








Astrocyte Transforming Growth Factor Beta 1 Protects Synapses against A β Oligomers in Alzheimer's Disease Model

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Alzheimer's disease (AD) is characterized by progressive cognitive decline, increasingly attributed to neuronal dysfunction induced by amyloid- β oligomers (A β Os). Although the impact of A β Os on neurons has been extensively studied, only recently have the possible effects of A β Os on astrocytes begun to be investigated. Given the key roles of astrocytes in synapse formation, plasticity, and function, we sought to investigate the impact of A β Os on astrocytes, and to determine whether this impact is related to the deleterious actions of A β Os on synapses. We found that A β Os interact with astrocytes, cause astrocyte activation and trigger abnormal generation of reactive oxygen species, which is accompanied by impairment of astrocyte neuroprotective potential *in vitro*. We further show that both murine and human astrocyte conditioned media (CM) increase synapse density, reduce A β Os binding, and prevent A β O-induced synapse loss in cultured hippocampal neurons. Both a neutralizing anti-transforming growth factor- β 1 (TGF- β 1) antibody and siRNA-mediated knock-down of TGF- β 1, previously identified as an important synaptogenic factor secreted by astrocytes, abrogated the protective action of astrocyte CM against A β O-induced synapse loss. Notably, TGF- β 1 prevented hippocampal dendritic spine loss and memory impairment in mice that received an intracerebroventricular infusion of A β Os. Results suggest that astrocyte-derived TGF- β 1 is part of an endogenous mechanism that protects synapses against A β Os. By demonstrating that A β Os decrease astrocyte ability to protect synapses, our results unravel a new mechanism underlying the synaptotoxic action of A β Os in AD.

Key words: Alzheimer's disease; astrocyte; synapse loss; TGF- β 1

Significance Statement

Alzheimer's disease is characterized by progressive cognitive decline, mainly attributed to synaptotoxicity of the amyloid- β oligomers (A β Os). Here, we investigated the impact of A β Os in astrocytes, a less known subject. We show that astrocytes prevent synapse loss induced by A β Os, via production of transforming growth factor- β 1 (TGF- β 1). We found that A β Os trigger morphological and functional alterations in astrocytes, and impair their neuroprotective potential. Notably, TGF- β 1 reduced hippocampal dendritic spine loss and memory impairment in mice that received intracerebroventricular infusions of A β Os. Our results describe a new mechanism underlying the toxicity of A β Os and indicate novel therapeutic targets for Alzheimer's disease, mainly focused on TGF- β 1 and astrocytes.

Introduction

Alzheimer's disease (AD) is the most common form of dementia in the elderly, accounting for 50–80% of cases (Abbott, 2011),

and is characterized by progressive decline in cognitive functions. The pathogenesis of AD involves initial synapse/neuronal dysfunction, followed by extensive neurodegeneration in

Received Oct. 25, 2016; revised May 28, 2017; accepted May 31, 2017.

Author contributions: L.P.D., V.T., I.M., F.G.D.F., and F.C.A.G. designed research; L.P.D., V.T., I.M., A.P.B.A., H.M.M., G.S.S.d.S., S.V.A.-L., and J.M.d.S. performed research; S.V.A.-L. and J.M.d.S. contributed unpublished reagents/analytic tools; L.P.D., V.T., I.M., J.M., H.M.M., G.S.S.d.S., S.T.F., F.G.D.F., and F.C.A.G. analyzed data; L.P.D., V.T., S.T.F., F.G.D.F., and F.C.A.G. wrote the paper.

This work was supported by grants from the Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq), Ministério da Saúde, Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES), and Fundação Carlos Chagas Filho de Amparo à Pesquisa do Estado do Rio de Janeiro (FAPERJ). We thank Marcelo Meloni and Grasiela Ventura for technical assistance.

The authors declare no competing financial interests.

the hippocampus and other brain regions (Palop and Mucke, 2010).

Soluble oligomers of the amyloid- β peptide (A β Os) accumulate in AD brains (Gong et al., 2003) and are increasingly considered major toxins leading to neuronal dysfunction in AD (Ferreira and Klein, 2011; Mucke and Selkoe, 2012; Hong et al., 2016). Among other deleterious actions, A β Os impair axonal transport, increase production of neuronal reactive oxygen species, and induce tau phosphorylation and mitochondrial damage, contributing to neuronal degeneration and death (De Felice et al., 2007, 2008; Alberdi et al., 2010; Decker et al., 2010). A β Os further induce synapse dysfunction and loss of dendritic spines (Lacor et al., 2004; Shankar et al., 2007), thus impairing synaptic plasticity (Lambert et al., 1998).

