHz, and analyzed with pCLAMP 10 software (Molecular Devices).

Local field potential recording. Recordings were conducted in a submersion-type recording chamber (volume, 1.8 ml) perfused with

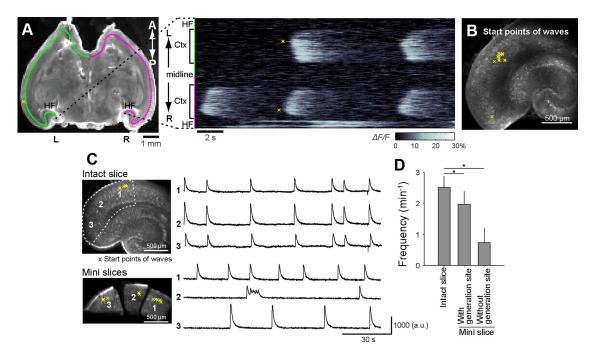


Figure 2. Wave initiation in LEC. *A*, Representative line-scan plot of the calcium signal across bilateral cerebral cortices. The calcium signal (right) measured along the red line shown in the left image indicates the LEC as an origin of synchronized waves. Yellow asterisks indicate the initiation sites (the mass centers of the earliest activated areas) of the second wave in the left plot. A, anterior; Ctx, cortex; HF, hippocampal formation; L, left, P, posterior. R, right. *B*, The initiation sites of individual waves are indicated by yellow asterisks, many of which were located in layer III of the LEC. *C*, Comparison of synchronized waves in three zones (1–3) of the EC before (top) and after (bottom) surgical dissection. 1, anterior LEC; 2, border area between the LEC and the MEC; 3, posterior MEC. The right traces indicate the changes in the fluorescence intensity of the corresponding zones. Every part of the cortex was capable of generating spontaneous activity. *D*, Wave frequency in intact slice and minislices. Although the wave frequency was lower in the minislices, the minislices that contained the original site of wave initiation (i.e., zone 1) exhibited waves more frequently than other minislices. Data are means ± SDs of five slices. *p < 0.001, Mann—Whitney's *U* test.

aCSF (saturated with 95% O_2 and 5% CO_2 at 37°C) at \sim 10 ml/min. Field potentials were recorded from lateral entorhinal cortex (LEC) layer III using borosilicate glass capillaries (0.5–1.2 M Ω) filled with aCSF. Signals were low-pass filtered at 1 kHz, amplified by MultiClamp 700B, digitized at 20 kHz by pCLAMP 10.2 (Molecular Devices) software, and offline analyzed using custom-made Matlab routines (MathWorks).

Data analysis. For wide-field imaging, videos were taken at 10-20 Hz and spatially filtered with a 3×3 -pixel Gaussian kernel. The images were further smoothed through a 100 ms window hamming filter. Wide-field images were analyzed using custom-made Matlab routines with the image processing toolbox. Time periods during which the slice did not show activity were manually selected and used for the baseline fluorescence intensity. For each pixel, the fluorescence change was calculated as $(F_t F_0/F_0$, where F_t is the fluorescence intensity at time t and F_0 is the average signal intensity during the baseline period. For the pseudocolored presentation of calcium activity, pixels that showed fluorescence changes >2 \times SD of F_0 were shown in a heat map and were merged with the raw image. The three-dimensional xyt plots were illustrated using the image processing software Avizo 6.3 (Visage Imaging). For imaging at singlecell resolution, videos were taken at 50 Hz and the contours of the cells were automatically detected using the Matlab function "bwlabel." False contour detection was manually rejected (Ikegaya et al., 2004). Time series of the OGB1 fluorescence intensity were measured in individual cells. For each cell, the fluorescence change was calculated as $(F_t - F_0)/F_0$. Spike-triggered calcium increases were determined with a customwritten routine in Matlab (Takahashi et al., 2010, 2012). Non-neuronal cells were discarded based on the criteria used in our previous reports (Sasaki et al., 2007, 2011). To identify the locus of the wave initiation, we determined the mask image of the >5% fluorescence activity at every frame, and the mass center of the mask at the first frame in which the wave appeared was defined as the wave initiation zone. Two criteria were used to define autonomously active cells: (1) they showed spontaneous activity in the presence of 20 μ M CNQX, 100 μ M AP5, and 100 μ M picrotoxin; and (2) their activity, when it lasted longer than 1 s, occurred at a frequency of >1 event per 10 min. Cortical layers were determined based on the cell density and the soma sizes of neurons. The entorhinal cortex was divided into the LEC and medial entorhinal cortex (MEC), based on the appearance of layer II and the thickness of the lamina dissecans

Statistics and data representation. Statistical tests and classifications were performed using the Matlab statistics toolbox. The data are summarized as the mean \pm SD.

Results

Spatiotemporal patterns of synchronized waves

To examine the large-scale organization of early network activity in the immature cerebral cortex, we used a low-magnification (1×) objective so that the microscopic field fully covered an acute horizontal slice of the rat whole brain (Fig. 1*A*). OGB1-loaded slices intermittently exhibited spontaneous calcium elevations synchronized throughout the cerebral cortex. This spontaneous activity was observed in all slices prepared from P1–P6 rats, and the event frequencies were 2.4 ± 2.1 per minute (mean \pm SD of 75 slices). These calcium events accompanied action potentials and UP state-like persistent depolarization of neurons (Fig. 1*B*; see also Fig. 11*B*) and were inhibited by bath application of 1 μ M TTX (Fig. 1*C*; $p = 1.2 \times 10^{-3}$, $t_3 = 12.3$, paired t test, n = 4 slices).

We examined the spatiotemporal dynamics of the spontaneous activity in wide-field videos captured at 20 Hz and found that these calcium events were traveling waves. The propagation speed was $\sim 1-7$ mm/s and varied depending on the cortical region and the wave direction (see Fig. 8). The main locus where the calcium activity was initiated was a posterior region of the brain (Fig. 1*A*). This region corresponds to the most anterior part of the LEC, which is close to the perirhinal cortex. Once initiated, the activity bifurcated in the anterior and posterior directions,

propagated along the tangential axis of the cortex, and eventually spread over almost the entire cortex (Figs. 1A, 2A). The waves sometimes co-occurred in both hemispheres with a small time delay of <0.5 s; note that the wave shown in Figure 1A arose from the left LEC. We observed the bihemispheric waves in four of the total 12 slices. In one of the four slices, we dissected both hemispheres at the midline by surgically cutting the ventral 75% part (a region that was posterior to the third ventricle), but the bilateral waves were preserved in this partially incised slice, suggesting that the anterior commissure mediates interhemispheric synchronization. They were occasionally propagated to the hippocampus, the amygdala, and the subiculum (Figs. 1A, 2A); however, we failed to find waves occurring in the parasubiculum, the thalamus, or the pons.

