

# Centrifugal Pathways Protect Hearing Sensitivity at the Cochlea in Noisy Environments That Exacerbate the Damage Induced by Loud Sound

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Loud sounds damage the cochlea, the auditory receptor organ, reducing hearing sensitivity. Previous studies demonstrate that the centrifugal olivocochlear pathways can moderately reduce these temporary threshold shifts (TTSs), protecting the cochlea. This effect involves only the olivocochlear pathway component known as the crossed medial olivocochlear system pathway, originating from the contralateral brainstem and terminating on outer hair cells in the cochlea. Here I demonstrate that even moderate noise backgrounds can significantly exacerbate the cochlear TTSs induced by loud tones, but this is prevented because in such conditions there is additional activation of uncrossed olivocochlear pathways, enhancing protection of co-

chlear hearing sensitivity. Activation of the uncrossed pathways differs from that of the crossed pathway in that it is achieved only in noise backgrounds but can then be obtained under monaural conditions of loud tone and background noise. In contrast, activation of the crossed pathway is achieved only by binaural loud tones and is not further enhanced by background noise. Thus, conjoint activation of both crossed and uncrossed efferent pathways can occur in noise backgrounds to powerfully protect the cochlea under conditions similar to those encountered naturally by humans.

*Key words:* cochlea; olivocochlear efferents; loud sounds; hearing damage; noise backgrounds; protection; TTS

An early manifestation of the damaging effects of loud sounds is a temporary hearing desensitization [temporary threshold shifts (TTSs)] that occurs without any morphological damage to cochlear structures or only variable damage (Robertson and Johnstone, 1980; Robertson et al., 1980; Robertson, 1982; Tilney et al., 1982; Liberman and Dodds, 1987; Gao et al., 1992; Harding et al., 1992; Dew et al., 1993; Borg et al., 1995). It is generally accepted (Cody and Russell, 1986; Liberman et al., 1986; Patuzzi et al., 1989; Cooper and Rhode, 1992; Patuzzi, 1992; Ruggero et al., 1993) that TTSs is primarily attributable to effects on the outer hair cells (OHCs) of the cochlea, whose local actions in transduction are critical for normal hearing sensitivity and frequency selectivity (Harrison and Evans, 1977; Dallos and Harris, 1978; Liberman and Kiang, 1978; Robertson and Johnstone, 1979; Schmeidt et al., 1980; Cody and Russell, 1986; Liberman et al., 1986; Patuzzi and Robertson, 1988; Cody, 1992).

The mammalian cochlea receives a dual-component efferent innervation (Guinan et al., 1983; Warr et al., 1986). The lateral olivocochlear system (LOCS), almost exclusively from only the ipsilateral lateral superior olivary nucleus, terminates on dendrites of afferent neurons. The medial olivocochlear system (MOCS), from ipsilateral [uncrossed MOCS (UMOCS)] and contralateral [crossed MOCS (CMOCS)] periolivary nuclei, terminates on OHCs. Physiological activation of the MOCS by binaural stimulation can reduce TTSs induced by loud tones (Cody and Johnstone, 1982; Rajan and Johnstone, 1988; Rajan, 1992, 1995a,b, 1996). Only the CMOCS pathway is involved, and lesioning only this pathway prevents the effect (Rajan and Johnstone, 1988; Rajan, 1995b).

The efferent effect on TTSs to damaging tones shows features consistent with a protective system: protection is graded to TTSs (Rajan and Johnstone, 1988; Rajan, 1992, 1995b), occurs to any loud tone, but is evoked most easily by tones most readily damaging the cochlea (Rajan, 1995b), and occurs to each of successive loud tones (Rajan, 1996). This has led to suggestions (Rajan, 1992;

Brown et al., 1998) that cochlear centrifugal pathways have an important functional role at high sound levels and to interest in determining if they were involved in other forms of protection from loud sound (Kujawa and Liberman, 1997; Zheng et al., 1997a), including from permanent damage (Handrock and Zeisberg, 1982; Liberman and Gao, 1995; Zheng et al., 1997b). However, because classical electrically elicited efferent effects are strongest on cochlear responses at low sound levels and decline by high levels (Galambos, 1956; Weiderhold, 1986), the functional value of this protection is yet to be widely accepted and it is sometimes described as an epiphenomenon (Borg et al., 1995) to a primary, yet unknown, role. Here I demonstrate that in background noise, there is conjoint activation of crossed and uncrossed efferent pathways to powerfully protect (by almost 30 dB) from loud sound whose effects would otherwise be exacerbated by the noise. This compelling evidence for a functional role for efferent protection from loud tone-induced damage has direct relevance to human susceptibility to loud sounds in noisy workplace or recreational environments.

## MATERIALS AND METHODS

*Animal treatment and measurement of hearing sensitivity.* Procedures involved in animal treatment and measuring cochlear hearing sensitivity have been detailed elsewhere (Rajan et al., 1991; Rajan, 1995a). In brief, adult cats weighing between 3 and 6 kg were tested under procedures approved by the Monash University Standing Committee on Ethics in Animal Experimentation and conforming to guidelines of the National Health and Medical Research Council of Australia. Cats were anesthetized (60 mg/kg) and maintained with continuous intravenous infusion of Nembutal at 2–3 mg · kg<sup>-1</sup> · hr<sup>-1</sup>. Depth of anesthesia was monitored through continuous recording of rectal temperature, ECG, and EMG activity from forearm muscles and by regular hourly checks of the response to strong noxious pinching of the forepaw and of the presence of pupillary dilatation and absence of corneal reflexes. The output from the ECG/EMG electrodes was displayed on an oscilloscope and fed into a speaker for continuous monitoring of the cat's condition and depth of anesthesia. Body temperature was maintained at 37.5 ± 0.5°C by a thermostatically controlled warming blanket, regulated by feedback from a rectal probe. Cats were tracheostomized and artificially ventilated on room air. Tidal volume was determined from normogram cat respiratory data. Respiratory rate was set between 20 and 25 breaths/min depending on the cat's size.

Surgery (Rajan et al., 1991) was performed to implant stainless steel electrodes against the round window membrane of both cochleas to measure cochlear hearing sensitivity bilaterally. Hearing sensitivity was assessed by measuring thresholds for the compound action potential (CAP) of the auditory nerve at frequencies from 1 to 40 kHz (Rajan et al., 1991;

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**Table 1. Test groups and experimental conditions of laterality of loud tone exposure (loud tone always at 13 kHz, 100 dB SPL for 10 min) and background to exposure**

Group	Status of efferent pathways [All pathways intact/All pathways cut/ Only crossed pathways cut (leaving intact uncrossed pathways)]	Loud tone exposure laterality	Background to the tone exposure
Groups to establish the basic protective effects of cochlear efferents			
Silence 1	All pathways intact	Monaural	Silence
Silence 2	All pathways intact	Binaural	Silence
Silence 3	Ear 1: all pathways intact	Binaural	Silence
	Ear 2: all pathways cut		
Silence 4	Ear 1: only crossed pathways cut	Binaural	Silence
	Ear 2: only crossed pathways cut		
Groups to establish that cochlear efferents provide extra protection in noise backgrounds			
Noise 1	Ear 1: all pathways intact	Binaural	Binaural Noise @ 40 dB SPL
	Ear 2: all pathways cut		
Noise 2	Ear 1: all pathways intact	Binaural	Binaural Noise @ 60 dB SPL
	Ear 2: all pathways cut		
Noise 3	Ear 1: all pathways intact	Binaural	Binaural Noise @ 80 dB SPL
	Ear 2: all pathways cut		
Groups to establish the role of uncrossed pathways in extra protection by cochlear efferents in noise background			
Noise 4	Ear 1: only crossed pathways cut	Binaural	Binaural Noise @ 80 dB SPL
	Ear 2: only crossed pathways cut		
Noise 5	Ear 1: only crossed pathways cut	Binaural	Binaural Noise @ 80 dB SPL
	Ear 2: all pathways cut		
Noise 6	All pathways intact	Monaural	Monaural Noise @ 80 dB SPL
Noise 7	Ear 1: only crossed pathways cut	Monaural	Monaural Noise @ 80 dB SPL
	Ear 2: all pathways cut	Monaural	Monaural Noise @ 80 dB SPL

Rajan, 1995a). Thresholds were compared to normative data (Rajan et al., 1991; Rajan, 1995a), and only animals with normal hearing sensitivity bilaterally from 1 to 40 kHz were used.