Emerging evidence indicates that astrocytes play important roles in synapse formation, maintenance and plasticity during brain development and adulthood (Araque et al., 1999; Eroglu and Barres, 2010; Han et al., 2013; Diniz et al., 2014a). Some of the molecules released by astrocytes that mediate these functions have been identified, and include cholesterol (Mauch et al., 2001), thrombospondin 1 (TSP-1; Christopherson et al., 2005), glypican (Allen et al., 2012), and hevin (Kucukdereli et al., 2011). On the other hand, there is limited information concerning the roles of astrocytes in synapse maintenance and function in neurodegenerative disorders, including AD.

Defective astroglial function and reactivity has been proposed to contribute to brain dysfunction in aging and in neurodegenerative diseases (Rodríguez-Arellano et al., 2016). Astroglial reactivity has been frequently found in close association with senile plaques and damaged neurons in postmortem analysis of AD brains (Beach and McGeer, 1988; Hippus and Neundörfer, 2003). Consistent with a role of astroglial cells in AD, astrocyte activation has been reported to be triggered by infusion of A β Os in the brains of mice (Ledo et al., 2013) and monkeys (Forny-Germano et al., 2014), as well as in the brains of transgenic mouse models of AD (Furman et al., 2012). In addition, a number of studies have shown that different aggregated forms of A β are internalized by astrocytes *in vitro* and *in vivo* (Matsunaga et al., 2003; Nagele et al., 2003; Wyss-Coray et al., 2003; Alarcón et al., 2005). Although those findings suggest that astrocytes are targets for A β Os, the impact of astrocyte dysfunction on the pathogenesis of AD has been subject of controversy.

We have shown that astrocytes control the balance between excitatory and inhibitory synapses in the cerebral cortex through secretion of transforming growth factor β 1 (TGF- β 1) (Diniz et al., 2012, 2014b). TGF- β 1 levels are reduced in the plasma of AD patients, which might contribute to neuronal death and exacerbation of neuroinflammation (Mocali et al., 2004; Juraskova et al., 2010). Additionally, deficits in TGF- β pathways have been reported in AD brains, in the brains of aged mice, and in mouse models of AD (Lee et al., 2006; Tesseur et al., 2006; Ueberham et al., 2006; Chalmers and Love, 2007; Tichauer et al., 2014; Caraci et al., 2015).

Given the increasing recognition of the roles played by astrocytes in synapse physiology, we hypothesized that synapse loss in AD might be, at least in part, a consequence of the impact of A β Os on astrocytes. We found that A β Os directly target astrocytes and impair their neuroprotective actions. Using purified

human and murine astrocyte cultures, and mice that received an intracerebroventricular infusion of A β Os, we further demonstrated that astrocyte-derived TGF- β 1 protects synapses and prevents memory deficits induced by A β Os. Results indicate that A β Os impact on astroglial cells renders synapses vulnerable to degeneration. Our work identifies a novel mechanism underlying cognitive impairment in AD, and provides insight into the role of glial cells in synapse pathology.

Materials and Methods

Animals. Embryonic (E15–E16), newborn (P0–P2), and 3-month-old male Swiss mice were used. All animal-use protocols were approved by the Animal Use Ethics Committee of the Federal University of Rio de Janeiro (protocol no. 004/2016).

Preparation and characterization of A β Os. A β Os were prepared weekly from synthetic A β _{1–42} (American Peptide Company) and were routinely characterized by size-exclusion chromatography and occasionally by Western immunoblots, as previously described (De Felice et al., 2007, 2008; Sebollela et al., 2012). A β Os ranged from dimers (~9 kDa) to higher molecular weight oligomers (~50–100 kDa). Oligomer preparations were aliquoted and kept at –70°C, and aliquots were defrosted at the time of use.

Murine astrocyte cultures. Primary astrocyte cultures were prepared from neonatal Swiss mice (1- to 2-d-old) as previously described (Diniz et al., 2014b). Hippocampus were removed, stripped of meninges, and placed in DMEM/F12 (DMEM and nutrient mixture F12, Invitrogen) supplemented with 10% fetal bovine serum (Invitrogen). Cultures were incubated at 37°C in a humidified 5% CO₂, 95% air chamber for 7–10 d until confluence. After confluence, cells were subjected to passages to generate pure astrocytic cultures. This protocol yields an astrocyte-enriched culture consisting of >98% glial fibrillary acidic protein (GFAP)-positive cells.