Pharmacological characterization synchronized waves

To examine the mechanisms underlying these traveling waves, we conducted a series of pharmacological experiments (Fig. 1C). Bath application of either 20 μM CNQX, a non-NMDA receptor antagonist, or 100 μ M AP5, an NMDA receptor antagonist, alone had no effect (CNQX: p = 0.51, $t_4 = 0.719$, n = 5 slices; AP5: p = 0.510.075, $t_3 = 2.67$, paired t test, n = 4 slices), but the mixture of both antagonists blocked the waves ($p = 3.5 \times 10^{-6}$, $t_8 = 11.3$, n = 9slices). Similar results have been reported in the cortex in vivo (Minlebaev et al., 2009) and in vitro (Sheroziya et al., 2009), indicating that cortical waves are driven by chemical synaptic transmission. Application of 100 µM picrotoxin, a GABAA receptor antagonist, did not inhibit the waves (p = 0.25, $t_2 = 1.61$, n = 3slices). These data suggest that glutamatergic synaptic transmission plays a pivotal role in generating the waves and that our waves are distinct from GABA-mediated giant depolarizing potentials (Allène et al., 2008).

Application of 100 μ M carbenoxolone, a broad inhibitor of gap junction, slightly reduced the wave event frequency ($p = 0.031, t_5 = 2.99, n = 6$ slices), whereas 100 μ M 18 β -glycyrrhetinic acid, a more specific inhibitor of gap junction, did not significantly reduce the wave frequency ($p = 0.13, t_4 = 2.52, n = 5$ slices). Thus, the waves we observed here are different from cortical synchronous plateau assemblies (Crépel et al., 2007) or gap junction-mediated network oscillations (Sun and Luhmann, 2007).

The waves were also inhibited by 100 µM flufenamic acid, a blocker of calcium-dependent nonselective cation (CAN) currents, which are known to contribute to persistent spiking activity in the adult entorhinal cortex (EC) (Egorov et al., 2002; Fransén et al., 2006) ($p = 8.8 \times 10^{-3}$, $t_5 = 4.78$, n = 6 slices), and by 30 μ M riluzole, a blocker of persistent sodium (pNa) currents (p =0.019, $t_2 = 7.00$, n = 3 slices). Flufenamic acid and riluzole have been reported to inhibit prolonged bursting activity in layer III of the developing EC (Sheroziya et al., 2009). CAN currents contribute to persistent firing activity even in the adult EC (Egorov et al., 2002; Fransén et al., 2006; Tahvildari et al., 2007). In adulthood, cholinergic innervations from the medial septum are required for this persistent firing activity (Egorov et al., 2002), whereas in the developmental stage that we focused on, cholinergic fibers are still lacking (Reboreda et al., 2007). Recently, Yoshida and Alonso (2007) reported acetylcholine-independent persistent firing in layer III of the EC, indicating that NMDA receptor activity alone is sufficient to maintain the persistent firing activity. Thus, calcium influx through NMDA receptors may activate CAN currents and thereby cause persistent firing activity in the developing EC. pNa currents are known to underlie synchronized activity in other cortical regions (Corlew et al., 2004). In the spinal motor system, these currents support oscillatory patterns, such as bursts of synchronized waves (Tazerart et al., 2008).

Initiation of synchronized waves

Although the loci where waves were initiated varied slightly from event to event even in the same slice, the earliest activity emerged mainly from the anterior end of the LEC (Fig. 2B), suggesting that the LEC involves a wave pacemaker. Thus, we sought to isolate the LEC from the whole-brain slices to examine whether the isolated LEC stubs were still capable of emitting autonomous calcium oscillations. The EC was surgically separated into three minislices: (1) the anterior LEC, (2) the border area between the LEC and the MEC, and (3) the posterior MEC (Fig. 2C). Contrary to our expectation, all minislices generated spontaneous waves, although the activity was no longer synchronized among them. The spontaneous activity was commonly observed in minislices prepared from the EC, but virtually not from the other cortical areas. The same results were observed in all minislices prepared from four other rats, suggesting that the EC cortical circuit per se appears to be a self-oscillator, regardless of the EC subareas. The depth of the wave generation sites were 151 \pm 9.45 μ m from the pial surface (n = 37 waves in 5 slices) and were not significantly changed by the dissection (156 \pm 11.5 μ m; p = 0.313, Z = 1.01, Mann–Whitney U test, n = 53 waves in 5 slices).

To examine whether local neuronal activity in subregions can initiate cortical waves, we returned to whole-brain slices and applied brief electrical stimulation (2 pulses at 40 Hz; pulse duration, 50 μ s; intensity, 40 μ A) to four sites: (1) the anterior end of the LEC (the original wave initiation site), (2) the border between the LEC and the MEC, (3) the posterior end of the MEC, and (4) the hippocampal CA1 stratum radiatum (Fig. 3A). Stimulation of all these sites readily induced synchronized waves that propagated to the entire cortex, similar to spontaneously occurring waves (Fig. 3B-F), suggesting that any active subregion in the temporal cortical area can trigger cortical waves. However, importantly, the frequency of calcium events was highest in minislices that had originally contained the wave initiation site (Fig. 2D; p = 0.008, Z = 2.65, Mann–Whitney U test, n = 5 slices). In the whole brain, therefore, waves may be entrained to the activity of the most active cortical subregion.

