

Transformations of an Auditory Temporal Code in the Medulla of a Sound-Producing Fish

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The fish auditory system provides important insights into the evolution and mechanisms of vertebrate hearing. Fish have relatively simple auditory systems, without a cochlea for mechanical frequency analysis. However, as in all vertebrates, the primary auditory afferents of fish represent sounds as stimulus-entrained spike trains. Thus, fish provide important models for studying how temporal spiking patterns are used in higher level neural computations. In this paper we demonstrate that one of the fundamental transformations of information in the auditory system of a sound-producing fish, *Pollimyrus*, takes place in the auditory medulla. We discovered a class of neurons in which evoked spiking patterns were relatively independent of the stimulus fine structure and appeared to reflect intrinsic properties of the neurons. These neurons generated sustained responses but were poorly phase-locked to tones compared

with the primary afferents. The interval histograms showed that spike timing was regular. However, in contrast to primary afferents, the mode of the interspike interval distribution was independent of the period of tonal stimuli. The tuning of the neurons was broad, with best sensitivity in the same spectral region where these animals concentrate energy in their communication sounds. The physiology of these neurons was similar to that of the chopper neurons known in the auditory brainstem of mammals. Our findings suggest that this medullary transformation, from phase-locked afferent input to chopper-like physiology, is basic to vertebrate auditory processing, even within lineages that have not evolved a cochlea.

Key words: auditory communication; chopper; computation; electric fish; hearing; temporal processing; neural transformation

The transformations of acoustic information ascending the auditory system are fundamental in the study of auditory neural computation and the processing of communication sounds. Fish hold particular interest for the investigation of vertebrate hearing because of the relative simplicity of their ears. Fish have not evolved an elaborate structure for peripheral frequency analysis like the mammalian cochlea, and they have served as important model systems for studying auditory temporal computation (Fay, 1978a, 1994; Crawford, 1997b; Bodnar and Bass, 1999; Popper and Fay, 1999). The primary afferent neurons in the fish's auditory nerve, like those in other vertebrates, generate action potentials that are closely synchronized to features of sounds (Furukawa and Ishii, 1967; Fay, 1978b; Fay and Coombs, 1983; Moeng and Popper, 1984; Lu and Fay, 1996; Kozloski and Crawford, 1997, 1998a–c; McKibben and Bass, 1999; Suzuki and Crawford, 2000) and thus deliver a representation of the temporal structure of the stimulus to higher level circuits. In theory, the structure of these spike trains may be used to identify the frequency of a tone (Wever, 1949; Fay, 1970, 1978a, 1988; Marvit and Crawford, 2000) or to characterize more complex sounds (Fay and Passow, 1982; Fay, 1995). In this paper, we demonstrate

that one of the major neural transformations of this primary temporal code occurs in the medulla of a sound-producing fish.

Pollimyrus adspersus is an African weakly-electric fish that has a well known repertoire of sounds used for courtship (grunts and moans) and specialization of the peripheral auditory system for sound pressure detection (Crawford, 1997a). Grunts are acoustic click trains (duration \approx 250 msec) with an interclick interval of 18 msec that are produced in alternation with moans (duration \approx 800 msec) by courting males. The moans are tonal, with sharp spectral peaks at 240 and 480 Hz (Crawford et al., 1997b). Recent research on the auditory nerve and medulla in *Pollimyrus* (Kozloski and Crawford, 1998a–c; Suzuki and Crawford, 2000) has shown that most primary afferents and first-order medullary neurons generate highly phase-locked, sustained responses to tones and click trains. However, in the course of these studies, we have discovered a distinct class of medullary neurons at the level of the secondary octaval nuclear complex in the medulla (SO) (McCormick and Hernandez, 1996; Kozloski and Crawford, 1998c). These neurons resemble the chopper neurons known throughout the mammalian auditory brainstem (Rhode, 1991). They produced sustained responses without phase locking to the stimulus fine structure, although they did have regular modes in their peristimulus time histograms. Thus, the physiology of these neurons represented a major transformation of auditory information in *Pollimyrus*.

Chopper responses have been described in a variety of vertebrates and across many nuclei in the brainstem. However, choppers have not been reported previously in the medulla of fish. Our findings show for the first time that this important neural transformation occurs early in auditory processing. Chopper-like responses, recorded in higher brain areas of fish (Lu and Fay, 1993,

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1995), may be created in the medulla and relayed to the midbrain and thalamus.

MATERIALS AND METHODS

The animals (Crawford, 1997a; Crawford et al., 1997a) and the methods used in our research (Crawford, 1993, 1997b; Kozloski and Crawford, 1998c) have been detailed previously. All of our animal protocols comply with *The Principles of Animal Care* published by the United States National Institutes of Health, and all were approved by the Institutional Animal Care and Use Committee of the University of Pennsylvania (Philadelphia, PA).

Pollimyrus adspersus (Mormyridae) were imported from Nigeria. Our analysis is based on 18 neurons recorded in 11 adult fish. The fish were immobilized by intramuscular injection of gallamine triethiodide (Flaxedil, 0.4 $\mu\text{g}/\text{gm}$ of body weight) and placed in a water-filled acoustic tank within a sound-attenuating chamber. Physiological recordings were made while the fish was rigidly fixed 25 mm underwater in the tank, as described previously (Crawford, 1997b). Local anesthesia (lidocaine) was administered before the minor surgery required for microelectrode penetration of the medulla.

Acoustic signals were presented with an underwater speaker, and sound levels were expressed as decibels rms relative to 1 μPa for tones and decibels peak r.e. 1 μPa for clicks (subtract 100 for decibels relative to 1.0 dyne/cm^2 ; subtract 26 for decibels relative to 20 μPa). The maximum sound level used was 130 dB, because this is approximately what the fish would encounter during short-range (10 cm) courtship interactions.

Extracellular recordings were made with metal-filled glass micropipettes [Indium electrodes (Dowben and Rose, 1953)] advanced into the brain with a Burleigh microdrive. After characterizing auditory neurons, we also checked for responses mediated by the lateral line mechanosensory system (30 Hz vibrating bead) and electrosensory system (electric dipole). None of the auditory neurons were activated by these stimuli. After physiology, standard neuroanatomical methods were used for tissue processing, histology, and anatomy [detailed in Kozloski and Crawford (1998c)].

Neurons were characterized by their responses to tones, clicks, and click trains. Tones were presented as short bursts (100 msec), with 30 msec cosine rise/fall ramps and a repetition period of 1.15 sec. Prestimulus and poststimulus spikes were recorded for 100 msec for each tone presentation. The clicks were 5 msec pulses, with a flat amplitude spectrum in the 160 Hz to 5.0 kHz band. Click trains were 400 msec in duration, prestimulus and poststimulus spikes were recorded for 50 msec, and the train repetition period was 1.0 sec. The interclick interval (ICI) of the click trains was varied over a range from 10 to 80 msec to check for interval selectivity. Clicks were usually presented at only a single sound level (130 dB), and no systematic study of click responses as a function of sound level was made.

