

Glycogen Synthase Kinase-3 β Phosphorylates Bax and Promotes Its Mitochondrial Localization during Neuronal Apoptosis

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Glycogen synthase kinase-3 β (GSK-3 β) is a critical activator of neuronal apoptosis induced by a diverse array of neurotoxic insults. However, the downstream substrates of GSK-3 β that ultimately induce neuronal death are unknown. Here, we show that GSK-3 β phosphorylates and regulates the activity of Bax, a pro-apoptotic Bcl-2 family member that stimulates the intrinsic (mitochondrial) death pathway by eliciting cytochrome *c* release from mitochondria. In cerebellar granule neurons undergoing apoptosis, inhibition of GSK-3 β suppressed both the mitochondrial translocation of an expressed green fluorescent protein (GFP)-Bax α fusion protein and the conformational activation of endogenous Bax. GSK-3 β directly phosphorylated Bax α on Ser163, a residue found within a species-conserved, putative GSK-3 β phosphorylation motif. Coexpression of GFP-Bax α with a constitutively active mutant of GSK-3 β , GSK-3 β (Ser9Ala), enhanced the *in vivo* phosphorylation of wild-type Bax α , but not a Ser163Ala mutant of Bax α , in transfected human embryonic kidney 293 (HEK293) cells. Moreover, cotransfection with constitutively active GSK-3 β promoted the localization of Bax α to mitochondria and induced apoptosis in both transfected HEK293 cells and cerebellar granule neurons. In contrast, neither a Ser163Ala point mutant of Bax α nor a naturally occurring splice variant that lacks 13 amino acids encompassing Ser163 (Bax σ) were driven to mitochondria in HEK293 cells coexpressing constitutively active GSK-3 β . In a similar manner, either mutation or deletion of the identified GSK-3 β phosphorylation motif prevented the localization of Bax to mitochondria in cerebellar granule neurons undergoing apoptosis. Our results indicate that GSK-3 β exerts some of its pro-apoptotic effects in neurons by regulating the mitochondrial localization of Bax, a key component of the intrinsic apoptotic cascade.

Key words: glycogen synthase kinase; cerebellar granule neuron; apoptosis; mitochondria; Bax; phosphorylation

Introduction

Aberrant neuronal apoptosis contributes to the progression of several neurodegenerative disorders (Vila and Przedborski, 2003). Protein kinases are key regulators of neuronal cell fate and can be broadly categorized into anti-apoptotic and pro-apoptotic groups. Anti-apoptotic kinases, including AKT and ERK (extracellular signal-regulated kinase), are activated downstream of neurotrophic factor receptors (Fukunaga and Miyamoto, 1998; Brunet et al., 2001). In contrast, protein kinases that play pro-apoptotic roles in neurons, including JNK (c-Jun NH₂-terminal

kinase) and glycogen synthase kinase-3 β (GSK-3 β), are activated by a variety of neuronal insults (Mielke and Herdegen, 2000; Kaytor and Orr, 2002). In particular, GSK-3 β is a critical activator of cell death in numerous models of neuronal apoptosis (Hetman et al., 2000; Li et al., 2000; Perez et al., 2003; Phiel et al., 2003).

Identification of substrates of GSK-3 β may provide insight into the cellular mechanisms that underlie neurodegeneration. To date, the three most studied substrates of GSK-3 β include the metabolic enzyme glycogen synthase, the microtubule bundling protein tau, and a mediator of the Wnt signaling pathway and cell–cell adhesion, β -catenin (Doble and Woodgett, 2003). Although hyperphosphorylation of tau by GSK-3 β has been implicated in the pathogenesis of Alzheimer's disease (Kaytor and Orr, 2002), the contribution of this phosphorylation event to neuronal death in other models of neurodegeneration is less well established. Moreover, although the GSK-3 β -mediated phosphorylation of β -catenin targets this protein for degradation, phosphorylation-site mutants of β -catenin fail to protect neurons from apoptosis under conditions in which GSK-3 β inhibition is neuroprotective (Hetman et al., 2000). These findings suggest that additional unidentified substrates of GSK-3 β exist that mediate its pro-apoptotic action in neurons.

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Neuronal apoptosis often occurs via an intrinsic apoptotic cascade triggered by the translocation of Bax, a pro-apoptotic Bcl-2 family member, to mitochondria. In response to signals generated by BH3-only Bcl-2 family members, such as Bim and Bid, Bax oligomerizes at the outer mitochondrial membrane and forms a pore that releases cytochrome *c* from the mitochondria (Zong et al., 2001). Cytosolic cytochrome *c* then interacts with Apaf-1 and pro-caspase-9 to form a functional apoptosome that ultimately activates downstream executioner caspases (Zou et al., 1999). Many models of neuronal apoptosis occur via this Bax-dependent mitochondrial pathway (Cregan et al., 1999; Putcha et al., 1999; Selimi et al., 2000; Vila et al., 2001). Yet despite the prevalence of Bax involvement in neuronal apoptosis, the cellular mechanisms that regulate this Bcl-2 family member, particularly the role of phosphorylation, have not been clearly defined.

In the current study, we used primary cultures of cerebellar granule neurons (CGNs) isolated from postnatal rats to investigate the role of GSK-3 β in the regulation of Bax function. CGNs require serum and depolarizing extracellular potassium for their survival *in vitro* and die via a mitochondrial apoptotic cascade when deprived of this trophic support (D'Mello et al., 1993; Linseman et al., 2002). CGN apoptosis is dependent on both Bax translocation to mitochondria and activation of GSK-3 β (Li et al., 2000; Putcha et al., 2002). Thus, this is an ideal cell model in which to examine the interaction of Bax and GSK-3 β during neuronal apoptosis.

Materials and Methods

Reagents. A plasmid encoding an N-terminal green fluorescent protein (GFP) fusion protein of human Bax $_{\alpha}$ was kindly provided by Dr. R. J. Youle (National Institute of Neurological Disorders and Stroke, National Institutes of Health, Bethesda, MD). Enhanced GFP (pEGFP) vector, monoclonal antibody for immunoprecipitation of GFP, and polyclonal living colors antibody for immunoblotting of GFP were from BD Biosciences Clontech (Palo Alto, CA). Tetramethylrhodamine ethyl ester (TMRE) dye and an antibody to cytochrome *c* oxidase subunit IV (COX IV) were from Molecular Probes (Eugene, OR). Monoclonal antibody to the hemagglutinin (HA) epitope tag and polyclonal antibodies to phospho-GSK-3 β (Ser9) and total GSK-3 β were obtained from Cell Signaling Technologies (Beverly, MA). Insulin-like growth factor I (IGF-I), LiCl, Hoechst dye 33258, and 4',6-diamidino-2-phenylindole (DAPI) were from Sigma (St. Louis, MO). GSK-3 β inhibitor II and a specific peptide inhibitor of GSK-3 β were from Calbiochem (San Diego, CA). Monoclonal antibody to the active conformation of Bax (clone 6A7) was purchased from Alexis Biochemicals (San Diego, CA). Recombinant, active GSK-3 β was from Upstate Biotechnology (Charlottesville, VA). [γ - 32 P]ATP (3000 Ci/mmol), 32 P as orthophosphate (10 mCi/ml), horseradish peroxidase-linked secondary antibodies, and reagents for enhanced chemiluminescence detection were obtained from Amersham Biosciences (Piscataway, NJ). Empty pcDNA3.1 vector was obtained from Invitrogen (Carlsbad, CA). A plasmid encoding HA-tagged GSK-3 β (Ser9Ala) was provided by Dr. M. J. Birnbaum (University of Pennsylvania, Philadelphia, PA). A plasmid encoding Bax $_{\alpha}$ was provided by Dr. R. Bertrand (University of Montreal, Montreal, Quebec, Canada). FITC- and cyanine 3 (Cy3)-conjugated secondary antibodies for immunofluorescence were from Jackson ImmunoResearch (West Grove, PA).