To examine the fine-scale neuronal dynamics during the wave initiation, we first identified the locus of the wave initiation using a $1 \times$ objective and then monitored the activity of individual LEC neurons within this locus using a higher-magnification ($16\times$) objective (Fig. 4A). The neurons that exhibited the earliest activity during the wave initiation existed in layer III (Fig. 4B). Subsets of neurons emitted spontaneous calcium activity even under the blockage of fast synaptic transmission in the presence of CNQX, AP5, and picrotoxin (Fig. 4A). This spontaneous activity was completely abolished by TTX (n = 4, data not shown) and thus reflected neuronal firing. The proportion of the autonomously active neurons was higher in layer III compared with layer II and V (Fig. 4C; layer II vs III: p = 0.012, Z = 2.56; layer III vs V: p < 10^{-5} , Z = 4.38, Mann–Whitney *U* test, n = 5 slices). The activity durations were also the longest in layer III (Fig. 4D; layer III vs V: $p < 10^{-8}$, Z = 5.76, Mann–Whitney U test, n = 5 slices). One possible explanation for these different properties is the intrinsic circuit organization. A study using pair-recording from EC neurons has demonstrated that the number of intralaminar recurrent connections in layer III is greater than that in layer II (Dhillon and Jones, 2000). The dense, recurrent layer III network may efficiently amplify the spontaneous activity of neurons, which may in turn trigger waves.

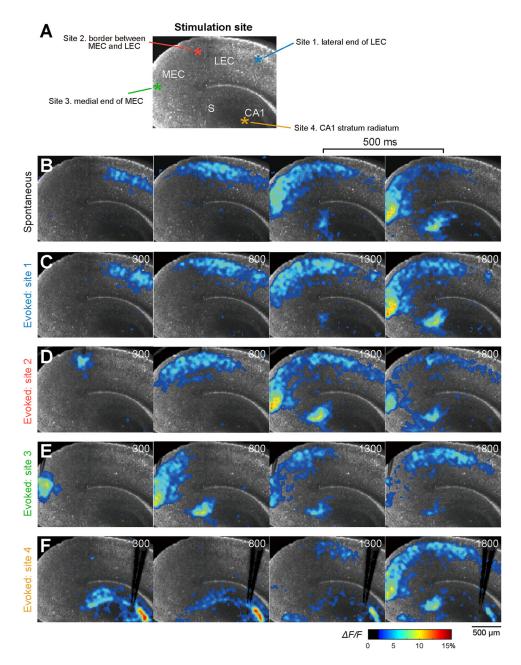


Figure 3. Electrical stimulation-evoked synchronized waves. **A**, Four sites (1– 4) of stimulation. **B**, Propagation of a spontaneously generated wave. **C**–**F**, Waves evoked by electrical stimulation (50 μs, 40 μA, 2 pulses) of the lateral end of the LEC (**C**, site 1), the border between the MEC and LEC (**D**, site 2), the medial end of the MEC (**E**, site 3), and the hippocampal CA1 stratum radiatum (**F**, site 4). Stimulation of all sites could induce synchronized waves that propagated beyond the EC. The same results were obtained from all six slices tested.

Propagation of synchronized waves

We next applied cellular resolution imaging to waves propagating through a posterior part of the LEC (Fig. 5*A*). We monitored several wave events in the same microscopic field (Fig. 5*B*) and compared the spatiotemporal patterns of individual cell activation (Fig. 5*C*,*D*). In each wave event, the first activated neurons were observed mainly in layer III but also to a lesser extent in layer II (Fig. 5*C*). Thus, layer III neurons constituted the main leading front of the wave. The orders of cell activation were similar between different waves (Fig. 5*D*). Spearman's rank correlation coefficients between the neuron sequences were calculated for 76 waves in five slices (Fig. 5*E*). The mean correlation coefficient was 0.27 ± 0.43 , and it was significantly biased toward positive values ($p < 10^{-10}$, Z = 20.7, z test for a population mean, n = 76 waves

of 5 slices), indicating that the temporal patterns of sequential cell activation were preserved across wave events.

To further confirm this stereotyped cell activation, we used another statistic, the ratio of "core" cells to the total cells that participated in waves. The core cells were defined as cells that were commonly activated in different waves; note that single-wave events recruited $41.7 \pm 5.6\%$ of the total numbers of cells (n=76 waves from 5 slices). On average, the core cells contributed 79% for any given pair of waves in the same slices. This ratio decreased as a function of the number of waves compared and was reduced to 60.2% in four-wave comparisons (Fig. 6A, black), but it was consistently higher than the chance level, which was estimated using a resampling method in which all monitored cells, including nonactive cells, were randomly renumbered (Fig.

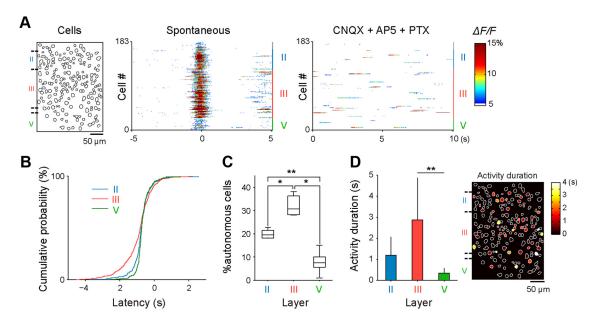


Figure 4. Autonomous cells in LEC layer III. **A**, Typical raster plot of activity of individual cells (left) in an LEC network before (middle) and after (right) bath application of 20 μ M CNQX, 50 μ M AP5, and 100 μ M picrotoxin (PTX). The wave initiation site was searched using a low-magnification objective (4×) and imaged under high magnification. **B**, Activation of the layer III cells preceded the activation of the other layers (p < 0.0001, Kolmogorov–Smirnoff test; n = 3-26 waves from 6 slices). **C**, Percentage of autonomously active cells to the total cells monitored in each layer. Data are shown as box plots *p < 0.05, **p < 0.01, Mann–Whitney U test, n = 5 slices. **D**, The mean durations of autonomous calcium events were longest in layer III cells. The pseudocolored map (right) indicates the mean event durations in individual cells of the same slice as **A**. Data are means ± SDs of 5 slices. **p < 0.01, Mann–Whitney U test.

6A, black broken line). Thus, different wave events shared significantly more neurons, shaping the stereotyped internal structure of the waves. We next compared the core cells between spontaneous and stimulation-evoked waves (Fig. 6B, C). Stimulation of the anterior end of the LEC generated stereotyped waves that reliably recruited a similar population of LEC cells, and the core cell ratio was again significantly higher than expected by chance (Fig. 6A, green). Interestingly, the spontaneous and evoked waves also shared a significant number of cells, and the overlap ratios were almost identical to the levels of "spontaneous versus spontaneous" and "evoked versus evoked" wave comparisons (Fig. 6A, red).

