

Research Articles: Behavioral/Cognitive

## The Longitudinal Trajectory of Default Mode Network Connectivity in Healthy Older Adults Varies as a Function of Age and is Associated with Changes in Episodic Memory and Processing Speed

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DOI: 10.1523/JNEUROSCI.3067-17.2018

Received: 18 October 2017

Revised: 1 February 2018

Accepted: 2 February 2018

Published: 13 February 2018

**Author contributions:** A.M.S., J.A.B., K.B.C., F.M.E., H.J.R., B.S., and J.H.K. designed research; A.M.S., J.A.B., R.S., G.C., and A.K. performed research; A.M.S., J.A.B., K.B.C., J.D., J.N., Y.C., P.M., and S.W. analyzed data; A.M.S., J.A.B., K.B.C., J.N., B.S., and J.H.K. wrote the first draft of the paper; A.M.S., J.A.B., K.B.C., F.M.E., J.N., Y.C., B.S., and J.H.K. edited the paper; A.M.S., J.A.B., K.B.C., F.M.E., J.N., Y.C., P.M., R.S., H.J.R., B.L.M., B.S., and J.H.K. wrote the paper.

**Conflict of Interest:** The authors declare no competing financial interests.

This work was supported by the NIH-NIA [R01AG032289, R01AG048234, UCSF ADRC P50 AG023501] and the Larry L. Hillblom Network Grant for the Prevention of Age-Associated Cognitive Decline [2014-A-004-NET].

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**Cite as:** J. Neurosci ; 10.1523/JNEUROSCI.3067-17.2018

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33  
34     Pages: 33

35     Figures: 3

36     Abstract: 238 words

37     Introduction: 628 words

38     Discussion: 1499 words

39  
40     Conflict of Interest: No conflicts of interest to report.

41  
42     Acknowledgments: This work was supported by the NIH-NIA [R01AG032289, R01AG048234,  
43     UCSF ADRC P50 AG023501] and the Larry L. Hillblom Network Grant for the Prevention of  
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45

46 **Abstract**

47  
48 The default mode network (DMN) supports memory functioning and may be sensitive to  
49 preclinical Alzheimer's pathology. Little is known, however, about the longitudinal trajectory of  
50 this network's intrinsic functional connectivity (FC). In this study, we evaluated longitudinal FC  
51 in 111 cognitively-normal older human adults (ages 49-87, 46 women/65 men), 92 of whom had  
52 at least 3 task-free fMRI scans (n=353 total scans). Whole-brain FC and three DMN FC  
53 subnetworks were assessed: 1. within-DMN; 2. between anterior and posterior DMN (apDMN);  
54 and 3. between medial temporal lobe network and posterior DMN (MTL-pDMN). Linear mixed  
55 effects models demonstrated significant baseline age\*time interactions, indicating a nonlinear  
56 trajectory. There was a trend towards increasing FC between ages 50-66 and significantly  
57 accelerating declines after age 74. A similar interaction was observed for whole-brain FC. *APOE*  
58 status did not predict baseline connectivity or change in connectivity. After adjusting for network  
59 volume, changes in within-DMN connectivity were specifically associated with changes in  
60 episodic memory and processing speed but not working memory or executive functions. The  
61 relationship with processing speed was attenuated after covarying for white matter  
62 hyperintensities (WMH) and whole-brain FC, whereas within-DMN connectivity remained  
63 associated with memory above and beyond WMH and whole-brain FC. Whole-brain and DMN  
64 FC exhibit a nonlinear trajectory, with more rapid declines in older age and possibly increases in  
65 connectivity early in the aging process. Within-DMN connectivity is a marker of episodic  
66 memory performance even among cognitively healthy older adults.

67

68 **Significance Statement:** Default mode network and whole-brain connectivity, measured using  
69 task-free fMRI, changed non-linearly as a function of age, with some suggestion of early

70 increases in connectivity. For the first time, longitudinal changes in DMN connectivity were  
71 shown to correlate with changes in episodic memory, whereas volume changes in relevant brain  
72 regions did not. This relationship was not accounted for by white matter hyperintensities or mean  
73 whole-brain connectivity. Functional connectivity may be an early biomarker of changes in  
74 aging but should be used with caution given its non-monotonic nature which could complicate  
75 interpretation. Future studies investigating longitudinal network changes should consider whole-  
76 brain changes in connectivity.

77

### 78 **Introduction**

79 The default mode network (DMN) is an important network in aging, as it is selectively  
80 vulnerable to the earliest stages of Alzheimer's disease (AD) pathology (Greicius et al., 2004;  
81 Hedden et al., 2009; Sheline et al., 2010b), which accumulates years before clinical  
82 manifestations (Braak and Del Tredici, 2012). Although cross-sectional task-free (resting state)  
83 functional MRI (tf-fMRI) studies have suggested that the functional connectivity (FC) of the  
84 brain changes with age and Apolipoprotein E (*APOE*) status and correlates with cognition (Sala-  
85 Llonch et al., 2015), there are a paucity of studies investigating how these relationships evolve  
86 within subjects over time. Understanding the normative longitudinal trajectory of network  
87 connectivity in healthy older adults is important to both elucidate the biology associated with  
88 "normal" brain aging and to study its role as a biomarker patient populations (Barkhof et al.,  
89 2014).

90 Most cross-sectional studies suggest that older subjects have reduced DMN FC compared  
91 to younger adults (Andrews-Hanna et al., 2007; Damoiseaux et al., 2008; Sala-Llonch et al.,  
92 2015). Granular investigations of the DMN subsystems, however, indicate that some DMN

93 components may in fact show *increased* FC with age and AD pathology (Jones et al., 2011;  
94 Damoiseaux et al., 2012; Li et al., 2016). FC between anterior and posterior portions of the DMN  
95 (apDMN), as well as the connectivity between the medial temporal lobe and cortical portions of  
96 the DMN (MTL-pDMN), may be most affected by aging (Andrews-Hanna et al., 2007) and AD  
97 pathology (Jones et al., 2016). Few longitudinal DMN investigations exist, and most have  
98 examined only two time points and are therefore unable to model nonlinear effects or control for  
99 regression to the mean. Two studies found between-subject, cross-sectional effects of age but not  
100 within-subject changes in DMN connectivity (Persson et al., 2014; Fjell et al., 2015). Ng and  
101 colleagues observed that within-DMN FC declined over time (Ng et al., 2016).

102         The *APOE*  $\epsilon 4$  allele is a well-established Alzheimer's disease genetic risk factor (Corder  
103 et al., 1993), which may affect FC across the lifespan. In two of three studies, adult  $\epsilon 4$  carriers in  
104 their 20's and early 30's exhibited increased within-DMN connectivity (Filippini et al., 2009)  
105 and increased connectivity between the hippocampi and cortical regions (Zheng et al., 2017), but  
106 conflicting results have been reported (Su et al., 2017). Studies in older adults also suggest that  
107 there is a relationship between *APOE* genotype and FC, although the exact nature of this  
108 relationship appears complicated with variable findings reported in the literature (Sheline et al.,  
109 2010a; Machulda et al., 2011; Westlye et al., 2011; Jones et al., 2016).