Cell culture. Rat CGNs were isolated from 7-d-old Sprague Dawley rat pups (15–19 gm), as described previously (Li et al., 2000). Briefly, neurons were plated on 35-mm-diameter plastic dishes coated with poly-L-lysine at a density of 2.0×10^6 cells/ml in basal modified Eagle's medium containing 10% fetal bovine serum, 25 mM KCl, 2 mM L-glutamine, and penicillin (100 U/ml)–streptomycin (100 μ g/ml) (Invitrogen). Cytosine arabinoside (10 μ M) was added to the culture medium 24 hr after plating to limit the growth of non-neuronal cells. Using this protocol, the cultures were ~95% pure for granule neurons. In general, experiments were performed after 6–7 d in culture. Human embryonic kidney 293

(HEK293) cells were maintained in standard DMEM containing 10% fetal calf serum and routinely passaged every 3–4 d.

Transfection of primary CGNs. CGNs were transiently transfected using the Helios Gene-Gun system (Bio-Rad, Hercules, CA). Briefly, 60 μ g of plasmid DNA was precipitated onto 30 mg of 0.6- μ m-diameter gold beads in a CaCl $_2$ –spermidine mixture. The gold/DNA precipitates were washed three times in 100% ethanol and resuspended in 3 ml of ethanol containing 0.05 mg/ml polyvinylpyrrolidone. After thoroughly resuspending the gold/DNA precipitate, it was drawn into ~74 cm of Tefzel tubing, and the beads were allowed to settle to the bottom of the tubing. After 5 min, the ethanol was slowly drawn off while the beads adhered to the tubing. The tubing was dried under nitrogen for an additional 5 min and then cut into ~1.3 cm pieces. These pieces can be stored desiccated at 4°C for ~6 weeks. CGNs to be transfected were seeded at a density of 8×10^5 cells/well on polyethyleneimine-coated glass coverslips in 24-well plates (Corning, Corning, NY). After 5 d in culture, the medium was removed from the wells, and the 1.3 cm lengths of tubing containing the DNA-bound beads were loaded into the Gene-Gun and shot with a burst of ~100 psi helium through a 40 μ m nylon cell strainer placed over the well. The medium was replaced immediately, and cells were grown an additional 48 hr before image analysis. For experiments in which GFP-Bax $_{\alpha}$ was cotransfected with either pcDNA3.1 or HA-GSK-3 β (S9A), the ratio of plasmids coprecipitated onto the gold beads was 60:1 for empty vector or GSK-3 β to the Bax fusion protein.

Transfection of HEK293 cells. HEK293 cells were grown to ~90% confluency in 35-mm-diameter tissue culture plates. Aliquots (500 ng) of the various GFP–Bax plasmids and 4 μ g of either constitutively active HA-GSK3 β Ser9Ala or the empty vector control plasmid (pcDNA3.1) were transiently transfected using the Lipofectamine 2000 reagent (Invitrogen) according to the manufacturer's protocol. Cells were grown for 24 hr, after which they were fixed for microscopic imaging analysis of GFP–Bax localization. For morphological assessment of apoptosis, transfected cells were grown for 48 hr before quantitation of apoptosis.

Quantitation of GFP–Bax localization. CGNs or HEK293 cells, which were transfected with various GFP–Bax plasmids, were washed once with PBS and fixed for 20 min in 4% paraformaldehyde. GFP–Bax localization was assessed in HEK293 cells using a 63 \times water immersion objective. Localization in CGNs was performed under 63 \times oil after adhering the coverslips to glass slides. Cells were examined for GFP fluorescence and were scored as either diffuse (if the staining observed in the FITC channel was diffuse throughout the cell) or mitochondrial (if the staining observed in the FITC channel localized to discrete subcellular locations within the cytoplasm and around the nucleus). Results for HEK293 cells are averages of blinded quantitations from at least three independent experiments per group in which ~200 transfected cells were counted per group per experiment. Data for CGNs represent quantitative analysis of at least three separate experiments in which ~200 transfected CGNs were analyzed per fusion protein under both control and apoptotic conditions.

Immunocytochemistry. CGNs were cultured on polyethyleneimine-coated glass coverslips at a density of $\sim 2.5 \times 10^5$ cells per coverslip. After transfection and incubation as described in Results, cells were fixed in 4% paraformaldehyde and were then permeabilized and blocked in PBS, pH 7.4, containing 0.2% Triton X-100 and 5% BSA. Cells were then incubated for ~16 hr at 4°C with primary antibody diluted in PBS containing 0.2% Triton X-100 and 2% BSA. The primary antibody was aspirated, and the cells were washed five times with PBS. The cells were then incubated with either Cy3-conjugated or FITC-conjugated secondary antibodies and DAPI for 1 hr at room temperature. CGNs were then washed five more times with PBS, and coverslips were adhered to glass slides in mounting medium (0.1% *p*-phenylenediamine in 75% glycerol in PBS). Fluorescent images were captured using a 63 \times oil immersion objective on an Axioplan 2 microscope (Zeiss, Oberkochen, Germany) equipped with a Cooke Sencis deep-cooled CCD camera and a Slidebook software analysis program for digital deconvolution (Intelligent Imaging Innovations, Denver, CO). Quantitation of active Bax immunoreactivity was performed by determining the percentage of CGNs that showed positive punctate staining with the 6A7 monoclonal antibody to the active conformation of Bax. In general, HEK293 cells were stained using an identical protocol, except that they were cultured on 35-mm-diameter

plastic culture dishes and images were captured using a 63 \times water immersion objective.

Live cell imaging of TMRE fluorescence. HEK293 cells were cotransfected with GFP-Bax $_{\alpha}$ and either pcDNA3.1 empty vector or HA-GSK-3 β S9A. At 24 hr after transfection, TMRE (500 nM) and Hoechst dye were added for 30 min, and living cells were imaged using a Cy3 filter to detect TMRE fluorescence.

Preparation of cell extracts. After incubation, the culture medium was aspirated and cells were washed once with 2 ml of ice-cold PBS, pH 7.4, placed on ice, and scraped into lysis buffer (200 μ l/35 mm well) containing 20 mM HEPES, pH 7.4, 1% Triton X-100, 50 mM NaCl, 1 mM EGTA, 5 mM β -glycerophosphate, 30 mM sodium pyrophosphate, 100 μ M sodium orthovanadate, 1 mM phenylmethylsulfonyl fluoride, 10 μ g/ml leupeptin, and 10 μ g/ml aprotinin. Cell debris was removed by centrifugation at 6000 \times g for 3 min, and the protein concentration of the supernatant was determined using a commercially available protein assay kit (Pierce, Rockford, IL). Aliquots (~150 μ g) of supernatant protein were diluted to a final concentration of 1 \times SDS-PAGE sample buffer, boiled for 5 min, and electrophoresed through 10% polyacrylamide gels. Proteins were transferred to polyvinylidene difluoride (PVDF) membranes (Millipore, Bedford, MA) and processed for immunoblot analysis.

Immunoblotting. Nonspecific binding sites were blocked in PBS, pH 7.4, containing 0.1% Tween 20 (PBS-T) and 1% BSA for 1 hr at room temperature. Primary antibodies were diluted in blocking solution and incubated with the membranes for 1 hr. Excess primary antibody was removed by washing the membranes three times in PBS-T. The blots were then incubated with the appropriate horseradish peroxidase-conjugated secondary antibody diluted in PBS-T for 1 hr and were subsequently washed three times in PBS-T. Immunoreactive proteins were detected by enhanced chemiluminescence. The autoluminograms shown are generally representative of at least three independent experiments.