This highly stereotyped activity pattern of propagating waves enabled us to compare glutamatergic and GABAergic synaptic inputs during wave propagation. We conducted whole-cell patch-clamp recordings from LEC layer III neurons (n = 3 cells). Glutamatergic and GABAergic PSCs were dominated by clamped voltages at -73 and 0 mV, respectively (Fig. 6D). The current traces recorded from the same neuron were averaged over 50 trials of stimulation and plotted in the space of glutamatergic and GABAergic synaptic conductances (Fig. 6*E*). We found that these conductances were proportionate during evoked waves, indicating a balanced tuning of the glutamatergic and GABAergic network activity. Furthermore, we conducted dual patch-clamp recordings from adjacent LEC layer III neurons. Three pair types of recording traces [i.e., "glutamatergic vs glutamatergic" (n = 29pairs), "GABAergic vs GABAergic" (15 pairs), and "glutamatergic vs GABAergic" (13 pairs)] were compared within the same waves. For all three comparisons, the cross-correlations were biased toward nonzero values, suggesting that neurons received temporally correlated glutamatergic and GABAergic inputs during waves (Fig. 6F).

The intracellular concentration of chloride ions is known to change during development of the cerebral cortex (Luhmann and Prince, 1991; Agmon et al., 1996; Owens et al., 1996; Garaschuk et al., 2000; Yamada et al., 2004; Rheims et al., 2009) and of other

areas (Obata et al., 1978; Mueller et al., 1984; Ben-Ari, 2002; Dzhala et al., 2012). This shift in the intracellular environment determines the excitatory or inhibitory action of GABA_A receptor channels. To examine whether GABA is excitatory or inhibitory in our preparations, we performed local application of 200 μ M GABA to LEC layer III neurons in the presence of 200 μ M saclofen, a GABA_B receptor antagonist (Fig. 6*G*). GABA induced transient calcium increments in these neurons. In this developmental stage, therefore, LEC is likely to contain a population of neurons on which GABA exerts an excitatory effect.

Termination and reflection of synchronized waves

We next focused on the wave behavior at the border between the MEC and the parasubiculum. Of 654 waves observed, only eight (1.2%) propagated into the parasubiculum, and the remaining waves terminated (70.4%) or reflected (28.4%) at this border (n=43 slices). Figure 7 shows representative cases of terminated (Fig. 7A,B) and reflected waves (Fig. 7C,D) in the same slice. Both types of waves were initiated from the anterior LEC (Fig. 7E,F). Similar termination and reflection of waves were observed in aCSF of a different ionic composition with 3.5 mM potassium ion, 1 mM magnesium ion, 1.2 mM calcium ion (see Materials and Methods); the mean frequency in this solution was 5.0 \pm 5.1 per minute, and 20 \pm 21% of waves showed reflection (n=7 slices at P5–P6).

We monitored calcium wave activity simultaneously with local field potentials (Fig. 7G). Forward waves accompanied single biphasic "sink \rightarrow source" deflections in field potentials (the mean deflection amplitude: $125 \pm 67 \,\mu\text{V}$, n=25 waves from 5 slices), indicating that they did not reflect network oscillations (Corlew et al., 2004; Allène et al., 2008; Lischalk et al., 2009). Reverse waves after reflection also accompanied biphasic field potentials, but with smaller amplitudes. We did not observe prolonged field activity reported in other studies (Khazipov et al., 2004; Minlebaev et al., 2009, 2011; Sheroziya et al., 2009; Yang et al., 2009).

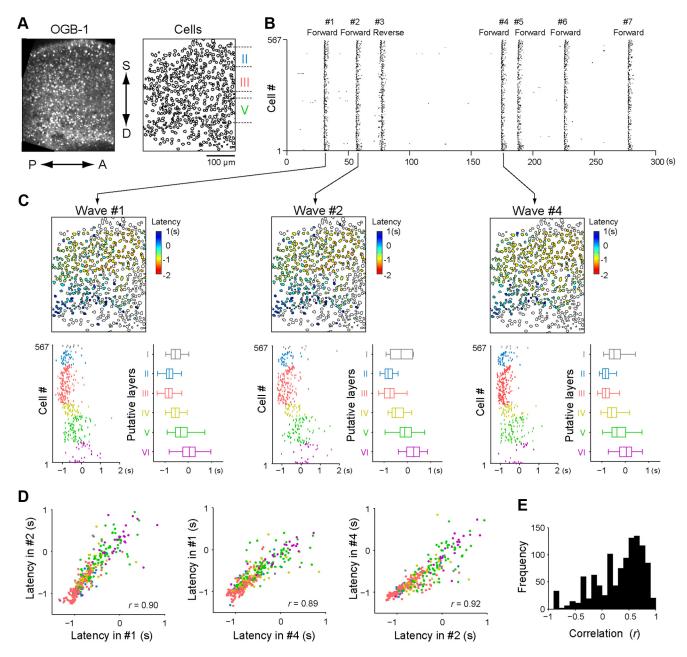


Figure 5. Wave propagation with a leading front in layer III. **A**, Confocal image of an OGB1-loaded slice (left) and the locations of 567 cells (right) in the LEC. A, anterior; D, deep; P, posterior; S, superficial. **B**, Spatiotemporal patterns of multineuronal activity during wave propagation. Each dot indicates the onset of each calcium activity. The data were obtained from the same slice as **A**. Forward, waves propagating in the posterior direction; Reverse, reflected waves propagating in the anterior direction. **C**, Top, Cell maps representing the activity onset in propagating waves #1, #2, and #4 shown in **B**. The onset timing is indicated in a pseudocolored scale. Bottom left, Onset timings of individual cells. The colors indicate the cortical layers. Bottom right, Summarized box plots of the onset timings of neurons in different layers. **D**, Comparison of the activity latency of individual cells between pairs of wave #1 versus #2, #2 versus #4, and #4 versus #1. Each dot indicates a single cell. The colors represent the layers of cells as shown in **C**. The positive linear correlations indicate that the order of cell activation was largely preserved across waves. **E**, The distribution of Spearman's rank correlation coefficients of the onset timings between all possible pairs of 76 waves in five slices.