Tones and noise stimuli to each ear were generated independently by one of four channels of a digital synthesis system, gated under computer control and passed through separate computer-controlled attenuators, before feeding into one of four channels of an electronic mixer box. The mixer was used to manually switch delivery of stimuli to each ear as desired. Crosstalk between different channels of the mixer box was more than -100 dB up to 10 kHz, -100 dB from 10–20 kHz, and declined thereafter to -95 dB at 40 kHz. Two output channels from the mixer box separately fed sound to one of two Sennheiser HD 535 speakers, each in specially designed housing leading out to a sound delivery tube placed in one external auditory meatus (Rajan et al., 1991).

**Surgical inactivation of efferent pathways.** Inactivation of various components of the cochlear efferent pathways was made using surgical lesions at the floor of the fourth ventricle, after removing the overlying cerebellum (Rajan, 1995a). Because of the coursing of the efferent fibers, it is possible from this brainstem location to lesion all efferent pathways to one or both cochleas, or crossed pathways to both cochleas (Warren and Liberman, 1989), but not the crossed pathway to only one cochlea or only the uncrossed pathways to a cochlea. Thus, lesions were made to either totally de-efferent only one cochlea (Rajan, 1995a,b), lesion only the crossed efferent pathways bilaterally (Rajan, 1995a,b), or combine these manipulations to cut crossed pathways to both cochleas and all efferent pathways to one cochlea, leaving only uncrossed pathways intact to only one cochlea.

To totally de-efferent only one cochlea, a lesion was made 1.5–2 mm lateral of the midline and on the brainstem side ipsilateral to the cochlea to be de-efferented. To cut only crossed pathways (bilaterally), the lesion was made exactly at the midline. To cut crossed pathways to both cochleas and all efferent pathways to one cochlea, two lesions were placed as a combination of the previous two lesion types. The first lesion was at the midline to cut crossed pathways bilaterally and a second lesion placed 1.5–2 mm lateral of the midline to cut all efferent pathways to only one cochlea. Lesions were always 6- to 8-mm-long, extending around the location of the facial colliculi that were identifiable on the floor of the fourth ventricle. Postmortem histological analysis, occasionally combined with histochemical staining for acetylcholine esterase (which stains the efferent pathways), was used to confirm the location of the cuts (Warren and Liberman, 1989; Rajan, 1995a).

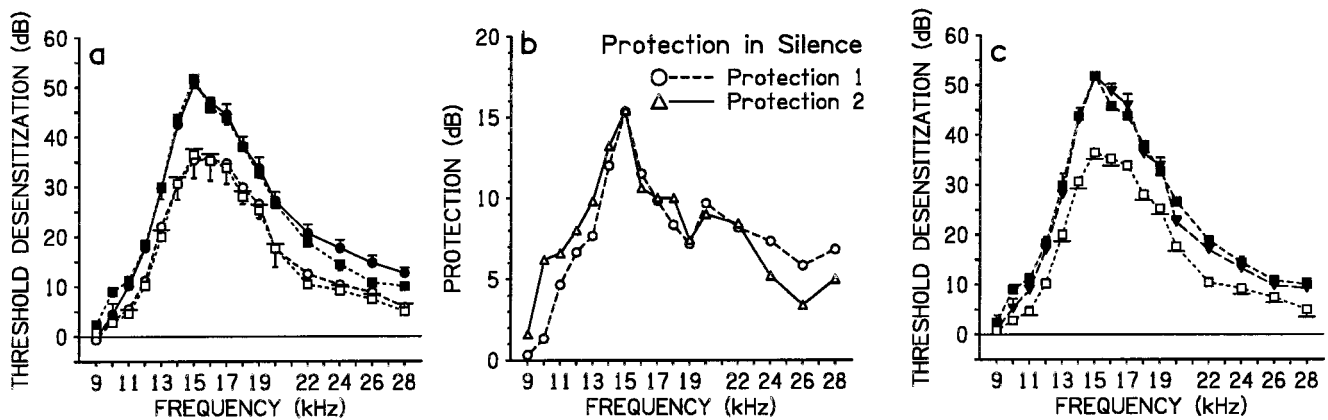
In all animals with lesions placed in the brainstem, the CAP audiogram was measured before and after placing any lesions. The heart rate, ECG waveform, and body temperature were also noted before the lesion and rechecked immediately after the lesion.

**Traumatic loud sound exposures and measurement of cochlear desensitization.** The traumatic loud tone used to cause TTSs was at 13 kHz and was presented at 100 dB sound pressure level (SPL) continuously for 15 min. This frequency was chosen as it is from within the most sensitive part of the cat's CAP audiogram (Rajan et al., 1991) and, of particular relevance to this study, frequencies from this region cause hearing damage more easily than do other frequencies (Rajan, 1995b), as well as more readily activate the previously described (Rajan, 1995b) protective effects of the crossed efferent pathways.

With monaural testing the loud tone was delivered to only the test ear; the output to the non-test ear was blocked off by turning off the mixer box switch to that ear and by turning off, through computer control, that output channel from the stimulus synthesis system. With binaural testing the loud tone was delivered simultaneously and concurrently to both ears. In testing the effects of noise backgrounds, continuous noise (0.5–40 kHz) was switched on 3 sec before the loud tone and remained on for the duration of the tone. Tone and noise were switched off simultaneously by computer control after 15 min of loud tone (and noise) exposure.

Cats were assigned to groups exposed to the loud tone in a background of silence or of noise. Groups exposed to the tone in a background of silence were: Silence 1 ( $n = 6$  cats) and Silence 2 ( $n = 6$ ), exposed monaurally and binaurally, respectively, without any lesions to cochlear efferent pathways; Silence 3 ( $n = 5$ ), exposed binaurally after a lesion to cut all cochlear efferent pathways unilaterally; and Silence 4, exposed binaurally after lesions of only crossed pathways bilaterally ( $n = 2$ ). Noise groups were exposed to the loud tone in a background of noise. In Noise 1 ( $n = 4$ ), the noise level was 40 dB SPL, in Noise 2 ( $n = 7$ ) at 60 dB SPL, and in Noise 3 ( $n = 6$ ), Noise 4 ( $n = 5$ ), Noise 5 ( $n = 6$ ), Noise 6 ( $n = 6$ ), and Noise 7 ( $n = 5$ ) at 80 dB SPL. Groups Noise 1–5 were exposed to the tone and noise binaurally, Noise 1–3 after unilateral total de-efferentation, Noise 4 after a lesion of only crossed pathways (bilaterally), and Noise 5 after lesions to cut crossed pathways bilaterally as well as all efferent pathways (i.e., the remaining uncrossed pathways) to only one side. Groups Noise 6 and 7 were exposed monaurally to the tone in noise, in Noise 6 with no lesions to cochlear efferent pathways and in Noise 7 after the same two types of lesions as in Noise 5. In Noise 7 the crossed de-efferented ears were tested first and later, after data collection from these ears, the totally de-efferented ears were tested, also monaurally. The experimental conditions in each group are summarized in Table 1.