Immunoprecipitation of GFP-Bax. At 16–24 hr after transfection, HEK293 cell lysates were prepared in lysis buffer containing 1% Triton X-100, as described above. Ten microliters of monoclonal antibody against GFP were added to 500 μ l of lysate (~1 μ g/ μ l HEK293 cell protein concentration), and samples were mixed for 4 hr at 4 $^{\circ}$ C by continuous inversion. Agarose-conjugated protein A/G (50 μ l) was added, and samples were mixed for an additional 2 hr. Immune complexes were pelleted and washed for incubation in the *in vitro* kinase assay.

In vitro kinase assay. Pelleted GFP-Bax immune complexes were washed twice with 500 μ l of cell lysis buffer, followed by two more washes in 500 μ l of kinase reaction buffer [8 mM 4-morpholinepropanesulfonic acid (MOPS), pH 7.2, 0.2 mM EDTA, and 10 mM magnesium acetate]. The beads were then resuspended in 20 μ l of kinase buffer, and 50 ng (in a total volume of 10 μ l) of recombinant active GSK-3 β was added, followed by 10 μ Ci of [γ - 32 P]ATP (previously diluted in ATP dilution buffer containing 20 mM MOPS, pH 7.2, 75 mM MgCl $_2$, 25 mM β -glycerophosphate, 5 mM EGTA, 1 mM sodium orthovanadate, 1 mM DTT, and 500 μ M cold ATP). The kinase reaction mixtures were incubated at 30 $^{\circ}$ C for 30 min with continuous mixing at 1400 rpm. Reactions were stopped by adding 50 μ l of 2 \times SDS-PAGE sample buffer and boiling for 5 min. Samples were then resolved on 10% polyacrylamide gels, and proteins were transferred to PVDF membranes and exposed to film for autoradiography. Subsequently, the membranes were immunoblotted with a polyclonal antibody to GFP to assess the immunoprecipitation efficiencies of the various GFP-Bax variants.

In vivo phosphorylation of GFP-Bax $_{\alpha}$ by constitutively active GSK-3 β S9A. HEK293 cell cultures were washed three times in phosphate-free DMEM culture medium and then labeled for 6 hr with 32 P-orthophosphate (final concentration, 1.5 mCi/ml). Unincorporated phosphate was removed, and cells were washed three more times in phosphate-free DMEM. HEK293 cells were then cotransfected with wild-type GFP-Bax $_{\alpha}$, the single Ser163Ala mutant, or the Ser163Ala/Thr167Ala double mutant and either empty vector or constitutively active GSK-3 β S9A. Approximately 16 hr after transfection, the GFP-Bax variants were immunoprecipitated using a GFP monoclonal antibody, as described above. Immune complexes were resolved by SDS-PAGE on a

10% polyacrylamide gel and transferred to PVDF, and phosphorylation was assessed by autoradiography.

Site-directed mutagenesis of GFP-Bax $_{\alpha}$. Site-directed mutagenesis of potential GSK3 β phosphorylation sites within Bax $_{\alpha}$ was performed on the pEGFP-Bax $_{\alpha}$ plasmid using the Quikchange kit from Stratagene (La Jolla, CA). The following primers and annealing temperatures were used (underlined nucleotides indicate mutated site): Ser163Ala: upper primer 5'-GGTTGGGACGGCCTCCTCGCCTACTTTGGGACGCC-3', lower primer 5'-ACCCTGCCGAGGAGCGGATGAAACCCTGC-3', 69 $^{\circ}$ C annealing temperature (5% v/v DMSO was added to the reaction mix); Thr167Ala: upper primer 5'-TCCTCTCCTACTTTGGGGCGCCACGT-3', lower primer 5'-GAGAGGATGAAACCCCGCGGTGCA-3', 78.6 $^{\circ}$ C annealing temperature. The double mutant Ser163Ala/Thr167Ala was constructed using the Ser163Ala pEGFP-Bax $_{\alpha}$ plasmid and the Thr167 upper and lower primers at an annealing temperature of 70 $^{\circ}$ C.

All reactions were performed with the following program: 95 $^{\circ}$ C for 30 sec, appropriate annealing temperature for 1 min, and 72 $^{\circ}$ C for 5 min for 18 cycles.

Quantitation of apoptosis. HEK293 cells were transfected with variants of GFP-Bax in the absence or presence of constitutively active GSK-3 β . At 48 hr after transfection, Hoechst dye was added directly to the wells to stain nuclei and cells were scraped into the culture medium so that all cells were harvested, including those that had detached from the dish. The cells were then pelleted, washed once with PBS, and resuspended in PBS. Nuclear morphology of GFP-positive cells was assessed by fluorescence microscopy. Cells containing chromatin that was condensed and/or fragmented were scored as apoptotic. Approximately 150 transfected cells were quantitated per well, and each construct was transfected into duplicate wells in a total of two separate experiments.

Data analysis. The results shown represent the means \pm SEM for the number (*n*) of independent experiments that were performed. Statistical differences between the means of unpaired sets of data were evaluated by one-way ANOVA, followed by a *post hoc* Dunnett's or Tukey's test. A *p* value of <0.01 was considered statistically significant.

Results

GSK-3 β inhibitors suppress Bax translocation to mitochondria and Bax conformational activation in trophic factor-deprived CGNs

CGNs were transfected with plasmids expressing either GFP or an NH $_2$ -terminal GFP fusion protein of human Bax α (GFP-Bax $_{\alpha}$) (Wolter et al., 1997). Neurons were transfected using gold beads coated with the precipitated plasmid DNA via particle-mediated gene transfer by a Helios Gene-Gun (Wellmann et al., 1999). At 48 hr after transfection, cells were either maintained in control medium containing serum and 25 mM KCl (25K+Ser) or switched to apoptotic medium lacking serum and containing 5 mM KCl (5K-Ser). After an additional 4 hr incubation, neurons were fixed in paraformaldehyde, and nuclei were stained with Hoechst dye. The localization of GFP or GFP-Bax $_{\alpha}$ and nuclear morphology were examined by fluorescence microscopy (Fig. 1). GFP displayed a diffuse distribution over the entire cell body in CGNs cultured in either control or apoptotic medium (Fig. 1A, top). In contrast, the localization of GFP-Bax $_{\alpha}$ changed from a diffuse pattern in control medium to a punctate distribution in apoptotic medium. The punctate localization of GFP-Bax $_{\alpha}$ in apoptotic medium coincided with condensation and fragmentation of the chromatin in CGNs (Fig. 1A, bottom). To confirm that the punctate distribution of GFP-Bax $_{\alpha}$ corresponded to its translocation to mitochondria, CGNs were stained for the integral mitochondrial membrane protein COX IV. In control medium, there was little specific overlap between the localization of GFP-Bax $_{\alpha}$ and COX IV (Fig. 1B, top). While in apoptotic medium, GFP-Bax $_{\alpha}$ showed substantial colocalization with COX IV (Fig. 1B, bottom). These data show that removal of serum and depolarizing extracellular potassium (trophic factor withdrawal)