The velocities of the waves are shown in line-scan plots corresponding to the tangential axis of the cortex (Fig. 8*A*). The forward (or prereflected) waves did not significantly differ in velocity between the cases with and without reflection (p = 0.46, Z = 0.74, Mann–Whitney U test, n = 7 slices), but the reflected waves were slower than their forward waves (Fig. 8*B*; p = 0.0024, Z = 3.04, Mann–Whitney U test, n = 7 slices). This difference may be due to the circuit structure (Watt et al., 2009), because the feedback connections in layer III are asymmetrically biased toward the posterior MEC (Beed et al., 2010). Incidentally, the wave propagation was faster in the perirhinal cortex than in the LEC

(p = 0.054, Z = 2.87, Mann–Whitney U test, n = 7 slices), indicating that the cortex is heterogeneous as a wave medium. Line-scan analyses along the vertical axis of the cortex revealed that both forward and reflected waves were led by layer III (Fig. 8C).

Cellular resolution imaging revealed that nonreflected waves terminated at the border between the MEC and the parasubiculum (Fig. 9A). This border was precise at the single-cell level and exhibited little variation from wave to wave. At this border, neuronal activity during waves was weaker in layer III neurons than in layer II neurons (Fig. 9A). To address the circuit mechanisms of the wave termination, we simultaneously recorded from two

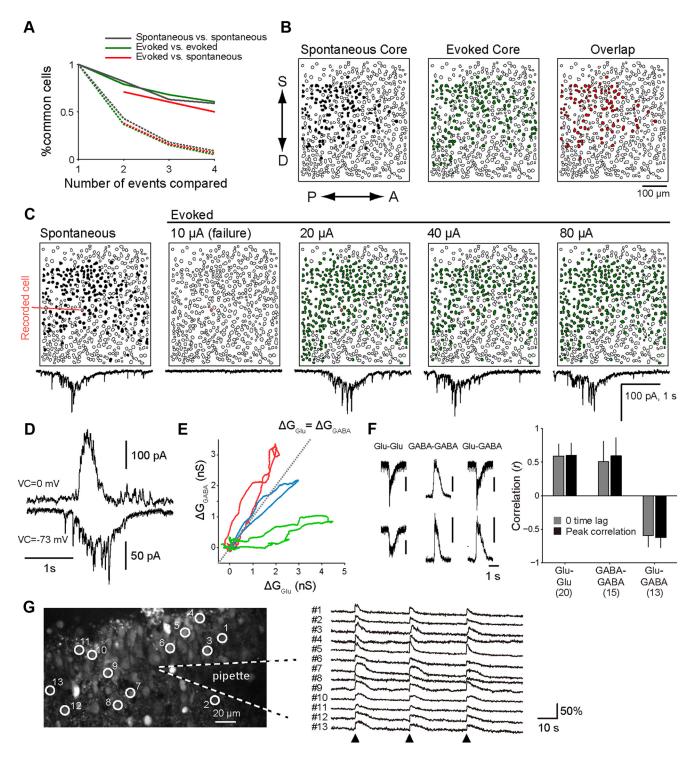


Figure 6. Balanced synaptic inputs during wave propagation. *A*, Ratios of cells commonly activated in 2–4 different wave events. The evoked waves were generated by field stimulation at intensities of 40 μA through a stimulation electrode placed on the lateral end of the LEC. Even pairs of spontaneous and evoked waves shared higher proportions of coparticipants compared with randomly shuffled surrogates (broken line). *n* = 26 waves from three slices. *B*, Maps of active cells of spontaneous and stimulation-evoked waves. The cells that participated in all monitored events were defined as core cells. The core populations shared by the spontaneous and evoked waves are indicated in the map on the left. A, anterior; D, deep; P, posterior; S, superficial. *C*, Voltage-clamp recordings (bottom) from an MEC layer III neuron (red asterisk) during spontaneous and LEC stimulation-evoked waves (top). Waves were elicited by LEC stimulation at intensities of 10 – 80 μA. The holding potential was —73 mV. Similar barrages of synaptic inputs were observed during spontaneous and evoked waves. Small synaptic inputs preceded the barrage inputs, indicating the existence of feedforward input. *D*, Representative traces (left) of synaptic currents recorded at —73 and 0 mV. *E*, Time evolution of glutamatergic and GABAergic synaptic inputs. Both conductances were positively correlated during a wave (right). The data were obtained from three neurons from three slices, and different colored traces represent different cells. *F*, Correlated synaptic currents between adjacent pairs of EC neurons. Cross-correlations between the neuron pairs were calculated for glutamatergic and/or GABAergic synaptic inputs without (0 time lag) and with time alignment at the current peak (peak correlation). Data are means ± SDs of 29, 13, and 14 pairs. Representative raw traces are shown in the left inset. Scale bars, 40 pA for glutamatergic and 100 pA for GABAergic inputs. *G*, GABA-induced calcium activity in EC neurons. Raw calcium traces shown by 13 r