A further group (group "Noise-alone") was tested with monaural noise by itself at 80 dB SPL either with no lesions to efferent pathways ( $n = 2$ ) or after unilateral de-efferentation ( $n = 8$ ). To ensure that any noise effects would not be missed, thresholds were measured from 15 sec after the



**Figure 1.** In a background of silence the crossed efferent pathway is activated by binaural, but not monaural, stimulation to protect the cochlea from loud sound-induced TTSs. *a, c*, Loud sound-induced TTSs of frequency-specific thresholds for the auditory nerve CAP. Data are mean data (error bars indicate SE) from groups or treatment conditions exposed to a loud tone in a background of silence. In *a*, binaural tonal exposure in group Silence 2 (open circles and dotted line) produced lower TTSs than did monaural exposure in group Silence 1 (closed circles and full line); and in Silence 3, binaural tonal exposure after unilateral lesion of all efferent pathways produced TTSs in de-efferented ears in Silence 3 (closed squares and long dashed line) similar to those in Silence 1, and TTSs in efferent-intact ears in Silence 3 (open squares and short dashed line) similar to those in Silence 2. In *c*, group Silence 4 (closed inverted triangles and long dashed line) was exposed binaurally after bilateral lesions of only the crossed efferent pathways; this resulted in TTSs in these crossed de-efferented ears similar to those in the totally de-efferented ears in Silence 3 (closed squares and short dashed line) and larger than in efferent-intact ears in Silence 3 (open squares and short dashed line). *b*, Protection of the cochlea in treatment conditions from *a*: Protection 1 was the difference in mean TTSs in Silence 1 and those in Silence 2; Protection 2 was the difference in mean frequency-specific TTSs in totally de-efferented ears and in efferent-intact ears of group Silence 3.

noise-alone exposure, much earlier than the post-test measurement time in all other groups exposed to the loud tone, as detailed below.

In all groups with loud tone exposure, CAP thresholds were measured 5 min after the end of the loud tone at frequencies from 7 to 30 kHz, in a constant (but not linear) order. It took ~2.5 min to measure thresholds from 9 to 28 kHz bilaterally. Frequency-specific TTSs were calculated as the difference between the pre-loud tone and post-loud tone thresholds. Comparisons between groups or treatments were comparisons between TTSs at corresponding frequencies. Two-way repeated measures ANOVAs were used to compare effects between different experimental conditions. If the ANOVA revealed a significant difference between conditions, generally with a significant interaction term between experimental condition and frequency, unpaired Student's *t* tests were used to compare threshold losses at corresponding frequencies in the two conditions.

## RESULTS

### Basic protective effects of the crossed olivocochlear pathway on TTSs induced by loud tones in a background of silence

For the standard loud tone here (at 13 kHz, 100 dB SPL for 15 min), I first confirmed previous demonstrations (Cody and Johnstone, 1982; Rajan and Johnstone, 1988; Rajan, 1995a,b) using other loud tones (at different frequencies, and for different durations) that in a background of silence, binaural, but not monaural, loud sound could activate the crossed efferent pathway to reduce loud tone-induced TTSs. These results will be compared to the effects of the loud sound in noise backgrounds.

In a background of silence, the standard loud tone applied monaurally (group Silence 1; Fig. 1*a*) produced TTSs >25 dB at frequencies from 13 to 20 kHz. Damage decreased outside this range, more rapidly at lower than higher frequencies. Maximum threshold shift of ~50 dB occurred at 15 kHz. Binaural exposure to the same tone (group Silence 2; Fig. 1*a*) resulted in significantly smaller TTSs from 11 to 28 kHz (Table 2*A*). Reductions in TTSs (i.e., protection; Fig. 1*b*, Protection 1) were generally graded to TTSs occurring with monaural exposure (i.e., to the corresponding-frequency data in group Silence 1), and the largest mean reduction of ~15 dB was at 15 kHz. These effects of binaural versus monaural exposure are identical to those reported previously for other loud sounds applied in silence (Cody and Johnstone, 1982; Rajan and Johnstone, 1988; Rajan, 1995a,b).

Surgical inactivation of cochlear efferent pathways confirmed they were responsible for protection in the binaural condition. In group Silence 3, after totally de-efferenting only one cochlea the loud tone was applied binaurally; from 10 to 28 kHz TTSs in

de-efferented ears (Fig. 1*a*) were significantly larger than in efferent-intact ears (Table 2*B*). Threshold shifts in the latter ears were always similar to corresponding-frequency TTSs from efferent-intact binaurally exposed group Silence 2 (Table 2*B*), whereas TTSs in de-efferented Silence 3 ears were similar to those in the monaurally exposed efferent-intact group Silence 1 (Table 2*B*). Thus, in silence, binaural loud sound activates cochlear efferents to protect, and monaural loud sound does not. Given this, the protection in Silence 3 (difference between TTSs in de-efferented and efferent-intact ears; Fig. 1*b*, Protection 2) was identical to the protection seen when comparing the binaurally exposed efferent-intact group Silence 2 against the monaurally exposed efferent-intact group Silence 1 (Fig. 1*b*, Protection 1). In both comparisons, maximum protection was at 15 kHz and was 15 dB reduction from a peak threshold shift of ~50 dB.

This protection involved only the crossed efferent pathway, as shown by lesioning only this pathway (bilaterally) in Silence 4 before binaural application of the loud tone. The identical treatment in the two ears in each animal resulted in bilaterally similar TTSs; data from corresponding frequencies from all ears were therefore pooled. Threshold shifts in these crossed de-efferented ears were similar (Fig. 1*c*) to those in totally de-efferented ears of the binaurally exposed Silence 3 (Table 2*C*) but were significantly larger from 11 to 24 kHz (Table 2*C*) than in efferent-intact ears in Silence 3. So, although uncrossed efferent pathways were intact in Silence 4, they did not reduce TTSs, confirming that cochlear protection gained with binaural loud tones in silence is attributable to only the crossed pathway.

### There is enhanced protection of hearing sensitivity by cochlear efferent pathways in non-damaging noise backgrounds that can exacerbate loud sound-induced TTSs

The effects of a noise background to the loud tone on TTSs were first tested in groups in which one cochlea was totally de-efferented. The lesion was followed by binaural exposure to the loud tone in a noise background. Results are compared to results in group Silence 3, in which the same lesion type was followed by binaural tone exposure in a background of silence.