110         Cross-sectional research has demonstrated that decreased connectivity within the DMN  
111 and apDMN is associated with poorer memory performance (Andrews-Hanna et al., 2007; Vidal-  
112 Pineiro et al., 2014; Sala-Llonch et al., 2015; Ward et al., 2015). For example, longitudinal  
113 studies have demonstrated that memory declines are linked to decreased connectivity in the  
114 DMN, particularly the PCC (Persson et al., 2014; Bernard et al., 2015). Fjell and colleagues  
115 showed a dissociation between the relationship of connectivity and memory as a function of age.

116 Older participants (ages 63-86) showed the expected positive correlation between memory and  
117 DMN connectivity, while the younger cohort (ages 23-52) showed an inverse relationship, such  
118 that increased connectivity correlated with poorer memory performance (Fjell et al., 2015).  
119 Taken together, DMN connectivity is associated with memory but the relationship is nuanced  
120 and may differ across the lifespan.

121 We sought to characterize baseline differences and longitudinal trajectories of DMN  
122 subsystems in adults ages 49-89. Parcellating the DMN into subsystems appears to be critical for  
123 understanding the nuanced effects of aging this network. In addition to measuring FC of the  
124 whole DMN, we analyzed apDMN, and MTL-pDMN. We also analyzed the effect of *APOE*  
125 status on baseline and longitudinal trajectory, and examined the relationship between DMN and  
126 cognition.

127

## 128 **Materials and Methods**

### 129 **Participants**

130 Participants were recruited at the University of California, San Francisco's (UCSF)  
131 Memory and Aging Center as part of the Hillblom Healthy Aging Study, a deeply phenotyped  
132 longitudinal cohort. Visits included neuropsychological testing and a neurologic exam in  
133 addition to an MRI scan. The neurologic exam was used to confirm participants' status as  
134 clinically normal. From this cohort, we initially included all participants with at least three time  
135 points of tf-fMRI acquisition (371 scans). Two participants who were diagnosed with mild  
136 cognitive impairment and another that developed Parkinson's disease were excluded. After  
137 excluding for excessive head motion as described below the dataset comprised 111 participants  
138 with a total of 353 scans for an average of 3.2 observations per person (range: 1-7); 108 had at

139 least 2 time points, 92 had at least 3 time points. The average interscan interval was 2 years (SD:  
140 1.1) with a wide range (0.4 – 7.7 years). Mean age was 69.3 (SD: 6.7) with a range of 49-87.  
141 Mean education was 17.8 years (SD: 2.0) with a range of 12-20. 46 participants identified as  
142 female. Mean MMSE score was 29.4 (SD: 0.8; median: 30) with a range of 27-30. Of the 111  
143 participants included in this study, only 8 were lost to attrition. Reasons for withdrawal ranged  
144 from travel inconveniences to serious medical illnesses. We reran the analyses without these  
145 eight participants as a sensitivity analysis and the magnitude of the associations were very  
146 similar.

147

#### 148 **Cognitive Measures**

149 Composite measures were used to summarize neuropsychological performance on several  
150 paper and pencil and computerized measures. Z-scores were calculated for individual tests and  
151 then averaged across the subtests that were included in the composite. An Episodic Memory  
152 composite score was created using a measure of visual memory, Benson Figure Recall (Kramer  
153 et al., 2003), and the California Verbal Learning Test – 2<sup>nd</sup> edition (CVLT-II; Delis et al., 2000)  
154 subscores: immediate recall total, long (20-minute) delay free recall total and recognition  
155 discriminability ( $d'$ ). We also created composites that summarized performance in the domains  
156 of processing speed, working memory and executive functioning in order to evaluate the  
157 specificity of the relationship between DMN FC and episodic memory. The Working Memory  
158 composite comprised digit span backwards (Wechsler, 1997), as well as several computerized  
159 measures of working memory, including dot counting (Kramer et al., 2014), n-back (1 & 2-  
160 back), and running letter memory (RLM). The Executive Functions composite included Stroop  
161 Interference (Stroop, 1935), modified trail making test (Kramer et al., 2003), phonemic fluency

162 (number of D-words/min; Kramer et al., 2003) and design fluency (DKEFS Condition 1; Delis  
163 et al., 2001). Finally, the Processing Speed composite summarizes performance on six  
164 visuospatial processing speed tasks described in detail by Kerchner and colleagues (Kerchner et  
165 al., 2012). The composite created here does not include the mental rotation task, which was  
166 excluded because this test is no longer administered and therefore would be missing at many  
167 observations.

168

### 169 **Neuroimaging**

#### 170 Scanner information

171 Subjects were scanned at the UCSF Neuroscience Imaging Center on a Siemens Trio 3T  
172 scanner. A T1-weighted MP-RAGE structural scan was acquired with an acquisition time=8 min  
173 53 sec, sagittal orientation, a field of view of 160 x 240 x 256 mm with an isotropic voxel  
174 resolution of 1 mm<sup>3</sup>, TR=2300 ms, TE=2.98 ms, TI=900 ms, flip angle=9°. Task-free T2\*-  
175 weighted echoplanar fMRI scans were acquired with an acquisition time=8 min 06 sec, axial  
176 orientation with interleaved ordering, field of view=230 x 230 x 129 mm, matrix size=92 x 92,  
177 effective voxel resolution=2.5 x 2.5 x 3.0 mm, TR=2000 ms, TE=27 ms, for a total of 240  
178 volumes. During the 8-minute tf-fMRI acquisition protocol, participants were asked to close their  
179 eyes and concentrate on their breath.

180

#### 181 Longitudinal T1 processing

182 Before preprocessing, all T1-weighted images were visually inspected for quality control.  
183 Images with excessive motion or image artifact were excluded. T1-weighted images underwent  
184 bias field correction using N3 algorithm, and segmentation was performed using SPM12



185 (Wellcome Trust Center for Neuroimaging, London, UK, <http://www.fil.ion.ucl.ac.uk/spm>,  
186 RRID: SCR\_007037) unified segmentation (Ashburner and Friston, 2005). An intra-subject  
187 template was created by non-linear diffeomorphic and rigid-body registration proposed by the  
188 symmetric diffeomorphic registration for longitudinal MRI framework (Ashburner and Ridgway,  
189 2012). The intra-subject template was also segmented using SPM12's unified segmentation. A  
190 group template was generated from the within-subject average gray and white matter tissues by  
191 non-linear and rigid-body registration template generation using Diffeomorphic Anatomical  
192 Registration using Exponentiated Lie algebra (DARTEL; Ashburner, 2007). Native subjects  
193 space gray and white matter were normalized, modulated and smoothed in the group template  
194 using intra-subject and inter-subject transformations. The applied smoothing used a Gaussian  
195 kernel with 4~mm full width half maximum. For statistical purposes, linear and non-linear  
196 transformations between DARTEL's space and International Consortium for Brain Mapping  
197 (ICBM; Mazziotta et al., 2001) was applied. Each subject's and average subject segmentations  
198 were carefully inspected to ensure no major segmentation or normalization errors.