All neurons were minimally characterized by their isolevel response function (ILRF) for tone frequency at 125 dB and by a spike rate versus sound level function [rate-level function (RLF)] and a peristimulus time histogram (PSTH with 50 μsec resolution; 10 dB above threshold; 50–100 trial repetitions) at the tone frequency evoking the maximum response [best frequency (BF)]. The ILRFs were constructed by measuring evoked spike rates while presenting a calibrated set of 22 log-spaced tone frequencies, ranging from 100 Hz to 3.3 kHz, in random sequence. Tuning was measured from the ILRF as the ratio of the BF to the bandwidth corresponding to the high and low frequency points at which the response dropped to 50% of maximum ($Q_{50\%}$).

The RLFs were constructed by presenting BF tone bursts starting at 60 dB and increasing in 3 dB steps to 130 dB. The threshold (in decibels) was measured from the RLF, and this was used as the best sensitivity (BS). The criterion for a threshold response was based on the spontaneous spike rate (SR) measured during the 100 msec prestimulus periods: threshold spike rate \geq mean SR + 2 SD. The dynamic range (in decibels) and the slope (spikes \cdot second $^{-1}$ \cdot decibel $^{-1}$) were also measured from the RLF. The dynamic range was defined as the range of stimulus sound levels (in decibels) over which a cell's firing rate was above criterion but was < 80% of its maximum evoked rate. The slope was computed by dividing the maximum evoked rate (Max-Criterion) by the corresponding dynamic range.

When neurons could be isolated for enough time, we constructed full response areas (RAs) by presenting the set of tones (random sequences), over a series of sound levels spaced at 3–5 dB. All tones were presented at a given sound level, a new level was selected at random, and tone

presentations were repeated in a new random sequence. RAs spanned from below the neuron's BS (usually at 60 dB) to 130 dB. RAs were used to construct threshold tuning curves and then to measure the characteristic frequency, BS, and $Q_{10\text{ dB}}$. For each frequency, the threshold was taken as the first sound level that met our spike-rate criterion if the next higher sound level (usually +3 dB) also evoked a criterion response. The frequency with the lowest threshold (i.e., BS), and with a measured decrease in sensitivity of at least 10 dB for both higher and lower frequencies, was taken as the characteristic frequency (CF). BFs were also measured from the RA data sets, and this revealed that BF was correlated with CF ($r = 0.69$; $p < 0.02$; $df = 10$) and thus a reasonable estimate of CF in choppers. The bandwidth (in Hertz) at 10 dB above BS was measured from the RA, and the ratio of CF to this bandwidth provided $Q_{10\text{ dB}}$. A few neurons showed steadily decreasing thresholds as the frequency fell below 200 Hz, and these neurons continued to do so to the lowest frequency presented (100 Hz); BF, BS, and $Q_{10\text{ dB}}$ were not measured for these low-pass neurons.

The PSTH data were used to construct phase histograms and to make standard measurements of phase locking to the stimulus tones [synchronization coefficient or vector strength r (Goldberg and Brown, 1969)]. The coefficients of variation (CVs) of the peristimulus interspike interval distributions were computed as a measure of the firing regularity [$CV = \text{SD}/\text{mean}$ (Rees et al., 1997)]. Neurons that generated spikes at regular intervals had CVs near zero, and irregular interval distributions had larger CVs with no theoretical upper bound ($CV > 1.0$ was not unusual). Some strongly phase-locked neurons have poor regularity, with large CVs, because they do not fire a spike on every cycle of the stimulus waveform. A CV of 0.5 may be used as a criterion for regularity [regular if $CV \leq 0.5$ (Young et al., 1988)].

The PSTH data were also used to measure spike-rate adaptation (SRA). Short-term SRA during single trials was measured from plots of instantaneous spike rate (i.e., reciprocal of interspike interval) as a function of time (i.e., peristimulus time). The slope of the best linear fit line was used as an estimate of SRA. For each neuron, the mean of six slopes, from the first six consecutive trials, was used as the estimate. Long-term SRA was examined by plotting the spike rate for each trial (i.e., spikes per 100 msec tone) as a function of the time during the PST for the first 50 trials (1 min) and again using the slope of the best linear fit.

Means are presented with their SDs (mean \pm SD). Nonparametric Mann–Whitney U tests were used to test for differences between distributions of those physiological measurements that were not normally distributed.

The data were collected during a study of the auditory nerve and medulla in which several hundred neurons were characterized (Kozloski and Crawford, 1997, 1998a–c; Suzuki and Crawford, 2000). The choppers were a distinct physiological class. We have made comparisons between these neurons and the primary-like neurons in the remaining medullary sample. The remaining medullary sample included primary afferents and primary-like medullary neurons. We have also provided some comparisons with the smaller sample of primary afferents that were identified by labeling. A detailed report on primary afferents and primary-like medullary neurons is in preparation.

RESULTS

We found a physiological type of medullary neuron that was distinct from primary afferents and other auditory neurons recorded in the medulla and that was similar to the choppers described in the brainstem of mammals. These auditory neurons were found deep in the medulla, 3000–3500 μm below the brain surface, at the level of the secondary octaval nuclear complex (Fig. 1). They were distinguished from other primary-like neurons in the medulla by the temporal structure of the spike trains generated in response to tones. Primary afferents and medullary neurons other than choppers produced highly phase-locked, sustained responses to tones (Kozloski and Crawford, 1998c; Suzuki and Crawford, 2000) (Fig. 2). Tone-evoked spike rates in primary-like neurons were often quite high (225.4 ± 173.9 spikes/sec at BF) because the spike rates were determined by the number of cycles in the stimulus. In contrast, chopper neurons produced sustained responses without phase locking to the stimulus fine structure and had significantly lower tone-evoked spike rates