Depending on intensity, noise backgrounds exacerbated TTSs in de-efferented ears (Fig. 2*a*). The exacerbation was most pro-



**Table 2.** In a background of silence the crossed efferent pathway is activated by binaural, but not monaural, stimulation to protect the cochlea from loud sound-induced TTSs

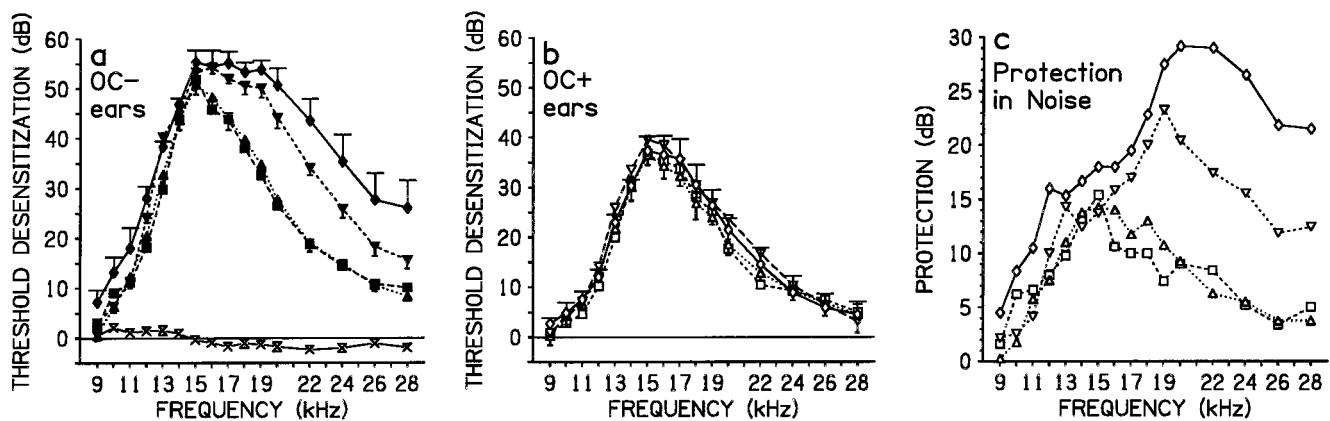
Comparison groups/treatments <sup>a</sup>		ANOVA <i>F</i> and <i>p</i> values (Interaction = group × frequency interaction)	<i>t</i> test type <sup>b</sup> and <i>df</i> <sup>c</sup>	Frequency range with significant differences <sup>d</sup> and parameters of significance
A. Binaural exposure to a loud tone in silence results in lower TTS compared to monaural exposure				
Silence 1 (OC+)	Silence 2 (OC)	Group <i>F</i> = 11.64; <i>p</i> < 0.01	One-tail, <i>df</i> = 10	11–28 kHz; <i>t</i> always > 1.98; <i>p</i> generally < 0.01
Monaural exposure	Binaural exposure	Interaction <i>F</i> = 3.55; <i>p</i> < 0.001		
B. Cochlear protection with binaural loud tone exposure in silence is attributable to cochlear efferents that are activated by binaural but not monaural exposure				
Silence 3 OC–	Silence 3 OC+	Group <i>F</i> = 195.6; <i>p</i> < 0.001	One-tail, <i>df</i> = 8	10–28 kHz; <i>t</i> always > 2.6; <i>p</i> generally < 0.001
Binaural exposure	Binaural exposure	Interaction <i>F</i> = 7.88; <i>p</i> < 0.001		
Silence 3 OC–	Silence 1 (OC+)	Group <i>F</i> = 0.07; <i>p</i> = 0.8		
Binaural exposure	Monaural exposure	Interaction <i>F</i> = 1.2; <i>p</i> = 0.26		
Silence 3 OC+	Silence 2 OC+	Group <i>F</i> = 0.122; <i>p</i> = 0.74		
Binaural exposure	Binaural exposure	Interaction <i>F</i> = 0.22; <i>p</i> = 1		
C. Cochlear protection with binaural exposure in silence is attributable only to the crossed efferent pathway				
Silence 4 (COC–)	Silence 3 OC–	Group <i>F</i> = 4.62; <i>p</i> = 0.07		
Binaural exposure	Binaural exposure	Interaction <i>F</i> = 1.52; <i>p</i> = 0.1		
Silence 4 (COC–)	Silence 3 OC+	Group <i>F</i> = 200.3; <i>p</i> < 0.001	One-tail, <i>df</i> = 7	11–24 kHz; <i>t</i> always > 2.33; <i>p</i> generally < 0.001
Binaural exposure	Binaural exposure	Interaction <i>F</i> = 8.09; <i>p</i> < 0.001		

<sup>a</sup>All groups were tested with the loud tone in a background of silence. OC+ indicates that all olivocochlear efferent pathways were intact to that group/treatment ears, OC– indicates that all efferent pathways were lesioned, and COC– indicates that only the crossed efferent pathways were lesioned (leaving uncrossed efferent pathways intact).

<sup>b</sup>*t* tests were performed when the ANOVA indicated significant differences.

<sup>c</sup>Degrees of freedom.

<sup>d</sup>Frequencies for which *t* values were significant at  $\alpha = 0.05$  (i.e., *p* at least < 0.05).



**Figure 2.** Both crossed and uncrossed efferent pathways protect the cochlea from loud sound-induced TTSs in nondamaging noise backgrounds that exacerbate TTS. *a*, *b*, TTSs after binaural loud tone exposure in a background of binaural noise or silence in different groups, after total de-efferentation of only one ear. Data are mean data (error bars indicate SE) from either efferent-cut (OC–; closed symbols) ears (*a*) or efferent-intact (OC+; open symbols) ears (*b*) in each group. In *a* and *b* the different symbols are for groups Silence 3 (squares and long dashed line), Noise 1 (noise = 40 dB SPL, upright triangle and dotted line), Noise 2 (noise = 60 dB SPL, inverted triangle and long dashed line), and Noise 3 (noise = 80 dB SPL, diamond and full line). Comparison of *a* and *b* shows that noise levels of >40 dB SPL progressively exacerbated TTSs in de-efferentated ears but not in efferent-intact ears. Additionally, in *a* data from the “Noise alone” group, which was exposed only to noise at 80 dB SPL (cross symbols, full line), show that noise by itself did not cause TTS. *c*, Protection of the cochlea in noise backgrounds compared to that in silence; protection in each tone-exposed group from *a* and *b* was calculated as the difference in mean frequency-specific TTSs in the efferent-cut ears (*a*, OC– ears) and those in the efferent-intact ears (*b*, OC+ ears) of the same group. Squares, Silence 3; upright triangle, Noise 1; inverted triangle, Noise 2; diamond, Noise 3.

nounced at frequencies >15 kHz, which was the frequency most affected by the tone in a background of silence. With noise at 40 dB SPL (group Noise 1) there was no exacerbation of TTSs: frequency-specific TTSs in de-efferentated ears were similar to those in de-efferentated ears of Silence 3 (Table 3A). With noise at 60 dB SPL (Noise 2) or 80 dB SPL (Noise 3) de-efferentated ears suffered significantly larger tone-induced TTSs at 12, 13, and from 16 to 28 kHz than de-efferentated ears of Silence 3 (Table 3A). Comparing

the noise groups, increasing noise intensity from 40 to 60 dB SPL caused significantly greater TTSs mainly from 16 to 28 kHz (Table 3A), and increasing intensity from 60 to 80 dB SPL further significantly exacerbated TTSs mainly from 22 to 28 kHz (Table 3A).

In contrast to de-efferentated ears, in efferent-intact ears (Fig. 2*b*) background noise did not increase TTSs above those occurring in efferent-intact ears to the loud tone in a background of silence. Threshold shifts in each group with a noise background (Noise 1,

**Table 3. Both crossed and uncrossed efferent pathways protect the cochlea from loud sound-induced TTSs in nondamaging noise backgrounds that can exacerbate the sound-induced TTS**

