

Induction of Dendritic Spines by $\beta 2$ -Containing Nicotinic Receptors

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Glutamatergic synapses are located mostly on dendritic spines in the adult nervous system. The spines serve as postsynaptic compartments, containing components that mediate and control the synaptic signal. Early in development, when glutamatergic synapses are initially forming, waves of excitatory activity pass through many parts of the nervous system and are driven in part by a class of heteropentameric $\beta 2$ -containing nicotinic acetylcholine receptors ($\beta 2^*$ -nAChRs). These $\beta 2^*$ -nAChRs are widely distributed and, when activated, can depolarize the membrane and elevate intracellular calcium levels in neurons. We show here that $\beta 2^*$ -nAChRs are essential for acquisition of normal numbers of dendritic spines during development. Mice constitutively lacking the $\beta 2$ -nAChR gene have fewer dendritic spines than do age-matched wild-type mice at all times examined. Activation of $\beta 2^*$ -nAChRs by nicotine either *in vivo* or in organotypic slice culture quickly elevates the number of spines. RNA interference studies both *in vivo* and in organotypic culture demonstrate that the $\beta 2^*$ -nAChRs act in a cell-autonomous manner to increase the number of spines. The increase depends on intracellular calcium and activation of calcium, calmodulin-dependent protein kinase II. Absence of $\beta 2^*$ -nAChRs *in vivo* causes a disproportionate number of glutamatergic synapses to be localized on dendritic shafts, rather than on spines as occurs in wild type. This shift in synapse location is found both in the hippocampus and cortex, indicating the breadth of the effect. Because spine synapses differ from shaft synapses in their signaling capabilities, the shift observed is likely to have significant consequences for network function.

Introduction

Dendritic spines provide critical postsynaptic compartments for excitatory glutamatergic transmission in the mammalian brain. The spines facilitate throughput of the excitatory signal to the dendritic trunk, while at the same time constraining features, such as local calcium influx, to mediate synapse-specific effects (Fiala et al., 1998; Yuste et al., 1999; Sheng and Hoogenraad, 2007; Yuste, 2011). Numerous molecular mechanisms have been shown to promote the formation, localization, and stabilization of dendritic spines (Tolias et al., 2005; Xie et al., 2007; Lai and Ip, 2009; Tran et al., 2009; Han et al., 2010; Misra et al., 2010). Recently, it has been reported that adult mice lacking a major class of nicotinic acetylcholine receptors (nAChRs), namely $\beta 2$ -containing heteropentameric receptors ($\beta 2^*$ -nAChRs), have altered numbers of dendritic spines in a variety of cortical regions

in brain (Ballesteros-Yáñez et al., 2010). How, when, and where $\beta 2^*$ -nAChRs exert these effects are unknown.

Spine synapses form most rapidly and reach adult levels along dendrites during the first 2 weeks of postnatal life (Fiala et al., 1998; Hennou et al., 2002). This is a time when nAChRs, including $\beta 2^*$ -nAChRs, reach their highest relative levels (Zhang et al., 1998; Adams et al., 2002) and help drive spontaneous waves of excitation through many regions of the CNS (Bansal et al., 2000; Hanson and Landmesser, 2003; Le Magueresse et al., 2006). The ability of $\beta 2^*$ -nAChRs to elevate calcium in neurons provides a possible mechanism for influencing a variety of features, including spine formation (Dajas-Bailador and Wonnacott, 2004; Albuquerque et al., 2009).

We show here that activation of $\beta 2^*$ -nAChRs by nicotine quickly induces dendritic spines in a cell-autonomous manner. The induction is calcium dependent and requires functional calcium, calmodulin-dependent protein kinase II (CaMKII). Mice constitutively lacking the $\beta 2$ -nAChR gene ($\beta 2$ KO mice) still form substantial numbers of glutamatergic synapses but acquire an abnormally high proportion of them on dendritic shafts. The spine deficit and corresponding increase in shaft synapses occurs both in the hippocampus and in the cortex, demonstrating the breadth of the effect. Moreover, the changes persist into the adult, indicating that the animal is unable to compensate for the deficit in spine number resulting from the absence of $\beta 2^*$ -nAChR signaling. This shift in the distribution of synapses would be expected to have significant effects on network signaling and

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synaptic plasticity and may account in part for behavioral deficits found in $\beta 2$ KO mice (Picciotto et al., 1995; Levin et al., 2009; Wang et al., 2009).

Materials and Methods

General methods. Origin of mouse mutant lines, preparation of mouse hippocampal slice cultures and rat hippocampal cell cultures, viral injections, perfusion-fixation to obtain tissue slices, immunohistochemistry, and production and use of short-hairpin RNA (shRNA) constructs for RNA interference (RNAi) were performed as described previously (Lozada et al., 2012). For perfusion-fixation, animals were anesthetized and perfused either with ice-cold or with room-temperature 4% paraformaldehyde; postfixation was performed with 4% paraformaldehyde for 1–2 h. Sindbis viral injection (generous gift from G. Patrick, University of California, San Diego, La Jolla, CA) was performed only 18 h before perfusion-fixation in all cases. For analysis at postnatal day 4 (P4) and P12, the sindbis injections were performed as described previously (Lozada et al., 2012). For analysis at P25 and P40, mice were placed in a Kopf 1430 stereotaxic frame (David Kopf Instruments) and given injections with a microsyringe (5 μ l at a rate of 1 μ l per minute; Hamilton Company) at the following coordinates: anteroposterior, -2.3 ; lateral, ± 1.5 ; DV, 1.4 . Animals of either sex were used in all experiments.

Fluorescence imaging. Fluorescence images from brain slices and slice cultures were obtained with an SP5 confocal microscope (Leica) and analyzed by ImageJ. Images from cell and slice cultures were obtained with an Axiovert S100 TV microscope (Zeiss) and Slidebook software (Intelligent Imaging Innovations) applied to vertical stacks of contiguous optical sections. Immunostaining, collection of images for slices and cell culture, and subsequent analyses were performed as described previously (Lozada et al., 2012). A mouse anti-drebrin antibody (1:500; clone M2F6; Medical and Biological Laboratories), detected with a donkey anti-mouse antibody (1:500; FITC; Jackson ImmunoResearch Laboratories), was used as an additional method to quantify spines in cell culture. To visualize spines in slice cultures and in hippocampal and cortical slices from perfusion-fixed animals, green fluorescent protein (GFP) was introduced *in vivo* via lenti- or sindbis-viral constructs. Results were equivalent in the two cases and therefore combined. Some experiments used Thy-1M-GFP mice, which express GFP in hippocampal pyramidal neurons. For CA1 pyramidal neurons in slices, spine counts were performed on the medial portion of the apical dendrite (40–100 μ m from the cell body); for layer 5/6 pyramidal neurons in the visual cortex, spine counts were performed on apical dendrites traversing layer 5. In cell culture, spines were counted along 10–20 μ m sections of primary and secondary apical dendrites, avoiding areas within 50 μ m of the soma. Spine identity and linkage to dendrite were confirmed by systematic analysis of vertical stacks composed of 20–30 optical sections taken at 0.5 μ m intervals. Absolute values for spine density varied with temperature of fixation (Kirov et al., 2004), but all experiments included controls and experimental preparations, fixed, processed, and imaged in the same way so that the results could be directly compared within the experiment. Labeled puncta on spines and shafts were defined as areas containing at least four contiguous pixels with staining above background. Backgrounds were set at 3 SDs above the mean staining intensity of six randomly selected regions. Puncta touching both a shaft and a spine were assigned a spine location. Colocalization of two kinds of puncta required that they have at least 1 pixel in common as revealed by ImageJ applied to the three collapsed optical sections for slice images or as revealed by Slidebook for cell culture images. Quantifications were performed blind to condition.