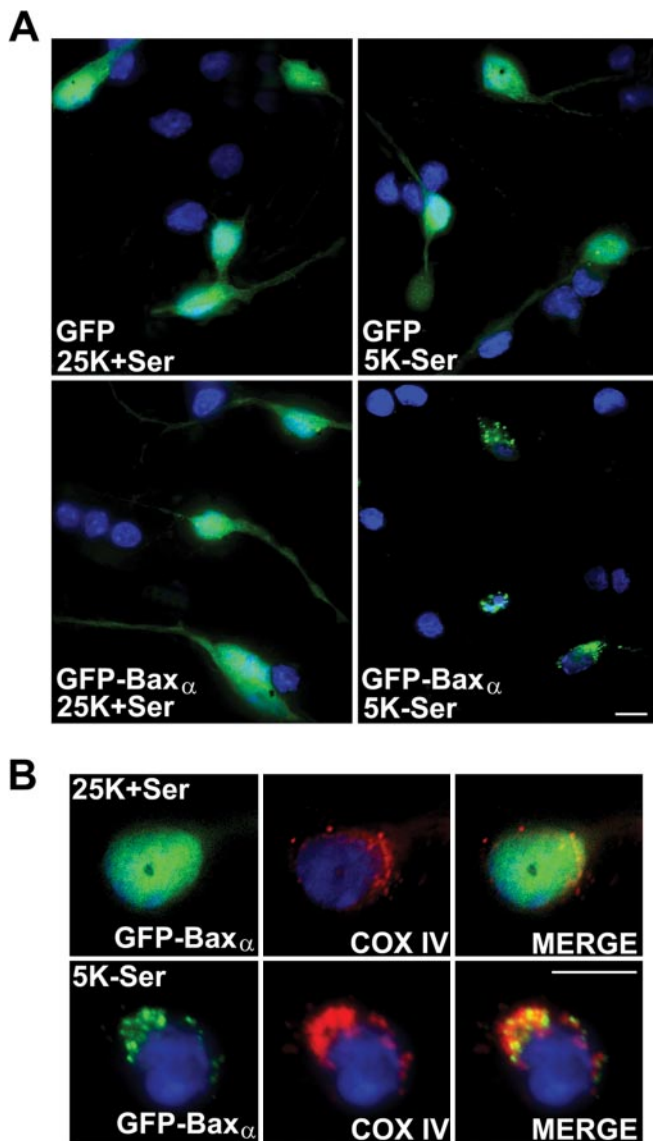


Figure 1. An expressed GFP-Bax α fusion protein translocates to mitochondria in CGNs undergoing apoptosis. *A*, Representative images of CGNs transfected with either GFP or GFP-Bax α and subsequently incubated for 4 hr in either control medium (25 mM KCl with serum; 25K+Ser) or apoptotic medium (5 mM KCl without serum; 5K-Ser). Images show the localization of GFP or GFP-Bax α and nuclear morphology after staining with Hoechst dye (shown in blue). *B*, Neurons transfected with GFP-Bax α were incubated as described in *A*, followed by immunostaining for COX IV to identify mitochondria. Scale bars, 10 μ m.

triggers translocation of GFP-Bax α to mitochondria in CGNs undergoing apoptosis.

Examination of the kinetics of GFP-Bax α translocation revealed a rapid movement of the expressed fusion protein to mitochondria after induction of apoptosis (Fig. 2*A*). In control medium, <30% of the CGNs expressing GFP-Bax α showed a mitochondrial localization of the fusion protein. Within 1 hr of trophic factor deprivation, a significant increase in mitochondrial GFP-Bax α was detectable. After 4 hr of incubation in apoptotic medium, ~70% of the transfected CGNs demonstrated a mitochondrial distribution of GFP-Bax α . Measurement of GSK-3 β activation in CGNs undergoing apoptosis revealed kinetics that closely paralleled the mitochondrial translocation of GFP-Bax α . When dephosphorylation of the inhibitory residue Ser9 was used as an index of GSK-3 β activation, significant stim-

ulation was observed within 1 hr, and nearly complete dephosphorylation occurred after 4 hr of incubation in apoptotic medium (Fig. 2*B*). Thus, the activation of GSK-3 β is temporally correlated with the movement of Bax to mitochondria in CGNs undergoing apoptosis.

To determine whether GSK-3 β activity is required to trigger Bax translocation to mitochondria in CGNs, we evaluated the effects of several distinct GSK-3 β inhibitors on the movement of GFP-Bax α . IGF-I is a known survival factor for CGNs that signals through phosphatidylinositol 3-kinase to activate the anti-apoptotic kinase AKT (D'Mello et al., 1993; Linseman et al., 2002). AKT subsequently phosphorylates and inactivates several pro-apoptotic molecules including Bad, caspase-9, GSK-3 β , and the forkhead transcription factor FKHRL1 (Lawlor and Alessi, 2001). In the case of GSK-3 β , AKT phosphorylates the inhibitory residue Ser9. As shown in Figure 2*C*, IGF-I significantly attenuated the dephosphorylation of GSK-3 β on Ser9 in CGNs switched to apoptotic medium. In addition to IGF-I, we also used a substituted oxadiazole GSK-3 β inhibitor, GSK-3 β inhibitor II (Inh-II; Calbiochem) (Naerum et al., 2002), and the monovalent cation lithium, which is a noncompetitive inhibitor of GSK-3 β that is protective in many models of neuronal apoptosis including CGNs (Linseman et al., 2003). Inclusion of either IGF-I or Inh-II resulted in an ~40% decrease in the (5K-Ser)-induced translocation of GFP-Bax α to mitochondria (Fig. 2*D*). Moreover, the combination of IGF-I and Inh-II did not produce an additive inhibitory effect on GFP-Bax α translocation, suggesting that each of these agents blocks the same signal for Bax movement (i.e., GSK-3 β activation) (Fig. 2*D*). Finally, the noncompetitive GSK-3 β inhibitor lithium was most effective at blocking GFP-Bax α translocation in apoptotic medium (Fig. 2*D*).

We next analyzed the activation of the endogenous Bax protein by immunostaining CGNs with a monoclonal antibody that specifically recognizes the active Bax conformation (clone 6A7) (Hsu and Youle, 1997). CGNs maintained in control medium showed little to no detectable 6A7 staining, whereas neurons in apoptotic medium showed active Bax immunoreactivity (Fig. 2*E*). Moreover, the 6A7 staining often coincided with condensed and/or fragmented chromatin. Inclusion of either the GSK-3 β inhibitor II or a specific phosphopeptide inhibitor of GSK-3 β (Plotkin et al., 2003) significantly inhibited both the conformational activation of endogenous Bax and CGN apoptosis (Fig. 2*F*). In a similar manner, the peptide inhibitor of GSK-3 β also blunted GFP-Bax α translocation to mitochondria in transfected CGNs incubated in apoptotic medium (data not shown). Collectively, these results demonstrate that GSK-3 β activity is necessary to induce the conformational change and mitochondrial translocation of Bax in trophic factor-deprived CGNs.

GSK-3 β directly phosphorylates Bax on Ser163 *in vitro* and *in vivo*

To determine whether Bax is a direct substrate of GSK-3 β , we examined the amino acid sequences of several species variants of Bax α to establish whether they contain any conserved GSK-3 β consensus phosphorylation motifs (Fig. 3*A*). GSK-3 β phosphorylates the consensus site S*/T*XXXS(P)/T(P), where (P) indicates a previously phosphorylated or "primed" serine or threonine residue and the asterisk denotes the site targeted by GSK-3 β (Fiol et al., 1987). Bax α contains a SXXXT motif (residues Ser163 through Thr167) that is conserved in human, rat, mouse, and bovine Bax α (Fig. 3*A*). To ascertain whether Ser163 is phosphorylated by GSK-3 β , we first analyzed the ability of purified GSK-3 β to phosphorylate GFP-Bax α *in vitro*. GFP-Bax α was im-