Human astrocyte cultures. Adult primary human astrocytes were isolated from surgically removed anterior temporal lobe tissue, from patients selected for surgical treatment of temporal-lobe epilepsy associated with hippocampal sclerosis (TLE-HS), as previously described (Diniz et al., 2012). All patients gave written consent to the study, and the procedures were reviewed and approved by the Brazilian Ministry of Health Ethics Committee. Astrocytes were grown in DMEM/F12 medium supplemented with 10% FCS, in a humidified 5% CO₂, 95% air. New passages of cells were generated by harvesting confluent astrocyte cultures using trypsin-EDTA solution (0.25% trypsin with EDTA, Invitrogen). Human astrocytes up to the third passage were used in the study. Human astrocytes expressed the typical astrocyte markers GLAST (glutamate-aspartate transporter) in a typical punctate distribution pattern in their membranes, and human leukocyte antigen in a spread distribution pattern over the monolayer, attesting their human and astrocytic origin (Diniz et al., 2012).

Astrocyte conditioned medium. To obtain conditioned medium (CM) from A β 0-exposed astrocytes (CM A β 0), confluent astrocyte cultures (murine or human) were exposed to 500 nM A β Os or vehicle for 24 h (for CMA β or CM, respectively). Cells were washed three times to eliminate A β Os and fresh serum-free DMEM/F12 medium was added. Medium was collected after 24 h and centrifuged at 1000 \times g for 10 min to remove cellular debris. To guarantee that residual A β Os were not present in the CM, a dot immunoblot assay was performed using the NU4 anti-A β 0 antibody (Lambert et al., 2007). No residual A β 0 immunoreactivity was found under these conditions (data not shown).

TGF- β 1 depletion from astrocyte conditioned medium. For depletion of TGF- β 1, CM was incubated with 1 μ g/ml neutralizing antibody against TGF- β 1 (Abcam) for 30 min at room temperature. According to information from the manufacturer, this concentration of antibody neutralizes 50% of the bioactivity of 0.25 ng/ml TGF- β 1 in the HT 2 cell line. Neuronal cultures were maintained simultaneously in the presence of CM and the neutralizing antibody for an additional 3 h, followed by fixation and immunostaining of dendritic spine proteins.

Mature hippocampal neuronal cultures. Primary hippocampal neuronal cultures were prepared as described previously (Diniz et al., 2012) using E15–E16 Swiss mice, and were used after 14 d *in vitro*. Cultures

were prepared and maintained in Neurobasal medium (Invitrogen) supplemented with B-27, penicillin, streptomycin, L-glutamine, fungizone, and Ara-C (0.65 μ M; Sigma-Aldrich). Cultures were previously treated at 37°C for 30 min with CM/CMA β and exposed to 500 nM A β Os or an equivalent volume of vehicle (2% DMSO in PBS) for 3 h. For inhibition of TGF- β activity of the CM, neuronal cultures were preincubated with the TGF- β pathway inhibitor, SB-431542 (10 μ M; Sigma-Aldrich), for 30 min before addition of conditioned medium. Cultures were then maintained in the presence of astrocyte conditioned medium and the inhibitor for 3 additional hours.

Immunoblotting. Protein concentration in cell and tissue extracts was measured using the BCA protein assay kit (Cole-Parmer). Forty micrograms protein/lane were electrophoretically separated on a 10% SDS polyacrylamide gel. After separation, proteins were electrotransferred to a Hybond-P polyvinylidene difluoride membrane (Millipore) for 1.5 h. Nonspecific sites were blocked by membrane incubation in PBS containing 5% milk for 1 h. Primary antibodies were incubated in block solution overnight, followed by 1 h incubation with IRDye 680CW goat anti-mouse (LI-COR, RRID: AB_10715072) antibody and IRDye 800CW goat anti-rabbit antibody (LI-COR, RRID: AB_621848). Membranes were scanned and analyzed using Un-Scan-It gel v6.1 (Silk Scientific). Primary antibodies were mouse anti-synaptophysin (1:1000; Millipore Bioscience Research Reagents, RRID: AB_94947), rabbit anti-PSD-95 (1:1000; Abcam, RRID: AB_444362), rabbit anti-cyclophilin B (1:1000; Sigma-Aldrich, RRID: AB_10743624), rabbit anti-drebrin A/E (1:1000; Millipore, RRID: AB_1977159).