FM4-64 labeling. FM4-64 staining was performed in cell culture after successive washes to remove nicotine, incubating 1 h in 50 nM methyllycaconitine (MLA) to block $\alpha 7$ -nAChRs and 1 μ M dihydro- β -erythroidine (DH β E) to block $\beta 2^*$ -nAChRs and prevent any residual nicotinic activity, and then staining with DiI and incubating 60 s with 5 μ M FM4-64 in the absence or presence of 50 mM KCl before rinsing and imaging as described previously (Pyle et al., 1999; Lozada et al., 2012). Alternatively, spines were visualized in culture by infecting cells with lenti-GFP 5 d before analysis. Counts of FM4-64-labeled puncta along 20 μ m segments of dendrites and spines were performed blind to condition.

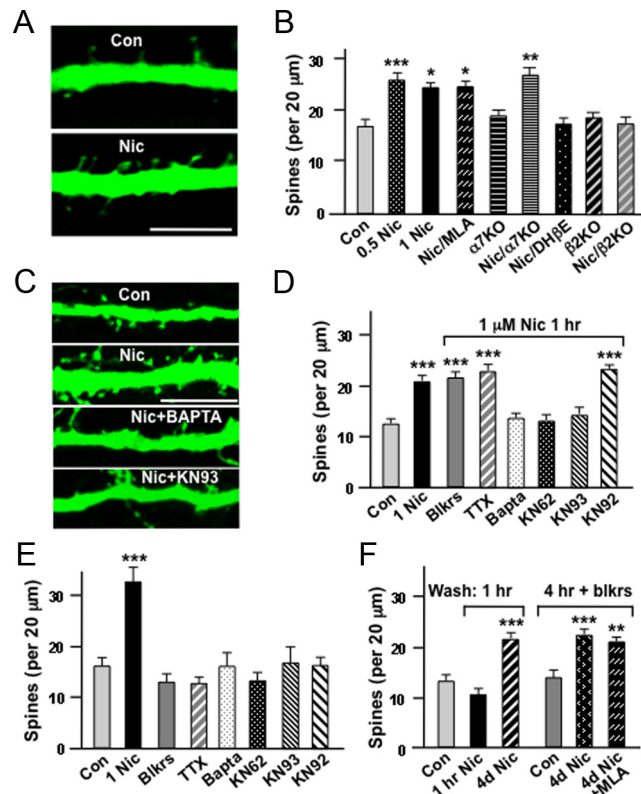


Figure 1. Nicotinic stimulation of $\beta 2^*$ -nAChRs increases dendritic spine numbers on pyramidal neurons. **A**, P0–P1 mouse hippocampal slices in culture 5 d with the indicated compounds for the last 4 d, infected with sindbis–GFP the last 10 h before fixation, and imaged. Scale bar, 5 μ m. **B**, Quantification of spines. Con, Control, no additives; Nic, nicotine, 0.5 or 1 μ M; MLA, 50 nM MLA (block $\alpha 7$ -nAChRs); DH β E, 10 μ M DH β E (block $\beta 2^*$ -nAChRs); $\alpha 7$ KO, $\alpha 7$ KO slices instead of wild types; $\beta 2$ KO, $\beta 2$ KO slices instead of wild types. **C**, Slices as in **A** but treated with the indicated compounds only for the last hour before imaging. **D**, Quantification of spines after the 1 h treatment with the indicated compounds. Nic, 1 μ M nicotine; Blkrs, 50 μ M APV, 20 μ M NBQX, 20 μ M gabazine, 0.5 mM phaclofen, and 250 μ M (RS)-MCPG for NMDA, AMPA, GABA_A, GABA_B, and metabotropic glutamate receptors, respectively; TTX, 1 μ M TTX; Bapta, 100 μ M BAPTA-AM; KN62, 10 μ M KN62; KN93, 10 μ M; KN92, 10 μ M; Con, no additives. **E**, Absence of effects on spine number by the indicated antagonists applied for 1 h as in **D** but in the absence of nicotine, compared with control and nicotine. **F**, Reversibility: incubation with 1 μ M nicotine for the last hour (1 h) or for 4 d (4d) in the absence or presence of MLA, then washed 1 h (1 h) or 4 h in MLA and DH β E (4 h plus blkrs) before counting spines (3–5 cells per culture; 6 cultures per condition).

Puncta abutting any portion of a spine were scored as spine-like synapses; puncta contacting the surface of a dendritic shaft but not a spine were scored as dendritic shaft synapses. Puncta lying centrally over a dendritic shaft were not scored.

Statistical analysis. Data represent means \pm SEMs. Unless otherwise indicated, statistical significance was assessed with the Student's *t* test for unpaired values and with one-way ANOVA followed by the Bonferroni *post hoc* test for multiple values. **p* \leq 0.05; ***p* \leq 0.01; ****p* \leq 0.001.

Results

Nicotinic induction of dendritic spines via $\beta 2^*$ -nAChRs

To test the effects of nicotinic activity on spine number, we first used organotypic cultures prepared from mouse hippocampus. Slices obtained at P0–P1 were maintained in culture for 5 d, with drugs being applied for the last 4 d. This corresponds to a time *in vivo* when pyramidal neurons in the hippocampus begin to generate substantial numbers of dendritic spines (Fiala et al., 1998). The cultures had little, if any, endogenous cholinergic input because they lacked the septum, which provides most, if not all, of the cholinergic innervation of the hippocampus *in vivo* (Lewis et

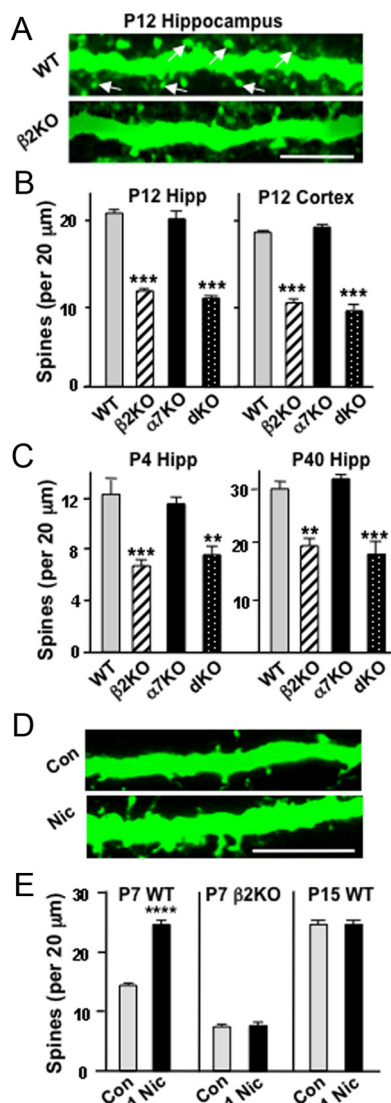


Figure 2. Role of $\beta 2^*$ -nAChRs in supporting dendritic spines *in vivo*. **A**, Images showing dendrites of cells labeled by intracranially injecting lenti-GFP into WT (top) or $\beta 2\text{KO}$ (bottom) mice on P1–P2 and imaging fixed slices on P12 (arrows indicate examples of spines). Scale bar, 5 μm . **B**, Spine counts per 20 μm dendritic length of WT and KO pyramidal neurons in the CA1 (left, hippocampus) and layer 5/6 pyramidal neurons in the visual cortex (right, cortex). **C**, Spine counts along sindbis-GFP dendrites of CA1 pyramidal neurons at P4 or P40 (5–10 cells per animal; 3–5 animals per genotype). **D**, Images of dendrites from P7 Thy-1M-GFP mouse pups, which received stereotaxic intracranial hippocampal injections of PBS (Con) or 1 μM nicotine (Nic) and were perfusion fixed 1 h later. Scale bar, 10 μm . **E**, Quantification of spine numbers on CA1 pyramidal neuron apical dendrites for P7 WT Thy-1M-GFP, P7 $\beta 2\text{KO}$ (sindbis-GFP-labeled), and P15 WT Thy-1M-GFP mice given injections of nicotine ($n = 3$ –5 animals, 4 cells per animal). Hipp, Hippocampus.