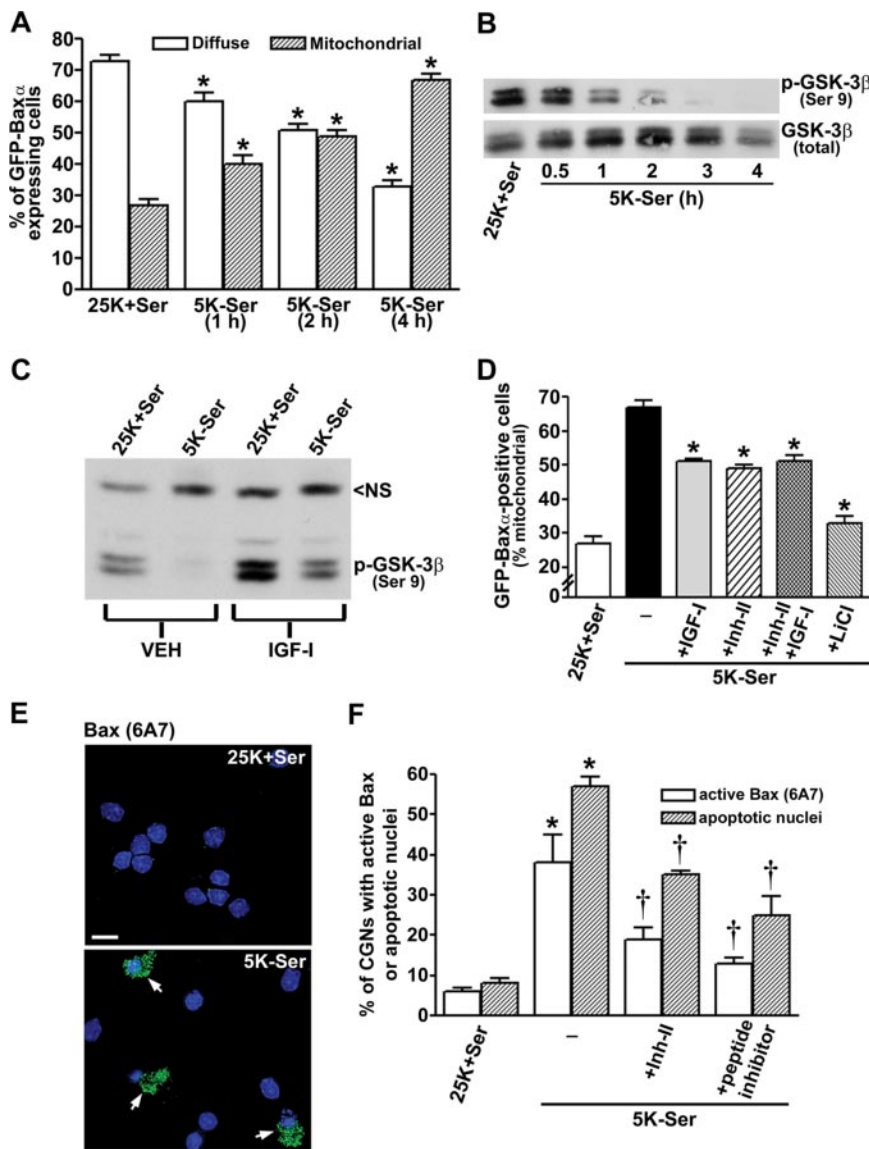


Figure 2. GSK-3 β activity regulates Bax translocation to mitochondria and Bax conformational activation in apoptotic CGNs. *A*, Quantitation of the percentage of GFP-Bax α -expressing cells that demonstrated either a diffuse or mitochondrial localization of the fusion protein. The data shown are from three independent experiments in which \sim 200 transfected CGNs were counted per experiment ($*p < 0.01$ compared with the 25K+Ser control). *B*, Time course of GSK-3 β activation after trophic factor withdrawal in CGNs was measured by dephosphorylation at Ser9 (top blot). Total GSK-3 β was not significantly altered over the duration of the experiment (bottom blot). All subsequent experiments involved a 4 hr incubation in 5K-Ser medium, unless noted otherwise. *C*, Effects of dilute acetic acid vehicle (VEH) or IGF-I (200 ng/ml) on GSK-3 β activation (dephosphorylation) in trophic factor-deprived CGNs. NS, Nonspecific protein detected by the phospho-GSK-3 β antibody, shown as a loading control. *D*, Quantitation of GFP-Bax α -positive CGNs that displayed mitochondrial localization of the fusion protein in either control or apoptotic medium containing IGF-I (200 ng/ml), GSK-3 β inhibitor II (10 μ M), or LiCl (20 mM). The results shown are from three separate experiments in which \sim 200 transfected CGNs were counted per experiment ($*p < 0.01$ compared with 5K-Ser). *E*, Representative images of the activation of endogenous Bax detected immunocytochemically in trophic factor-deprived CGNs using an active conformation-specific monoclonal antibody (clone 6A7). Nuclei are stained with DAPI (shown in blue). Scale bar, 10 μ m. *F*, Quantitation of CGN apoptosis and immunoreactivity for active Bax in cells incubated for 16 hr in control or apoptotic medium containing either GSK-3 β inhibitor II (10 μ M) or a specific peptide inhibitor of GSK-3 β (50 μ M). The data represent the results from three independent experiments in which apoptosis and active Bax immunoreactivity were quantitated in \sim 300 cells per condition per experiment ($*p < 0.01$ compared with 25K+Ser; $^{\dagger}p < 0.01$ compared with 5K-Ser).

munoprecipitated from HEK293 cells at 24 hr after transfection using a monoclonal antibody to GFP. Equivalent amounts of immunoprecipitated GFP-Bax α were then subjected to *in vitro* kinase assays using purified GSK-3 β in the absence or presence of lithium. A small amount of phosphorylation occurred on GFP-Bax α *in vitro* in the absence of GSK-3 β , and this increased mark-

edly when GSK-3 β was added to the kinase reaction (Fig. 3*B*, top). Autophosphorylation of GSK-3 β was also detected during the kinase reaction (Fig. 3*B*, bottom). Both the autophosphorylation of GSK-3 β and the enhanced phosphorylation of GFP-Bax α were blocked by inclusion of lithium. Furthermore, when GFP-Bax α was immunoprecipitated from HEK293 cells that had been cotransfected with a constitutively active mutant of GSK-3 β (S9A), it was less efficiently phosphorylated by GSK-3 β *in vitro* compared with GFP-Bax α immunoprecipitated from cells cotransfected with empty vector (Fig. 3*C*). This latter result suggests that fewer Bax α sites were available for *in vitro* phosphorylation after previous exposure to constitutively active GSK-3 β S9A. Collectively, these findings indicate that GFP-Bax α is a substrate for GSK-3 β both *in vitro* and in intact cells.

Next, we analyzed the ability of GSK-3 β to phosphorylate several site-directed mutants of GFP-Bax α *in vitro*. When the 32 P incorporation into the fusion protein was normalized to the amount of GFP-Bax immunoprecipitated from transfected HEK293 cells, the addition of GSK-3 β induced an \sim 12-fold increase in the phosphorylation of wild-type GFP-Bax α (Fig. 3*D*, compare lanes 1 and 2). A Ser163Ala mutant of GFP-Bax α was not significantly phosphorylated by GSK-3 β *in vitro*, consistent with Ser163 being a major phosphorylation site (Fig. 3*D*, compare lanes 2 and 3). In contrast, GFP-Bax α mutated at the putative priming site, Thr167Ala, was efficiently phosphorylated by GSK-3 β *in vitro* (Fig. 3*D*, lane 4), whereas the double mutant Ser163Ala/Thr167Ala showed substantially decreased phosphorylation relative to wild-type Bax α (Fig. 3*D*, lane 5). Overall, these data indicate that the species-conserved residue Ser163 of Bax α is a substrate for GSK-3 β -mediated phosphorylation *in vitro*. However, phosphorylation of the putative priming residue Thr167 is apparently not necessary for GSK-3 β to target Ser163 *in vitro*.

Finally, to demonstrate that Ser163 of Bax is a substrate for GSK-3 β *in vivo*, we cotransfected 32 P-orthophosphate-labeled HEK293 cells with wild-type GFP-Bax α , the single Ser163Ala mutant, or the Ser163Ala/Thr167Ala double mutant in combination with either empty vector or constitutively active GSK-3 β S9A. Approximately 16 hr after transfection, the GFP-Bax variants were immunoprecipitated, immune complexes were resolved by SDS-PAGE, and phosphorylation was assessed by autoradiography. Cotransfection with GSK-3 β S9A markedly increased the phosphorylation of wild-type GFP-Bax α by approximately fourfold (Fig. 3*E*, compare lanes 1 and 2). In contrast, coex-

pression with constitutively active GSK-3 β did not enhance ^{32}P incorporation into either the single Ser163Ala mutant or the double mutant of GFP-Bax $_{\alpha}$ (Fig. 3E, lanes 3–6). These data strongly suggest that Ser163 of Bax is a principal substrate for GSK-3 β *in vivo* in intact cells.