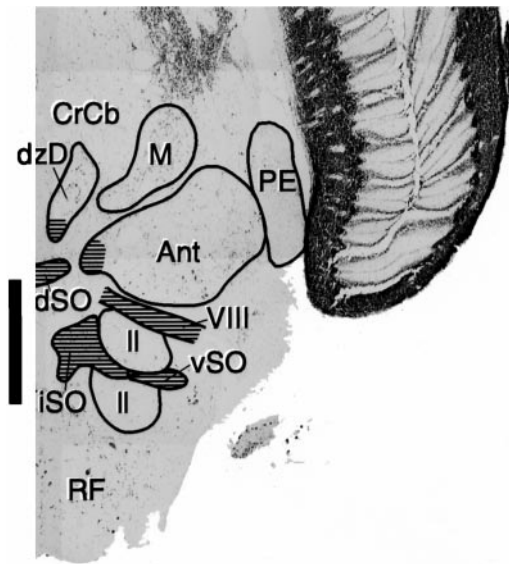


Figure 1. Transverse section through the auditory medulla of *Pollimyrus*, showing the area where choppers were recorded. Auditory regions are indicated by *hatching*. The *vertical bar* to the left of the *SO* complex shows the range of depths, below the brain surface, at which recordings were made. [Additional details on anatomy are provided in Kozloski and Crawford (1998c).] Bar length, 500 μm . *Ant*, Anterior octaval nucleus; *CrCb*, crista cerebellaris; *dSO*, dorsal SO; *dzD*, dorsomedial zone of the descending nucleus; *iSO*, intermediate SO; *II*, lateral lemniscus; *M*, medial octaval nucleus; *PE*, preeminent toral nucleus; *RF*, reticular formation; *SO*, secondary octaval nucleus; *vSO*, ventral SO; *VIII*, eighth cranial nerve.

(78.0 ± 38.7 spikes/sec; $U = 874$; $p < 0.0002$). The peristimulus time histogram had a series of modes, a signature of the choppers that have been described in other systems.

Choppers in *Pollimyrus* were similar to the remaining medullary sample in their frequency selectivity. They responded most strongly to low frequencies (Fig. 3A), and there was no significant difference in the BF distributions of choppers and the remaining medullary sample ($U = 1599$; $p = 0.18$). Choppers had slightly weaker frequency selectivity ($Q_{50\%} = 1.98 \pm 1.94$) than did the other medullary neurons (2.59 ± 2.33 ; $U = 1438$; $p < 0.05$). Eighty percent of choppers had a $Q_{50\%}$ below 2, compared with only 57% of the other medullary cells (Fig. 3B).

The choppers had simple, nonsaturating, rate-level functions (Fig. 4). The RLF slopes were significantly shallower for choppers (2.2 ± 2.3 spikes \cdot sec $^{-1} \cdot$ dB $^{-1}$) than for the remaining medullary sample (8.7 ± 5.7 spikes \cdot sec $^{-1} \cdot$ dB $^{-1}$; $U = 191$; $p < 0.001$). There was no significant difference in dynamic range between choppers (24.06 ± 15.3 dB) and the other medullary neurons (19.59 ± 12.27 dB; $U = 501$; $p = 0.35$). Choppers had low spontaneous rates (13.9 ± 10.4 spikes/sec), but the distribution of spontaneous rates among the other medullary neurons was wide (55 ± 88 spikes/sec), and the distributions were not significantly different ($U = 3137$; $p = 0.71$).

We identified two subtypes of choppers by the characteristics of their spike trains, differences most conspicuously revealed by plotting responses to repeated tone presentations (raster plots). Stationary choppers ($n = 12$) had relatively high response rates and regular spike trains ($CV \leq 0.5$). They produced stereotyped sequences of interspike intervals, with a variable initiation latency, yielding characteristic patterns in the raster plots (Fig. 5). In contrast, nonstationary choppers ($n = 6$) had lower response rates and showed response

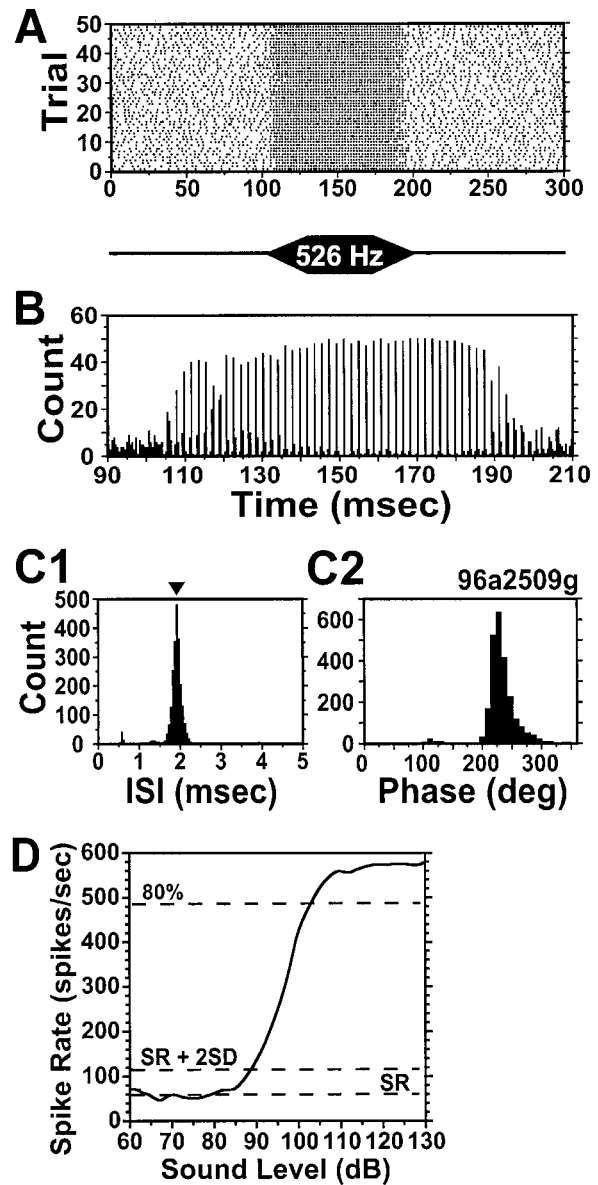


Figure 2. Primary-like medullary neuron. *A*, Raster display for 50 presentations of a 526 Hz tone. *B*, Peristimulus time histogram for data shown in *A*. *C*, Interspike interval histogram (*C1*) and phase histogram (*C2*) for peristimulus spikes. *D*, Rate-level function showing the SR and the criterion response ($SR + 2$ SD).

patterns that changed systematically with stimulus presentation number, over a time course of minutes (Fig. 6).

