Involvement of Retinoblastoma Family Members and E2F/DP Complexes in the Death of Neurons Evoked by DNA Damage

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Neuronal death evoked by DNA damage requires cyclin-dependent kinase 4 (Cdk4) and 6 activity and is accompanied by elevation of cyclin D1-associated kinase activity. Because Cdk4/6 phosphorylates retinoblastoma protein (pRb) family members that then modulate the transcriptional activity of E2F/DP1 complexes, we examined the involvement of these components in DNA damage-evoked neuronal death. Camptothecin induced rapid pRb and p107 phosphorylation at a Cdk4/6 phosphorylation site followed by selective loss of Rb and p107. The CDK inhibitor flavopiridol suppressed pRb and p107 phosphorylation and loss, implicating CDK activity in these events. Moreover, the loss of pRb and p107 appeared to be mediated

by caspases because it was blocked by general caspase inhibitors. The role of phosphorylation and pRb and p107 loss in the death pathway was indicated by observations that virally mediated expression of pRb mutated at sites of phosphorylation, including the Cdk4/6 site, inhibited death. Finally, expression of dominant-negative versions of DP1, known to compromise E2F transcriptional activity, protects cortical neurons from death induced by camptothecin and sympathetic neurons from death evoked by UV treatment. Taken together, these results implicate the CDK–pRb/E2F/DP pathway as a required element in the neuronal death evoked by DNA damage.

Key words: apoptosis; pRb; E2F; DP1; neuronal; cyclin

Although neuronal apoptosis is an important component of brain development (Oppenheim, 1991), a growing body of evidence suggests that it also participates in the progression of neuropathological conditions such as stroke and neurodegenerative disease (Anderson et al., 1996; Stefanis et al., 1997; Chen et al., 1998; Cotman, 1998). Although numerous etiologies and putative death initiators have been described, the signaling pathways that control neuronal death are not fully understood.

Multiple lines of evidence indicate that cell cycle elements play an important role in some but not all paradigms of neuronal death. For example, NGF deprivation leads to increased cdc2 activity and cyclin B expression in neuronal pheochromocytoma 12 (PC12) cells (Gao and Zelenka, 1995), as well as elevated cyclin D1 transcript levels in sympathetic neurons (Freeman et al., 1994). Others observe increases in cell cycle molecules in affected regions of brains from Alzheimer's disease patients and in brains exposed to ischemic conditions (McShea et al., 1997; Nagy et al., 1997; Vincent et al., 1997; Busser et al., 1998). In

addition, cyclin D1-associated kinase activity is elevated in cortical neurons treated with the DNA-damaging agent camptothecin (Park et al., 1998b). With respect to functional inhibition studies, we have shown that G1/S blockers (Farinelli and Greene, 1996) and the CDK inhibitors suppress the death of sympathetic and cortical neurons evoked by trophic factor deprivation (Park et al., 1996a) and/or DNA-damaging conditions (Park et al., 1997a, 1998a). Finally, in the latter systems, virally mediated expression of cyclin-dependent kinase (CDK) inhibitors such as p16 and p27 or of dominant-negative forms of Cdk4 and 6 but not Cdk2 or 3 inhibit death (Park et al., 1997b, 1998b).

Our observations on the importance of Cdk4/6 activity in certain paradigms of neuronal death raise the questions of the target(s) of this activity and whether such a target(s) plays required roles in the death mechanism. The only presently known *in vivo* substrates of activated Cdk4/6 are the tumor suppressor pRb and its related family member p107 (Chellappan et al., 1991; Beijersbergen et al., 1995; Weinberg, 1995). Although pRb appears to interact with numerous proteins, its regulation of the cell cycle-related transcription factor E2F is best understood. pRb is normally hypophosphorylated in quiescent cells such as neurons and binds to and inhibits the transcriptional activity of E2F. In cells undergoing G1-to-S cell cycle transition, pRb becomes hyperphosphorylated. Numerous studies indicate that a consequence of Rb hyperphosphorylation is the release and activation of E2F (Chellappan et al., 1991; Suzuki-Takahashi et al., 1995). The E2F family of transcription factors has a diverse set of cellular functions (Nevins, 1992; La Thangue, 1994) that include the control of cell proliferation. In this case, transcriptional activation requires association of E2F with its obligate binding part-

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ner DP1-2 (Bandara et al., 1993; Girling et al., 1993; Wu et al., 1996).

In addition to cell cycle progression, there are also reports implicating Rb and E2F in apoptosis. For example, Rb null mice show neurological deficits and neuronal apoptosis (Jacks et al., 1992; Macleod et al., 1996). Furthermore, overexpression of E2F in proliferating cells results in apoptosis (Qin et al., 1994; Hiebert et al., 1995). Finally, there is evidence that E2F, DP1, and their complexes interact with and regulate other proteins such as MDM2 and p53 (Martin et al., 1995; O'Connor et al., 1995; Sorensen et al., 1996).

These observations lead us to the hypothesis that the neuronal death evoked by DNA damage is mediated via Cdk4/6 activation, consequent pRb phosphorylation, loss of pRb function, and activation of E2F/DP complexes. To test this model, we determined whether changes in pRb phosphorylation occur during the death of camptothecin-treated neurons. In addition, we examined the potential changes in the expression levels of cell cycle control elements including CDKs, cyclins, and Rb family members. Finally, we examined the potential role of E2F/DP in the death of neurons evoked by DNA damage.