In-cell Western. Astrocytes were grown in 96-well plates for 2 d in 50 μ l of DMEM/F12 supplemented with 10% FCS. For fixation and permeabilization, a volume of 50 μ l of 8% PFA was added to the culture and after 20 min, cells were washed three times with PBS-containing 0.1% Triton X-100. Blocking was done by incubating the cells in Odyssey blocking buffer (LI-COR) for 1.5 h at 24°C. Anti-TGF- β 1 antibody was diluted in blocking buffer (1:100; Abcam, RRID: AB_1144265) and added to cells overnight at 4°C. Plates were washed with PBS-containing 0.1% Tween-20 three times, followed by 1 h incubation with IRDye 680CW goat anti-rabbit (1:800; LI-COR, RRID: AB_10706167) and IRDye 800CW goat anti-mouse antibodies (1:800; LI-COR, RRID: AB_621847). Plates were scanned with the Odyssey Infrared Imaging System and analyzed using the program Un-Scan-It gel v6.1 (Silk Scientific).

Immunocytochemistry. After fixation with 4% paraformaldehyde for 15 min, cultures were permeabilized with 0.2% Triton X-100 for 5 min at room temperature, and nonspecific sites were blocked with 3% bovine serum albumin, 5% normal goat serum (Sigma-Aldrich) diluted in PBS for 1 h before immunoreaction with the following antibodies: rabbit anti-GFAP (1:500; DAKO Cytomation, RRID: AB_10013382), mouse anti-synaptophysin (1:1000; Millipore Bioscience Research Reagents, RRID: AB_94947); rabbit anti-PSD-95 (1:100; Cell Signaling Technology, RRID: AB_561221), rabbit anti-Spinophilin (1:500; Abcam, RRID: AB_444532), A β O-selective NU4 mouse monoclonal antibody (1 μ g/ml; Lambert et al., 2007; RRID: AB_2313889), guinea pig anti-glutamate transporter (1:300; Millipore, AB_90949), mouse anti-TGF- β 1 (1:100; Abcam, RRID: AB_1144265). After primary antibody incubation, the cells were thoroughly washed with PBS and incubated with secondary antibodies for 2 h at room temperature. Secondary antibodies were AlexaFluor 546-conjugated goat anti-rabbit IgG (RRID: AB_10584649) or goat anti-mouse IgG (1:1000; RRID: AB_2534071, Invitrogen), or AlexaFluor 488-conjugated goat anti-rabbit IgG (RRID: AB_143165), goat anti-guinea pig IgG (RRID: AB_2534117), or goat anti-mouse IgG (1:300; RRID: AB_2534069, Invitrogen). Nuclei were counterstained with DAPI (Sigma-Aldrich).

For analysis of A β Os binding and quantification of excitatory synapses, hippocampal neurons that were at least two cell bodies away from the nearest neighboring neuron were imaged. The number of puncta for NU4 labeling was quantified using the Puncta Analyzer plug-in of ImageJ v1.29 (NIH; RRID: SCR_003070). Neuronal cell bodies were excluded from the analysis, resulting in the analysis of the NU4 immunoreactivity present only in dendritic processes. Cells were imaged on a TE 2000 Nikon microscope. Colocalization of synaptic proteins was analyzed as previously described (Diniz et al., 2012).

Immunohistochemistry. Vibratome slices (40 μ m thick) were incubated for 72 h with the following primary antibodies: rabbit anti-GFAP (1:1000; DAKO Cytomation, RRID: AB_10013382), mouse anti-synaptophysin (1:1000; Millipore Bioscience Research Reagents, RRID: AB_94947), rabbit anti-drebrin A/E (1:500; Millipore Bioscience Research Reagents, RRID: AB_1977159), mouse anti-TGF- β 1 (1:1000; Abcam, RRID: AB_1144265). The slices were then washed three times with PBS and incubated with secondary antibodies for 2 h at room temperature. Secondary antibodies were AlexaFluor 546-conjugated goat anti-rabbit IgG (RRID: AB_10584649) or goat anti-mouse IgG (1:1000; RRID: AB_2534071, Invitrogen), or AlexaFluor 488-conjugated goat anti-rabbit IgG (RRID: AB_143165) or goat anti-mouse IgG (1:300; RRID: AB_2534069, Invitrogen). Nuclei were counterstained with DAPI (Sigma-Aldrich). Coverslips were mounted with DAKO Mounting Media and imaged on a confocal microscope (Leica TCS SPE).