Responses to tones

Stationary choppers produced strong (93.3 ± 36.0 spikes/sec), sustained responses to tones. Their interspike interval histograms (ISIH) were unimodal (Fig. 5C1), but they did not synchronize, and the position of the mode of the ISIH was primarily independent of the stimulus period. The ISI distributions were regular ($CV \leq 0.5$) for 83% of these neurons, and the coefficients of variation (0.38 ± 0.16) were similar to those in the primary afferents (0.33 ± 0.29). The phase histograms were nearly flat (Fig. 5C2), reflecting the poor synchronization (r) of the spikes to the stimulus waveform (0.19 ± 0.17) compared with afferents (0.88 ± 0.13 ; $U = 0.00$; $p < 0.0001$). The stationary choppers

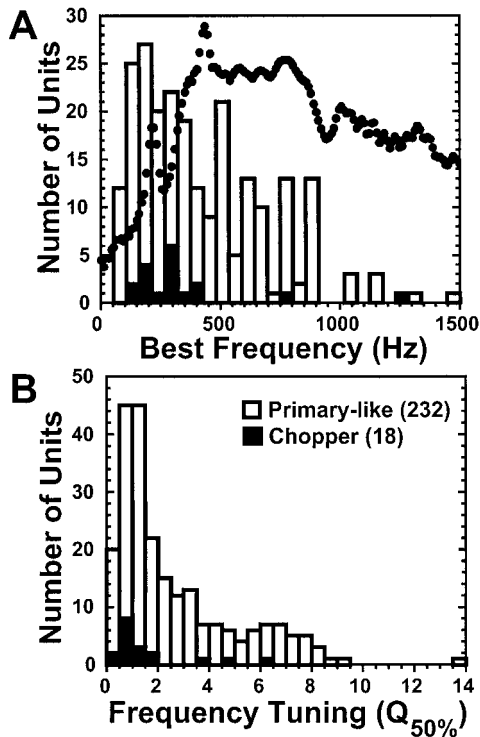


Figure 3. Summary of best frequency (*A*) and frequency tuning (*B*; $Q_{50\%}$) for choppers (solid bars) and primary-like medullary neurons (open bars). The solid circles show the shape of the amplitude spectrum for a sequence of *Pollimyrus* sounds including grunts, moans, and a growl. The spectral peaks at 240 and 480 Hz are contributed by the tonal moan, and the grunts and growl add broad energy across the spectrum (see Crawford et al., 1997b).

exhibited substantial SRA over the course of the 100 msec stimulus (Fig. 5*D*), measured as the change in instantaneous spike rate as a function of time (-197 ± 149 spikes/sec per 100 msec). Instantaneous spike rates at stimulus onset were occasionally as high as 300 spikes/sec but were generally lower than this (160 ± 50 spikes/sec). Average spike rates were stable over successive stimulus presentations, with no significant change over a full minute of stimulation (50 trials; repetition period = 1.15 sec; mean slope = $+4.5 \pm 23.4$ spikes \cdot sec $^{-1} \cdot$ min $^{-1}$; $p > 0.5$ for slope $\neq 0$; $t = 0.66$; $df = 11$). These neurons were spontaneously active (15.32 ± 11.57 spikes/sec) and often exhibited poststimulus suppression of this activity during the first 50 msec of poststimulus time.

Stationary choppers generated successive spike trains that were quite similar to one another (i.e., stationary) but that varied in the onset latency. This feature of the spike trains yielded raster plots with a characteristic structure (Fig. 5*A*) and was apparent from cross-correlations between spike trains produced by neurons repeatedly stimulated with the same tone. For each pair of spike trains, we found the maximum correlation and the corresponding best temporal delay. The correlations (r) were consistent (0.4 ± 0.03), but there was a great deal of variability in the best delays (mean SD = 26.04 ± 9.46 msec).

The RAs of stationary choppers were broad, with the best sensitivity in the 200–400 Hz range (Fig. 5*E*). The stationary choppers produced similar responses in most positions within their RA. At the lowest frequencies (≤ 122 Hz), spikes became phase-locked to the stimulus, and this resulted in a shift in the ISI

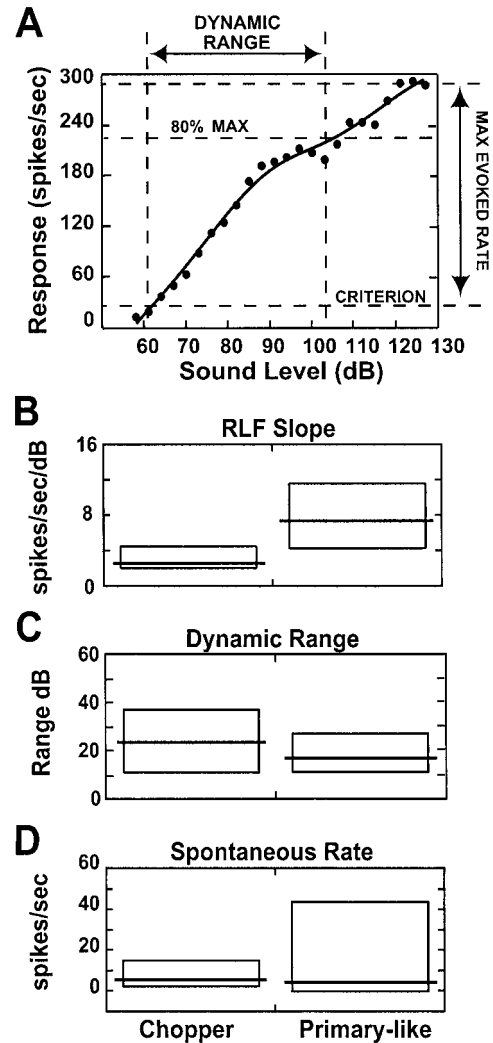


Figure 4. Rate-level functions for choppers (*A*) had relatively shallow slopes (*B*) and tended to have wide dynamic ranges (*C*) compared with that of primary-like neurons (right side in *B*, *C*). Many choppers had low spontaneous rates compared with that of primary afferents (*D*). Box plots (*B*–*D*) show the median value (horizontal line) and the central 50% of the range of values (i.e., ± 1 quartile). The difference between the RLF slopes of choppers and those of the neurons in the remaining sample was significant ($p < 0.001$), but there was no significant difference in dynamic range ($p > 0.05$). The RLF (*A*) is from a stationary chopper at 454 Hz.

histogram toward the period of the stimulus. The ILRFs for stationary choppers were broad (mean $Q_{50\%} = 1.54 \pm 1.57$), with response maxima at ~ 400 Hz (385 ± 274), and their RLFs were shallow and monotonic (Fig. 5*E*, inset).