MATERIALS AND METHODS

Materials. (–)-cis-5,7-Dihydroxy-2-(2-chlorophenyl)-8[4-(3-hydroxy-1-methyl)piperidinyl]-4H-benzopyran-4-one (L86-8275; flavopiridol) was a generous gift from Dr. Peter J. Worland (National Cancer Institute). Camptothecin was obtained from Sigma (St. Louis, MO). Boc-aspartyl-(ome)-fluoromethyl ketone (BAF) and zVAD-fluoromethylketone (zVAD-fmk) were purchased from Enzyme Systems Products (Dublin, CA). Adenovirus expressing β -galactosidase (LacZ) was a kind gift from Dr. Ruth Slack (University of Ottawa).

Generation of recombinant Sindbis viruses. The cDNAs for the coding regions of wild-type (WT) human DP1, dominant-negative (DN) (Δ 103–126) DP1 (containing a deletion of the DNA-binding domain), the DN (Δ 1–126) DP1 truncation mutant containing a deletion of amino acids 1–126, and (Δ 233–272) DP1 containing a deletion of the E2F-binding domain (233–272) were inserted into the BSTE11 site of the DSTEQ12 vector (Joe et al., 1996; Park et al., 1997c). The dominant-negative forms of DP1 have been reported previously to inactivate the transcriptional activity of E2F (Wu et al., 1996). These would be expected to interfere with complexes of all E2F/DP family members. FLAG tags (ATGGACTACAAGGACGATG-ATGACAAA) were introduced at the 3' end of the coding region of WT DP1, DN (Δ 103–126) DP1, and (Δ 233–272) DP1. Control nonexpressing vectors of each DP1 construct were generated by eliminating the initiating codon. All mutations, deletions, and FLAG tags were introduced by PCR and confirmed by sequencing. Viral particles were generated by *in vitro* transcription and transfection into BHK cells and titered by plaque assay as described previously (Joe et al., 1996).

Generation of recombinant adenovirus. The cDNA for the coding regions of the Δ K11 Rb mutant (full-length mouse Rb containing T246 to A, T350 to R, S601 to A, S605 to A, S773 to A, S781 to A, S788 to A, S800 to A, S804 to E, T814 to A, and T819 to A mutations; a kind gift from Dr. Eldad Zacksenhaus, University of Toronto) (Brown et al., 1999) or green fluorescent protein (GFP) was subcloned into pAdlox vector (Hardy et al., 1997) and recombined with adenoviral backbone DNA in CRE8 cells as described previously (Hardy et al., 1997). The Δ K11 Rb construct was derived from Δ P34Rb (Hamel et al., 1992) by the addition of three additional phosphorylation site mutations (S773, T814, and T819 to A mutations).

Culture and survival of cortical neurons. Mouse (embryonic day 16 for Rb expression experiments) or rat (embryonic day 18) cortical neurons were cultured as described previously (Park et al., 1998b) and grown in defined serum-free medium. These cultures typically contain >99% neurons as assessed by staining with antibody directed against phosphorylated neurofilament (RT97; Developmental Studies Hybridoma Bank, University of Iowa). The neurons were plated into 6-well dishes (~3,000,000 cells/well) or 24-well plates (200,000 cells/well) coated with poly-L-lysine (100 μ g/ml) in serum-free medium [N2:EMEM (1:1) supplemented with 6 μ g/ml D-glucose, 100 μ g/ml transferrin, 25 μ g/ml

insulin, 20 nM progesterone, 60 μ M putrescine, and 30 nM selenium]. For Westerns (6-well dishes), the neurons were treated with camptothecin (10 μ M) alone or with flavopiridol (1 μ M), BAF (100 μ M), or zVAD-fmk (100 μ M) in serum-free medium 2–3 d after plating. At appropriate times of culture under the conditions described in the text, cells were washed twice in PBS and collected by trituration in PBS. The neurons were centrifuged in an Eppendorf tabletop microfuge for 3 min at 10,000 rpm. The neurons were then lysed in sample buffer as described previously (Park et al., 1998b). Alternatively, neurons were infected 1 d after plating (in 24-well plates) with Sindbis virus at a multiplicity of infection (MOI) of ~2 or with adenovirus at an MOI of 150 and incubated overnight. The medium was then exchanged with serum-free medium supplemented with 10 μ M camptothecin where appropriate. At the indicated times of culture under the conditions described in the text, cells were lysed, and the numbers of viable cells were evaluated as described previously (Park et al., 1998b). Briefly, cells were lysed in 200 μ l of cell lysis buffer [0.1 $\times$ PBS, pH 7.4 (0.4 mM Na₂HPO₄, 0.15 mM KH₂PO₄, 13.5 mM NaCl, and 0.25 mM KCl)] containing 0.5% Triton X-100, 2 mM MgCl₂, and cetyltrimethylammonium bromide (0.5 gm/100 ml) that disrupts cells but leaves the nuclei intact. Ten microliters of sample from each culture were loaded onto a hemacytometer, and the number of healthy intact nuclei was evaluated by phase microscopy. Nuclei that displayed characteristics of blebbing, disruption of nuclear membrane, phase-bright apoptotic bodies, and chromatin margination were excluded. All experimental points are expressed as a percentage of the cells plated on day 0 and are reported as the mean $\pm$ SEM ($n = 3$).