al., 1967). Cultures were treated with low levels of nicotine (0.5–1 μM), concentrations equivalent to peak serum levels in smokers (Matta et al., 2007). After 4–6 d, the slices were infected with sindbis-GFP to visualize spines and analyzed 10 h later. Quantification indicated a significant increase in pyramidal spines in the CA1 region (Fig. 1*A,B*). The increase did not require activation of the other major class of nAChRs, homopentamers containing $\alpha 7$ subunits ($\alpha 7$ -nAChRs); the specific $\alpha 7$ -nAChR antagonist MLA had no effect, and slices from mice lacking $\alpha 7$ -nAChRs ($\alpha 7\text{KO}$ mice) responded to nicotine in full. The increase did depend on $\beta 2^*$ -nAChRs, however, because the effect was blocked by DH β E, and nicotine could not increase the number of spines

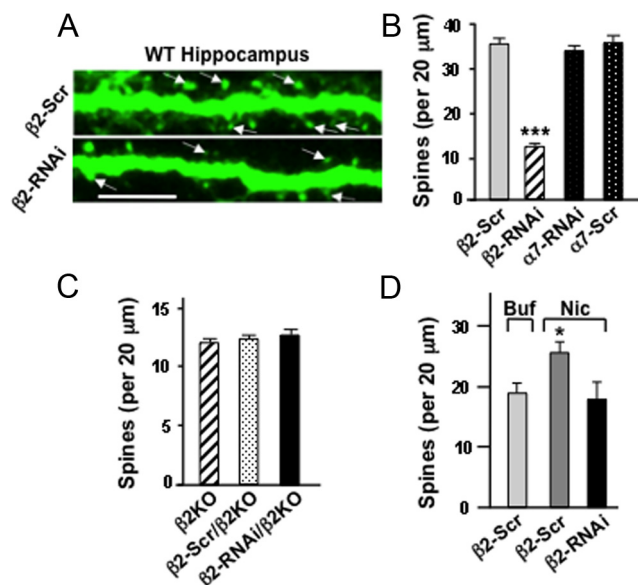


Figure 3. RNAi demonstration that $\beta 2^*$ -nAChRs act cell-autonomously to elevate spine numbers. **A**, Labeled dendrites of cells expressing either $\beta 2$ -Scr (top) or $\beta 2$ -RNAi (bottom), injected intracranially on P1–P2 and imaged on P12 (arrows, spine examples). Scale bar, 10 μm . **B**, Spine counts per 20 μm dendrite of CA1 pyramidal neurons expressing the indicated RNAi constructs ($n = 3$ –8 animals, 4–16 neurons per animal). **C**, Quantification of spines per dendritic length in $\beta 2\text{KO}$ s expressing the indicated construct. **D**, P4 slices infected with viral vectors expressing $\beta 2$ -RNAi or scRNAi and maintained for 7 d in culture before treating 1 h with 1 μM nicotine (Nic) or buffer (Buf) and quantifying spines (3–5 cells per culture; 6 cultures per condition).

in $\beta 2\text{KO}$ slices. [The finding that spine numbers in $\beta 2\text{KO}$ slices were no lower than those in the untreated control wild-type (WT) slices is consistent with these organotypic cultures having little, if any, endogenous nicotinic cholinergic activity.] Morphological analysis of the projections using previously described criteria (Tyler and Pozzo-Miller, 2003) indicated that the nicotinic effect was confined to spines, principally type III spines that displayed a $260 \pm 30\%$ increase ($p \leq 0.05$; $n = 12$ cells, 3 cultures per condition) compared with controls. A nominal increase was seen in type I spines; no change occurred in type II spines or filopodia. The results clearly indicate that stimulation of $\beta 2^*$ -nAChRs, but not $\alpha 7$ -nAChRs, increases spine numbers.

The nicotinic effect was rapid and prominent for developing neurons. Incubating the slice cultures with 1 μM nicotine for only the last hour of the culture period induced the full increase in spine numbers (Fig. 1*C,D*). The increase was not prevented by a battery of antagonists for ionotropic and metabotropic glutamate and GABA receptors or by tetrodotoxin (TTX) to block activity. It was blocked, however, by preincubating the slices with BAPTA-AM, indicating that intracellular calcium was required. Furthermore, CaMKII seemed to be the target of the calcium signaling because the specific CaMKII blockers KN62 and KN93 (but not the inactive analog KN92) each prevented the increase (Fig. 1*D*). The blockers alone had no effect on control levels of spines (Fig. 1*E*). The initial effect was reversible: when slices were treated with nicotine for 1 h and then rinsed for 1 hour, the increase in spine formation was abolished (Fig. 1*F*). When slices were treated with nicotine for 4 d, however, increased spine numbers remained 4 h after the nicotine was replaced with nAChR blockers. As in the case of the initial increase, this multihour stabilization of the increment was unaffected by MLA, indicating that $\alpha 7$ -nAChR activation was not required.

Endogenous actions of $\beta 2^*$ -nAChRs to drive spine formation *in vivo*

To determine whether endogenous nicotinic cholinergic signaling through $\beta 2^*$ -nAChRs contributes to spine numbers *in vivo*, we compared spine numbers in WT mice with those in $\beta 2$ KOs, mice lacking the $\alpha 7$ -nAChR gene ($\alpha 7$ KOs), and mice lacking both $\beta 2$ - and $\alpha 7$ -nAChR genes [double knockouts (dKOs)]. We visualized spines by intracranially injecting viral constructs expressing GFP. Spine numbers were quantified per unit length dendrite. We found that dendritic spines on pyramidal neurons both in the hippocampal CA1 region and layer 5/6 of the visual cortex were substantially reduced in number at P12 in $\beta 2$ KOs and dKOs compared with wild types; $\alpha 7$ KOs, in contrast, were indistinguishable from wild types in this respect (Fig. 2*A,B*). Similar results were obtained when the labeling was achieved either by injecting a lentiviral construct (lenti-GFP) at P1 and examining it at P12, or by injecting a sindbis viral construct (sindbis-GFP) the night before brain fixation. The spine decrements could be detected as early as P4 and persisted as long as P40, the oldest time analyzed (Fig. 2*C*). The results demonstrate that the $\beta 2$ -nAChR gene product is necessary to generate and/or maintain normal numbers of dendritic spines and that compensation does not occur over this time frame despite the constitutive nature of the KO.