Nonstationary choppers were similar to stationary choppers in many respects, but they showed conspicuous long-term changes in their temporal firing patterns (Fig. 6). The timing of the first pair of spikes in the response was relatively stable over trials. However, over successive tone presentations, the latencies of the later spikes in the response steadily increased, yielding a distinctive structure in the raster plots (Fig. 6*A,C*). This effect was most pronounced during the first minute of stimulation (50 trials). Like the stationary choppers, they exhibited poor synchronization to tones ($r = 0.23 \pm 0.22$), substantial SRA (-121.03 ± 64.05 spikes/sec per 100 msec; $n = 6$) during single-tone presentations, and no significant change in mean firing rate over the course of a minute of repeated stimulus

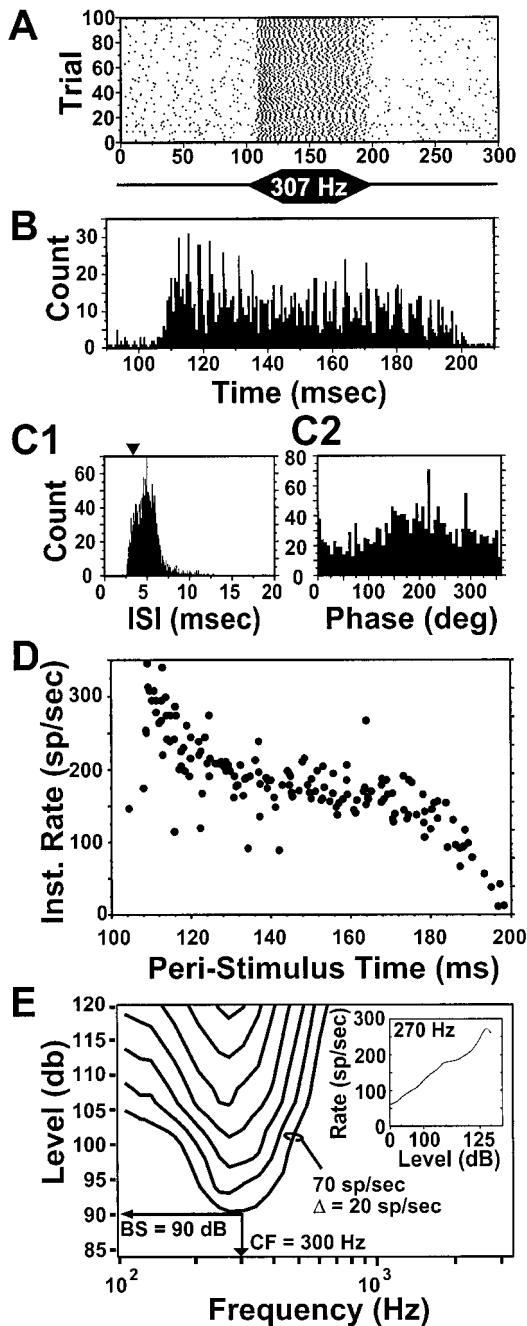


Figure 5. The temporal firing pattern (*A–D*) and the response area (*E*) and rate-level function (*E*, inset) for a stationary chopper stimulated with tones. Note the regular modes in the PSTH (*B*) corresponding to the mode in the ISI histogram (*C1*) but the absence of phase locking (*C2*). The PSTH (*B*) is plotted on an expanded time scale relative to the corresponding raster (*A*) to illustrate better the temporal structure of the histogram. In the response area (*E*), contour lines are separated by 20 spikes/sec. This neuron had a characteristic frequency of 300 Hz, a best sensitivity of 90 dB, and a $Q_{10\text{ dB}}$ of 1. *Inst.*, Instantaneous; *sp*, spikes.

presentation (mean slope = $+1.494 \pm 8.37$ spikes \cdot sec $^{-1} \cdot$ min $^{-1}$; $p > 0.5$ for slope $\neq 0$; $t = 0.44$; $df = 5$). Instantaneous firing rates at stimulus onset were usually lower than those of stationary choppers (120 ± 67 spikes/sec).

The nonstationary choppers had significantly lower driven rates (47.53 ± 23.83 spikes/sec; $p = 0.013$; $t = 2.8$; $df = 16$) and spontaneous rates (1.07 ± 1.36 spikes/sec; $p = 0.009$; $t = 2.96$;

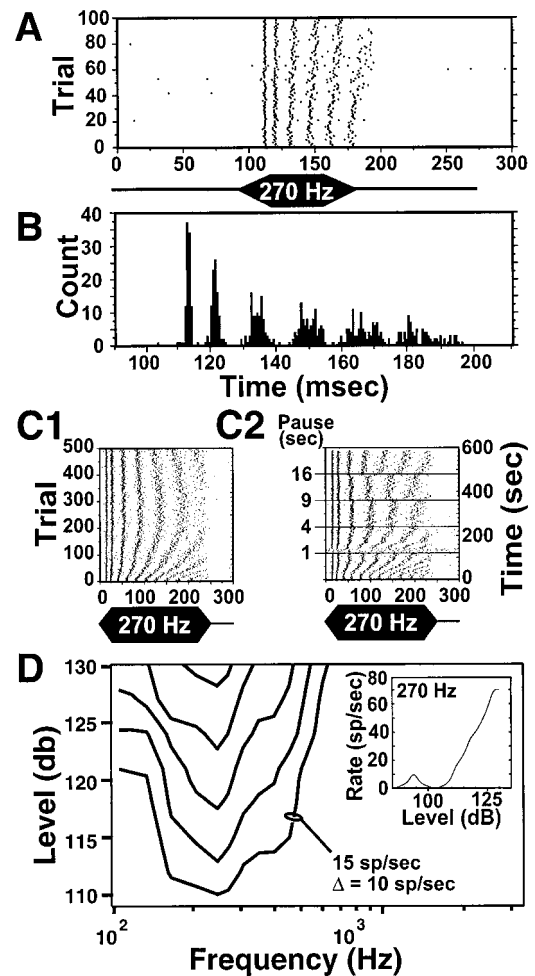


Figure 6. The temporal firing pattern (*A–C*) and the response area (*D*) and rate-level function (*D*, inset) for a nonstationary chopper stimulated with tones. *C1* shows a raster of 500 trials in which the temporal pattern of spikes underwent a systematic change. Pauses were introduced during the experiment illustrated in *C2*. In the response area (*D*), contour lines are separated by 10 spikes/sec. This neuron had a characteristic frequency of 219 Hz, a best sensitivity of 115 dB, and a $Q_{10\text{ dB}}$ of 0.6.

$df = 16$) than did the stationary choppers. Additionally, the ISI distributions for nonstationary choppers were more complicated with an initial mode corresponding to the first two spikes and other modes contributed by the dynamic portion of the response. The response areas of nonstationary choppers (Fig. 6*D*) were similar to those of stationary choppers, as were their isolevel response functions (Fig. 6*D*, inset; $Q_{50\%} = 2.74 \pm 2.38$; $BF = 385 \pm 390$ Hz). We were able to stimulate three of the nonstationary choppers at two to three different sound levels, and in no case did increases in sound level change the response from nonstationary to stationary.