Rat sympathetic neuron culture and survival assay. Primary cultures of sympathetic neurons were generated from dissociated superior cervical ganglia of postnatal day 1 Sprague Dawley rats as described previously (Park et al., 1996b). The cells were plated in 0.5 ml of medium per well in collagen-coated 24-well dishes at a density of ~0.5 ganglia per well (~10,000 neurons/well). The growth medium was RPMI 1640 medium supplemented with 10% heat-inactivated horse serum and 60 ng/ml mouse NGF (Sigma). To eliminate non-neuronal cells, we added a mixture of uridine and 5-fluorodeoxyuridine (10 μ M each) to the cultures on the following day. On the third day after plating, the neurons were infected with Sindbis virus (1–2 plaque-forming units per cell) in 0.2 ml of RPMI 1640 medium containing 2% heat-inactivated horse serum. After 1 hr of infection, 0.3 ml of RPMI 1640 medium containing 16% heat-inactivated horse serum was added. The cultures were then left to incubate overnight before UV irradiation (300 J/m²). Neurons were UV irradiated in 200 μ l of medium containing NGF using a Stratolinker (Stratagene, La Jolla, CA). After irradiation, an additional 300 μ l of medium containing NGF was added to each well. At the indicated times, the numbers of viable, phase-bright neurons were determined by strip counting as described previously (Park et al., 1996b). Briefly, a 24-well plate was placed onto an adjustable stage. From a defined point (consistent throughout the course of the experiment) the same field of neurons (consisting of the diameter of each well) was assessed by phase microscopy. Phase-bright, intact neurons were scored as viable, whereas those with apoptotic bodies or phase-dark shrunken cell bodies were counted as dying or dead. All experimental points were performed in triplicate and are reported as the mean $\pm$ SEM. All points are expressed relative to the number of neurons originally plated.

Western blot analyses. The neurons or rat fibroblasts were harvested in sample buffer as described above. Twenty-five micrograms of protein were loaded onto 10% SDS-polyacrylamide gels (except for the p16 analysis in which a 15% gel was used) and transferred onto nitrocellulose membrane as described previously (Park et al., 1998b). Blots were probed with primary antibody [cyclin D1, cyclin A, cyclin E, p107, Cdk2, Cdk3, Cdk4, Cdk6, p16, p21, and p27 from Santa Cruz Biotechnology, Santa Cruz, CA (1:300); phospho-Rb (ser795) from New England Biolabs, Beverly, MA (1:1000); pRb from PharMingen, San Diego, CA (1:1000); anti- β -actin (1:5000) from Sigma, and anti-FLAG (1:40) from VWR Scientific] in PBS supplemented with 3% BSA overnight. Blots were washed four times for 25 min each in PBS containing 0.2% Tween 20. The membranes were then probed with the appropriate secondary antibody (Amersham, Arlington Heights, IL, or Bio-Rad, Hercules, CA) for 1 hr in PBS containing 5% milk. The membranes were visualized by ECL detection (Amersham).

Immunofluorescence. Sympathetic neurons or cortical neurons were dissociated and cultured, as described above, in six-well plates at a density of 2 ganglia/well (sympathetic neurons) or 1,000,000 cells/well (cortical neurons). After various times of infection with the indicated viruses, neurons were fixed with 100% ethanol for 20 min at –20°C or 4%

paraformaldehyde for 30 min at 4°C and incubated with anti-FLAG primary antibody (1:500 dilution) or anti-pRb antibody (1:500 dilution). FITC-conjugated horse anti-mouse (1:50 dilution) or indocarbocyanine-conjugated goat anti-mouse (1:200 dilution) was used as the secondary antibody.

RESULTS

pRb/p107 is phosphorylated and rapidly lost during the death of cortical neurons evoked by camptothecin

We demonstrated previously that camptothecin (10 μ M) induces apoptotic death of cortical neurons maintained in serum-free defined medium (Morris and Geller, 1996; Park et al., 1998a,b). In this death paradigm, cyclin D1-associated kinase activity rises greatly within 1 hr after camptothecin treatment (Park et al., 1998b). This increase is before caspase 3-like activation that is detectable ~4 hr after camptothecin treatment and morphological death that is observed 10–12 hr after drug treatment (Morris and Geller, 1996; Stefanis et al., 1999). Because of the increase in cyclin D1-associated kinase activity and the inhibition of camptothecin-evoked neuronal death by pharmacological CDK inhibitors or by expression of CDK inhibitors (CKIs) or DN Cdk4/6 (Park et al., 1997a, 1998b), we have suggested that Cdk4/6 activity may be required for death signaling in this paradigm. Accordingly, we examined whether Rb phosphorylation increases during a time course of camptothecin treatment by Western blot analyses using an antibody directed against the phosphoepitope of pRb and p107 equivalent to S795 of human pRb, a site known to be phosphorylated by Cdk4/6. The antibody does not, however, distinguish between the phosphorylation of pRb or p107, and so we refer to the detected species as pRb/p107. As a control, the antibody detects the increase in pRb/p107 phosphorylation in fibroblasts after they are switched from low- to high-serum conditions (data not shown). As shown in Figure 1, camptothecin treatment elicits a rapid phosphorylation of pRb/p107. This phosphorylation peaks in intensity at ~1–2 hr after the start of camptothecin treatment. The time course of pRb/p107 phosphorylation closely follows the time course of cyclin D1-associated kinase activity that also is greatly elevated at 1 hr after the start of camptothecin addition (Park et al., 1998b).