Constitutively active GSK-3 β drives GFP-Bax $_{\alpha}$ to mitochondria in transfected HEK293 cells and CGNs

To assess the functional consequences of phosphorylation by GSK-3 β , we examined the subcellular distribution of GFP-Bax $_{\alpha}$ in HEK293 cells cotransfected with either empty vector or HA-tagged, constitutively active GSK-3 β S9A. The majority of cells cotransfected with empty vector showed a GFP-Bax $_{\alpha}$ distribution that was diffuse over the entire cell (Fig. 4A, left; B, top). In contrast, cells cotransfected with constitutively active GSK-3 β S9A showed a marked redistribution of GFP-Bax $_{\alpha}$ to organelles that were structurally identifiable as mitochondria (Fig. 4A, right; B, bottom). These structures were confirmed to be mitochondria by colabeling live HEK293 cells with the mitochondrial marker TMRE. Cells cotransfected with GSK-3 β S9A showed significant colocalization of GFP-Bax $_{\alpha}$ with TMRE-stained mitochondria (Fig. 4C). These data indicate that activation of GSK-3 β is sufficient to trigger localization of Bax to mitochondria in transfected HEK293 cells.

Next, we examined the effects of constitutively active GSK-3 β on the localization of GFP-Bax $_{\alpha}$ and induction of apoptosis in CGNs. As described in Figure 1, CGNs cotransfected with GFP-Bax $_{\alpha}$ and empty vector (maintained in control medium) showed a diffuse distribution of the Bax fusion protein and no nuclear condensation (Fig. 5A, B, left). In contrast, CGNs coexpressing GFP-Bax $_{\alpha}$ and HA-GSK-3 β S9A (maintained in control medium) displayed a punctate localization of the Bax fusion protein and demonstrated significant nuclear condensation and fragmentation (Fig. 5A, B, right). Thus, constitutive activation of GSK-3 β promotes Bax localization to mitochondria and induces CGN apoptosis even in the presence of survival medium.

Ser163 is required for GSK-3 β to induce Bax translocation and apoptosis in HEK293 cells

To confirm that the putative GSK-3 β phosphorylation site Ser163 is necessary for Bax localization to mitochondria, we evaluated the ability of constitutively active GSK-3 β to affect the subcellular distribution of various Bax mutants in cotransfected HEK293 cells. Consistent with its relative

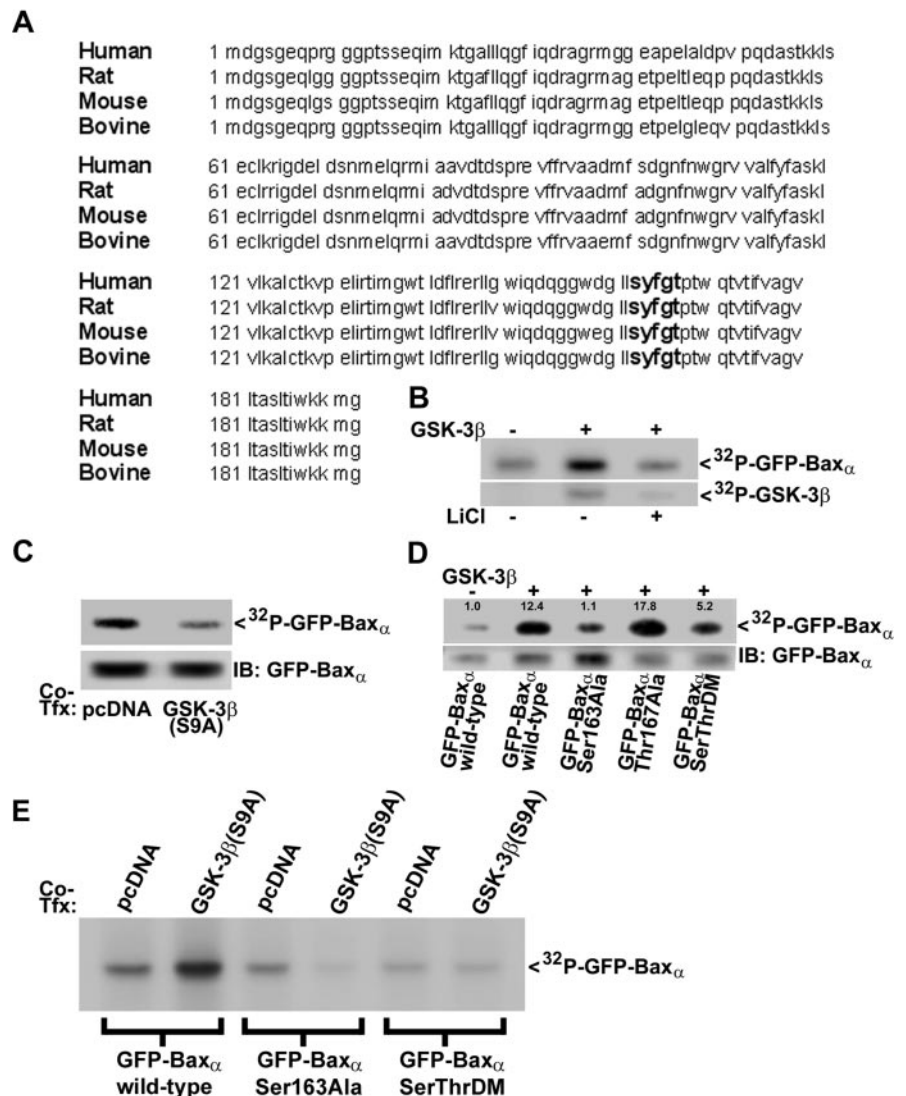


Figure 3. GSK-3 β phosphorylates Bax $_{\alpha}$ on the species-conserved residue Ser163. *A*, Amino acid sequence alignment of Bax $_{\alpha}$ from human (GenBank accession number NP_620116), rat (Q63690), mouse (AAA03622), and bovine (AAC48806). A conserved GSK-3 β consensus phosphorylation motif is highlighted in bold lettering at residues Ser163 to Thr167. *B*, HEK293 cells were transfected with GFP-Bax $_{\alpha}$ as described in Materials and Methods. At 24 hr after transfection, the fusion protein was immunoprecipitated from cell lysates using a GFP monoclonal antibody. Immune complexes were then subjected to *in vitro* kinase assay by incubating for 30 min at 30°C with purified GSK-3 β (50 ng) and [γ - ^{32}P]ATP (10 μCi), in either the absence or the presence of LiCl (20 mM). ^{32}P incorporation into GFP-Bax $_{\alpha}$ (top) and GSK-3 β (bottom) was detected by autoradiography. *C*, GFP-Bax $_{\alpha}$ was immunoprecipitated from HEK293 cells that were cotransfected (Co-Tfx) with either empty vector (pcDNA3.1) or constitutively active GSK-3 β (S9A). Immune complexes were subjected to GSK-3 β *in vitro* kinase assay, and ^{32}P incorporation into GFP-Bax $_{\alpha}$ was detected by autoradiography (top). The membrane was later immunoblotted (IB) for GFP-Bax $_{\alpha}$ using a polyclonal antibody to GFP to demonstrate transfection and immunoprecipitation efficiencies (bottom). *D*, HEK293 cells were transfected with either wild-type GFP-Bax $_{\alpha}$, the site-directed mutants Ser163Ala or Thr167Ala, or the Ser163Ala/Thr167Ala double mutant (SerThrDM). At 24 hr after transfection, the fusion proteins were immunoprecipitated using a GFP monoclonal antibody, and immune complexes were subjected to GSK-3 β *in vitro* kinase assay. The amount of ^{32}P incorporation into each of the fusion proteins (top) was normalized to the densitometric signal of the GFP immunoblot (bottom) to correct for differences in either expression or immunoprecipitation efficiencies. The normalized ratio for wild-type GFP-Bax $_{\alpha}$ in the absence of GSK-3 β was set to 1.0, and all other values are relative to that control. *E*, GFP-Bax $_{\alpha}$ (wild type, the single Ser163Ala mutant, or the Ser163Ala/Thr167Ala double mutant) was immunoprecipitated from ^{32}P -orthophosphate-labeled HEK293 cells that were cotransfected (Co-Tfx) for ~16 hr with either empty vector (pcDNA3.1) or constitutively active GSK-3 β (S9A). Immune complexes were resolved by SDS-PAGE, and ^{32}P incorporation into GFP-Bax $_{\alpha}$ was detected by autoradiography.