Astrocyte morphometry and TGF- β 1 levels. Astrocytes in the CA1 stratum radiatum hippocampal region were immunostained for GFAP and TGF- β 1. Images were acquired by confocal microscopy (Leica TCS SPE) with a 63 \times objective. For morphometric analysis, cells exhibiting a good delimitation relative to other astrocytes were randomly selected, and the number of processes was counted manually. Cell area and average fluorescence intensity per cell were analyzed with Fiji (NIH; RRID: SCR_002285) software. Levels of astrocyte TGF- β 1 were quantified using the Puncta Analyzer plugin from ImageJ (NIH). Cells were randomly selected around their extremities based on GFAP staining, and the number of colocalized puncta of TGF- β 1 and GFAP staining was quantified in each cell. Results represent the ratio between the number of colocalized puncta and the total area of each selected image. At least 30–35 cells were analyzed per experimental condition.

Reactive oxygen species measurements. Dihydroethidium (DHE; Invitrogen) was freshly prepared immediately before each experiment. Astrocyte cultures incubated for 3 h at 37°C with 500 nM A β Os or vehicle were loaded with DHE at a final concentration of 10 μ M for 40 min, and cells were imaged on the Nikon microscope. Images were collectively analyzed for DHE intensity using ImageJ (RRID: SCR_003070) software as described previously (De Felice et al., 2007).

Nitrite measurement. NO production was determined indirectly through the assay of nitrite (NO $_2^-$), a stable metabolite of NO, based on the Griess reaction (Ding et al., 1998). Briefly, a 50 μ l aliquot of conditioned medium was mixed with an equal volume of Griess reagent [0.1% N-(1-naphthyl) ethylenediamine dihydrochloride, 1% sulfanilamide, and 2.5% phosphoric acid], incubated for 10 min at 22°C, and the absorbance was measured at 540 nm. Nitrite concentrations were calculated from a standard curve of NaNO $_2$ (Sigma-Aldrich) ranging from 0 to 100 μ M. Background NO $_2^-$ was subtracted from the experimental values.

Small interfering RNA assays. Hippocampal astrocyte purified cultures were transfected using the Lipofectamine 2000 (Invitrogen) protocol according to manufacturer's instructions. Cells were transfected for 4 h with 50 nM small interfering RNA (siRNA) for TGF- β 1 (which specifically silences TGF- β 1 α gene through 3–5 targeted siRNA of 19–25 nt; Santa Cruz Biotechnology, SC-37192). Previous results from our group demonstrated that this protocol leads to a 60% decrease in TGF- β 1 expression in a purified culture of midbrain astrocytes (data not shown). Culture treatments were conducted 24 h after transfection.

Animals and intracerebroventricular injections. Three-month-old Swiss mice were kept in groups of five animals per cage in standard housing conditions (12 h light/dark cycle with controlled room temperature and humidity) with *ad libitum* access to food and water. All mice experiments were performed in accordance with Principles of Laboratory Animal Care from the National Institutes of Health and were approved by the Institutional Animal Care and Use Committee of the Federal University of Rio de Janeiro (protocol no. IBQM 041/2011). Intracerebroventricular (i.c.v.) injections were performed as previously described (Figueiredo et al., 2013). Briefly, mice were anesthetized using isoflurane 2.5% (Cristália) in a vaporizer system and were gently restrained during the intracerebroventricular procedure. Mice received injections of 10 ng TGF- β 1, 10 pmol A β Os, or vehicle (final volume: 3 μ l, i.c.v.) through a 2.5-mm-long needle. The needle was inserted unilaterally 1 mm to the right of the midline point equidistant from each eye and 1 mm posterior to a line