The CDK inhibitor flavopiridol inhibits Cdk4 activity and protects cortical neurons from camptothecin-induced death (Park et al., 1997a). As such, we predicted that cotreatment of neurons with flavopiridol and camptothecin should block the phosphorylation observed with camptothecin treatment alone. As shown in Figure 1, flavopiridol cotreatment inhibited the camptothecin-induced induction of pRb/p107 phosphorylation.

We next examined the levels of pRb and p107 during camptothecin treatment of cortical neurons. As shown in Figure 2, the levels of pRb and p107 are significantly reduced after 3–4 hr of camptothecin treatment. The observed losses of pRb and p107 are not caused by generalized loss of proteins because little or no reduction of other cell cycle proteins (see below) or β -actin (Figs. 1, 2) is observed under the same conditions. Moreover, this loss is inhibited by cotreatment with flavopiridol, thus indicating that inhibition of CDK activity blocks the degradation of pRb and p107. Such observations raise the possibility that pRb/p107 phosphorylation in this system might target these proteins for degradation.

We observed recently that caspase activity is detectable in cortical cultures ~4 hr after the start of camptothecin treatment (Stefanis et al., 1999). This is similar to the time at which losses of pRb and p107 are observed. In addition, general caspase inhibitors significantly delay death in this experimental paradigm

Figure 1. pRb/p107 is phosphorylated during the death of cortical neurons evoked by camptothecin. *A*, Top, Western immunoblots (probed with anti-phospho-Rb antibody) of whole-cell lysates of cortical neurons after various periods of treatment with camptothecin (10 μ M). Bottom, Cotreatment with flavopiridol (1 μ M) is indicated. *campto*, Camptothecin. *B*, Densitometric analyses of Western immunoblots of cortical neurons treated with camptothecin alone or cotreated with flavopiridol and probed with anti-phospho-Rb antibody. All data points are the mean $\pm$ SEM of data from three separate experiments and are expressed relative to the initial amount of phosphorylated pRb/p107 at time 0.

(Stefanis et al., 1999). To evaluate the possible involvement of caspase activity in the observed loss of pRb and p107, we cotreated cultures with camptothecin and caspase inhibitors. BAF (Fig. 2) and zVAD-fmk (data not shown) significantly inhibited the loss of pRb and p107. Exposure to flavopiridol, BAF, or zVAD-fmk alone had no effect on the levels of pRb or p107. These results indicate that caspase activation contributes either indirectly or directly to the degradation of pRb and p107.

Early changes in the cyclins, CDKs, and CKIs are not observed in camptothecin-treated cortical neurons

The inhibition of camptothecin-induced neuronal death by CDK inhibitors, along with the observed increase in cyclin D1-associated kinase activity and pRb/p107 phosphorylation, suggests the importance of Cdk4/6 signaling in the control of neuronal apoptosis. We therefore examined the expression of additional cell cycle regulators during camptothecin-evoked cortical neuronal death. As shown in Figure 3, the levels of cyclin D1 and cyclin E did not change during camptothecin treatment. Cyclin A was undetectable (data not shown). No difference in expression levels was detected with flavopiridol, zVAD-fmk, or BAF cotreatment or with flavopiridol, zVAD, or BAF alone. These results indicate that elevation of cyclin D1 levels could not

Figure 2. pRb and p107 levels decrease during the death of cortical neurons evoked by camptothecin. *A, Top, C, Top*, Representative Western immunoblots of whole-cell extracts of cortical neurons treated with camptothecin for the indicated times and probed with antibodies for pRb (*A*) and p107 (*C*). *Middle, Bottom*, Cotreatment with flavopiridol (1 μM; *middle*) and BAF (100 μM; *bottom*) is indicated. Blots were stripped and re-probed for β-actin as a loading control. *B, D*, Densitometric analyses of Western immunoblots of cortical neurons treated with camptothecin alone or cotreated with flavopiridol or BAF and probed for pRb (*B*) or p107 (*D*). All data points are the mean ± SEM of data from three separate experiments and are expressed relative to the initial amount of protein at time 0.

account for the increase in observed cyclin D1-associated kinase activity.

We next explored the expression levels of CDKs in camptothecin-treated cortical neuron cultures. Detection of Cdk2 was inconsistent, but when it was observed by Western blot analyses as shown in Figure 3, the levels were significantly less than that in proliferating rat fibroblast cultures. The levels of Cdk2 protein did not rise in cultures treated with camptothecin and, when detectable, decreased ~4 hr after treatment. Similarly, levels of Cdk4 and 6, which were readily detectable, did not change significantly during camptothecin-induced cortical neuronal death. As with cyclins, no significant change in the protein levels of Cdk4 and 6 was observed in cultures cotreated with flavopiridol, zVAD-fmk, or BAF or treated with flavopiridol, zVAD, or BAF alone (data not shown).