inefficiency as a GSK-3 β substrate (Fig. 3D, E), the Ser163Ala/Thr167Ala double mutant of GFP-Bax $_{\alpha}$ failed to localize to mitochondria when cotransfected with GSK-3 β S9A (Fig. 6A). Quantitative analysis of the mitochondrial localization of various

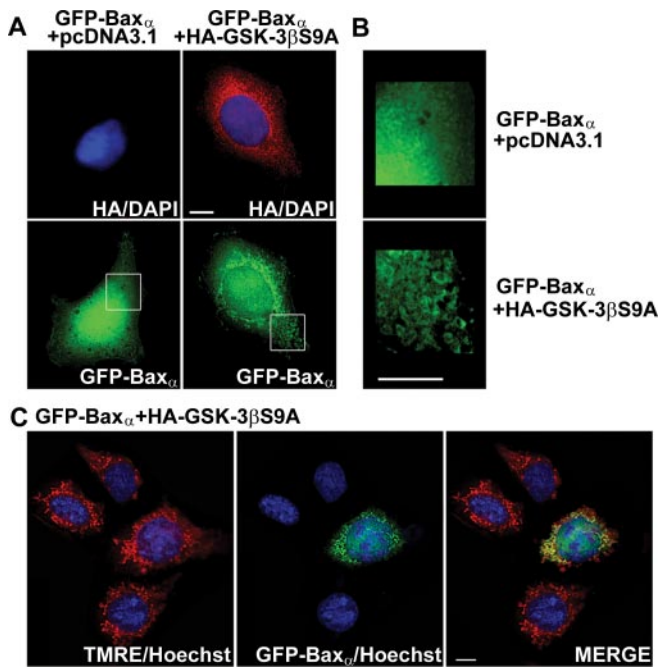


Figure 4. Coexpression of GFP-Bax α with a constitutively active mutant of GSK-3 β (S9A) induces translocation of the Bax fusion protein to mitochondria in transfected HEK293 cells. *A*, HEK293 cells were cotransfected with GFP-Bax α and either empty vector or HA-tagged GSK-3 β S9A. At 24 hr after transfection, cotransfected cells were visualized by staining for the epitope tag using an HA polyclonal antibody and a Cy3-conjugated secondary. *B*, The areas demarcated by the boxes in *A* were enlarged 300% to show fine structure. *C*, HEK293 cells were cotransfected with GFP-Bax α and HA-tagged GSK-3 β S9A. At 24 hr after transfection, cells were incubated for 30 min with Hoechst dye (to stain nuclei) and TMRE to label mitochondria. Live cells were then visualized with a 63 \times water immersion objective, and digitally deconvolved images were obtained using an Axioplan 2 microscope (Zeiss) equipped with a Cooke Sensicam deep-cooled CCD camera and a Slidebook software analysis program for digital deconvolution (Intelligent Imaging Innovations). Cotransfection with GSK-3 β S9A induced a marked redistribution of GFP-Bax α that showed significant colocalization with the mitochondrial marker TMRE. The images shown are representative of data obtained in two independent experiments. Scale bars, 10 μ m.

GFP-Bax α mutants is shown in Figure 6*B*. Cotransfection of wild-type GFP-Bax α with GSK-3 β S9A induced a statistically significant increase in the mitochondrial localization of the fusion protein. In contrast, the single alanine substitution mutants at Ser163 and Thr167, as well as the Ser163/Thr167 double Ala mutant, were predominantly cytosolic regardless of the presence of GSK-3 β S9A.

The above results parallel the phosphorylation data shown in Figure 3*D*, except for the single Thr167Ala mutant that was phosphorylated by GSK-3 β *in vitro* but failed to localize to mitochondria in intact cells. This apparent discrepancy may reflect a physiological necessity for priming in order for Bax to be phosphorylated by GSK-3 β *in vivo*. The high concentration of GSK-3 β added to the *in vitro* kinase reaction most likely circumvented this requirement. Alternatively, it is possible that an additional docking site for GSK-3 β exists on Bax distinct from the phosphorylated threonine at position (+4) relative to Ser163 (Biondi and Nebreda, 2003).

Additional evidence implicating Ser163 as a critical residue in the regulation of Bax function was acquired from analysis of the various Bax isoforms (Oltvai et al., 1993). In particular, a recently described isoform, human Bax σ (Bax σ) (Schmitt et al., 2000), is nearly identical to Bax α , except it lacks residues 159–171, which

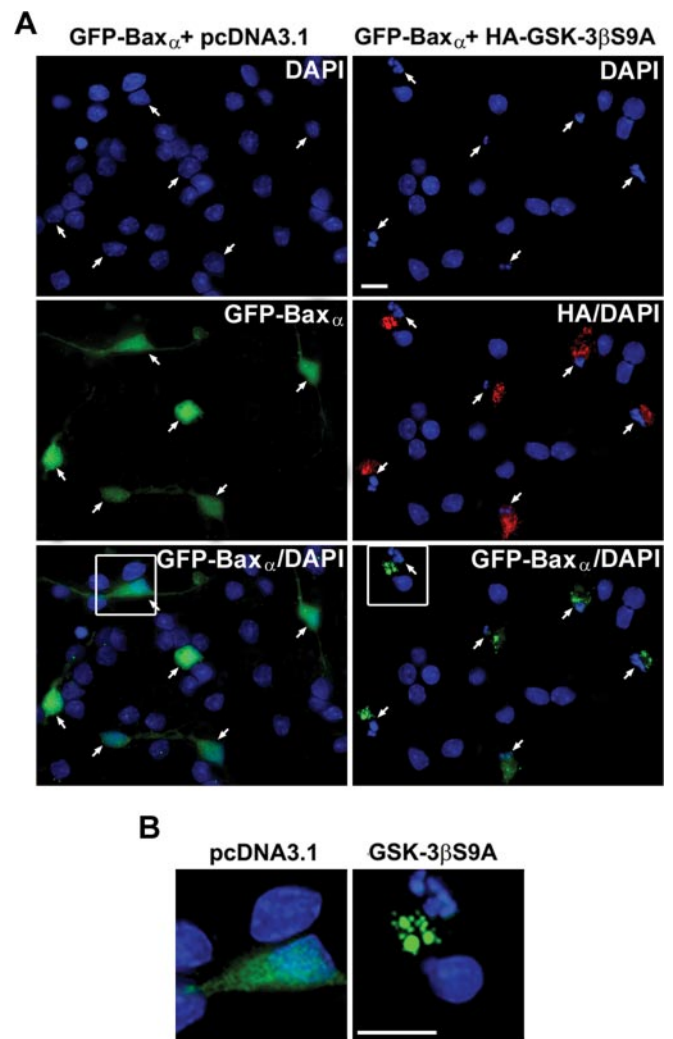


Figure 5. Cotransfection of CGNs with GFP-Bax α and a constitutively active mutant of GSK-3 β (S9A) induces translocation of the Bax fusion protein to mitochondria and triggers CGN apoptosis. *A*, CGNs were cotransfected with GFP-Bax α and either empty vector or HA-tagged GSK-3 β S9A using the Helios Gene-Gun system, as described in Materials and Methods. At 48 hr after transfection, cotransfected cells were maintained in control medium and were visualized by staining for the epitope tag using an HA polyclonal antibody and a Cy3-conjugated secondary antibody. The images shown are composites of four to six fields captured with a 63 \times oil objective to give a representative view of the localization of the Bax fusion protein in the absence or presence of constitutively active GSK-3 β . *B*, The areas demarcated by the boxes in *A* were enlarged 300% to show fine structure. Scale bars, 10 μ m.

encompass the putative GSK-3 β -regulated site Ser163 (Fig. 6*C*). Like the Ser163Ala point mutant of Bax α , HEK293 cells cotransfected with GFP-Bax σ and HA-GSK-3 β S9A demonstrated a diffuse localization of the Bax fusion protein with little accumulation in mitochondria (Fig. 6*B, C*).