199

#### 200 fMRI Preprocessing

201 For each fMRI scan, the first five volumes were discarded. SPM12  
202 (<http://www.fil.ion.ucl.ac.uk/spm/software/spm12/>, RRID: SCR\_007037) and FSL  
203 (<http://fsl.fmrib.ox.ac.uk/fsl>, RRID:SCR\_002823) software was used for subsequent fMRI  
204 preprocessing. The remaining 235 volumes were slice-time corrected, realigned to the mean  
205 functional image and assessed for rotational and translational head motion. Volumes were next  
206 co-registered to the MP-RAGE image, then normalized to the standard MNI-152 healthy adult  
207 brain template using SPM segment, producing MNI-registered volumes with 2 mm<sup>3</sup> isotropic

208 resolution. These volumes were spatially smoothed with a 6-mm radius Gaussian kernel and  
209 temporally bandpass filtered in the 0.008-0.15Hz frequency range using fslmaths. Nuisance  
210 parameters in the preprocessed data were estimated for the CSF using a mask in the central  
211 portion of the lateral ventricles and for the white matter using a mask of the highest probability  
212 cortical white matter as labeled in the FSL tissue prior mask. Additional nuisance parameters  
213 included the 3 translational and 3 rotational motion parameters, the temporal derivatives of the  
214 previous 8 terms (WM/CSF/6 motion), and the squares of the previous 16 terms (Satterthwaite et  
215 al., 2013). Subjects were included only if they met all of the following criteria: no inter-frame  
216 head translations greater than 3 mm, no inter-frame head rotations greater than 3 degrees, and  
217 less than 24 motion spikes (defined as inter-frame head displacements  $> 1$  mm), 10% of the total  
218 number of frames.

219

#### 220 Process of excluding nodes

221 We excluded regions with insufficient fMRI BOLD signal to noise ratio by first  
222 calculating each region's mean BOLD intensity across all 371 scans. For a given region, we  
223 identified all scans where signal was within 2.5% of the minimum BOLD intensity. If 3 or fewer  
224 scans met this criterion, we excluded those scans from subsequent analysis on the basis that they  
225 were outliers. Otherwise, we partitioned the scans into two groups, those with signal less than the  
226 2.5% cutoff and those with signal greater than the 2.5% cutoff. We then performed a two-sample  
227 t-test on the BOLD intensity values for these two groups. If that t-statistic was  $t < -2.3$ , we  
228 excluded the region, based on the rationale that this node had significantly reduced signal in a  
229 substantial portion of scans. Based on this procedure, we dropped 49 scans and 45 nodes. Those  
230 nodes that were part of the DMN or hippocampal networks were: left frontal medial cortex (47),

231 right frontal pole (48), left inferior (95) and middle (81) temporal gyri, posterior divisions, and  
232 several left and right parahippocampal nodes (109, 110, 111, 115, 116, and 117). Numbers in  
233 parentheses correspond to the nodes in the Brainnetome atlas.

234

235 Network construction

236 We defined the default mode network using a set of 75 age-matched healthy older control  
237 subjects (our “HC2” group; mean age=65.3+-10.0 years, 33 females/42 males, mean  
238 education=17.3+-2.1 years, 68 right handed/7 left handed) scanned and analyzed using the same  
239 pipeline as the subjects in the longitudinal portion of this study. 27 of 75 of the HC2 controls  
240 were also included in the primary analysis. In HC2 subjects, we determined the whole-brain  
241 functional connectome using 228 regions from the Brainnetome atlas (Fan et al., 2016). Network  
242 connectivity was determined by applying the 228 regional masks to a given subject’s  
243 preprocessed task-free functional MRI (tf-fMRI) data to extract regional mean timeseries, and  
244 partially correlating those timeseries in a pairwise fashion again controlling for the 32 nuisance  
245 parameters to obtain the 228 x 228 covariate-adjusted functional connectivity matrix. Each  
246 matrix was then r-to-Z transformed. These matrices were averaged to produce the HC2 group  
247 average functional connectome. We then applied a modularity-based method for identifying  
248 which nodes comprised each module or “intrinsic connectivity network”, including the DMN,  
249 adopting a conceptually similar strategy similar to that used by Power and colleagues (Power et  
250 al., 2011). The HC2 network was analyzed with the Brain Connectivity Toolbox  
251 (<https://sites.google.com/site/bctnet/>). The modular structure of the network was determined by  
252 calculating the Louvain modularity ( $\gamma = 1$ ) on the unthresholded group matrix 1000 times  
253 and finding the partition that balanced the criteria of being among the most frequent solutions

254 and maximizing the modularity value of  $Q$ , the quality of the partitioning (Rubinov and Sporns,  
255 2011). We labeled the winning partition as the fixed “community index”, which yielded 4  
256 modules. We then recursively applied this algorithm a second time within each module in order  
257 to yield a total of 15 sub-modules. Visual inspection unambiguously revealed networks  
258 corresponding to the DMN, containing 18 Brainnetome regions, along with the other canonical  
259 intrinsic connectivity networks (Figure 1). A third recursive application of the modularity  
260 algorithm yielded 32 sub-modules and segmented the DMN into an anterior component  
261 including the medial prefrontal cortex and anterior cingulate, and a posterior component  
262 including the precuneus, posterior cingulate, angular gyrus, and middle/inferior lateral temporal  
263 lobe. These two DMN partitions closely correspond to the anterior and posterior DMN described  
264 by Damoiseaux and colleagues (Damoiseaux 2012).

265       The cortical DMN network included anterior regions (anterior cingulate gyrus,  
266 paracingulate gyrus, medial frontal cortex, and areas of the frontal pole) and posterior regions  
267 (posterior cingulate cortex (PCC), precuneus, angular gyrus, and posterior regions of the middle  
268 and inferior temporal gyrus). A separate medial temporal lobe network was created that included  
269 hippocampal and parahippocampal nodes, as well as connection to the retrosplenial cortex. Both  
270 the DMN and medial temporal lobe parcellations closely recapitulate the parcels described in  
271 Andrews-Hannah’s seminal paper (Andrews-Hanna et al., 2010). We calculated three mean  
272 functional connectivity values by taking the mean of the edges between all nodes within or  
273 between networks: 1. the cortical DMN, 2. apDMN, and 3. MTL-pDMN. We decided to use the  
274 term “posterior DMN,” as we feel it is intuitive and includes all of the commonly included  
275 posterior aspects of the DMN: precuneus and PCC. Common nomenclature has been established,  
276 with some groups using terms such as ventral to describe a DMN parcellation that overlaps with

277 the posterior regions describe above. Whole-brain FC was calculated by taking the mean of the  
278 edges between all nodes in the brain that were not excluded.