Finally, changes in the levels of the CKIs p16, p21, and p27 were not observed during the first 4 hr of camptothecin treatment and, if present, only occurred considerably later (Fig. 3). Taken together, our results indicate that loss of pRb and p107 is not generalized to other cell cycle regulators and that activation of cyclin D1-associated kinase activity and subsequent pRb/p107 phosphorylation cannot be accounted for by changes in the levels of the cell cycle regulators examined.

Expression of pRb suppresses the death of cortical neurons evoked by camptothecin

Our observations raise the possibility that phosphorylation and loss of pRb/p107 play important roles in the apoptotic death of cortical neurons evoked by camptothecin. If this is the case, we would predict that exogenous expression of pRb would inhibit such death. To test this, we used recombinant adenovirus to express a mutant of pRb (ΔK11 Rb) in which 11 of the 16 putative phosphorylation sites are mutated (Brown et al., 1999). Among the sites mutated is the putative Cdk4/6 phosphorylation site (Kitagawa et al., 1996). Expression in the neurons was confirmed by Western blot analyses and immunofluorescence (Fig. 4). Approximately 61% of the neurons in cultures infected with ΔK11 Rb-expressing adenovirus showed increased Rb immunoreactivity ($n = 243$). By Western blot analyses, we observed expression at four to five times the levels of endogenous pRb, and this expression was maintained for at least 10 hr after camptothecin treatment (Fig. 4). As shown in Figure 5, expression of ΔK11 Rb significantly blocked death at 16 hr of camptothecin exposure [~52 vs 18% survival in the noninfected or GFP-infected or LacZ-infected (data not shown) controls]. Protection was transient. Minimal protection (18 ± 2 vs $3 \pm 1\%$ in the controls) was observed at 30 hr, and no protection was observed 48 hr after

Figure 3. Levels of cyclins, CDKs, and CKIs do not increase during the death of cortical neurons evoked by camptothecin. *A–C*, Western immunoblot analyses of whole-cell extracts of cortical neurons treated with camptothecin for the indicated times and probed with the following antibodies: cyclin D1 and cyclin E (*A*); Cdk2, Cdk4, and Cdk6 (*B*); and p16, p21, and p27 (*C*). For Cdk2 blots, an equal amount (25 μ g) of rat fibroblast cell extract was used as a positive control.

camptothecin treatment (data not shown). Thus, these results along with our observations of increased pRb/p107 phosphorylation and loss of pRb/p107 suggest that pRb members may play an important regulatory role in the death of cortical neurons evoked by DNA damage.

Expression of DN DP1 inhibits the death of neurons evoked by DNA damage

Because pRb family members bind to and affect the transcriptional activity of E2F/DP complexes, we next explored whether such complexes play a role in the death of cortical neurons evoked by DNA damage. This was accomplished by expression of dominant-negative versions of the E2F-binding protein DP1 using the Sindbis virus. We have shown previously that recombinant Sindbis virus can be used for heterologous gene expression and the study of neuronal death mediated by NGF deprivation and DNA damage (Park et al., 1997b, 1998b). DP1 is required for E2F activation (Bandara et al., 1993; Girling et al., 1993; Wu et al., 1996), and Wu et al. (1996) have shown that expression of mutant forms of DP1 that lack the DNA-binding domain either by truncation of 126 amino acids at the N terminal (Δ 1–126 DN DP1) or by internal deletion of the DNA-binding domain (Δ 103–126 DN DP1) mediates inhibition of E2F-mediated transactivation and cell cycle progression. It was also reported that a DP1 mutant with a deletion of the E2F-binding domain (Δ 233–272 DP1) has no such dominant-negative effect (Wu et al., 1996), and this construct was therefore used as one negative control in our experiments.

Figure 4. Immunofluorescence (*A–F*) and Western immunoblot (*G*) analyses of Δ K11 Rb expression. *A–F*, Immunofluorescence (*A, C, E*) staining with an antibody directed against pRb or corresponding light micrographs (*B, D, F*) of cortical neurons in culture infected with Δ K11 Rb-expressing virus (*C–F*) or control (*A, B*). Neurons were fixed and stained with anti-pRb antibody 24 hr after infection (*A–D*) and after 12 hr of camptothecin treatment (*E, F*). *G*, Western immunoblot analysis of whole-cell extracts of cortical neurons infected with adenovirus expressing Δ K11 Rb (no treatment or 10 hr camptothecin treatment) or LacZ as indicated. The blots were analyzed using an anti-pRb antibody, stripped, and reprobed with anti- β -actin.

We first tested whether expression of either (Δ 1–126) DN DP1 or (Δ 103–126) DN DP1, both of which contain a DNA-binding domain deletion, inhibits the death of cortical neurons evoked by DNA damage. Parallel cultures were infected with control viruses. All constructs except the truncation DN mutant (Δ 1–126) DN DP1 were FLAG epitope tagged (*F*) to permit detection of the expressed protein. Expression was confirmed by immunofluorescence and Western blot analyses (Fig. 6) in which comparable expression levels were observed for DN and control DP1 constructs. Approximately 61% of neurons were FLAG immunopositive for (Δ 103–126) DN DP1 ($n = 178$), 63.4% of neurons were positive for Δ 233–272 DP1 expression, and 80% were positive for WT DP1 ($n = 147$). Expression of all three DP1 constructs was both cytoplasmic and nuclear. As shown in Figure 7, camptothecin alone produced 90% death at 24 hr of treatment. Expression of each of the DN versions of DP1 significantly inhibited camptothecin-induced death (50–70% survival with DN DP1 expression). Infection with control viruses expressing (Δ 233–272) DP1F, WT DP1, or “stop” sequences that contain deletions of the