To test whether exogenous nicotine can elevate the number of spines *in vivo* over and above that produced by endogenous cholinergic input, we stereotactically injected nicotine or vehicle directly into the hippocampus of P7 Thy-1M-GFP mouse pups, which express GFP in CA1 pyramidal neurons (Feng et al., 2000). One hour after receiving 1 μ M nicotine, animals were perfusion fixed, and hippocampal slices were analyzed for spine incidence (Fig. 2*D*). The nicotine treatment caused a significant increase in the number of dendritic spines on CA1 pyramidal neurons in Thy-1M-GFP mice (Fig. 2*E*). No increase was observed with P7 $\beta 2$ KO mice compared with WT littermates (labeled by sindbis-GFP injection), consistent with the $\beta 2$ -nAChR gene being required for the nicotinic effect. No increase was seen with P15 Thy-1M-GFP mouse pups, although dye coinjection confirmed nicotine delivery to the appropriate location in all cases. The ability of nicotine to enhance spine numbers over and above that produced by endogenous nicotinic cholinergic activity may be confined to early postnatal times when neurons are most actively engaged in generating dendritic spines and forming synapses *de novo* (Fiala et al., 1998; Hennou et al., 2002).

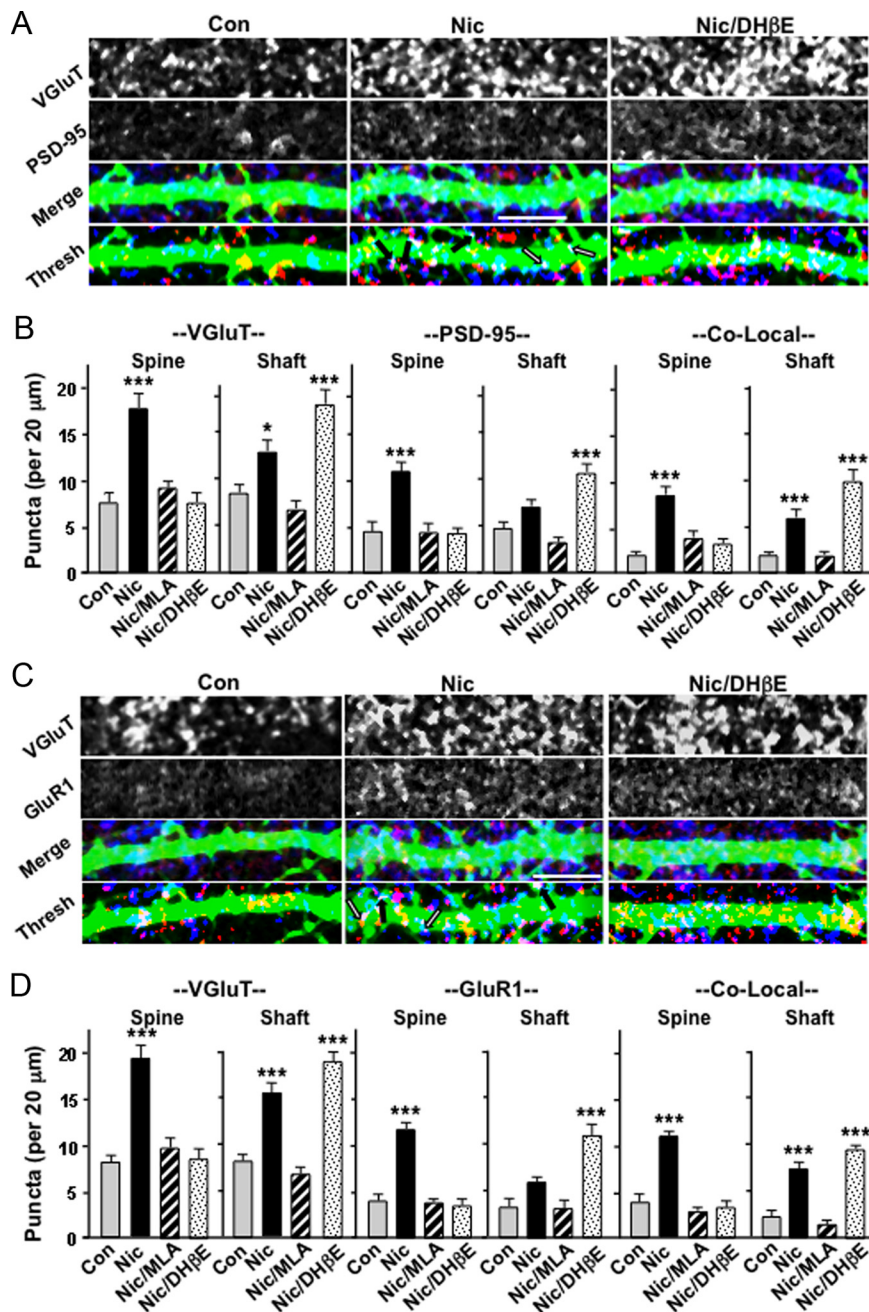


Figure 4. In the absence of functional $\beta 2^*$ -nAChRs, nicotine-induced glutamatergic synapse formation forces more synapses to be located on dendritic shafts. **A**, Sindbis-GFP-labeled dendrites (green) of neurons in the CA1 region of P0–P1 hippocampal slices maintained in culture for 5 d and treated with the indicated compounds for the last 4 d, before immunostaining for VGLUT (blue) and PSD-95 (red). Images are shown individually (VGLUT, PSD-95), merged (Merge), and thresholded (Threshold); arrows indicate examples of colocalizations on dendritic spines (black) and shafts (white). **B**, Quantification of VGLUT (left) and PSD-95 (middle) and colocalization of puncta (right) on dendritic spines (Spine) and shafts (Shaft). **C**, Images collected and shown as in **A** but immunostained for GluR1 (red) instead of PSD-95. **D**, Quantification of the puncta as in **B** (3–5 cells per culture; 6 cultures per condition). Scale bars, 10 μ m. Con, Control; Nic, nicotine.

RNAi demonstration of cell-autonomous $\beta 2^*$ -nAChR actions

To determine whether the $\beta 2^*$ -nAChR requirement is cell autonomous, we used RNAi using shRNAs. Mice were given injections intracranially on P1–P2 with lentiviral constructs (Adesnik et al., 2008) encoding shRNAs specific for mouse $\beta 2$ -nAChR transcripts ($\beta 2$ -RNAi) or a scrambled control RNA ($\beta 2$ -Scr) having the same composition but different sequence (Avalle et al., 2008). We also included shRNAs for mouse $\alpha 7$ -nAChR transcripts ($\alpha 7$ -RNAi) and a scrambled sequence control ($\alpha 7$ -Scr) and per-