279       The Brainnetome regions comprising the tf-fMRI networks (anterior DMN, posterior  
280 DMN, and medial temporal lobe) were then applied to the T1 scans in order to extract gray  
281 matter volumes. The regional volumes for all regions comprising a given network were summed  
282 (and divided by total intracranial volume (TIV)) in order to obtain the network's gray matter  
283 volume.

284

#### 285       White Matter Hyperintensity Quantification

286       White matter hyperintensities (WMH) were segmented using FLAIR and T1-weighted  
287 images. We visually inspected the raw scans for quality control. The WMH segmentation  
288 process is fully automated and based on a regression algorithm (Dadar et al., 2017) and using an  
289 Hidden Markov Random Field with Expectation Maximization software (Avants et al., 2011).  
290 Every segmentation was visually assessed for accuracy.

291

#### 292       ***APOE* Genotyping and Demographics**

293       Genomic DNA was extracted from peripheral blood using standard protocols (Gentra  
294 PureGene Blood Kit, Qiagen, Inc., Valencia, CA, USA). Genotyping was performed using either  
295 TaqMan or Sequenom genotyping. TaqMan Allelic Discrimination Assay was used for *APOE*  
296 genotyping (rs429358 and rs7412), and was conducted on an ABI 7900HT Fast Real-Time PCR  
297 system (Applied Biosystems, Foster City, CA, USA) according to manufacturer's instructions.  
298 The SpectroAquire and MassARRAY Typer Software packages (Sequenom, San Diego, CA,  
299 USA) were used for interpretation and Typer analyzer (v3.4.0.18) was used to review and

300 analyze data. 81 participants with 258 scans were classified as noncarriers and 30 participants  
301 with 95 scans were  $\neq$  carriers. Mean age was for the carriers was 68.0 (6.1) with a range of 55-  
302 81.

303

#### 304 **Experimental Design and Statistical Analysis**

305 We assessed the longitudinal relationship between age and changes in DMN volume by  
306 constructing linear mixed effects (LME) models with a time (in years) by baseline age (time  
307 invariant; centered at mean) interaction term and main effects for time and baseline age. Time  
308 was entered as a continuous variable. Gender was entered as a nuisance covariate. All LME  
309 analyses were modeled with random slopes and intercepts. In those models that failed to estimate  
310 standard errors or failed to converge, random intercept only models were run. Unstandardized  
311 regression coefficients (*b*) are reported for LME models. The main effects for time in those  
312 models with an interaction term are interpreted as the slope for participants of mean age. All  
313 statistical analyses were performed in Stata 14.2 (StataCorp, 2015).

314 We next assessed the relationship between connectivity and baseline age in all three  
315 networks of interest (within-DMN; apDMN; and MTL-pDMN), as well as whole-brain  
316 connectivity, again using LME models with a time by baseline age (time invariant) interaction  
317 term and main effects for time and baseline age. Gender was again entered as a covariate. We  
318 chose not to control for motion at the group level based on recent work suggesting that doing so  
319 can remove true, age-related connectivity effects (Geerligs et al., 2017). We reran all analyses  
320 covarying for total displacement and found the same pattern of results.

321 Baseline differences in connectivity were assessed using linear regression with *APOE*  
322 status as a dummy-coded variable controlling for age and gender. Participants were considered to

323 be  $\epsilon 4$  carriers whether they were homo- or heterozygotes. To assess for differences in rates of  
324 DMN change, we used a LME model with a time x *APOE* interaction for all three FC metrics.

325 Finally, LME models were used to assess the correlation between change in FC (time-  
326 varying predictor) and change in cognition (n = 94). In these models, baseline age, education,  
327 and gender were entered as covariates. FC estimates were decomposed into between- and within-  
328 person variance decomposed by calculating the mean FC for each subject (between-person) and  
329 then subtracting each person's mean from their FC estimate (within-person); these were entered  
330 as independent predictors. This approach clarifies whether intra- or inter-individual differences  
331 in FC are associated with cognition. We then added other covariates to the model in a stepwise  
332 fashion: regional volume, and mean whole-brain FC. Since there was a smaller sample with  
333 successful WMH segmentation, we ran those analyses separately, with whole-brain FC in the  
334 model (n=88).

335 In a post-hoc analysis to provide context to our results, we assessed longitudinal changes  
336 in the cognitive scores by fitting four mixed effects models with cognition as the outcome, a  
337 time\*age interaction, and main effects for time and age.

338

### 339 **Results**

#### 340 **Cortical DMN Volume**

341 DMN volume showed a significant, negative interaction between baseline age and change  
342 over time ( $b = -6.2 \times 10^{-6}$ , 95%CI:  $-1.1 \times 10^{-5}$ ,  $-1.7 \times 10^{-6}$ ,  $p = .006$ ), as well as a significant main  
343 effect of time ( $b = -1.3 \times 10^{-4}$ , 95%CI:  $-1.5 \times 10^{-4}$ ,  $-1.1 \times 10^{-4}$ ,  $p < .001$ ) and age ( $b = 8.6 \times 10^{-5}$ ,  
344 95%CI:  $-1.5 \times 10^{-4}$ ,  $-2.1 \times 10^{-5}$ ,  $p = .01$ ). This indicates that DMN volume declined over time,

345 was lower with older age, and the older the individual, the faster their rate of decline. Predicted  
346 values are plotted in Figure 2-1 and suggest a sharper rate of decline with increasing age.

347

#### 348 **Intraindividual trajectories of DMN connectivity change as a function of age**

##### 349 Within-DMN Connectivity

350 Longitudinal intra-individual change in DMN FC showed a significant, negative  
351 interaction with baseline age ( $b = -9.7 \times 10^{-4}$ , 95%CI:  $-1.7 \times 10^{-3}$ ,  $-1.5 \times 10^{-4}$ ,  $p = .020$ ); the main  
352 effect for time at mean baseline age was not significant ( $b = -3.1 \times 10^{-3}$ , 95%CI:  $-7.9 \times 10^{-3}$ ,  $1.5$   
353  $\times 10^{-3}$ ,  $p = .185$ ). This interaction remained significant after controlling for WMH ( $b = -1.5 \times 10^{-3}$ ,  
354 95%CI:  $-2.8 \times 10^{-3}$ ,  $-3.5 \times 10^{-4}$ ,  $p = .011$ ). The relationship between baseline age and FC was  
355 not significant ( $b = 1.6 \times 10^{-3}$ , 95%CI:  $-9.8 \times 10^{-4}$ ,  $4.2 \times 10^{-3}$ ,  $p = .222$ ). Change in DMN volume  
356 was positively associated with changes in DMN FC ( $b = 8.3$ , 95%CI: 1.9, 14.7,  $p = .011$ ). The  
357 intercept-slope covariance was positive but nonsignificant ( $2.2 \times 10^{-4}$ , 95%CI:  $-5.2 \times 10^{-5}$ ,  $5.0 \times$   
358  $10^{-4}$ ); a positive covariance indicates that those with lower FC at baseline decline in FC at a  
359 faster rate and vice-versa.