Figure 5. Expression of Δ K11 Rb suppresses the death of cortical neurons evoked by camptothecin. Neuronal cultures were uninfected or infected for 24 hr with adenovirus expressing Δ K11 Rb or GFP before camptothecin treatment for 16 hr. Each point is the mean $\pm$ SEM of combined data from three independent experiments (each independent experiment performed in triplicate) and is expressed relative to the number of neurons present at the initial time of camptothecin treatment. * indicates significance ($p < 0.02$, Student's t test) compared with GFP-infected cultures treated with camptothecin.

initiation codon to prevent protein expression had small, but consistent, protective effects in comparison with cultures treated with camptothecin alone, but the level of protection was significantly lower than that achieved with the DN constructs. Cortical neurons protected by DN DP1 expression show the flat phase-dark morphology typical of healthy cells, whereas cultures treated with camptothecin alone or with camptothecin and a control virus show membrane blebbing and numerous ghost-like bodies (Fig. 8). Neuritic processes, however, did not appear to be spared in camptothecin-treated cultures protected by DN DP1 expression (Fig. 8).

We next examined whether death in another model of DNA damage could also be blocked by expression of DN mutants of DP1. As shown in Figure 9, expression of either (Δ 1–126) DN DP1 or (Δ 103–126) DN DP1 inhibits the death of sympathetic neurons evoked by UV irradiation ($\sim$ 85% survival with DN DP1 expression vs 50% without). Expression of a mutant of DP1 [(Δ 233–272) DP1] bearing the E2F-binding domain deletion or of WT DP1 or infection with stop control viruses that contain deletions of the initiation codon to prevent expression of DP1 proteins had no significant effects on the kinetics of neuronal death (Fig. 9; data not shown). Sympathetic neurons protected by DN DP1 expression showed the typical phase-bright morphology and relatively intact neuritic processes of viable neurons. In contrast, sympathetic neurons treated with UV irradiation alone or after infection with control viruses displayed shrunken, phase-dark somas and degenerating processes (Fig. 10). Expression of

Figure 6. *A–F*, Immunofluorescence (*A, C, E*) or corresponding phase (*B, D, F*) images of neurons infected with control virus (*A, B*) or with virus encoding (Δ 103–126) DN DP1F (*C–F*). Neurons were fixed and stained with anti-FLAG antibody 24 hr after infection (*A–D*) and after 12 hr of camptothecin treatment (*E, F*). *G*, Western immunoblot analyses of whole-cell extracts of cortical neurons infected with Sindbis viruses expressing WT or the indicated mutant FLAG-tagged DP1 constructs. *control* indicates samples in which the neurons were infected with a control virus. The blots were analyzed using an anti-FLAG antibody. A nonspecific immunoreactive band is marked as indicated. Neurons were lysed 24 hr after infection with the indicated viruses.

the FLAG-tagged DP1 constructs was confirmed by immunofluorescence analysis (Fig. 11).

DISCUSSION

The potential role of cell cycle elements in neuronal apoptosis is perhaps paradoxical considering the terminally differentiated state of neurons. However, growing evidence has suggested that elements that normally control cell cycle progression may modulate death in a cellular environment not conducive to proliferation. Our previous evidence indicated a role for Cdk4/6 in the mechanism of neuronal death evoked by DNA damage and trophic factor deprivation (Park et al., 1997b, 1998b). Accordingly, we examined (1) the involvement of pRb/p107, substrates for Cdk4/6, in neuronal death evoked by DNA damage and (2)

Figure 7. Expression of DN DP1 suppresses the death of cultured cortical neurons treated with camptothecin. Control viruses for each vector were generated by removal of the start codon from the insert. *F* denotes that the protein has a FLAG epitope attached to the C terminal. Effects of expression of (Δ 1–126) DN DP1, a dominant-negative mutant containing an N-terminal truncation from amino acids 1–126 (*A*), (Δ 103–126) DN DP1F, a FLAG-tagged dominant-negative mutant containing a DNA-binding domain deletion of amino acids 103–126 (*B*), (Δ 233–272) DP1F, a FLAG-tagged mutant containing the E2F-binding domain deletion of amino acids 233–272 (*C*), and WT DP1 and respective controls (*D*) on the survival of cortical neurons after camptothecin treatment for 1 d. *A*, Graph in which each point is the mean $\pm$ SEM of combined data from three independent experiments (each independent experiment performed in triplicate) and is expressed relative to the number of neurons present in each culture at the initial time of camptothecin (10 μ M) treatment. *B–D*, Representative experiments in which each point is the mean $\pm$ SEM of three cultures from the same experimental trial. Similar results (*B–D*) were obtained in two independent experiments.

whether E2F/DP complexes play a required role in the death signal downstream of Cdk4/6.