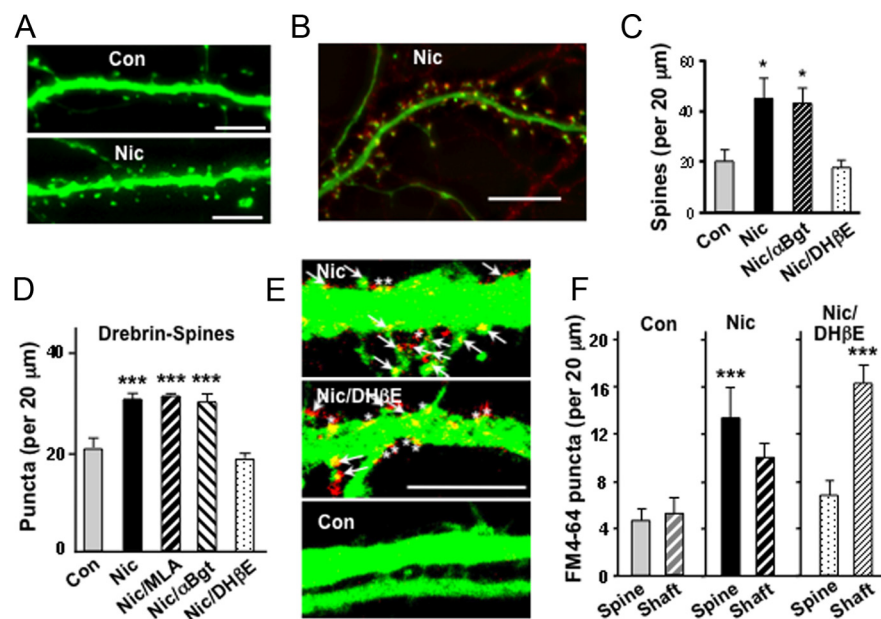


Figure 5. Nicotinic stimulation in cell culture in the absence of functional $\beta 2^*$ -nAChRs increases the number of functional glutamatergic synapses that form on dendritic shafts, as opposed to dendritic spines. **A**, Neurites of rat hippocampal neurons transfected to express GFP and incubated with (Nic) or without (Con) $1 \mu\text{M}$ nicotine for 20 d and imaged. **B**, Immunostaining cultures for PSD-95 (red) overlaid with GFP (green) in a nicotine-treated culture as in **A**. **C**, Spine counts on control cells or cells treated with nicotine, 100 nM αBgt , and $10 \mu\text{M}$ $\text{DH}\beta\text{E}$. **D**, Quantification of spines in cultures grown 10 d with the indicated compounds present for the last 7 d. Drebrin immunostaining was used to visualize spines. Drug concentrations are as in **C**; 50 nM MLA. **E**, Confocal images of FM4-64-labeled neurons (red) expressing lenti-GFP (green). Arrows and asterisks indicate examples of FM4-64 puncta on spines and dendritic shafts, respectively (puncta lying centrally over shafts were not scored). **F**, Quantification of FM4-64 puncta on spines (Spine) and shafts (Shaft) for the conditions indicated, scored as in **E** (3–5 cultures; 5 cells per culture). Scale bars: **A**, **E**, $5 \mu\text{m}$; **B**, $10 \mu\text{m}$. Con, Control; Nic, nicotine.

formed immunostaining at P12. Expression of $\beta 2$ -RNAi substantially reduced the number of dendritic spines on apical dendrites of pyramidal neurons in the hippocampal CA1 region (Fig. 3*A,B*). No decrement was caused by the $\alpha 7$ -RNAi construct compared with scrambled controls. Both the $\alpha 7$ -RNAi and $\beta 2$ -RNAi constructs have previously been shown to be effective (Avale et al., 2008; Campbell et al., 2010). The $\beta 2$ -RNAi was specific in that it produced no off-target effects as judged by expression in the cognate KO (Fig. 3*C*).

Similar results were obtained with nicotine treatment in slice culture. The $\beta 2$ -RNAi construct prevented the nicotine-induced increase in spine number, reducing spine levels to those seen in controls expressing $\beta 2$ -Scr and receiving vehicle instead of nicotine (Fig. 3*D*). The results demonstrate that $\beta 2^*$ -nAChRs act in a cell-autonomous manner to increase spine number.

Blockade of $\beta 2^*$ -nAChRs causes shift in synaptic location

The reduced numbers of dendritic spines seen in $\beta 2$ KOs and in nicotine-treated cultures when $\beta 2^*$ -nAChRs are blocked strongly suggest that fewer spine synapses will be present under these conditions. Both the incidence of spontaneous synaptic activity and the number of glutamatergic synapses revealed by immunostaining, however, previously failed to show any decrements in $\beta 2$ KOs or in nicotine-treated cultures when $\beta 2^*$ -nAChRs were pharmacologically inhibited (Lozada et al., 2012). These results raised the possibility that in the absence of $\beta 2^*$ -nAChR signaling, neurons accept additional glutamatergic synapses onto their dendritic shafts, thereby compensating at least partially for a decrement in spines.

To test this possibility, we first examined mouse hippocampal slices in organotypic culture, distinguishing glutamatergic shaft

versus spine synapses. Nicotine treatment increased the number of glutamatergic synapses both on spines and on shafts, as judged either by the increased numbers of VGluT and PSD-95 colocalized puncta (Fig. 4*A,B*) or by the similar increases for VGluT and GluR1 puncta (Fig. 4*C,D*). Immunostaining for presynaptic and postsynaptic markers in this manner has frequently been used to assess the number of glutamatergic synapses (Christopherson et al., 2005; Kayser et al., 2006; Stevens et al., 2007; Eroglu et al., 2009). Selective blockade of $\alpha 7$ -nAChRs by MLA produced decrements in both classes of synapses, consistent with the overall decrements seen in $\alpha 7$ KOs *in vivo* and in nicotine-treated cultures with $\alpha 7$ -nAChRs blocked (Lozada et al., 2012). In contrast, selective blockade of $\beta 2^*$ -nAChRs decreased the number of spine synapses in nicotine-treated cultures while increasing the number of shaft synapses (Fig. 4*B,D*). The results reveal a shift in the overall pattern, consistent with fewer spines being available for innervation when $\beta 2^*$ -nAChRs are dysfunctional or blocked.

Similar conclusions were reached with different techniques applied to rat hippocampal cells in culture. Long-term nicotine exposure again increased the number of spines per unit length dendrite, and did so in a way that was dependent on $\beta 2^*$ -nAChRs (blocked by $\text{DH}\beta\text{E}$) but not $\alpha 7$ -nAChRs because it was not prevented by the specific antagonist $\alpha\text{Bungarotoxin}$ (αBgt ; Fig. 5*A–C*). The same pattern was obtained when spines were identified by immunostaining for drebrin after a 1 week exposure to nicotine, and either αBgt or MLA was used to block $\alpha 7$ -nAChRs or $\text{DH}\beta\text{E}$ was used to block $\beta 2^*$ -nAChRs (Fig. 5*D*).

Use of cell culture enabled us to apply a second test for synapses, namely the assay of KCl-stimulated dye uptake that reflects synaptic vesicle recycling. This has been used previously to quantify the number of active synapses (Pyle et al., 1999; Lozada et al., 2012). Cultures were incubated with nicotine for 7 d followed by nicotinic antagonists for 1 h to terminate acute effects and then assayed for KCl-dependent FM4-64 uptake in a 1 min period (Fig. 5*E*). Independently quantifying synapses identified in this manner on dendritic shafts versus those on dendritic spines indicated that the nicotine treatment prominently increased the latter (Fig. 5*F*). However, blockade of $\beta 2^*$ -nAChRs with $\text{DH}\beta\text{E}$ during the nicotine treatment resulted in a substantial increase in shaft synapses with no change in spine synapses (Fig. 5*F*). The results indicate that nicotinic stimulation of neurons with inactive $\beta 2^*$ -nAChRs still drives synapse formation, apparently by activating $\alpha 7$ -nAChRs as described recently (Lozada et al., 2012), but the additional synapses must form on dendritic shafts because spine numbers are reduced in the absence of $\beta 2^*$ -nAChR signaling.