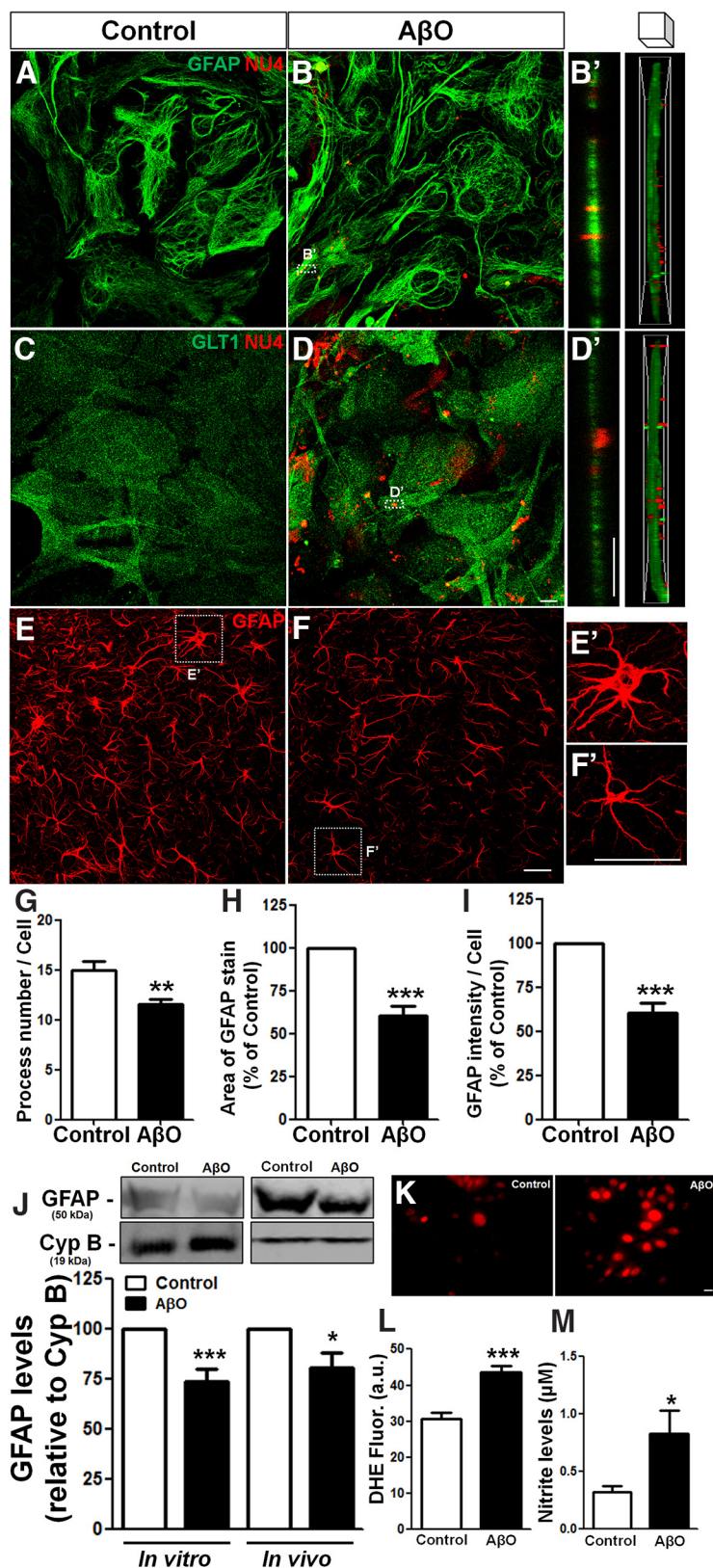


Figure 1. A β Os bind to astrocyte membranes, are internalized, and trigger astrocyte activation. Mouse hippocampal astrocyte cultures were exposed to vehicle or 500 nM A β Os for 24 h. Cells were then analyzed by immunocytochemistry for GFAP, GLT1, and A β Os (NU4 antibody immunoreactivity; *A–D*); representative z-stack orthogonal cut images of GFAP/NU4 (*B'*) and GLT1/NU4 (*D'*); levels of ROS (*K, L*) and nitrite production (*M*). *E–I*, A β O or vehicle was infused intracerebroventricularly in mice and GFAP immunostaining was analyzed in the hippocampus (*E, F*). Astrocyte morphology was evaluated by analyzing the number of processes (*G*), cellular area (*H*), and intensity of GFAP immunoreactivity per cell (*I*). GFAP levels were analyzed by Western blotting of cultured astrocytes or hippocampal tissue homogenates (*J*). Exposure to A β Os triggered astrocyte activation *in vitro* and

drawn through the anterior base of the eye, as previously described (Figueiredo et al., 2013). Mice presenting hemorrhages were removed from the analysis.

Novel object recognition test. The behavioral analyzes were performed according to a previously described protocol (Figueiredo et al., 2013). Mice were initially placed in an open-field arena and were submitted to a 5 min habituation session. The number of crossings and rearings was determined. Mice were then exposed to a training phase, in which animals explored two identical objects during 5 min and the time spent exploring each object was determined. One hour after the training session, mice were again placed in the arena for the test session, and one of the two familiar objects used in the training session was replaced by a novel one. Again, the time spent exploring familiar and novel objects was determined and all data analyzed. The arena and objects were cleaned thoroughly between trials with 40% ethanol to eliminate olfactory cues. Results were expressed as percentage of time exploring each object during the training or test session and were analyzed using a one-sample Student's *t* test comparing the mean exploration time for each object with the fixed value of 50%. By definition, animals that recognize the familiar object as such (i.e., learn the task) spend more time exploring the novel object.