To explore the long-term temporal dynamics of the nonstationary neurons, we increased the number of stimulus presentations and also introduced pauses into the stimulation regimen. We established a raster for responses to 500 tone presentations (repetition period = 1.14 sec) over a period of 9.5 min (Fig. 6*C1*). The most dramatic changes in spike latencies occurred within the first 100 trials (2 min), but systematic shifts in spike timing were evident throughout the test session. In some instances, the introduction of pauses (1–16 sec; 100 trial intervals) during a test

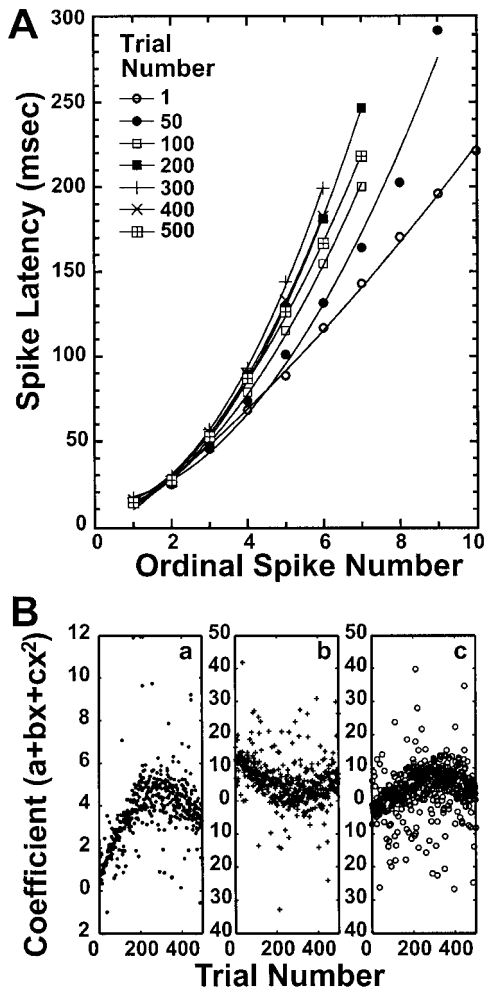


Figure 7. Polynomial fit of spike latencies for a nonstationary chopper (see Fig. 6C). *A*, For each of the seven example trials, spike latency was fit with a different polynomial. *B*, The coefficients resulting from fits for all 500 trials are shown; each trial was best fit with unique set of coefficients (*a*, *b*, *c*).

session (600 trials) appeared to reset partially the temporal trajectory of the raster (Fig. 6C2). The influences of pauses were most pronounced during trials 1–200 when the spike pattern was changing most rapidly. The first two spikes of a spike train were relatively insensitive to the introduced pauses compared with later spikes.

The latency of each spike within the train varied systematically with trial number, as can be seen in the rasters (Fig. 6A,C). We examined the temporal trajectories of these spikes for all nonstationary choppers and found that they were closely fit (Corr \geq 0.98) by second-order polynomial functions (Fig. 7A): latency = $a + bx + cx^2$, where x is the spike number within the train (Agmon and Connors, 1992). Each fit was evaluated by finding the scalar sum of observed (obs) and predicted (pred) latencies and normalizing:

$$\text{Corr} = \frac{\sum_{i=1}^N L_i^{\text{obs}} \cdot L_i^{\text{pred}}}{\sqrt{\sum_{i=1}^N (L_i^{\text{obs}})^2 \cdot \sum_{i=1}^N (L_i^{\text{pred}})^2}}$$

where N is the number of spikes in a trial and L is the latency of the i th spike.

The three coefficients (a , b , and c) showed continuous, systematic changes over the course of 500 trials (Fig. 7B). They changed most rapidly during the first 100 trials when temporal response patterns underwent the most conspicuous changes. In the early trials, the trajectories were relatively simple with latency being essentially a linear function of spike number. As time progressed, the coefficient for the squared term (c) increased steadily, reaching a maximum near trial 300 and then decreasing again out to trial 500. For each trial, the particular combination of coefficients that yielded the best fit for the whole trial also predicted the relatively trial-invariant latencies of the first two spikes (Fig. 7A), as observed in the physiology (Fig. 6). The polynomial equations provide a remarkably good mathematical description of changes in spike latency as a function of spike number within a trial and help to elucidate changes that occur from trial to trial. The closeness of these polynomial fits suggests that distinctive spiking properties of nonstationary choppers may be determined by at least three independent biophysical variables that vary continuously across trials.

One of the most important differences between choppers and other neurons encountered in the medulla was the relative independence of the interspike interval distribution and the stimulus frequency for choppers. The majority of the nonchopper medullary neurons showed phase-locked responses at all frequencies within the RA, and thus the mode of the ISI histogram showed a simple linear increase with tone period. This relationship clearly did not exist in the choppers (Fig. 8A). The average slope of the regression of mode ISI on stimulus period was not significantly different from zero (-0.76 ± 1.01 msec/msec; $p > 0.05$; $t = 2.24$; $df = 8$). Similarly, an analysis of mode ISI and stimulus sound level revealed no systematic relationship (Fig. 8B; slope = -0.59 ± 0.93 msec/dB; $p > 0.05$; $t = 1.91$; $df = 8$). We have combined chopper types for these statistical analyses because of the small sample sizes of the two subtypes.

In terms of CV and r , choppers formed a distinct cluster that only overlapped a small part of the medullary sample (Fig. 9). The distribution of r values for choppers differed significantly from that of the medullary sample ($U = 277$; $p < 0.001$). None of the choppers had r values > 0.78 . The CV distributions were not significantly different ($U = 1843$; $p = 0.61$).

Responses to clicks

The two chopper subtypes had similar responses to clicks. Most (7 of 10) generated just one action potential after a single click and had a single postclick mode in the PSTH at ~ 7 msec (Fig. 10A). The mean response latency for choppers (4.6 ± 1.5 msec) was slightly longer than that for the afferents (4.1 ± 2.4 msec), but the difference was not significant ($p = 0.54$; $t = 0.63$; $df = 21$). Most of the choppers (8 of 10) generated single spikes locked ($r > 0.5$) to the individual clicks of click trains (400 msec duration), as long as the interclick interval was of sufficient duration (ICI \geq 18 msec; Fig. 10B,C). The mean and SD for the synchronization coefficients and coefficients of variation were 0.68 ± 0.26 and 0.45 ± 0.27 , respectively, for a click train with an 18 msec ICI. The mean response to a 400 msec click train (ICI = 18 msec; 22 clicks) was 32 ± 12 spikes/sec.