Comparison groups/treatments <sup>a</sup>		ANOVA <i>F</i> and <i>p</i> values (Interaction = group × frequency interaction)	<i>t</i> test type <sup>b</sup> and <i>df</i> <sup>c</sup>	Frequency range with significant differences <sup>d</sup> and parameters of significance
A. In ears with non-functional efferent pathways, noise backgrounds exacerbate loud tone-induced TTS, depending on noise intensity				
Silence 3 OC–	Noise 1 OC– (noise = 40 dB SPL)	Group <i>F</i> = 0.33; <i>p</i> = 0.59 Interaction <i>F</i> = 0.74; <i>p</i> = 0.76		
Silence 3 OC–	Noise 2 OC– (noise = 60 dB SPL)	Group <i>F</i> = 131; <i>p</i> < 0.001 Interaction <i>F</i> = 8.02; <i>p</i> < 0.001	Two-tail, <i>df</i> = 9	12, 13, 16–28 kHz; <i>t</i> always > 2.5; <i>p</i> generally < 0.005
Silence 3 OC–	Noise 3 OC– (noise = 80 dB SPL)	Group <i>F</i> = 22.69; <i>p</i> < 0.001 Interaction <i>F</i> = 4.92; <i>p</i> < 0.001	Two-tail, <i>df</i> = 8	12, 13, 16–28 kHz; <i>t</i> always > 2.5; <i>p</i> generally < 0.01
Noise 1 OC– (noise = 40 dB SPL)	Noise 2 OC– (noise = 60 dB SPL)	Group <i>F</i> = 104.3; <i>p</i> < 0.001 Interaction <i>F</i> = 4.37; <i>p</i> < 0.001	One-tail, <i>df</i> = 8	13, 16–28 kHz; <i>t</i> always > 2.3; <i>p</i> generally < 0.005
Noise 2 OC– (noise = 60 dB SPL)	Noise 3 OC– (noise = 80 dB SPL)	Group <i>F</i> = 5.91; <i>p</i> < 0.05 Interaction <i>F</i> = 1.73; <i>p</i> < 0.05	One-tail, <i>df</i> = 11	10, 22–28 kHz; <i>t</i> always > 1.9; <i>p</i> < 0.05
B. In efferent-intact ears, noise backgrounds do not exacerbate the TTS caused by a loud tone				
Silence 3 OC+	Noise 1 OC+ (noise = 40 dB SPL)	Group <i>F</i> = 0.33; <i>p</i> = 0.59 Interaction <i>F</i> = 0.74; <i>p</i> = 0.74		
Silence 3 OC+	Noise 2 OC+ (noise = 60 dB SPL)	Group <i>F</i> = 1.297; <i>p</i> = 0.28 Interaction <i>F</i> = 0.99; <i>p</i> = 0.47		
Silence 3 OC+	Noise 3 OC+ (noise = 80 dB SPL)	Group <i>F</i> = 0.49; <i>p</i> = 0.5 Interaction <i>F</i> = 0.403; <i>p</i> = 0.4		
C. The cochlear protection from loud sound-induced TTS in noise backgrounds is attributable to both crossed and uncrossed efferent pathways				
Noise 4 (COC–)	Noise 3 OC– (noise = 80 dB SPL)	Group <i>F</i> = 34.22; <i>p</i> < 0.001 Interaction <i>F</i> = 8.1; <i>p</i> < 0.001	One-tail, <i>df</i> = 14	9–28 kHz; <i>t</i> always > 2.04; <i>p</i> generally < 0.005
Noise 4 (COC–)	Noise 3 OC+ (noise = 80 dB SPL)	Group <i>F</i> = 7.02; <i>p</i> < 0.02 Interaction <i>F</i> = 2.08; <i>p</i> < 0.01	One-tail <i>df</i> = 12	12–18 kHz; <i>t</i> always > 2.1; <i>p</i> always < 0.05
Noise 5 COC–	Noise 5 OC+ (noise = 80 dB SPL)	Group <i>F</i> = 1093; <i>p</i> < 0.001 Interaction <i>F</i> = 25.44; <i>p</i> < 0.001	One-tail, <i>df</i> = 4	9–28 kHz; <i>t</i> always > 2.7; <i>p</i> generally < 0.0005

<sup>a</sup>All Noise groups were tested binaurally with the loud tone in a noise background. OC+ = all olivocochlear efferent pathways were intact to that group/treatment ears, OC– = all efferent pathways were lesioned, and COC– = only the crossed efferent pathways lesioned (leaving uncrossed efferent pathways intact).

<sup>b</sup>*t* tests were performed when the ANOVA indicated significant differences.

<sup>c</sup>Degrees of freedom.

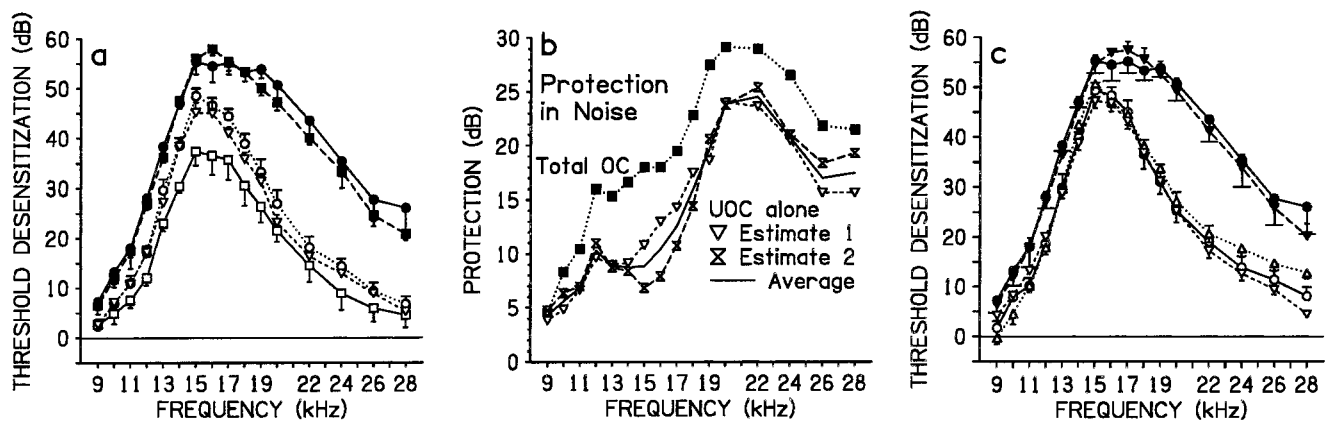
<sup>d</sup>Frequencies for which *t* values were significant at  $\alpha = 0.05$  (i.e., *p* at least < 0.05).

2, and 3) were similar (Table 3B) to TTSs in efferent-intact ears in group Silence 3 exposed to the binaural tone in a background of silence, as well as similar between the three noise groups (*p* always > 0.05, generally > 0.3).

Noise by itself at 80 dB SPL did not cause any TTSs in de-efferented or efferent-intact ears. Data from these conditions were pooled and are illustrated in Figure 2a. Noise only induced small and nonsystematic threshold changes, either of increased sensitivity or desensitization, within the 2–3 dB variability for threshold measurements with the technique used here (Rajan et al., 1991; Rajan, 1995a).

Because higher-level noise backgrounds progressively exacerbated loud tone-induced TTSs only in de-efferented ears, the difference between TTSs in de-efferented and efferent-intact ears (i.e., the protection in efferent-intact ears) increased with increasing noise level, particularly at >15 kHz. The protection in noise backgrounds is illustrated in Figure 2c and compared to that in group Silence 3, in which unilateral de-efferentation was followed

by binaural tone exposure in a background of silence. In the latter case protection followed the pattern of TTS. Mean protection >10 dB occurred from 13 to 18 kHz, with peak protection of 15 dB at 15 kHz, the frequency suffering the largest threshold shift. At higher or lower frequencies TTSs in de-efferented ears and protection in efferent-intact ears both decreased. Noise at 40 dB SPL had no exacerbating effect on TTSs in de-efferented ears, and protection in efferent-intact ears was similar to that in group Silence 3 exposed to the loud tone in a background of silence. With noise at 60 dB SPL there was a significant increase in TTSs at high frequencies in de-efferented ears and a large increase in protection at these frequencies in efferent-intact ears. Mean protection of >10 dB now occurred from 12 to 28 kHz, with peak protection of 23 dB at 19 kHz. With noise at 80 dB SPL there was further exacerbation of TTSs in de-efferented ears, particularly at high frequencies, and more protection in efferent-intact ears. Mean protection of >10 dB extended from 11 to 28 kHz with peak protection of almost 30 dB from 20 to 22 kHz.