Persistent requirement for $\beta 2^*$ -nAChRs *in vivo* for glutamatergic spine synapses

To test whether $\beta 2$ KOs display a shift in the location of their glutamatergic synapses *in vivo* as predicted by the culture experiments, we compared wild types and KOs with respect to gluta-

maternal synapses on dendritic spines versus shafts. Glutamatergic synapses on dendrites were visualized both in the hippocampal CA1 region and in the visual cortex layer 5/6 by injecting sindbis–GFP stereotactically into the respective locations and subsequently immunostaining tissue slices for VGluT and PSD-95 (Fig. 6*A,C*). Quantification of puncta was confined to edges of dendrites so that spine and shaft locations could be clearly distinguished. This prevented quantification of total synaptic number per dendrite but did provide a good basis for detecting differences in the ratio of spine versus shaft synapses as a function of mouse type. Analyzing pyramidal apical dendrites at P12 either in the CA1 or in layer 5/6 revealed that $\beta 2$ KOs had fewer spine synapses than do wild types and a trend toward more shaft synapses (Fig. 6*B,D*). Both tissues showed statistically significant decreases in the numbers of VGluT puncta, PSD-95 puncta, and their colocalization on spines at this age. Layer 5/6 also showed statistically significant increases in all three for shaft synapses, whereas the CA1 showed increased VGluT puncta on shafts at P12 and a trend toward increases in PSD-95 puncta and colocalization. This was clearly different from $\alpha 7$ KOs, which had reduced numbers of VGluT and PSD-95 puncta and reduced colocalization both on spines and on shafts, consistent with fewer glutamatergic synapses overall as reported previously (Lozada et al., 2012). Numbers in dKOs were consistent with the individual KOs.

At later times in development, a greater proportion of glutamatergic synapses normally appear on dendritic spines (Fiala et al., 1998; Yuste and Bonhoeffer, 2004; Sheng and Hoogenraad, 2007). To test whether the shift of glutamatergic synapses away from spines and onto dendritic shafts seen in early $\beta 2$ KO mice remains subsequently, we examined P25 hippocampus. Applying the same labeling and counting strategies previously used at P12 showed that P25 wild types have a greater proportion of glutamatergic synapses located on dendritic spines than on shafts, as expected from previous work (Fig. 7). Nonetheless, the reverse distribution seen in P12 $\beta 2$ KOs persists: by these criteria (Eroglu et al., 2009), the number of shaft synapses at P25 in the CA1 is significantly greater than seen in wild types, and the number of spine synapses is significantly smaller than in wild types. The deficits seen in glutamatergic synapses both on dendritic shafts and on spines in $\alpha 7$ KOs at P12 remain at P25 (Fig. 7).

As in the case of spine numbers, the effects of $\beta 2^*$ -nAChRs on the location of glutamatergic synapses are cell autonomous. This was shown by distinguishing spine versus shaft synapses on neurons expressing either $\beta 2$ -RNA or $\beta 2$ -Scr *in vivo* for up to 12 d. As in the case of $\beta 2$ KOs, expression of $\beta 2$ -RNAi in WT neurons

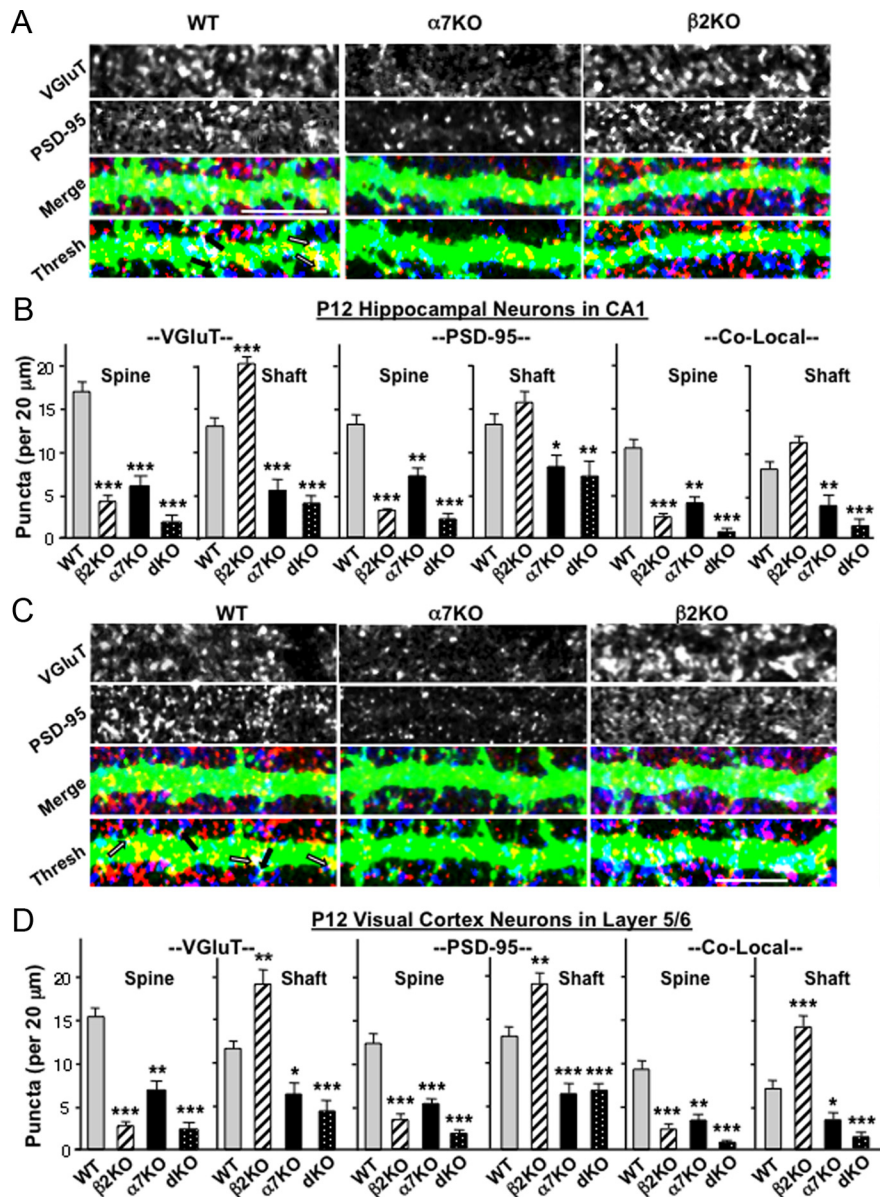


Figure 6. Deleting the $\beta 2$ -nAChR gene shifts more synapse formation to a dendritic shaft location *in vivo*. *A*, Representative images of apical dendrites of CA1 pyramidal neurons in P12 WT (left), $\alpha 7$ KO (middle), and $\beta 2$ KO (right) mice visualized by *in vivo* labeling with sindbis–GFP, immunostained for VGluT (blue) and PSD-95 (red) after fixation, and shown individually (top two rows), merged (Merge), and thresholded (Thresh). Arrows indicate examples of colocalization on dendritic spines (black) and shafts (white). *B*, Quantification of VGluT (left), PSD-95 (middle), and co-localization of puncta (right, Co-Local) on dendritic spines (Spine) and shafts (Shaft) in P12 CA1. *C*, Dendrites extending from pyramidal neurons in cortical layer 5/6 of P12 WT (left), $\alpha 7$ KO (middle), and $\beta 2$ KO (right) mice visualized with sindbis–GFP and immunostained fixed slices as in *A*. *D*, Quantification of VGluT, PSD-95, and co-localized puncta (Co-Local) on cortical dendritic spines and shafts as in *B* (3–4 cells per animal; 4–12 animals per genotype). Scale bars, 10 μ m.

decreased the numbers of spines and decreased the numbers of VGluT and PSD-95 puncta and their colocalization on spines, compared with that seen on WT neurons expressing $\beta 2$ -Scr (Fig. 8). Conversely, $\beta 2$ -RNA expression increased the incidence of VGluT and PSD-95 puncta and their colocalization on dendritic shafts, compared with $\beta 2$ -Scr expression. The results indicate that cell-autonomous actions of $\beta 2^*$ -nAChRs enhance dendritic spine number and, as a result, enable more glutamatergic synapses to be located on spines.