When the responses of choppers were examined as a function of interclick interval, 8 of 10 had a simple decrease in spike rate with increasing ICI, as expected from the declining total number of clicks in the fixed-duration trains (Fig. 10D). On a per-click basis, these neurons also showed a simple monotonic increase in

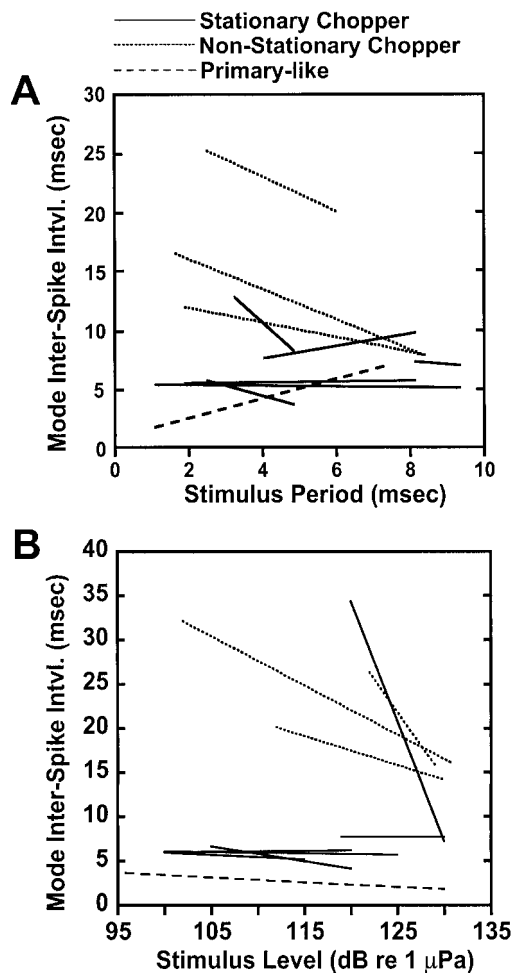


Figure 8. The primary mode of the ISI distribution was not systematically related to either stimulus period (*A*) or sound level (*B*). *Solid lines* show the best linear fits for stationary choppers ($n = 6$), and *dotted lines* are for nonstationary choppers ($n = 3$). In contrast to choppers, primary-like neurons show a nearly perfectly linear relationship (slope = 1) between stimulus period and the mode of the ISI. The *single dashed line* in *A* (slope = 1) and in *B* (slope near zero) shows an example of a primary-like neuron for comparison. *Intvl.*, Interval.

the probability of spiking as ICI was increased from a minimum of 10 msec to an ICI of 80 msec (Fig. 10*E*).

DISCUSSION

Chopper neurons are widespread among vertebrate taxa and must be fundamental in vertebrate auditory processing. Our data indicate that even among fish, in which time-based acoustic analysis may be particularly important (Fay, 1994), chopping is generated at the earliest stages of auditory computation. The choppers in *Pollimyrus* form a computational stream in which the information carried by afferent input about tone period in the form of ISIs undergoes a major transformation and is no longer explicitly represented in the output spike trains.

The *Pollimyrus* choppers had broad frequency–response functions with best sensitivity in the 100–500 Hz band, which matches the dominant energy in the sounds made by this species. While courting, males generate a two-part vocal display by alternating grunts and moans. The absence of synchronization to tones indicates that choppers would provide a poor temporal representation of the tonal waveform of the moan. However, there are

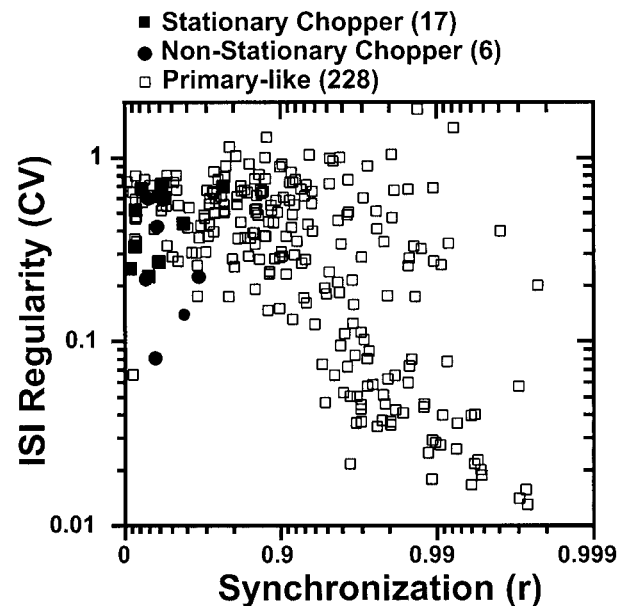


Figure 9. The CV and r for choppers (*solid symbols*) and primary-like neurons (*open squares*) stimulated with tones. Note that choppers were relatively poorly phase-locked, with all r values < 0.66 . The CVs of most choppers were > 0.20 . The r and CV for choppers were 0.20 ± 0.18 and 0.34 ± 0.16 , respectively. The r and CV for other medullary neurons were 0.77 ± 0.30 and 0.39 ± 0.35 , respectively. r is plotted on a reversed log axis (see Joris et al., 1994). The complement of the synchronization coefficient ($1 - r$) was plotted on a conventional, but *left to right* reversed, log scale, and the scale was then relabeled from 0 to 0.999, *left to right* (i.e., instead of 1.0 to 0.001, *right to left*). This produced the plot of the original r values on a reversed log axis.

three important ways in which these neurons appear to be suited to analysis of these natural sounds.

First, choppers may play a role in the analysis of moan amplitude. They showed a linear increase in spike rate with tone level and a wide dynamic range (Fig. 4). Several other studies of choppers have concluded that they are particularly suited to the coding of amplitude and amplitude modulations (Shofner and Dye, 1989; Sarbaz and Rees, 1996). Moans may be important in female choice (Crawford et al., 1997b). There are differences among individual males in their ability to generate moans that may predict mate quality. Moans are ~ 800 msec in duration, have a characteristic envelope with a gradual ramp up in intensity, and end relatively abruptly. Thus, the neural encoding of moan intensity by choppers could be important in communication.

Second, choppers provide a representation of the timing of clicks during trains, but only in the relatively long ICI range used in grunts (ICI ≥ 18 msec). Thus, choppers could provide the temporally coded input required for the creation of interval-selective responses in the midbrain and function in the analysis of grunts (Crawford, 1997b). However, most of the primary-like neurons also show strong synchronization to clicks, and over a wider range of ICIs than choppers. The synchrony at relatively long intervals by choppers may be important for certain analyses, but the primary-like neurons could also be used as input for interval analysis.