**Figure 3.** Protection by the uncrossed efferent pathways from loud tone-induced TTSs in a noise background can be elicited by monaural stimulation. *a*, *c*, TTSs in various groups after loud tone exposure either binaurally or monaurally, in a background of noise at 80 dB SPL or of silence. Data are group mean data (error bars indicate SE) from efferent-intact ears, totally de-efferented ears, or crossed de-efferented ears. *a*, Both crossed and uncrossed pathways are responsible for the cochlear protection seen with binaural tone exposure in binaural noise. In efferent-intact ears, binaural tone exposure in a noise background (Noise 3 efferent-intact ears, *open squares* and *full line*) produced low TTS. In totally de-efferented ears, the binaural tone exposure in noise (Noise 3 totally de-efferented ears, *closed circles* and *full line*; and Noise 5 totally de-efferented ears, *closed squares* and *long dashed line*) produced large TTS. In crossed de-efferented ears, the binaural tone exposure in noise (Noise 4 crossed de-efferented ears, *open circles* and *dotted line*; Noise 5 crossed de-efferented ears, *open inverted triangles* and *short dashed line*) produced an intermediate amount of TTSs at most frequencies. *b*, Protection of the cochlea in noise backgrounds by uncrossed efferent pathways (UOC alone) compared to that by action of both crossed and uncrossed efferent pathways (Total OC). For the former, Estimate 1 was obtained from group Noise 5 from the difference between mean frequency-specific TTSs in the totally de-efferented ears and in the crossed de-efferented ears; Estimate 2 was the difference between mean data from the totally de-efferented ears in Noise 3 and the crossed de-efferented ears from Noise 4. The average (Average, *full line*) of these two estimates is also illustrated. Total OC protection is that previously illustrated in Figure 2c for Noise 3 as the difference in mean frequency-specific TTSs in totally de-efferented ears and efferent-intact ears of the same group. *c*, Protection by uncrossed pathways can be elicited by monaural exposure to the loud tone in a monaural noise background (at 80 dB SPL). In totally de-efferented ears, monaural tone exposure in monaural noise (Noise 7 totally de-efferented ears, *closed inverted triangles* and *long dashed line*) produced large TTSs similar to that seen previously with binaural tone exposure in binaural noise (Noise 3 totally de-efferented ears, *closed circles* and *full line*). In efferent-intact ears, a noise background to a monaural tone exposure (Noise 6 efferent-intact ears, *open circles* and *full line*) did not exacerbate TTSs above that to a monaural tone exposure alone (Silence 1, *open upright triangles*, *dotted line*). Furthermore, in crossed de-efferented ears a noise background to a monaural tone exposure (Noise 7 crossed de-efferented ears, *inverted triangles* and *long dashed line*) also did not exacerbate TTSs above that to a monaural tone exposure alone (i.e., Silence 1), indicating that the intact uncrossed pathways in Noise 7 are able to protect from noise exacerbation of TTS.

### The enhanced cochlear protection to binaural tones in binaural noise backgrounds is attributable to activation of the uncrossed efferent pathways additional to the crossed pathways

In confirmation of previous studies (Cody and Johnstone, 1982; Rajan and Johnstone, 1988; Rajan, 1995a,b), it was shown earlier that in a background of silence only the crossed efferent pathway mediates protection obtained with exposure to binaural loud sound. To determine if the enhanced protection in the higher-level noise backgrounds was also attributable to only this pathway, in group Noise 4 only this pathway was cut bilaterally (as in Silence 4). The loud tone and noise at 80 dB SPL were delivered binaurally. The identical treatment of the two ears in each animal resulted in bilaterally similar TTS, and data from corresponding frequencies in all animals were pooled. These data were compared (Fig. 3a) to data from efferent-intact ears and totally de-efferented ears in Noise 3 in which the binaural loud tone was also presented in binaural noise at 80 dB SPL. The comparison showed that crossed and uncrossed efferent pathways were involved in the enhanced protection in noise, in a frequency-dependent manner. Despite the absence of the crossed pathway in Noise 4, TTSs at all frequencies (9–28 kHz) were still lower than in totally de-efferented ears of Noise 3 (Table 3C). Thus, in Noise 4 the intact uncrossed efferent pathways still significantly protected the cochlea over the entire affected frequency range. However, from 12 to 18 kHz, the crossed pathway provides significant protection in noise: in Noise 4, in which only this pathway was absent, these frequencies suffered significantly larger TTSs (Table 3C) than did Noise 3 efferent-intact ears which possessed crossed and uncrossed pathways.

The involvement of uncrossed pathways in the enhanced protection in noise was confirmed directly by a within-group test in group Noise 5. In this group crossed pathways were lesioned bilaterally, and then all efferent pathways (i.e., the remaining uncrossed pathways) to only one cochlea were lesioned. Then the cats were exposed binaurally to the loud tone in the background of noise at

80 dB SPL. The ears that were only crossed de-efferented ears always suffered significantly lower TTSs (Fig. 3a, Table 3C) than the totally de-efferented ears; thus, in the crossed de-efferented ears, intact uncrossed pathways still protected the cochlea. (As shown in Fig. 3a, TTSs in totally de-efferented ears in Noise 5 were similar to those in totally de-efferented ears in Noise 3, and TTSs in crossed de-efferented Noise 5 ears were similar to those in crossed de-efferented Noise 4 ears. This is also demonstrated below in calculations of the protection provided by uncrossed efferent pathways.)

Two estimates were obtained of the protection provided by uncrossed efferent pathways in a background of noise at 80 dB SPL. A within-group estimate (Fig. 3b, UOC protection Estimate 1) was obtained from group Noise 5 from the difference between mean frequency-specific TTSs in totally de-efferented and crossed de-efferented ears. An across-group estimate (Fig. 3b, UOC protection Estimate 2) was obtained from the difference between mean data from totally de-efferented ears in Noise 3 and crossed de-efferented ears in Noise 4. Protection by uncrossed pathways was biased toward higher frequencies (Fig. 3b): protection of >10 dB was found consistently only at frequencies >14 kHz with peak protection of ~24–25 dB occurring from 20 to 22 kHz and declining to ~17 dB at 28 kHz. Figure 3b (Total OC) also compares this protection to that provided in noise when all efferent pathways were intact, calculated previously (Fig. 2c) from the difference between totally de-efferented and efferent-intact ears in group Noise 3. The pattern of protection in the latter case is dominated by the pattern caused by uncrossed pathways alone: protection of >10 dB was consistently found from 11 kHz to all higher frequencies. Peak protection of almost 30 dB was at 20–22 kHz, with a small decline to ~22 dB at 28 kHz. This large protection in group Noise 3 was attributable to the involvement of both crossed and uncrossed efferent pathways (in efferent-intact ears in Noise 3) in the noise background.