Discussion

The comparison of $\beta 2$ KOs with age-matched wild types shows unambiguously that the $\beta 2$ -nAChR gene product is necessary to

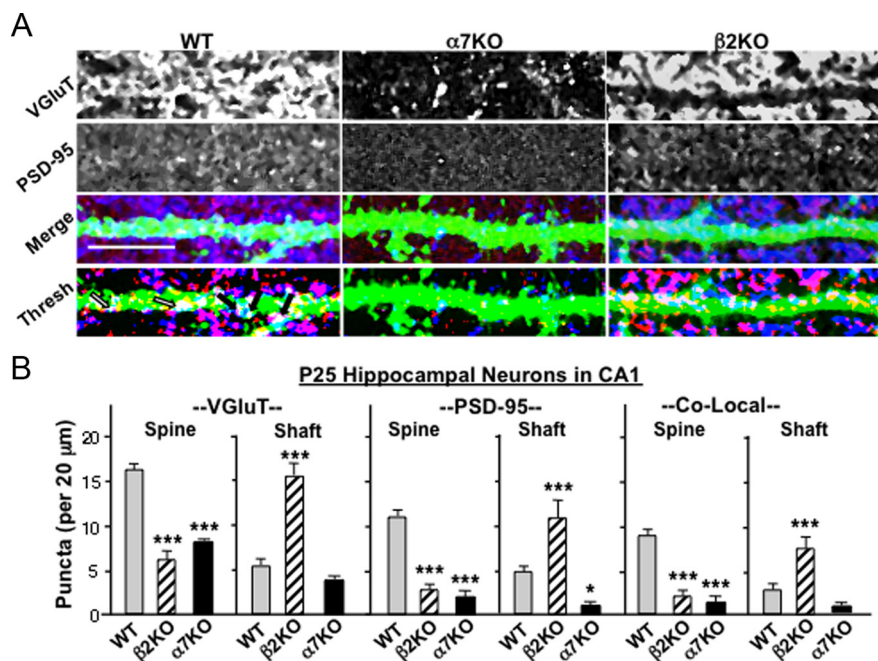


Figure 7. The synaptic shift detected in P12 $\beta 2$ KOs remains at P25, despite the increasing counter trend in wild types. **A**, Apical dendrites of CA1 pyramidal neurons in P25 WT (left), $\alpha 7$ KO (middle), and $\beta 2$ KO (lower) mice immunostained for VGLUT (blue) and PSD-95 (red) and shown separately, merged (Merge), and thresholded (Thresh). Arrows and scale bars are as in Figure 6. **B**, Quantification of VGLUT (left), PSD-95 (middle), and colocalized puncta (right, Co-Local) on dendritic spines (Spine) and shafts (Shaft) in P25 CA1 (3–4 cells per animal, 3–4 animals per genotype).

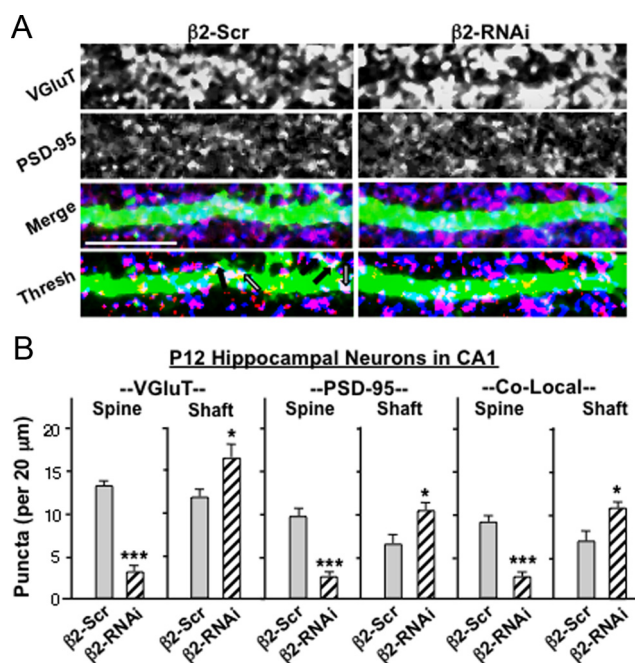


Figure 8. RNAi demonstration that $\beta 2^*$ -nAChRs act cell-autonomously to elevate the number of synapses formed on dendritic spines *in vivo*. **A**, Apical dendrites of CA1 pyramidal cells expressing either $\beta 2$ -Scr (left) or $\beta 2$ -RNAi (right), injected intracranially on P1–P2 and immunostained on P12 for VGLUT (blue) and PSD-95 (red) shown separately, merged (Merge), and thresholded (Thresh). Arrows and scale bars are as in Figure 6. **B**, Quantification of VGLUT (left), PSD-95 (middle), and colocalized puncta (right, Co-Local) on dendritic spines (Spine) and shafts (Shaft) of P12 CA1 pyramidal neurons (2–3 cells per animal, 3 animals per genotype, 2 litters per condition).

acquire normal levels of dendritic spines. The ability of nicotine to drive spine formation both *in vivo* and in organotypic culture in a manner dependent on $\beta 2^*$ -nAChRs further documents the capability of the receptors in this process. Spine dependence on

$\beta 2^*$ -nAChRs extends into early adulthood and can be seen not only in the hippocampus but also in the cortex, revealing the breadth of the phenomenon. In the absence of $\beta 2^*$ -nAChRs, neurons continue to generate normal numbers of glutamatergic synapses but apparently are then forced to position more of them on dendritic shafts, given the reduced number of spines available. Because spine synapses differ from shaft synapses with respect to signaling properties and plasticity (Yuste and Bonhoeffer, 2004; Aoto et al., 2007; Harvey and Svoboda, 2007; Sheng and Hoogenraad, 2007; Yuste, 2011), a shift of this nature in the distribution of synapses would be expected to have significant effects on network function and plasticity.

The present studies revealed no compensatory change in the aberrant distribution of synapses in $\beta 2$ KOs over the time period examined. The abnormally high fraction of glutamatergic synapses found on dendritic shafts at P12 remained at P25, and the deficits in spine numbers quantified as early as P4 remained as late as P40. Although compensation has been inferred for some cholinergic traits in other paradigms (Besson et al., 2007), constitutive removal of the $\beta 2$ -nAChR gene does not apparently induce relevant compensatory changes in the levels of other nAChR subtypes (Albuquerque et al., 2009; Gotti et al., 2009; Changeux, 2010). The persistence of spine deficits in $\beta 2$ KOs is consistent with a recent report that adult $\beta 2$ KOs have reduced spine numbers in several cortical regions and altered dendritic trees as well, although not all areas are affected equally (Ballesteros-Yáñez et al., 2010). A previous study using a toxin to generate cholinergic deficits also found reductions in spine numbers as a result (Robertson et al., 1998). The consistent deficits reported in the present study were obtained by examining defined regions (hippocampal CA1 and sensory cortex layer 5/6) across experimental conditions and focusing on consistent regions of the dendritic tree that could be readily distinguished across neuronal populations.