Third, the physiology of the nonstationary choppers is also intriguing in the context of moan analysis, because of the long-term changes of the response during presentations of tonal stimuli. Some individual males can produce a prolonged succession of moans, but others appear to fatigue and stop after producing only a few moans. Our results suggest that over the course of a natural

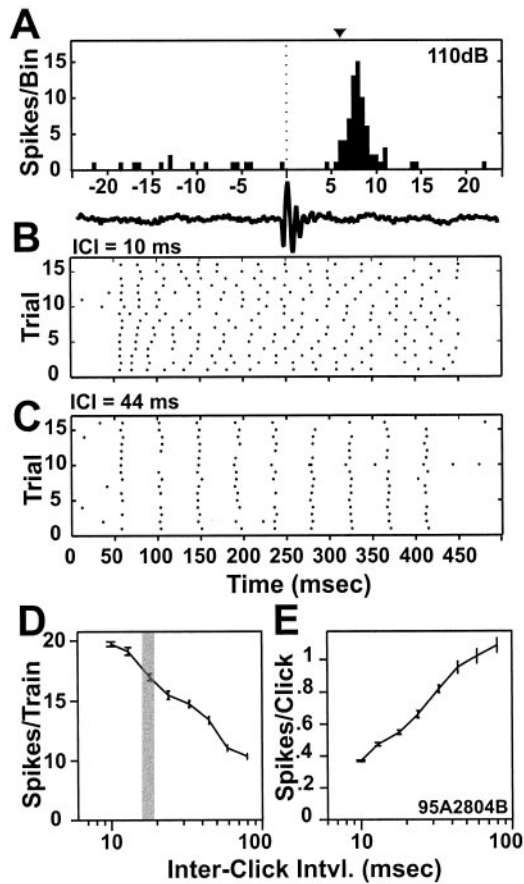


Figure 10. Responses of choppers to clicks. *A*, The distribution of responses after multiple presentations of a single click was usually unimodal with a mode at ~ 7 msec (arrowhead). *B*, *C*, During click trains, choppers gave sustained responses with weak synchronization at short interclick intervals (*B*) and strong synchronization at longer ICIs (*C*). *D*, Spike rates showed a simple monotonic dependence on ICI. *E*, Responses were normalized to the number of clicks per stimulus train, revealing that as ICI increases each click elicits more spikes. The width of the vertical gray bar in *D* shows the center of the distribution of ICIs used in grunts (mean ± 1 SD).

courtship encounter (≈ 20 sec), the output of nonstationary choppers would change substantially in response to a succession of moans (Fig. 6C) and potentially provide a readout of the duration of the sequence of moans. It is not yet clear how this putative temporal code for moan repetition might be analyzed.

Choppers have been described in the olivary complex of other vertebrates (Harnischfeger et al., 1985; Finlayson and Adam, 1997), an auditory region that is critical in binaural processing of spatial cues. Although comparatively little is currently known about the neural computations involved in spatial hearing in fish (Fay, 1984; Rogers et al., 1988; Edds-Walton and Fay, 1998; Lu et al., 1998), choppers in the SO of *Pollimyrus* could also be involved in the spatial processing of acoustic information [discussed further in Kozloski and Crawford (1998c)].

Choppers resembling the stationary choppers of *Pollimyrus* have been reported in goldfish and a number of other vertebrates. In a study of the goldfish auditory midbrain (Lu and Fay, 1993), a small subset of nonphase-locked neurons were similar to the stationary choppers in the medulla of *Pollimyrus*. The goldfish neurons gave sustained responses and produced regular inter-spike interval distributions that were independent of the stimulus

period. Lu and Fay (1993) used sharp micropipettes, good for isolating input fibers, and the chopper-like physiology they recorded in the midbrain might have originated in the goldfish medulla, as in *Pollimyrus*. Lu and Fay (1995) also describe several chopper-like types in the auditory thalamus of goldfish, but these appear less similar to the stationary choppers in *Pollimyrus* and probably reflect additional physiological processing, or a *de novo* computation from nonchopping inputs.

The physiology and anatomy of choppers have been most thoroughly studied in mammals (Young et al., 1988; Rhode and Greenberg, 1992; Rees et al., 1997). The stationary choppers in *Pollimyrus* are similar in many respects to the sustained chopper type (C_s) found in the mammalian brainstem. In both vertebrates, the response to tones persists throughout the stimulus (sustained), the PSTH reveals a series of modes (chopping pattern), and the ISIH is usually unimodal, with a low CV and a mode that is independent of stimulus period. They both have relatively high driven rates and wide dynamic ranges and show some phase locking if the stimulus frequency is low enough.

Sustained choppers in mammals show suppression of spike rate to tones presented outside of the excitatory range (side-band suppression), but we have not observed this in *Pollimyrus*. Additionally, the excitatory receptive fields of mammalian choppers are at higher frequencies (BF ≥ 1 kHz) than are those of *Pollimyrus*. In the mammalian cochlear nucleus, sustained choppers are neurons that have a stellate morphology (Rhode et al., 1983), with relatively long dendrites with few branches, and that receive large numbers of excitatory and inhibitory synaptic inputs (Smith and Rhode, 1989). We do not know yet whether the same morphological correlates exist in *Pollimyrus*.

In contrast to the stationary choppers, we know of no other neurons like the *Pollimyrus* nonstationary choppers reported from other *in vivo* studies of auditory systems. However, examples resembling *Pollimyrus* nonstationary choppers have been reported in slice preparations. Sustained depolarizing current injections in fusiform auditory neurons of the rat lateral superior olivary complex produced spiking patterns that were similar to that of the *Pollimyrus* nonstationary choppers. They had an initial short latency pair of spikes followed by a dynamic spike train, regular ISI distributions, and multimodal PSTHs (Adam et al., 1999). Pyramidal neurons in the visual cortex of rats (Mainen and Sejnowski, 1995) and mice (J. Kozloski and R. Yuste, unpublished observations) stimulated with regular DC current pulses also produced firing patterns similar to those evoked by sound in the *Pollimyrus* nonstationary choppers. These studies suggest that the distinctive firing patterns of nonstationary choppers reflect intrinsic membrane properties that occur in many neuronal types and that nonstationary choppers receive multiple excitatory synaptic inputs that together yield sustained depolarization during auditory stimulation (Oertel et al., 1988).

The chopper neurons in *Pollimyrus* appear to correspond to one branch of a bifurcating input stream that is suited to intensity analysis. A second parallel time-coding pathway seems to depend on auditory neurons in the descending nucleus of the medulla (Kozloski and Crawford, 1998c). Diverging primary afferent input and the formation of parallel time and intensity analysis streams are well known in other vertebrate sensory systems, including the electrosensory system of fish (Heiligenberg, 1991) and the auditory systems of birds (Konishi, 1993) and mammals (Harnischfeger et al., 1985; Suga, 1990). Discovering the close similarities between particular physiological types of neurons in *Pollimyrus* and mammals, such as choppers, increases our confi-

dence that continued analysis will yield important insight into auditory processing in all vertebrates.

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