**Table 4. Protection by the uncrossed efferent pathways from loud sound-induced threshold shifts in a noise background can be elicited by monaural stimulation**

Comparison groups/treatments <sup>a</sup>		ANOVA <i>F</i> and <i>p</i> values (Interaction = group × frequency interaction)	<i>t</i> test type <sup>b</sup> and df <sup>c</sup>	Frequency range with significant differences <sup>d</sup> and parameters of significance
A. Cochlear protection from loud sound-induced TTS can be elicited by monaural loud sound in a monaural noise background				
Silence 1 (OC+)	Noise 6 (OC+)	Group <i>F</i> = 0.185; <i>p</i> > 0.6		
Monaural exposure	Monaural exposure in noise	Interaction <i>F</i> = 1.028; <i>p</i> = 0.4		
Noise 3 OC–	Noise 6 (OC+)	Group <i>F</i> = 25.44; <i>p</i> < 0.001	One-tail, df = 10	12–28 kHz; <i>t</i> always > 2.06; <i>p</i> generally <i>p</i> < 0.01
Binaural exposure in noise	Monaural exposure in noise	Interaction <i>F</i> = 6.022; <i>p</i> < 0.001		
B. Cochlear protection from loud sound-induced TTS elicited in the monaural condition is attributable to only the uncrossed efferent pathways				
Noise 6 (OC+)	Noise 7 OC–	Group <i>F</i> = 42.22; <i>p</i> < 0.001	One-tail, df = 9	9–28 kHz; <i>t</i> always > 2.65; <i>p</i> generally < 0.005
Monaural exposure in noise	Monaural exposure in noise	Interaction <i>F</i> = 8.51; <i>p</i> < 0.001		
Noise 7 COC–	Noise 7 OC–	Group <i>F</i> = 111.7; <i>p</i> < 0.001	One-tail, df = 8	10–28 kHz; <i>t</i> always > 2.43; <i>p</i> generally < 0.005
Monaural exposure in noise	Monaural exposure in noise	Interaction <i>F</i> = 12.34; <i>p</i> < 0.001		
Noise 6 (OC+)	Noise 7 COC–	Group <i>F</i> = 0.068; <i>p</i> = 0.8		
Monaural exposure in noise	Monaural exposure in noise	Interaction <i>F</i> = 0.53; <i>p</i> = 0.9		
C. Cochlear protection obtained through the uncrossed efferent pathways in the monaural condition is not as large as the cochlear protection obtained through crossed and uncrossed efferent pathways in the binaural condition				
Noise 7 COC–	Noise 3 OC+	Group <i>F</i> = 6.57; <i>p</i> < 0.05	One-tail, df = 9	11–16 kHz; <i>t</i> always > 2.29; <i>p</i> generally < 0.01
Monaural exposure in noise	Binaural exposure in noise	Interaction <i>F</i> = 1.33; <i>p</i> = 0.18		
Noise 7 COC–	Noise 4 (COC–)	Group <i>F</i> = 0.018; <i>p</i> = 0.9		
Monaural exposure in noise	Binaural exposure in noise	Interaction <i>F</i> = 0.58; <i>p</i> = 0.9		

<sup>a</sup>Noise 6 and 7 were tested with the loud tone monaurally in a background of monaural ipsilateral noise at 80 dB SPL. OC+ = all olivocochlear efferent pathways were intact to that group/treatment ears, OC– = all efferent pathways were lesioned, and COC– = only the crossed efferent pathways lesioned (leaving uncrossed efferent pathways intact).

<sup>b</sup>*t* tests were performed when the ANOVA indicated significant differences.

<sup>c</sup>Degrees of freedom.

<sup>d</sup>Frequencies for which *t* values were significant at  $\alpha = 0.05$  (i.e., *p* at least < 0.05).

### Protection by the uncrossed efferent pathways from loud sound-induced TTSs in a noise background can be elicited by monaural stimulation

As noted above, in a background of silence binaural loud tones are required to activate protection, which is then mediated only by the crossed efferent pathway. I determined whether the (larger) efferent protection obtained in a background of noise, through involvement of both crossed and uncrossed pathways, also required binaural stimulation. In two groups tests were done with only monaural loud sound in monaural ipsilateral noise at 80 dB SPL. In group Noise 6, all efferent pathways were intact, and TTSs (Fig. 3c) was not significantly different (Table 4A) from TTSs in group Silence 1 in which the monaural loud tone was presented in a background of silence. In contrast, as also illustrated in Figure 3c, after total de-efferentation (in Noise 3; totally de-efferentated ears) TTSs to the monaural tone in the monaural noise background was greater than TTSs in group Silence 1 to the monaural loud tone in a background of silence. (Although loud tone and noise were applied binaurally in Noise 3, the total de-efferentation of ears for which data are considered here effectively renders those ears monaural.) Comparison of Noise 6 data against those from totally de-efferentated ears of Noise 3 showed that TTSs from 12 to 28 kHz in Noise 6 were significantly lower than in Noise 3 totally de-

efferentated ears (Table 4A). This confirmed that in the monaural condition of Noise 6, intact efferent pathways prevented noise from exacerbating tone-induced TTS.

To determine which efferent component was responsible for this monaurally elicited protection, in group noise 7 two lesions were placed, as in Noise 5; one to cut the crossed pathways bilaterally, and the second to cut all efferent pathways (i.e., the remaining uncrossed pathways) to only one cochlea. The monaural loud tone and noise were first applied in the crossed de-efferentated ears and later in the totally de-efferentated ears.

Threshold shifts in the crossed de-efferentated Noise 7 ears were similar to those in the efferent-intact ears of Noise 6 (Fig. 3c, Table 3B). However, in the totally de-efferentated ears in Noise 7, TTSs at 10–28 kHz were significantly higher than in efferent-intact ears of Noise 6 (Fig. 3c, Table 4B) and also significantly higher than in crossed de-efferentated Noise 7 ears (Fig. 3c, Table 4B). Thus, under monaural conditions, uncrossed efferent pathways can act alone to prevent noise exacerbating tone-induced damage. However, at some frequencies, this protection is not as great as that obtained in the (binaural) condition in which crossed and uncrossed efferent pathways are active in protection. Crossed de-efferentated monaurally exposed Noise 7 ears (intact uncrossed pathways) suffered significantly greater TTSs from 11 to 16 kHz than did the efferent-



intact binaurally exposed ears in group Noise 3 (Table 4C). It has been shown earlier that in the conditions in these Noise 3 ears (all efferents intact, and tested binaurally), both crossed and uncrossed pathways are active in protection. The extra protection in these ears compared to the crossed de-efferented Noise 7 ears was attributable to the action of the crossed pathways in the Noise 3 ears.

These analyses convincingly demonstrate that the protection in the binaural tone and noise condition was caused by both crossed and uncrossed efferent pathways. A further analysis refuted the alternative hypothesis that stronger activity in uncrossed efferents was responsible for the larger protection in the binaural condition compared to the monaural condition. Comparison of TTSs in crossed de-efferented monaurally exposed Noise 7 ears against those in crossed de-efferented binaurally exposed group Noise 4 found no significant differences (Table 4C) confirming that uncrossed efferent pathways (intact in both groups) are as effectively activated by the monaural test condition as by the binaural test condition.

In contrast, the results from the monaurally tested groups reconfirmed that binaural conditions are required for the crossed efferent pathway to be activated, even in a noise background. In this background the monaural loud tone produced similar TTSs whether the crossed pathway was intact (Noise 6) or lesioned (Noise 7), as long as uncrossed pathways were intact. Thus, in a background of noise (as in a background of silence, as shown earlier) the crossed efferent pathway is not activated by a monaural loud tone. In accord with this conclusion, the monaural loud tone produced similar TTSs whether applied in a background of noise (Noise 6) or in a background of silence (Silence 1).

## DISCUSSION

This report establishes three important results. First, in the absence of functional cochlear efferent pathways even a moderate noise background, itself not causing any damage, significantly exacerbates damaging effects of a loud tone, particularly at higher frequencies of the tone-affected range. Second, in noise backgrounds there is activation of efferent pathways additional to those activated by a binaural loud tone in a background of silence, and this enhances cochlear protection compared to that in silence. Additional efferent pathways activated in noise are uncrossed efferent pathways not activated by the loud tone alone. Finally, in noise, uncrossed efferents are “automatically” activated by loud tones, even under monaural conditions of loud tone and noise, and prevent noise from exacerbating tone-induced damage. The protective effects of uncrossed pathways are only revealed when they are inactivated.