The RNAi experiments demonstrate that the $\beta 2^*$ -nAChR effect is cell autonomous, requiring the postsynaptic cell to express the receptors if normal numbers of dendritic spines are to be achieved. The cell-autonomous feature extends to the $\beta 2^*$ -nAChR effect on synaptic distribution, with the receptors causing more glutamatergic synapses to be located on spines. Off-target effects of the RNAi construct were excluded by the experiments showing that it had no effect on spine number in $\beta 2$ KOs. The initial effect of the receptors was quick, requiring no more than 1 h for nicotinic stimulation to increase spine numbers by one-half or more both *in vivo* and in organotypic culture. Stabilization took longer, perhaps requiring protein synthesis and anchoring of the cytoskeleton. Notably, the number of spines in organotypic cultures lacking cholinergic input was similar to that seen in early postnatal wild types *in vivo* where cholinergic input would have been normal. This likely reflects, in part, the reported phenomenon that slice preparation and transfer to culture can increase spine number (Kirov et al., 1999). It is also likely, however, that the $\beta 2^*$ -nAChR effect on spines becomes prominent only after

the first few days of postnatal life; spines do form in the absence of $\beta 2^*$ -nAChRs as found in $\beta 2$ KOs. The finding that nicotine elevated spine numbers *in vivo* when injected at P7 but not at P15 suggests that endogenous nicotinic activity may achieve maximal rates by P15.

The initial mechanism underlying spine induction by $\beta 2^*$ -nAChRs involved intracellular calcium and the activation of CaMKII. Likely calcium sources include influx through voltage-gated channels and release from internal stores (Dajas-Bailador and Wonnacott, 2004; Albuquerque et al., 2009). A prominent calcium-dependent pathway that is known to induce and stabilize dendritic spines uses CaMKII activation of either kalirin-7 or Tiam1 to mediate downstream events that rearrange the actin cytoskeleton (Tolias et al., 2005; Xie et al., 2007). These and other pathways (Gu et al., 2008; Wang et al., 2008; McClelland et al., 2010; Saneyoshi et al., 2010; Kwon and Sabatini, 2011; Murakoshi et al., 2011) offer candidate mechanisms for the cell-autonomous action. Although $\beta 2^*$ -nAChRs are expressed by pyramidal neurons, precise dendritic/somatic locations for the receptors have yet to be identified. Understanding the mechanisms controlling $\beta 2^*$ -nAChR location will become important if $\beta 2^*$ -nAChR effects on spines are highly localized along the dendrite. In this case, endogenous nicotinic signaling acting through $\beta 2^*$ -nAChRs could determine the precise location of spine synapses, thereby influencing their relative contributions to summation and neuronal excitation.

A complementary role is played by $\alpha 7$ -nAChRs. As indicated previously (Lozada et al., 2012) and in the present study, $\alpha 7$ -nAChRs are required for normal numbers of glutamatergic synapses to form during development. This effect is independent of $\beta 2^*$ -nAChR function. Both *in vivo* analysis and culture experiments demonstrate that activation of $\alpha 7$ -nAChRs in the absence of $\beta 2^*$ -nAChRs causes a disproportionate number of glutamatergic synapses to form on dendritic shafts as opposed to spines. Like $\beta 2^*$ -nAChRs, $\alpha 7$ -nAChRs are widely expressed early in development and participate in the spontaneous waves of excitation seen at those times. Also like $\beta 2^*$ -nAChRs, $\alpha 7$ -nAChRs depolarize the membrane and elevate intracellular calcium levels, but do so by different mechanisms. Notably, $\alpha 7$ -nAChRs have a high relative permeability to calcium and also recruit calcium from internal stores (Bertrand et al., 1993; Séguéla et al., 1993; Dajas-Bailador and Wonnacott 2004; Fayuk and Yakel, 2007; Albuquerque et al., 2009; Shen and Yakel, 2009). Other differences between $\alpha 7$ -nAChRs and $\beta 2^*$ -nAChRs include their sensitivities to agonist, their rates of desensitization, and, probably most important, their locations on the membrane and tethering to specific components that mediate signal transduction (McGehee et al., 1995; Fabian-Fine et al., 2001; Albuquerque et al., 2009; Gotti et al., 2009; Changeux, 2010). This latter feature most likely accounts for the differences between $\alpha 7$ -nAChRs and $\beta 2^*$ -nAChRs in their effects on glutamatergic synapse formation. One reflection of this is the distinctive ability of $\alpha 7$ -nAChRs, via associated components, to alter gene expression in a calcium-dependent manner (Chang and Berg, 2001; Hu et al., 2002; Albuquerque et al., 2009). Such components include the membrane calcium pump PMCA2, tethered to $\alpha 7$ -nAChRs via the scaffold protein PSD-95 in a way that permits calcium-dependent control of $\alpha 7$ -nAChR location and function on the neuronal surface (Gomez-Varela et al., 2012).

The finding that $\beta 2^*$ -nAChRs and $\alpha 7$ -nAChRs exert independent and complementary effects on glutamatergic synapse formation has multiple implications. First, it underscores the fact that the presence of spines does not ensure the presence of syn-

apses. Activation of $\beta 2^*$ -nAChRs without $\alpha 7$ -nAChR participation elevated the number of spines without increasing the number of morphological or functional synaptic sites. Spines lacking synapses under these conditions may be relatively unstable, retracting and reextending over a relatively short time frame. It is known that spines usually form before innervation *in vivo* and may exist as such for up to several days (Knott et al., 2006).

A second implication of the changes seen in synaptic distribution is that the relative numbers of synapses on dendritic spines versus shafts are not predetermined but rather can be altered by extrinsic mechanisms. Previous studies documented an increase in the proportion of glutamatergic synapses on spines (vs dendritic shafts) as development proceeds (Fiala et al., 1998), whereas pruning decreases the total number of synapses in general, and shaft synapses in particular, during maturation (Harris et al., 1992; Fiala et al., 1998; Hua and Smith, 2004). The analysis performed here did not indicate the total number of synapses in each category but did permit quantitative comparisons across conditions. Selective loss of $\beta 2^*$ -nAChRs produced a clear shift toward more glutamatergic synapses being located on dendritic shafts. The shift was apparent even up through early adolescence when spine synapses in wild types vastly outnumbered shaft synapses (Yuste and Bonhoeffer, 2004). There are precedents for independent regulation of spine and shaft synapses (Aoto et al., 2007).

A third implication is that repeated exposure to exogenous agonists such as nicotine *in vivo* may alter the balance of spontaneous activity through $\beta 2^*$ -nAChRs and $\alpha 7$ -nAChRs either by selectively desensitizing or preferentially activating one class of nAChRs (Albuquerque et al., 2009; Gotti et al., 2009; Changeux, 2010). This could shift the distribution of synapses formed and produce long-term consequences. Perhaps reflecting these kinds of effects, exposure to nicotine during gestation and early postnatal life is well known to produce lasting behavioral changes in the adult (Heath and Picciotto, 2009). The complementary roles of $\beta 2^*$ -nAChRs and $\alpha 7$ -nAChRs in shaping the formation of glutamatergic networks are also likely to explain, at least in part, the many behavioral deficits attributed to mice lacking one or the other of these receptors (Picciotto et al., 1995; Grubb et al., 2003; Myers et al., 2005; Hanson and Landmesser, 2006; Hoyle et al., 2006; Le Magueresse et al., 2006; Young et al., 2007; Levin et al., 2009; Wang et al., 2009).

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