360 Plotting the fitted values for the interaction model for each individual revealed a  
361 nonlinear curve (Figure 2-2), and plots of the predicted slopes at different ages (Figure 2-3)  
362 indicated that the interaction effect was driven by increasing DMN connectivity in younger  
363 participants, flattening of the slope around age 70, and increasingly steeper declines in DMN  
364 connectivity as participants aged beyond 70.

365 To further understand this effect, we divided the sample into three age groups: 1.  $<66$  ( $n$   
366  $= 33$ ), 2.  $66-74$  ( $n = 55$ ), 3.  $>74$  ( $n = 23$ ). We fitted separate models for each age group with time  
367 as the predictor of interest and FC as the outcome. The youngest participants showed a positive,



368 but nonsignificant slope ( $b = 6.6 \times 10^{-3}$ , 95%CI:  $-2.9 \times 10^{-3}$ ,  $1.6 \times 10^{-2}$ ,  $p = .175$ ). Those between  
369 the ages of 66 and 74 showed a trend towards a negative slope ( $b = -5.2 \times 10^{-3}$ , 95%CI:  $-1.1 \times 10^{-2}$ ,  
370  $9.8 \times 10^{-4}$ ,  $p = .097$ ), whereas those over the age of 74 showed significant declines ( $b = -1.3 \times$   
371  $10^{-2}$ , 95%CI:  $-2.4 \times 10^{-2}$ ,  $-1.1 \times 10^{-3}$ ,  $p = .032$ ). Taken together, the age\*time interaction is  
372 primarily driven by declining FC in the oldest adults. Raw subject-specific trajectories, color  
373 coded by age group, are displayed in Figure 3.

374

375 Anterior-Posterior DMN

376 There was a trend for a significant age\*time interaction for anterior to posterior DMN FC  
377 ( $b = -1.2 \times 10^{-3}$ , 95%CI:  $-2.2 \times 10^{-3}$ ,  $-7.4 \times 10^{-5}$ ,  $p = .036$ ). The main effect of baseline age ( $b =$   
378  $1.3 \times 10^{-3}$ , 95%CI:  $-2.3 \times 10^{-3}$ ,  $4.9 \times 10^{-3}$ ,  $p = .484$ ) and time ( $b = -5.2 \times 10^{-3}$ , 95%CI:  $-1.1 \times 10^{-2}$ ,  
379  $9.8 \times 10^{-4}$ ,  $p = .098$ ) were not significant. The interaction effect remained significant when DMN  
380 volume was covaried ( $b = -1.1 \times 10^{-3}$ , 95%CI:  $-2.2 \times 10^{-3}$ ,  $-3.0 \times 10^{-5}$ ,  $p = .044$ ).

381

382 Posterior DMN- Medial Temporal Lobe Coupling

383 Neither the baseline age\*time interaction ( $b = -5.6 \times 10^{-4}$ , 95%CI:  $-1.6 \times 10^{-3}$ ,  $5.1 \times 10^{-4}$ ,  
384  $p = .306$ ) or main effects of baseline age ( $b = 9.1 \times 10^{-4}$ , 95%CI:  $-2.8 \times 10^{-3}$ ,  $4.6 \times 10^{-3}$ ,  $p = .635$ )  
385 or time ( $b = -3.5 \times 10^{-3}$ , 95%CI:  $-9.8 \times 10^{-3}$ ,  $2.7 \times 10^{-3}$ ,  $p = .306$ ) were significant.

386

387 Whole-brain Connectivity

388 Longitudinal whole-brain FC changes were strongly associated with longitudinal within-  
389 DMN FC ( $b = .61$ , 95%CI:  $.55$ ,  $.67$ ,  $p < .001$ ). Similar to within-DMN connectivity, there was a  
390 significant age\*time interaction for whole-brain FC ( $b = 1.1 \times 10^{-3}$ , 95%CI:  $-2.0 \times 10^{-3}$ ,  $-1.9 \times 10^{-4}$ ).

391 <sup>4</sup>,  $p = .018$ ). Main effects of baseline age ( $b = 1.7 \times 10^{-3}$ , 95%CI:  $-1.8 \times 10^{-3}$ ,  $5.1 \times 10^{-3}$ ,  $p = .340$ )  
392 or time ( $b = -1.6 \times 10^{-3}$ , 95%CI:  $-6.9 \times 10^{-3}$ ,  $3.7 \times 10^{-3}$ ,  $p = .550$ ) were not significant. Similar to  
393 within-DMN connectivity, the interaction appears to be driven by faster decline in the oldest  
394 adults in this sample. When rates of change were analyzed separately in the three age groups  
395 defined above, significant decline was observed in those over 74 ( $b = -1.4 \times 10^{-2}$ , 95%CI:  $-2.6 \times$   
396  $10^{-2}$ ,  $-1.0 \times 10^{-3}$ ,  $p = .034$ ). Nonsignificant decline was seen in the 66-74 group ( $b = -1.4 \times 10^{-3}$ ,  
397 95%CI:  $-8.5 \times 10^{-3}$ ,  $5.7 \times 10^{-3}$ ,  $p = .695$ ) and nonsignificant increases were seen in those below  
398 age 66 ( $b = 5.7 \times 10^{-3}$ , 95%CI:  $-5.1 \times 10^{-3}$ ,  $1.7 \times 10^{-2}$ ,  $p = .229$ ).

399

#### 400 **The relationship between changes in connectivity and APOE status**

401 *APOE*  $\epsilon 4$  carriers and noncarriers did not differ significantly on baseline FC ( $p$  values:  
402  $.455 - .958$ ) or rate of change in FC ( $p$  values:  $.127 - .969$ ) for any of the three DMN metrics  
403 after controlling for age and gender.

404

#### 405 **Intra-individual changes in DMN connectivity are specifically associated with changes in** 406 **episodic memory**

407

##### 408 Within-DMN Connectivity and Memory

409 Overall, mean FC levels were not correlated with memory performance when we  
410 examined FC of the DMN ( $b = .71$ , 95%CI:  $-.7$ ,  $2.1$ ,  $p = .316$ ), apDMN ( $b = .45$ , 95%CI:  $-.6$ ,  $1.5$ ,  
411  $p = .398$ ), or pDMN-MTL ( $b = .78$ , 95%CI:  $-2.6$ ,  $1.8$ ,  $p = .142$ ).

412 Within-person changes in within-DMN connectivity, however, were positively associated  
413 with changes in episodic memory ( $b = .71$ , 95%CI:  $.1$ ,  $1.4$ ,  $p = .034$ ). This relationship remained

414 significant after controlling for atrophy in the DMN ( $b = .72$ , 95%CI: .1, 1.4,  $p = .031$ ), and  
415 when volume of the MTL network was added as an additional covariate ( $b = .83$ , 95%CI: .2, 1.5,  
416  $p = .010$ ). Notably, in these same models, neither atrophy in the cortical DMN ( $b = -32.4$ ,  
417 95%CI: -97.8, 33.0,  $p = .332$ ) nor the MTL networks ( $b = -66.7$ , 95%CI: -477.5, 344.1,  $p = .750$ )  
418 were significantly associated with memory performance above and beyond FC. The parameter  
419 was increased slightly when whole-brain connectivity was added to the model ( $b = .84$ , 95%CI: -  
420  $1.4 \times 10^{-2}$ , 1.7,  $p = .059$ ); whole-brain connectivity was not associated with memory ( $b = -9.1 \times$   
421  $10^{-3}$ , 95%CI: -.8, .7,  $p = .981$ ). Notably, within-DMN connectivity remained associated with  
422 episodic memory even after controlling for white matter hyperintensities and mean FC ( $b = 1.2$ ,  
423 95%CI: .1, 2.3,  $p = .037$ ).