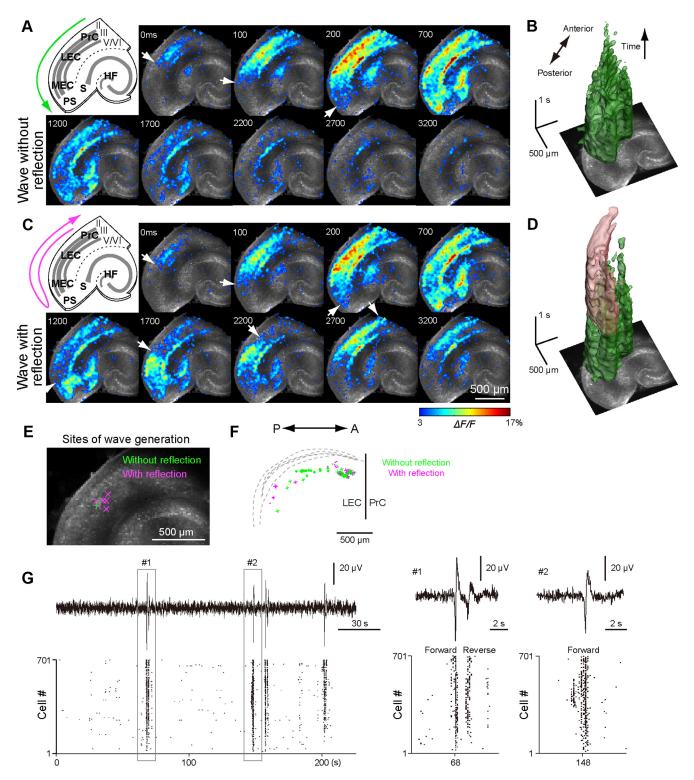


Figure 7. Termination and reflection of synchronized waves in the MEC. A, Example time-lapse images of a synchronized wave without reflection. The wave was initiated at the lateral end of the LEC and propagated bidirectionally in a tangential direction. The posterior wave terminated at the border between the MEC and the parasubiculum (PS), with a small wave entering the subiculum (S). HF, hippocampal formation; PrC, perirhinal cortex. The wave fronts are indicated by arrows. B, The wave in A is plotted in the xyt form. Fluorescence changes >3% were detected and reconstructed along the time (vertical) axis. C, Example of a synchronized wave with reflection. The data were obtained from the same slice as A. The wave that reached the border between the MEC and the parasubiculum changed the direction of propagation and generated a reflected wave. D, xyt plot of the wave in C. The transparent red area indicates the reflected wave. E, The initiation sites (the mass centers of the earliest activated areas) of individual waves in the same slice are compared between waves without reflection (green) and with reflection (magenta). F, The initiation sites of all nonreflected and reflected waves observed in five slices are superimposed after spatial alignment to the rhinal sulcus. G, Simultaneous monitoring of somatic calcium signals and field potentials. Two wave events (#1 and #2) were magnified in two right panels. Top, Spontaneous field potential. Bottom, Reconstructed neuronal activity.

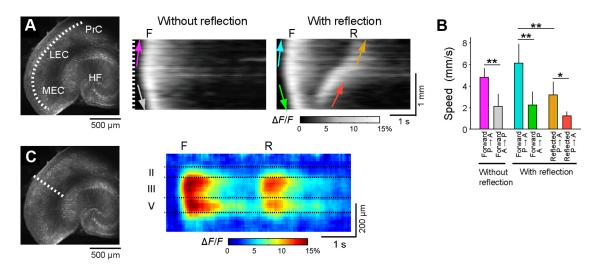


Figure 8. Dynamics of forward and reflected synchronized waves. A, Confocal image of an OGB1-loaded slice (left). The dotted line was line-scanned in waves without reflection (middle) and with reflection (right). HF, hippocampal formation; PrC, perirhinal cortex. F, forward waves; R, reflected waves. B, Propagation speeds of waves in the PrC (forward from posterior to anterior, $P \rightarrow A$) and the MEC (forward from anterior to posterior, $A \rightarrow P$; reflection from posterior to anterior, $A \rightarrow P$. The colors of the columns correspond to those of the arrows shown in A. Error bars represent SDs of seven slices. *P < 0.05, **P < 0.01; Mann—Whitney P < 0.05 the dotted line of an OGB1-loaded slice (left) was line-scanned during forward and reflected waves. In both waves, layer III was activated earlier than the other layers. P < 0.05 forward waves; P < 0.05 forward waves; P < 0.05 forward waves; P < 0.05 forward waves.

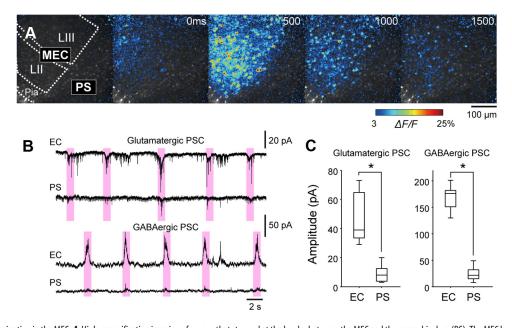


Figure 9. Wave termination in the MEC. A, High-magnification imaging of a wave that stopped at the border between the MEC and the parasubiculum (PS). The MEC layer II neurons were more active than the layer III neurons. B, Simultaneous voltage-clamp recording from two pyramidal cells in the MEC and parasubiculum (PS) during wave termination. Glutamatergic PSCs (top) and GABAergic PSCs (bottom) were dominated at clamped voltages of -73 and 0 mV. The shaded areas indicate the periods of wave-relevant activation. C, The peak amplitudes of wave-relevant glutamatergic PSCs (left) and GABAergic PSCs (right) in the MEC were larger than those in the parasubiculum. Data are shown as box plots. *p < 0.05, Mann—Whitney U test, n = 5 and 6 cells from a total of six slices for glutamatergic PSCs, respectively.

patched neurons in the MEC layer II and the parasubiculum (Fig. 9B). The MEC neurons received large glutamatergic and GABAergic PSCs during the arrival of the waves, but the parasubicular neurons received substantially smaller synaptic inputs (Fig. 9C; glutamatergic PSCs: p=0.0078, Z=2.66, n=5 neurons from 5 slices; GABAergic PSCs: p=0.0022, Z=3.07, n=6 neurons from 6 slices, Mann–Whitney U test). Thus, the synaptic influences of the EC on parasubicular networks are weak, and the MEC and the parasubiculum are functionally segregated, at least at this early developmental stage.

The border dynamics of nonreflected and reflected waves were different at the cellular level (Fig. 10A). In a reflected wave,

the calcium activity of the MEC layer II neurons disappeared after the forward wave arrived and reappeared at the initiation time of the reflected wave; however, some of the layer III neurons were found to show persistent activity during the entire period of wave arrival and reflection (Fig. $10\,B$). Consistent with this observation, the layer II and III neurons received two-peaked and prolonged synaptic barrages, respectively (Fig. $10\,C$). The total duration of the barrages in the layer II neurons during wave reflection was significantly shorter than that of the layer III neurons (Fig. $10\,D$, red; p=0.0078, Z=2.66, n=5 neurons from 5 slices, Mann–Whitney U test). Even during nonreflected waves, the barrage duration in layer II was still shorter than that in layer