Phosphorylation and loss of pRb/p107

We have shown recently that camptothecin induces rapid (within 10 min) DNA strand breaks in cortical neurons (Morris et al., 1997) and is a convenient model for examining the mechanisms that control DNA damage-induced neuronal death. We examined here the status of key cell cycle regulatory proteins during apoptosis of cortical neurons evoked by camptothecin and demonstrate that pRb/p107 is phosphorylated during such treatment. pRb phosphorylation has also been observed in cultures of cis-platinum-treated sensory neurons (Gill and Windebank, 1998) and in cerebellar granule neurons deprived of K^+ (Padmanabhan et al., 1999). We also observed here that phosphorylation of pRb/p107 is blocked by the CDK inhibitor flavopiridol that is known to block Cdk4/6 activity (Filgueira de Azevedo et al., 1996). Moreover, this phosphorylation occurs early (within 1 hr) after the start of camptothecin treatment and correlates well with the induction of cyclin D1-associated kinase activity demonstrated previously (Park et al., 1998b). In addition, we find that levels of pRb and p107 are greatly diminished 3–4 hr after camptothecin treatment. This loss is inhibited by flavopiridol, suggesting a relationship between pRb/p107 phosphorylation and loss. In addition, zVAD and BAF also block the loss, raising the possibility that caspases may mediate pRb and p107 degradation. pRb is a substrate of caspases and contains a consensus caspase cleavage site at its C terminal (Tan et al., 1997). However, it is unclear whether the observed loss of pRb/p107 is directly or indirectly mediated by caspases.

To test whether pRb and p107 are important for maintaining

survival, we forced expression of a variant of Rb that contains mutations in several phosphorylation sites including the Cdk4/6 consensus site and found that this delays the death of neurons evoked by camptothecin. In other studies, expression of a caspase cleavage-resistant mutant of pRb abrogated TNF- α -induced apoptosis of Rb-/- fibroblasts (Tan et al., 1997). The importance of Rb in cell death and survival is underscored by observations that its overexpression in various contexts is protective against apoptosis (Berry et al., 1996; Fan et al., 1996), whereas loss of Rb or of Rb activity facilitates death of both non-neuronal (Liu and Kitis, 1996; Shan et al., 1996) and neuronal cells (Jacks et al., 1992; Lee et al., 1992; Macleod et al., 1996). Taken together, these results suggest that the maintenance of the levels and/or activity of Rb members plays an important role in neuronal death evoked by DNA damage.

Levels of cyclins, CDKs, and CKIs

As discussed above, we found previously that Cdk4/6 increases within 1 hr of camptothecin treatment. How does this activation occur? In certain systems, it has been suggested that this regulation occurs via modulation of levels of cell cycle regulators such as the cyclins and CDKs. However, regulation of CDK activity can occur via multiple mechanisms (Pines, 1993). These include (1) binding to an obligate activating cyclin partner, (2) inhibition by endogenous CKIs, and (3) phosphorylation at sites that are either activating or inhibitory. Accordingly, the observed increase in cyclin D1-associated kinase activity and the consequent pRb/p107 phosphorylation could have occurred as a result of increased cyclin or CDK levels or by a decrease in levels of CKIs. However, no such modulation of cyclin, CDK, or CKI levels was detected during the early period of camptothecin treatment. In only a few

Figure 8. Phase-contrast micrographs of cortical neurons treated for 1 d with the following: no additives (*A*), camptothecin (10 μ M; *B*), (Δ 1–126) DN DP1 virus + camptothecin (*C*), and (Δ 1–126) DN DP1 control virus + camptothecin (*D*).

cases, for example, with p21, did the levels diminish significantly, and these were too late to account for the early induction of cyclin D1-associated kinase activity. Accordingly, these observations, along with the rapid induction of cyclin D1-associated activity (Park et al., 1998b) and pRb/p107 phosphorylation, suggest that activation of Cdk4/6 during the death of cortical neurons evoked by DNA damage could be caused by post-translational modifications such as phosphorylation/dephosphorylation events and the translocation of cyclins as we have described during the death of cerebellar granule neurons deprived of K^+ (Padmanabhan et al., 1999). In addition, our observations differ from that observed in dying neurons of adult brains where upregulation of cell cycle markers has been reported (McShea et al., 1997; Nagy et al., 1997;

Figure 9. Expression of DN DP1 suppresses the death of cultured sympathetic neurons evoked by UV irradiation. Control viruses for each vector were generated by removal of the start codon from the insert. *F* denotes that the protein has a FLAG epitope attached to the C terminal. Effects of expression of (Δ 1–126) DN DP1, a dominant-negative mutant containing an N-terminal truncation from amino acids 1–126 (*A*), and of (Δ 103–126) DN DP1F, a FLAG-tagged dominant-negative mutant containing a DNA-binding domain deletion of amino acids 103–126, (Δ 233–272) DP1F, a FLAG-tagged mutant containing the E2F-binding domain deletion of amino acids (233–272), and wild type (WT) DP1 (*B*) on the survival of sympathetic neurons after UV irradiation. *A*, Graph in which each point is the mean $\pm$ SEM of combined data from three independent experiments (each independent experiment performed in triplicate) and is expressed relative to the number of neurons present in each culture at the initial time of UV treatment. *cont.*, Control. *B*, Representative experiment in which each point is the mean $\pm$ SEM of three cultures from the same experimental trial. Similar results were obtained in two independent experiments.

Figure 10. Phase-contrast micrographs of rat sympathetic neurons maintained in NGF-containing medium and exposed to and/or infected with the following: no treatment (*A*), UV (*B*), ($\Delta 1-126$) DN DP1 virus + UV (*C*), ($\Delta 1-126$) DN DP1 control virus + UV (*D*), or ($\Delta 1-126$) DN DP1 virus alone (*E*). The photos were taken 2 d after irradiation and/or infection.