424       There was a trend for a relationship between memory and the apDMN FC ( $b = .43$ ,  
425 95%CI: -.1, .9,  $p = .083$ ), which became significant after controlling for DMN and MTL volume  
426 ( $b = .57$ , 95%CI: .1, 1.0,  $p = .017$ ) and the coefficient was only attenuated slightly with whole-  
427 brain FC in the model ( $b = .54$ , 95%CI:  $-4.5 \times 10^{-2}$ , 1.13,  $p = .071$ ). The magnitude of the  
428 relationship increased after controlling for WMH, but did not reach significance in this smaller  
429 subsample ( $b = .71$ , 95%CI:  $-6.5 \times 10^{-2}$ , 1.5,  $p = .073$ ).

430       The relationship between MTL-pDMN and memory was not significant ( $b = .22$ , 95%CI:  
431  $-.3$ , .7  $p = .436$ ), even after controlling for network volumes ( $b = .3$ , 95%CI:  $-.2$ , .8,  $p = .278$ ),  
432 whole-brain FC ( $b = 5.3 \times 10^{-2}$ , 95%CI:  $-.7$ , .8  $p = .884$ ) and WMH ( $b = 1.4 \times 10^{-2}$ , 95%CI:  $-.9$ ,  
433 1.0,  $p = .977$ ).

434       The specificity of the relationship between within-DMN FC and memory was assessed by  
435 examining the strength of its association with other cognitive domains. Between-person DMN  
436 FC was not associated with executive functioning ( $b = -.6$ , 95%CI:  $-2.0$ , .8,  $p = .398$ ), working

437 memory ( $b = -.7$ , 95%CI:  $-2.1, .6$ ,  $p = .304$ ), or processing speed ( $b = 1.0$ , 95%CI:  $-1.3, 3.4$ ,  $p =$   
438  $.385$ ). Longitudinally, intraindividual changes in DMN connectivity were not significantly  
439 associated with executive functioning ( $b = -7.6 \times 10^{-2}$ , 95%CI:  $-.8, .6$ ,  $p = .828$ ) or working  
440 memory ( $b = .6$ , 95%CI:  $-.1, 1.36$ ,  $p = .099$ ), but intraindividual declines in connectivity were  
441 associated with slowing of processing speed over time ( $b = -1.4$ ,  $p = .042$ ); the relationship with  
442 processing speed remained after controlling for network volume ( $b = -1.44$ , 95%CI:  $-2.8, -.05$ ,  $p$   
443  $= .042$ ). Adding whole-brain FC to the model, however, reduced the association between  
444 processing speed and within-DMN FC ( $b = -1.0$ , 95%CI:  $-2.7, .6$ ,  $p = .223$ ). Adding WMHs to  
445 the model further reduced this association ( $b = -.6$ , 95%CI:  $-2.6, 1.5$ ,  $p = .585$ ).

446

#### 447 **Neuropsychological Performance**

448 To provide context to the above results, we present behavioral data describing  
449 longitudinal change in cognitive scores, including an age\*time interaction to assess whether rates  
450 of change in cognition changed as a function of age.

451 There was a significant age\*time interaction for processing speed, such the rate of  
452 slowing increased with increasing age ( $b = 8.7 \times 10^{-3}$ , 95%CI:  $1.4 \times 10^{-3}, 1.6 \times 10^{-2}$ ,  $p = .020$ ).  
453 The age\*time interactions for memory performance ( $b = -2.3 \times 10^{-3}$ , 95%CI:  $-6.4 \times 10^{-3}, 1.7 \times 10^{-3}$ ,  
454  $p = .261$ ), executive functions ( $b = -2.3 \times 10^{-3}$ , 95%CI:  $-6.3 \times 10^{-3}, 1.7 \times 10^{-3}$ ,  $p = .262$ ), and working  
455 memory ( $b = -2.7 \times 10^{-3}$ , 95%CI:  $-6.9 \times 10^{-3}, 1.5 \times 10^{-3}$ ,  $p = .210$ ) were negative but not  
456 significant, suggesting that older individuals did not decline at a significantly faster rate.

457 As expected, processing speed slowed over time ( $b = 4.4 \times 10^{-2}$ , 95%CI:  $3.2 \times 10^{-3}, 8.6 \times$   
458  $10^{-2}$ ,  $p = .038$ ). In contrast, there was a trend for improving memory performance over time ( $b =$   
459  $2.3 \times 10^{-2}$ , 95%CI:  $-3.2 \times 10^{-4}, 4.8 \times 10^{-2}$ ,  $p = .053$ ). No significant longitudinal changes in

460 executive functions ( $b = 1.8 \times 10^{-2}$ , 95%CI:  $-6.3 \times 10^{-3}$ ,  $1.8 \times 10^{-3}$ ,  $p = .281$ ) or working memory  
461 ( $b = 5.2 \times 10^{-3}$ , 95%CI:  $-1.8 \times 10^{-2}$ ,  $2.8 \times 10^{-2}$ ,  $p = .659$ ) were observed.

462 Older age was associated with slower processing speed ( $b = 5.1 \times 10^{-2}$ , 95%CI:  $1.4 \times 10^{-2}$ ,  
463  $8.8 \times 10^{-2}$ ,  $p = .007$ ), poorer memory ( $b = -3.1 \times 10^{-2}$ , 95%CI:  $-5.4 \times 10^{-2}$ ,  $-7.4 \times 10^{-3}$ ,  $p = .010$ ),  
464 and executive functions ( $b = -3.2 \times 10^{-2}$ , 95%CI:  $-5.3 \times 10^{-2}$ ,  $-1.0 \times 10^{-2}$ ,  $p = .004$ ). The  
465 relationship between age and working memory was in the expected direction but did not reach  
466 significance ( $b = -1.6 \times 10^{-2}$ , 95%CI:  $-4.1 \times 10^{-2}$ ,  $8.8 \times 10^{-3}$ ,  $p = .205$ ).