Finally, in agreement with the mitochondrial localization of Bax triggering the intrinsic death pathway, the amount of apoptosis induced in transfected HEK293 cells reflected the ability of GSK-3 β S9A to drive wild-type Bax α , but not the Ser163Ala Bax α single mutant, the Ser163Ala/Thr167Ala Bax α double mutant, or Bax σ , to mitochondria (Fig. 6*D*). These data indicate that phosphorylation of Ser163 on Bax by GSK-3 β promotes the localization of Bax to mitochondria and induction of apoptosis in transfected HEK293 cells.

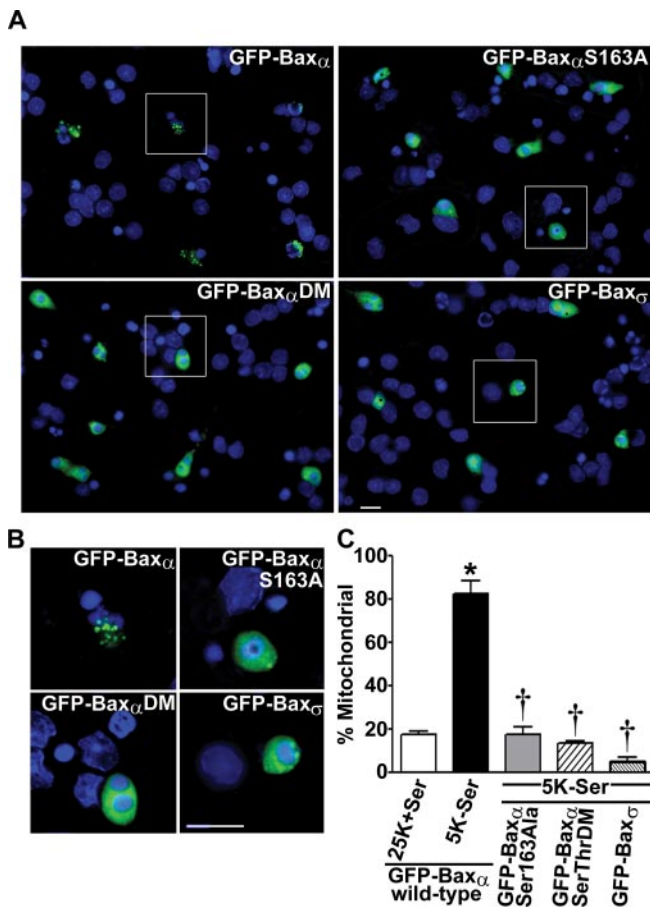


Figure 7. Bax variants lacking Ser163 fail to localize to mitochondria in CGNs undergoing apoptosis. *A*, CGNs were transfected using the Helios Gene-Gun with wild-type GFP-Bax α , the GFP-Bax α Ser163Ala single mutant or the Ser163Ala/Thr167Ala double mutant (DM), or GFP-Bax γ . At 48 hr after transfection, neurons were switched to apoptotic medium (5K-Ser) for 4 hr. CGNs were then fixed, and nuclei were stained with Hoechst dye. The images shown are composites of four to six fields captured with a 63 \times oil objective to give a representative view of the localization of the various fusion proteins. *B*, The regions demarcated by the boxes in *A* were enlarged 250% to magnify the fusion protein distributions and nuclear morphologies. *C*, Quantitation of the fraction of transfected CGNs showing a mitochondrial distribution of the GFP-Bax variants [wild-type α , Ser163Ala α , Ser163Ala/Thr167Ala α (SerThrDM), and Bax γ] after incubation in either control or apoptotic medium. Data represent the means \pm SEM of at least three separate experiments with each Bax variant in which \sim 200 transfected CGNs were counted per experiment. * $p < 0.01$ compared with wild-type α incubated in control medium; † $p < 0.01$ compared with GFP-Bax α (wild type) in apoptotic medium. Scale bars: *A*, *B*, 10 μ m.

Our finding that Bax is a direct target of GSK-3 β complements a recent study by Watcharasil et al. (2003) showing that GSK-3 β indirectly stimulates the transcription of Bax via regulation of p53 activity. Collectively, these results suggest that GSK-3 β modulates Bax expression and function at the transcriptional and posttranslational levels, respectively, to promote mitochondrial apoptosis.

Where and how GSK-3 β and Bax interact in neurons remains to be investigated. Previous studies examining the interactions of GSK-3 β with β -catenin have revealed that the kinase is brought in close proximity to its substrate by their interactions with the axin–adenomatous polyposis coli scaffold complex (Hart et al., 1998). Similarly, GSK-3 β and tau interact via their common association with cytosolic scaffolding proteins of the 14-3-3 family (Agarwal-Mawal et al., 2003). Because cytosolic Bax was shown recently to interact with 14-3-3 proteins (Nomura et al., 2003), it

is possible that 14-3-3 scaffolds also mediate the interaction of GSK-3 β with Bax.

The current finding that GSK-3 β phosphorylates and regulates Bax function impacts on several recent reports. First, activation of AKT was reported to inhibit Bax conformational change in B-cells subjected to cytokine withdrawal (Yamaguchi and Wang, 2001) and Bax translocation to mitochondria in COS-1 cells incubated with staurosporine (Tsuruta et al., 2002). Although there is no consensus AKT phosphorylation site on Bax, Gardai et al. (2004) recently reported that Bax is phosphorylated in neutrophils on the C-terminal Ser184 in an AKT-dependent manner. Furthermore, they suggest that this phosphorylation event inhibits the pro-apoptotic action of Bax in neutrophils. Our results indicate that the ability of AKT to modulate Bax conformation and movement in these non-neuronal cells may also involve regulation of GSK-3 β activity. Second, Somerville et al. (2001) reported that lithium prevents the conformational change in Bax in human erythroid progenitor cells deprived of growth factors. Although they related this effect to inhibition of GSK-3 β activity, these authors did not show that Bax is a direct substrate of GSK-3 β . Given the coincident activation of Bax and GSK-3 β in many models of apoptosis, it is likely that the interaction of these two molecules acts as a common trigger for activation of the intrinsic death pathway.

Precisely how phosphorylation of Bax by GSK-3 β stimulates Bax movement to mitochondria will require further investigation. There are several potential events that could be influenced by Bax phosphorylation. The phosphorylation may alter the conformation of Bax and facilitate its ability to oligomerize or to incorporate into the outer mitochondrial membrane. Indeed, we found that peptide and non-peptide inhibitors of GSK-3 β activity effectively blocked the conformational change of endogenous Bax induced by trophic factor deprivation in CGNs. Alternatively, phosphorylation may decrease the affinity of Bax for cytosolic anchoring proteins like 14-3-3. Conversely, the affinity of Bax for mitochondrial membrane proteins may be enhanced by phosphorylation, thus promoting its targeting to mitochondria. Additional elucidation of the mechanism by which GSK-3 β -mediated phosphorylation regulates Bax function may reveal novel targets for inhibiting neuronal apoptosis.

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