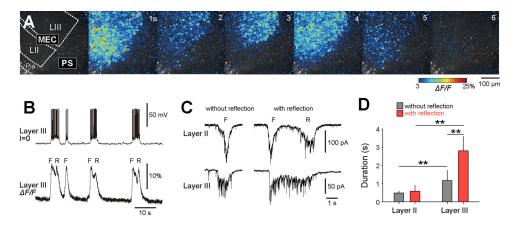


Figure 10. Persistent synaptic inputs into the MEC layer III neurons during wave reflection. *A*, High-magnification imaging of a wave that reflected at the border between the MEC and the parasubiculum (PS). When compared with the case of wave termination (Fig. 9A), cells in the MEC layer III were more strongly activated; note that during and after a transient decrease in the entire activity of ∼2 s, the layer III activity persisted from 2 to 4 s. Following this persistent activation of layer III cells, the second wave was generated at 4 s, and the network became silent by 6 s. *B*, Simultaneous recording of the membrane potential (top) and the calcium fluorescence (bottom) of an MEC layer III neuron. F, forward waves; R, reflected waves. *C*, Representative glutamatergic PSC traces recorded simultaneously from an MEC layer II cell (top) and a layer III cell (bottom) during wave termination (left) and wave reflection (right). The layer III neuron received prolonged synaptic inputs during wave reflection. *D*, The PSC durations in layer III neurons were longer than those in layer II neurons and longer during wave reflection (reflected) than during wave termination (stop). The duration was calculated as a 25%-peak width of synaptic barrages. Error bars represent SDs of five, five, six, and five neurons from six slices. *p < 0.05, **p < 0.01, Mann−Whitney U test.

III (Fig. $10\,D$, gray; p=0.017, Z=2.38, n=5-6 neurons from 5 to 6 slices, Mann–Whitney U test).

To examine what determines the termination or reflection of waves, we measured the peak amplitudes of wave-relevant calcium signals from the border area of the MEC and LEC through which the wave propagated. Forward waves that thereafter exhibited reflection had larger calcium signals than nonreflected forward waves (Fig. 11 A; p = 0.027, Z = 2.20, Wilcoxon signed-rank test, n = 6 slices). This larger calcium signal was likely to reflect the stronger spiking activity of the neurons because whole-cell recordings from layer III neurons near the border area of the MEC and LEC revealed that the maximal firing frequencies and the total spike numbers during the forward waves were both higher in the reflected waves than in the nonreflected waves (Fig. 11 B; firing rate: p = 0.043, Z = 2.02; spike number: p = 0.043, Z = 2.02, Wilcoxon signed-rank test, n = 5 neurons of 5 slices). To examine whether the stronger activation of neurons during a wave was sufficient to induce reflection, we stimulated the anterior LEC at different stimulus intensities. Stimulation at $>10 \mu A$ reliably evoked cortical waves (Fig. 11C,D, black), and stimulation with higher intensities induced waves with higher fluorescence increases (Fig. 11C). We found that strong stimulation at 80 µA induced wave reflection with a significantly higher probability than chance (Fig. 11 D, red; p = 0.0011 vs 5 μ A stimulus, Z = 3.07, Mann–Whitney U test, n = 4 slices).

Discussion

In this work, we described macroscopic and microscopic behaviors of synchronized spike waves in immature cortical networks, including the initiation, propagation, termination, and reflection of these waves. We found that layer III neurons played key roles in these wave behaviors.

Initiation of synchronized waves

Low-magnification monitoring revealed that early spontaneous activity was not confined to local events but instead represented globally synchronized waves that propagated throughout the entire cortex. We also identified the anterior end of the LEC as the main start point of the waves. It is intriguing to find that in adulthood, the EC acts as the cortical hub that connects the hip-

pocampus with other parts of the cerebral cortex (Squire and Zola-Morgan, 1988; Felleman and Van Essen, 1991); sensory inputs are partially integrated at the perirhinal and postrhinal cortices after multistep relays in various brain areas and conveyed to the hippocampus through the EC (Burwell and Amaral, 1998a,b; Burwell, 2001). It has been demonstrated that the posterior part of the developing brain is the source of early synchronized waves (Garaschuk et al., 2000; Momose-Sato et al., 2012). We confirmed this observation and further identified layer III of the LEC as a wave-initiating zone. Sheroziya et al. (2009) have reported intermittent electrical activity in the developing EC. These authors described two types of spontaneous field potential activity, referred to as field potential sharp and field potential burst. Based on the event frequency and the pharmacological profiles, we speculate that field potential sharps correspond to single forward waves described here, whereas we could not identify the neural correlate of field potential bursts.

The source of synchronized waves *in vivo* is unknown. A human neonatal EEG study showed that the origin of the waves was a posterior region of the cortex (Dreyfus-Brisac and Larroche, 1971). In contrast, slow-wave oscillations, a putative counterpart of the waves in adulthood (Rochefort et al., 2009), arise primarily from the anterior part of the cortex (Massimini et al., 2004; Isomura et al., 2006; Minamisawa et al., 2009). Thus, the pacemaker for synchronized waves is likely to shift from the posterior cortex to the anterior cortex during development.

Termination and reflection of synchronized waves

The functional border where cortical waves terminated displayed a precise anatomical line that corresponded to the border between the MEC and the parasubiculum. The wave termination can be explained by two possibilities: (1) sparse neuronal connectivity or (2) strong GABAergic projections from the MEC to the parasubiculum. Using double patch-clamp recordings, we showed that in either glutamatergic PSCs or GABAergic PSCs, wave-relevant MEC activity was not transmitted to parasubicular neurons. Thus, the first possibility is more likely.

Cortical waves exhibited two distinct border behaviors: termination and reflection. Two important findings are as follows: (1) a population of MEC layer III neurons exhibited persistent firing

activity when reflection occurred, and (2) stronger stimulation resulted in a higher probability of generating the wave reflection. Based on these observations, we hypothesize the following scenario:

- Stronger activation in the wavegeneration network triggers stronger waves. In other words, the intensity of the wave-initiating activity is registered in the propagating wave activity. This idea is consistent with our finding that stronger stimulation evoked forward waves that exhibited higher fluorescence intensities.
- 2. Strong activity during forward waves causes the sustained firing of layer III neurons at the border, leading to reactivation of layer II neurons and the generation of reflected waves. In this scenario, the persistent activity of layer III neurons serves as a short-term memory trace during wave reflection.