Figure 11. Immunofluorescence (*A–C*) staining with an antibody directed against the FLAG epitope or corresponding light micrographs (*D–F*) of sympathetic neurons in culture infected with Sindbis virus expressing ($\Delta 233-272$) DP1F (*A, D*) or ($\Delta 103-126$) DN DP1F (*B, E*) or containing a nonexpressing control virus (*C, F*). Neurons were stained 2 d after infection.

Vincent et al., 1997; Busser et al., 1998). This may be caused by the downregulation of cell cycle molecules that occur in more mature neurons.

Role of E2F/DP complexes in neuronal death evoked by DNA damage

What are possible consequences of pRb/p107 phosphorylation and loss of pRb and p107 protein? pRb binds E2F and negatively regulates its transcriptional activity; when hyperphosphorylated by Cdk4/6, pRb releases E2F, resulting in the derepression of

E2F-responsive genes (Chellappan et al., 1991). This raised the possibility that E2F plays a causal role in the death of camptothecin-treated cortical neurons. E2F members 1–5 are present in embryonic cultured cortical neurons (D. S. Park, unpublished data). Of additional relevance, overexpression of E2F in a variety of non-neuronal cells triggers apoptotic death (Qin et al., 1994; Hiebert et al., 1995), and we have observed that overexpression of E2F1 induces death of neurons (D. S. Park, D. Liu, and L. A. Greene, unpublished data). One means we used to test the possible role of E2F in death was overexpression of $\Delta K11$ Rb. This protein has been shown to suppress E2F-mediated transcription (Brown et al., 1999). We observed that this construct protected neurons from camptothecin-evoked death, consistent with a role for E2F in the apoptotic mechanism.

As a second test of our hypothesis, we used Sindbis virus to express several different DN constructs of DP1 (a member of a family of obligate partners for E2F-transactivating activity) (Bandara et al., 1993; Girling et al., 1993; Wu et al., 1996) in cultures of cortical neurons exposed to camptothecin. These DN forms, but neither WT DP1 nor a variety of control constructs, provided significant protection from death evoked by camptothecin. Similar results were obtained with sympathetic neurons exposed to UV irradiation. The findings provide further support for a role of E2F/DP1 complexes in neuronal death evoked by camptothecin and suggest that this extends to multiple neuronal types and to additional paradigms of DNA damage.

It must be noted that there are alternative means by which DN DP1 may provide neuronal protection. The DN versions of DP1 used in these studies contain a deletion of the DNA-binding domain that is required for mediating E2F activity and cell cycle progression in proliferating cell systems (Wu et al., 1996). The apparent requirement for the DNA-binding domain in death signaling suggests the importance of transcriptionally mediated events. In support of this possibility, neuronal death attributable to DNA damage requires transcription (Morris and Geller, 1996; Park et al., 1998a). A recent report, however, suggests that E2F may mediate death in at least certain proliferating systems via a transcriptionally independent mechanism and that this requires the E2F DNA-binding domain (Hsieh et al., 1997). Accordingly, it is unclear whether transcriptional regulation mediated by E2F/DP complexes is important in neuronal apoptosis. It is important to note that it is unclear which E2F complexes may be inactivated by DN DP1 expression in neurons. Curiously, we find that DN DP1 expression is not exclusively nuclear. Wu et al. (1996) have suggested that DN DP1 is able to inhibit the transcriptional activity of multiple E2F members (1–5). Because several of the E2F members are known to also reside in the cytoplasm [for example, E2F4 (Verona et al., 1997)], it is possible that DN DP1 may act (partially or fully) in the cytoplasm to inhibit death.

Finally, E2F and DP are also reported to interact physically with other proteins including p53 both *in vitro* and in proliferating cells (O'Connor et al., 1995; Sorensen et al., 1996). The existence of such interactions in neurons and/or their potential functions are unknown. Accordingly, we cannot exclude the possibility that expression of DN DP1 may protect neurons via a mechanism that includes p53 or other proteins. In this regard, it has been reported that p53 expression is required for the death of neurons evoked by DNA damage (Enokido et al., 1996; Johnson et al., 1998; Anderson and Tolkovsky, 1999).

The findings presented here provide a plausible model as to why a variety of inhibitors of the cell cycle are effective in rescuing

neurons from the death evoked by DNA damage. Our data suggest that a post-translational mechanism is activated in camptothecin-treated cortical neurons that elevates Cdk4/6 activity and that this leads to enhanced phosphorylation of pRb/p107. Phosphorylation of these proteins in turn contributes to their loss by a mechanism requiring caspase activity. Our findings that expression of Δ K11 Rb and DN DP1 protects neurons from DNA damage further suggest that loss of pRb and p107 permit dysregulated E2F transactivational activity that results in synthesis of gene products that contribute to and are required for the death process. Elements of additional pathways such as p53 (Enokido et al., 1996; Johnson et al., 1998) and c-Jun/JNK (Estus et al., 1994; Ham et al., 1995; Xia et al., 1995; Maroney et al., 1999) have been implicated in neuronal death. It remains to be seen whether these act in parallel, or intersect, with the pathway described here.

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