467

468

### Discussion

469 Intra-individual trajectories of DMN and whole-brain FC were nonlinear. This interaction  
470 was nonmonotonic across the lifespan, such that connectivity increased over time between ages 50-  
471 70, plateaued around age 70, and declined as individuals entered older adulthood. This  
472 interaction was primarily driven by faster rates of declines in older age and was not explained by  
473 regional atrophy or WMH. Furthermore, *APOE* genotype was not associated with baseline  
474 connectivity, nor did genotype confer a different rates of FC change over time. Changes in  
475 cortical within-DMN FC correlated with changes in episodic memory and processing speed.  
476 Notably, neither atrophy in the cortical DMN or medial temporal network were sensitive to  
477 baseline memory performances or changes in performances over time. WMH burden and whole-  
478 brain FC did not weaken the relationship with episodic memory, suggesting a unique relationship  
479 between the DMN and memory. In contrast, the association between DMN FC and processing  
480 speed was accounted for by whole-brain changes and cerebrovascular disease.

481

482 This study adds to a growing body of evidence suggesting that DMN network  
connectivity may demonstrate nonlinear changes across the lifespan. Although many cross-

483 sectional studies have concluded that DMN connectivity decreases in older age, our data reveal a  
484 more complex picture. Nuances in connectivity patterns are evident when DMN subsystems are  
485 considered, and when intra-individual change is modeled rather than interpolating from cross-  
486 sectional methodology. Other studies have described nonlinear trajectories in a variety of  
487 networks (Ng et al., 2016; Ye et al., 2016), and Ng and colleagues reported a similar inflection  
488 point around age 70. This observation was not specific to the DMN, and our work suggests that  
489 whole-brain changes in FC should be considered before making conclusions about specific  
490 networks of the brain. Converging evidence from aging and Alzheimer’s literature suggests that  
491 this nonlinearity may be driven by increased connectivity that predates or occurs concurrently  
492 with network breakdown, as well as declining connectivity in older age (Jones et al., 2011,  
493 2016).

494         Although historically conceptualized as a compensatory process, several groups (O’Brien  
495 et al., 2010; Jones et al., 2016) have begun to reinterpret age-related increases in functional  
496 connectivity. These theories posit that increased synchrony of activity may reflect the inability of  
497 neurons in the DMN to handle the metabolic changes associated with aging and neuropathology,  
498 causing a shift of processing burden to downstream regions, with a short-term compensatory  
499 increase. There may be a tipping point, however, when neuropathology and the associated  
500 metabolic demands overwhelm neurons of the DMN leading to network disconnection (Hillary  
501 and Grafman, 2017). Increased or “hyperconnectivity” has been observed in a diverse range of  
502 neurologic conditions including Parkinson’s disease (Stoffers et al., 2008; Simioni et al., 2016),  
503 traumatic brain injury (Hillary et al., 2014), amyotrophic lateral sclerosis (Menke et al., 2016),  
504 and frontotemporal dementia (Zhou et al., 2010; Lee et al., 2014), suggesting that increased  
505 connectivity may be a common biological response to neuropathology. In an older adult cohort

506 such as ours, these network-level changes may be related to the accumulation of several age-  
507 related changes, including cerebrovascular disease, Alzheimer's disease, alpha-synuclein  
508 (Markesbery et al., 2009), TAR DNA-binding protein 43 (TDP-43; Nascimento et al., 2017), and  
509 argyrophilic grain disease (Rodriguez et al., 2016). At a cellular level, this systems-level increase  
510 in connectivity might reflect several mechanisms including amyloid-induced neuronal excitation,  
511 inflammation, dysfunction of the neurovascular unit, or prion-like spread of neurotoxic proteins.  
512 In this cohort, the nonlinear function of connectivity appeared to be independent of small vessel  
513 disease burden. Although it is possible that this relationship was driven by neurologic changes,  
514 other potential contributors include changes in immune activation or cerebrovascular changes  
515 with normally-appearing white matter. Alternatively, there may be a bias in the sample, such that  
516 those who are engaged in research have learned about healthy lifestyle behaviors and have  
517 altered their activity in a way that increases FC.

518 We did not find differences between  $\epsilon 4$  carriers and noncarriers in baseline or  
519 longitudinal trajectory of DMN FC. Although the *APOE*  $\epsilon 4$  allele confers risk for AD pathology  
520 (Corder et al., 1993), and some studies have shown that allele status affects DMN FC (Filippini  
521 et al., 2009; Zheng et al., 2017), studies in older adults have not reported a clear pattern (Sheline  
522 et al., 2010a; Machulda et al., 2011; Westlye et al., 2011; Jones et al., 2016). The null findings in  
523 the present study could be affected by power, as our group of  $\epsilon 4$  carriers was limited to 24  
524 participants.

525 Similar to prior studies, we found that connectivity of the cortical DMN, including  
526 within-DMN and coupling of the anterior and posterior regions of the DMN, predicted changes  
527 in memory performance, whereas atrophy of the corresponding gray matter and hippocampal  
528 volume did not. This relationship remained above and beyond the effect of small vessel disease

529 (i.e., WMH) and whole-brain changes in mean connectivity, suggesting a unique role for tf-fMRI  
530 in capturing meaningful behavioral changes. It is important to note that we observed an overall  
531 trend towards improved memory performances across time, without a significant age\*time  
532 interaction. This finding may indicate potential learning effects as participants perform the same  
533 memory test across testing sessions, or a “practice effect”, that is not dependent on age.  
534 Nonetheless, changes in memory performance were associated with changes in DMN (both  
535 increases and decreases), suggesting there may be a physiological correlate to behavioral  
536 performances regardless of directionality.

537         A positive relationship between DMN FC and memory has been reported elsewhere in  
538 cross-sectional (Vidal-Pineiro et al., 2014) and two time point follow up studies (Persson et al.,  
539 2014), but this provides the first truly longitudinal (i.e., 3 or more visits) support that FC in the  
540 DMN tracks memory performance in cognitively normal older adults. Although early cross-  
541 sectional work pointed to apDMN FC as the primary driver of memory performance (Andrews-  
542 Hanna et al., 2007; Vidal-Pineiro et al., 2014), we found a larger effect for the FC between  
543 cortical DMN nodes as a whole than for apDMN connectivity. In contrast, we did not find  
544 support that changes in functional coupling of the hippocampus and posterior DMN predicted  
545 memory performance. DMN FC was also associated with processing speed, a nonspecific  
546 cognitive trait that is highly sensitive to many brain changes, including aging (Kerchner et al.,  
547 2012). Consistent with the nonspecificity of processing speed, the association between FC and  
548 speed was accounted for by whole-brain FC and white matter pathology.

549         Although FC changes correlate with visit-to-visit changes in clinical phenotype, its utility  
550 as a biomarker should be approached with caution in the context of intra-individual variability  
551 across time and inter-individual variability across age. The nonlinear trajectory of DMN FC with



552 aging introduces a challenge in using network FC as a biomarker, as the expected direction of  
553 signal change may differ depending on where an individual falls on their trajectory. This concern  
554 has been recognized and labeled pseudonormalization (Sperling et al., 2010). Additionally,  
555 visual inspection of intra-subject changes in FC was notable for substantial fluctuations. This is  
556 not unexpected given the myriad additional artifacts in BOLD signal compared to structural  
557 imaging. Despite this, the significant correlation between performance on cognitive measures  
558 and FC, but not structural imaging, suggests FC is sensitive to a unique aspect of neural  
559 functioning. The strength of the association was improved after controlling for regional atrophy,  
560 and others have shown the importance of accounting for gray matter volume (Damoiseaux et al.,  
561 2012). Multimodal imaging analyses that combine connectivity and structural imaging may be a  
562 more powerful way to understand individual change and predict future decline.