Cortical waves reflected reproducibly at the identical functional border. A theoretical study suggests that the spatial difference of the wave media causes the reflection of propagating waves (Ermentrout and Rinzel, 1996). The circuit excitability and inhibitability are candidate quantities to determine the refraction index or permeability coefficient of the media. Xu et al. (2007) reported that GABAergic inhibition is crucial in wave compression and reflection in the visual cortex. However, computational studies propose that manipulation of the threshold and the recurrent excitation is different during compression and reflection (Ermentrout and Rinzel, 1996; Goulet and Ermentrout, 2011). In general, when a wave is transferred from the excitable medium A to the less excitable medium B. a conduction delay occurs because of the weaker excitability. If the delay is long enough, medium A is reactivated when medium B is activated, thus medium A generates an additional wave moving the opposite direction (Ermentrout and Rinzel, 1996). We did not observe wave compression at the border between the MEC and the

parasubiculum; indeed, we rarely encountered waves that propagated into the parasubiculum. In our experimental preparations, therefore, the delay is considered almost infinitely long (Ermentrout and Rinzel, 1996). We thus propose that persistent activity of layer III neurons works to support the delay that can eventually re-excite the MEC network.

Comparison with waves that occur in coronal slices

Large-scale wave dynamics have been also studied in coronal slices of mice (Lischalk et al., 2009; Conhaim et al., 2010, 2011).

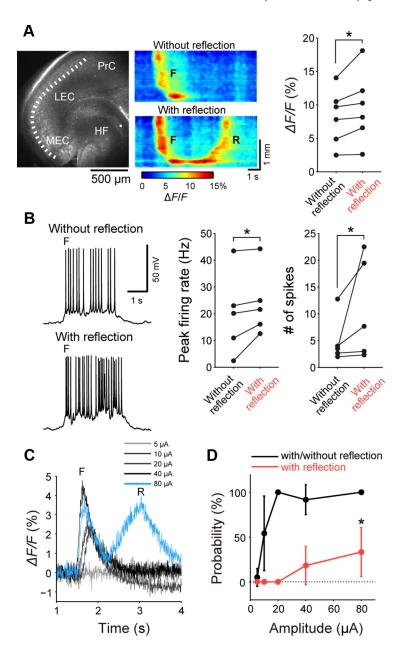


Figure 11. Effect of wave intensity on the reflection probability. **A**, The peak $\Delta F/F$ amplitudes of the forward waves with reflection were larger than in the nonreflected forward waves. Left, The calcium signal was measured from the border area of the MEC and the LEC where the forward wave propagated through. F, forward waves; R, reflected waves. *p < 0.05, Wilcoxon signed-rank test, n = 6 slices. **B**, The maximal firing frequencies and the total spike numbers of layer III neurons near the border area of the MEC and the LEC during the forward waves were higher in the reflected waves than in the nonreflected waves. *p < 0.05, Wilcoxon signed-rank test, n = 5 neurons of five slices. **C**, Representative population calcium signal of waves initiated by electrical stimulation of the LEC at 5-80 μ A (2.50 μ s pulses at 40 Hz) at time 0 s. **D**, Probability of the stimulation-induced initiation of waves (black) and reflected waves (red) for the 30 stimulation trials. Error bars represent SDs of four slices. *p < 0.05, Mann—Whitney U test.

Although the event frequency of waves in horizontal slices is similar to that of coronal slices, ranging from 1 to 5 per minute (McCabe et al., 2006; Allène et al., 2008; Conhaim et al., 2010, 2011), there are three major differences: (1) the initiation sites of waves, (2) dependence on GABA_A receptors, and (3) spatiotemporal dynamics of wave propagation.

First, the initiation sites of the waves are different (Lischalk et al., 2009). The ventral area of the brain tends to be the wave-generation site in coronal slices (Lischalk et al., 2009; Conhaim et al., 2011). It should be noted, however, that this is still consistent

with our data obtained in horizontal slices of the ventral brain; we succeeded in observing cortical waves only in slices prepared from the ventral brain. Second, waves are sensitive to the application of the picrotoxin in some studies (Conhaim et al., 2011), but not in P5-P6 rats in the present study nor in other studies (Garaschuk et al., 2000). As discussed in Conhaim et al. (2011), GABA and glutamate have different effects on rat and mouse cortical neuronal migration (Behar et al., 1999). Thus, there may be a species difference in the mechanisms of the waves. Third, waves triggered by the septum have been reported in mice (Conhaim et al., 2010), whereas we observed only intracortical waves. In mice, the septum can activate the cortical pacemakers, resulting in bilaterally synchronized waves without a time lag (Conhaim et al., 2010). In horizontal slices used in the present study, bilateral waves had a small time lag. Because our slice preparations contain the corpus callosum and the anterior commissure (Horel and Stelzner, 1981; Paxinos and Watson, 1998), these interhemispheric fibers are candidates that mediate the delayed correlations of bilateral cortical waves.

Technical considerations

A recent paper reports that neurons near the surface of acute slices in developing mice show an increased intracellular concentration of chloride ion (Dzhala et al., 2012), enhancing the excitatory action of GABA. This could cause the wave generation. In the present study, however, bath application of picrotoxin did not affect the event frequency of waves, suggesting that our cortical waves are attributable to glutamatergic, but not GABAergic, synaptic transmission. Therefore, even if GABA was anomalously excitatory in our immature brain slices, this would not strongly affect our data and data interpretation; note that several recent papers reported that early network oscillations in the cortex do not rely on the excitatory action of GABA (Bregestovski and Bernard, 2012; Dzhala et al., 2012). However, we do not exclude the possibility that GABAergic synapses may modulate the biological aspects of wave dynamics in vivo, such as speed and the probability of reflection.

We believe that the basic properties of cortical waves in acute brain slices replicated those in the *in vivo* brain, because some physiological properties, such as the wave frequency (Adelsberger et al., 2005) and the pharmacological profiles (Minlebaev et al., 2009), were similar between the present study and previous *in vivo* works. Some of the experiments that we performed here are difficult to be done under *in vivo* conditions. First, the combination of wide-field-resolution and single-cell-resolution imaging allowed us to identify the exact locations where waves arise, terminate, and reflect. Second, simultaneous imaging from different layers revealed the importance of layer III cells. Third, dual patch-clamp recording revealed the circuit mechanisms that support the wave propagation, termination, and reflection. Thus, our experimental system will provide useful information that complements the *in vivo* works.

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