The observation that uncrossed efferent pathways have cochlear effects in a noise background is consistent with the demonstration (Brown et al., 1998) that single uncrossed efferent neurons are driven more effectively by noise than tones, and the observation (Kawase and Liberman, 1993; Kawase et al., 1993) of other (anti-masking) cochlear effects of uncrossed pathways in noise. In the latter case, when responses to tones in one ear are masked by noise in that ear, addition of noise to the other ear activates uncrossed pathways to the test ear to reduce some of the masking. The laterality of noise application to reveal uncrossed efferent anti-masking effects and the protection of the present study differ in that protection is observed when noise and tone are presented to the same ear alone. This may only reflect the difference that in studies of anti-masking effects, tone levels likely to damage hearing were not used. This difference may also account for the finding, in single-unit studies, that uncrossed efferent neurons are driven by the ear other than the one to which they project (Liberman and Brown, 1986) and facilitated by noise in the projection ear (Liberman, 1988; Brown et al., 1998), whereas the present study shows that uncrossed efferents to a cochlea protect when noise and loud

tone are in their projection ear alone (i.e., monaural loud tone and noise) and binaural tone in noise did not produce any more uncrossed efferent protection. (The extra protection in the binaural condition was attributable to activation of the crossed efferent pathway by binaural tones, not to any greater activation of uncrossed pathways.) In the single-unit studies, too, sounds likely to cause damage to hearing sensitivity were not used.

White noise did not cause TTSs; rather, in de-efferented ears it exacerbated damage caused by the loud tone, particularly at high frequencies in the affected range. Three features suggest that uncrossed efferents prevent this exacerbation rather than acting primarily on the mechanism whereby loud sound damages hearing sensitivity, whereas crossed efferents act specifically on the latter mechanism.

First, TTSs after a monaural loud tone in a noise background were identical to TTSs after the monaural tone in a background of silence. In the first condition only uncrossed efferents acted (to prevent noise from exacerbating loud tone-induced TTSs), whereas in the second, TTSs were not modulated by any efferent influence. Thus, when only uncrossed pathways protected, they prevented noise from exacerbating TTSs beyond levels caused by the loud tone. In contrast, when only the crossed pathway protected (with application of binaural loud tone in a background of silence), TTSs were reduced below the TTSs levels caused by the tone alone (monaural loud tone in a background of silence). Second, TTSs with application of binaural loud tone in background of noise were not lower than the protected levels seen with application of binaural loud tone in silence. In the first condition both crossed and uncrossed efferent pathways protected, whereas in the second only the crossed pathway protected. Thus, the additional activation of the uncrossed pathways in the first condition did not reduce TTSs below levels to which it had been reduced by the crossed pathway. Finally, uncrossed efferent protection (in background of noise) was greatest at higher frequencies at which noise most exacerbated (in de-efferented ears) TTSs. In all tests peak TTSs was focused at 15 kHz (although in noise backgrounds this peak broadened to include higher frequencies). However, protection at 15 kHz was generally similar whether the binaural tone was applied in a background of silence, when only the crossed pathway protected, or in a noise background, when crossed and uncrossed efferents protected. This was not caused by saturation of uncrossed efferent protection: in contrast to protection at 15 kHz, much greater protection was obtained in a noise background at the higher frequencies at which noise exacerbated TTSs. (Note that even then TTSs at the higher frequencies did not significantly exceed that at 15 kHz). In contrast, crossed efferent protection was maximal at the frequency most affected by the tone alone, as also found previously (Rajan and Johnstone, 1988; Rajan, 1992, 1995a,b, 1996) using a larger range of loud tone levels and frequencies, in a background of silence.

These effects suggest strongly that in the overall TTSs caused when a tone occurs in a noisy background, the crossed pathway acts on the mechanism whereby loud tones cause TTSs, whereas the uncrossed pathway acts on the mechanism whereby noise, in de-efferented ears, allows loud tones to cause more TTSs. Although the mechanism underlying TTSs is unknown, a current view (Patuzzi et al., 1989; Patuzzi, 1992) suggests it is caused by temporary inactivation of mechanosensitive transduction ion channels in OHCs. It has been suggested (Patuzzi and Rajan, 1990) that such inactivation occurs only for movement of the cochlear partition in one direction during sound-induced vibration of the partition. Noise may exacerbate loud tone-induced TTSs by biasing the cochlear partition further or for longer in this direction.

The two efferent pathways also differed in how they were activated to produce protection. Previous studies with loud tones, in a background of silence, have shown that the protective crossed efferent pathway is activated only by binaural stimulation. [Note that the input does not have to be binaurally equally intense (Cody and Johnstone, 1982; Rajan and Johnstone, 1988), a condition that translates credibly to the free-field in which loud sounds away from



the midline will be unequally loud bilaterally (Rajan, 1995b).] This study extends that feature to noise backgrounds by showing that in a noise background, a monaural loud tone evoked protection only through uncrossed pathways. These various data suggest that protective crossed efferent neurons are activated frequency-specifically only by binaural tonal input. This is consistent with the report, when testing with binaural loud sounds in a background of silence, that protection requires binaural tones to be at the same frequency (Cody and Johnstone, 1982). In contrast, uncrossed pathways only protect from TTSs caused by tones in the presence of noise, but such activation occurs with monaural input from both tone and noise, resulting in expression of uncrossed efferent protection as fully as with binaural testing. These data suggest that crossed efferent neurons involved in protection do not require convergence of inputs from different frequency channels but require binaural input for expression of cochlear protection. In contrast, uncrossed efferent neurons involved in protection appear to require convergence of inputs from many frequency channels, but this convergence occurs for monaural input from the ear to which they project (and, ultimately, protect).

The crossed (contralateral) efferent pathway consists almost exclusively of MOCS neurons terminating on OHCs (Guinan et al., 1983; Warr et al., 1986); protective effects of the crossed pathway must therefore be exercised only at this cochlear site. The uncrossed (ipsilateral) pathway consists of LOCS neurons terminating on dendrites of cochlear afferent neurons, and MOCS neurons terminating on OHCs (Guinan et al., 1983; Warr et al., 1986). Potentially either subcomponent could be responsible for uncrossed pathway protection. It is generally held (Weiderhold, 1986; Guinan, 1988) that all efferent effects at the cochlea to date, and responses of single efferents, are attributable solely to MOCS neurons terminating on OHCs. It therefore seems most parsimonious to assume that uncrossed efferent protection is attributable only to (ipsilateral) MOCS neurons (i.e., the UMOCS); also it is difficult to see how actions at dendrites of cochlear afferent neurons could prevent noise from exacerbating loud tone-induced damage.

Finally, the present results have a clear and important relevance to human susceptibility to loud sound-induced damage to cochlear hearing sensitivity. Exposure to loud sounds very often would occur in environments with an ambient level of noise (such as workplace or recreational environments). The present study shows that uncrossed cochlear efferents are already “primed” to be activated in such environments to prevent noise from exacerbating damage caused by any loud sounds. Dysfunction of efferents, particularly uncrossed efferents, could potentiate damage to hearing sensitivity and may be responsible for some effects seen in cases of occupational hearing damage, an increasing epidemiological problem. Designing conditions to optimize the action of efferent pathways in noisy environments that carry a risk of exposure to loud sound could significantly ameliorate cochlear damage caused by loud sound in such environments.

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