563         This study has several limitations. The trend towards increasing connectivity in younger  
564 ages was driven by a small subsample and should be interpreted with caution. Given the large  
565 number of comparisons, our findings require validation in independent samples. Another  
566 limitation is our fairly homogeneous sample of highly educated, white participants that are not  
567 representative of many older adults in this country, and the impact of cognitive reserve in  
568 moderating the relationship between aging and tf-fMRI deserves empirical evaluation. This study  
569 is susceptible to common confounds associated with longitudinal designs, including practice  
570 effects and regression to the mean. Finally, several processing decisions we made could affect  
571 the outcomes, including our method of defining networks and our decision not to regress out  
572 global signal. Based on recent work suggesting unintended removal of true neural connectivity  
573 when controlling for motion at the group level (Geerligts et al., 2017), we did not covary for  
574 motion beyond the nuisance covariates used at the level of matrix calculation.

575 Whole-brain and DMN FC is sensitive to the aging process, and within-DMN FC is a  
576 specific marker of changes in memory performance. Particularly interesting is the potential FC  
577 increases in some younger participants, which may reflect a broad range of neural, vascular and  
578 metabolic changes that occur with aging. The present work also highlights the importance of  
579 gathering longitudinal data to capture non-linear and potentially non-monotonic relationships  
580 between aging and the brain's intrinsic connectivity. Functional connectivity may serve as a  
581 biomarker of clinical change by capturing system-level network alterations caused by early  
582 cellular dysfunction that is not easily quantified using standard volumetric imaging.

583

584

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**FIGURE CAPTIONS**

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**761 Figure 1. Default Mode Network and Medial Temporal Lobe Subsystems**

762 Anterior (yellow), posterior (blue) and medial temporal lobe (green) subsystems derived from a  
763 data-driven network parcellation.

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**765 Figure 2. Baseline Age\*Time Interactions of Default Mode Network (DMN) Volume and**

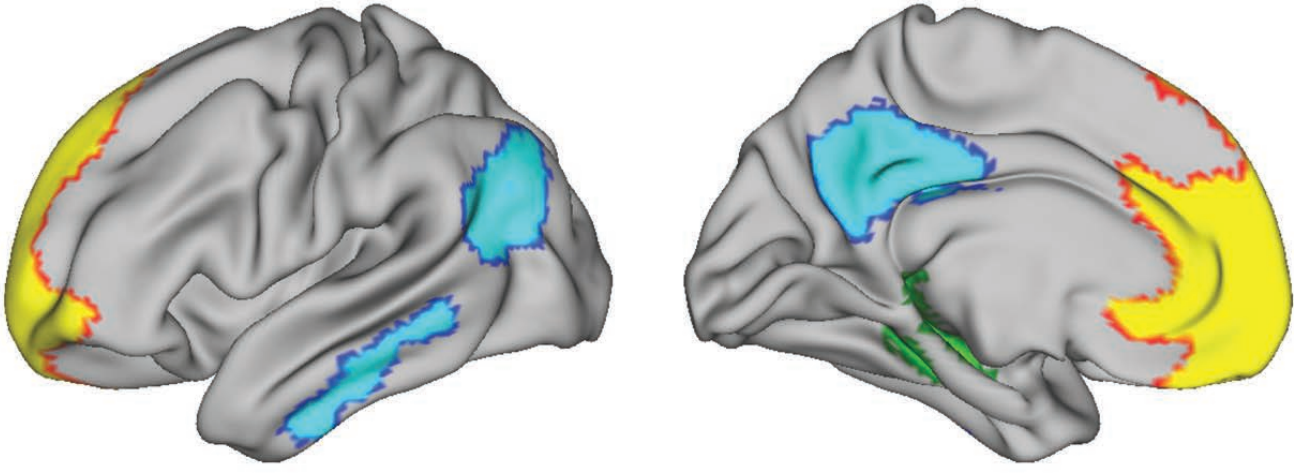
766 **Functional Connectivity (FC).** In figures 2-1 and 2-2, fitted values from linear mixed effects  
767 models with random slope and intercepts are plotted for (2-1) DMN volume (controlling for total  
768 intracranial volume) and (2-2) mean within-DMN FC. Age is shown on the X-axis and fitted  
769 values for the outcome of interest are displayed on the Y-axis. Each line represents an individual  
770 participant's fitted trajectory. Figure 2-3 depicts the predicted slopes of within-DMN FC at three  
771 values of baseline age.

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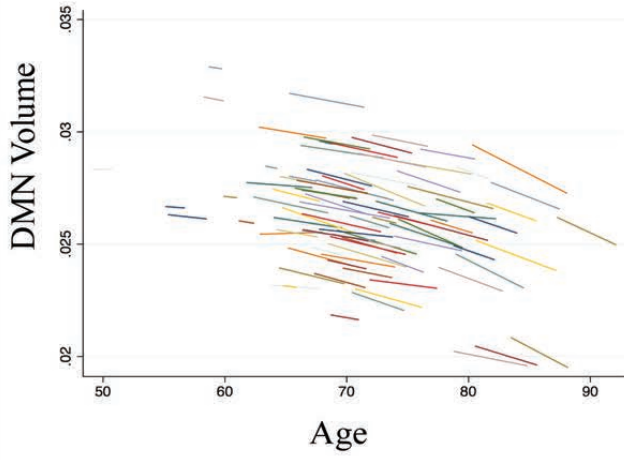
**773 Figure 3. Subject-Specific Trajectories of Raw Within-DMN Functional Connectivity**

774 Raw subject-specific trajectories for each participant are presented using dashed lines. Coloring  
775 is used to indicate participant's baseline age. Solid lines display fitted regression lines for each  
776 age cohort.

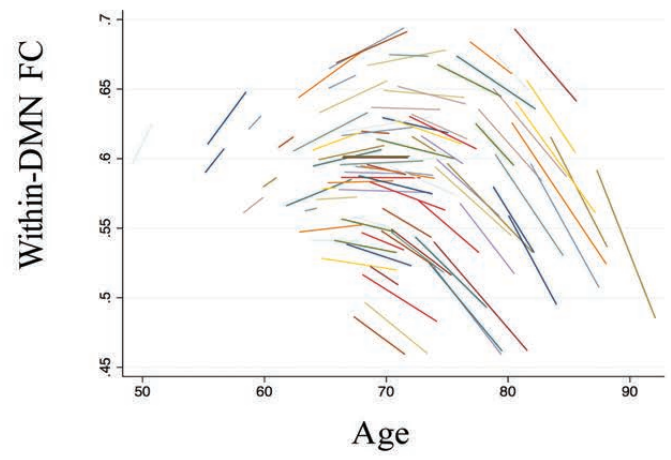
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2-1.



2-2.



2-3.