Statistical analysis. GraphPad software v5.0 (RRID:SCR_002798), was used for statistical analysis. Because all statistical tests involved multiple conditions, ANOVA was applied in all comparisons, followed by Tukey's post-test when statistical significance was achieved. A confidence interval of 95% was used, and a *p* value <0.05 was considered statistically significant. Densitometry of blotted gels was performed using Un-Scan-It gel v6.1 (Silk Scientific). Data are reported as means \pm SEM and error bars in the graphs represent SEM.

Results

A β Os trigger functional and morphological changes in astrocytes

To investigate the impact of A β Os on astrocytes, we initially exposed purified mouse hippocampal astrocyte cultures to vehicle (control) or 500 nM A β Os for 24 h. Immunofluorescence confocal microscopy analysis showed that A β Os mostly localize to the astrocyte plasma membrane but are also present in the cytoplasm, suggesting that A β Os interact with the membrane and are internalized by astrocytes (Fig. 1*A–D*).

We next aimed to investigate the effects of A β Os on astrocytes *in vivo*. To this

induced astrocytic atrophy *in vivo*. Scale bars: *D, D', K*, 10 μ m; *F, F'*, 30 μ m. **p* < 0.050, ***p* < 0.010, and ****p* < 0.001; *n* = 3–6 experiments with independent astrocyte cultures and three animals per experimental group; 30–45 cells were analyzed per experimental condition. Student's *t* test.

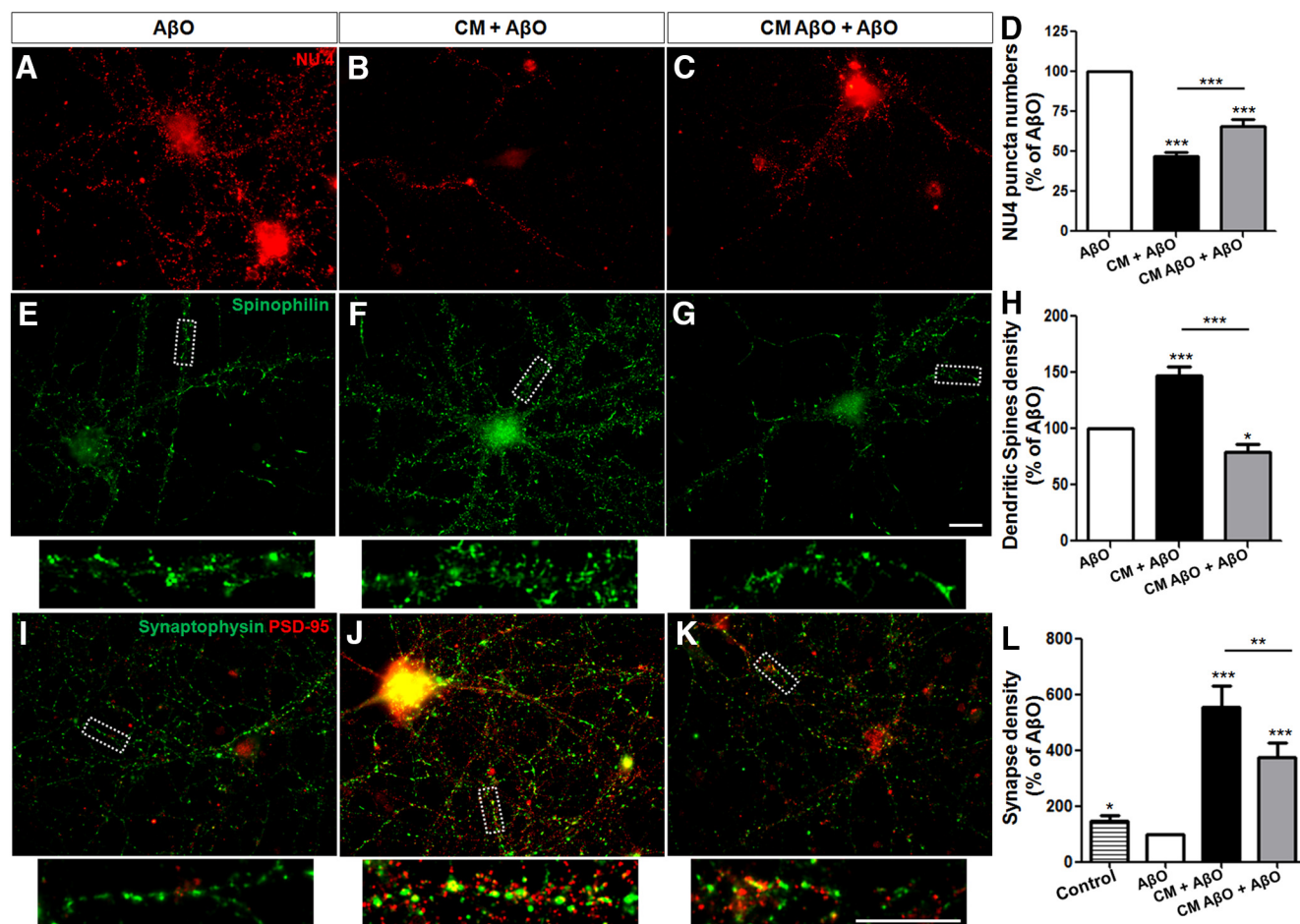


Figure 2. Astrocyte CM protects neurons against the deleterious effects of A β Os. Mature hippocampal neurons (14 DIV) were maintained for 30 min in the presence of vehicle, CM derived from astrocyte cultures (CM), or CM derived from astrocytes previously primed by A β Os (CM A β O), and were then exposed for 3 h to 500 nM A β Os. After this period, the binding of A β Os (NU4 immunoreactivity; **A–D**), density of dendritic spines (spinophilin immunoreactivity; **E–H**), and density of synapses (synaptophysin/PSD-95 immunoreactivity; **I–L**) Twelve to 15 images were acquired from duplicate coverslips in each experimental condition, 90–150 cells were analyzed per experimental condition. Scale bars, 10 μ m. * p < 0.050, ** p < 0.010, and *** p < 0.001; comparisons between multiple groups were analyzed using a one-way ANOVA followed by Tukey's *post hoc* tests, n = 3–4 experiments with independent neuronal cultures.

end, Swiss mice received an intracerebroventricular infusion of A β Os and morphological analysis of astrocytes present in the CA1 stratum radiatum hippocampal region was performed. This revealed that A β Os induced significant morphological changes in astrocytes, with a 23% decrease in the number of process (Fig. 1E–G), a 40% decrease in cell area (Fig. 1H) and a 40% decrease in GFAP immunoreactivity per cell (Fig. 1I) in astrocytes from A β O-infused mice. Immunoblotting analysis revealed that A β Os induced comparable decreases in GFAP levels in cell culture homogenates and in hippocampal extracts (Fig. 1J). We further found that cultured astrocytes exposed to A β Os presented increased levels of reactive oxygen species (ROS; Fig. 1K,L) and extracellular nitrite (NO $_2^-$), a stable metabolite of NO (Fig. 1M). These results suggest that A β Os directly interact with astrocyte membranes, are internalized, and impact astrocyte physiology and morphology.

Soluble factors released by murine and human astrocyte cultures reduce A β O binding to neurons and protect synapses

Astrocytes are a major source of soluble factors that regulate cell survival and synaptogenesis (Araque et al., 1999; Clarke and Barres, 2013; Diniz et al., 2014a). Thus, we next assessed whether soluble factors released to the CM by healthy astrocytes could interfere with the binding of A β Os to hippocampal neurons in

purified cultures. Cultured neurons treated with CM presented a 53% decrease in A β O binding to their membranes (Fig. 2A,B,D), whereas CM from A β O-exposed astrocyte cultures (CM A β O) reduced binding to a significantly lesser extent (34%; Fig. 2C,D).

We next asked whether the reduction in A β Os binding to neurons promoted by astrocyte CM was associated with protection of synapses and dendritic spines. In line with previous studies (Brito-Moreira et al., 2017), neuronal exposure to A β Os for 3 h decreased synapse density by 33% (Fig. 2L). Interestingly, CM from healthy astrocytes not only completely prevented the decrease in spine density induced by A β Os (Fig. 2E–H) but potently induced synaptogenesis (Fig. 2I–L), even in the presence of A β Os. We further note that CM derived from astrocytes exposed to A β Os afforded significantly less protection against A β O-induced synaptotoxicity (Fig. 2H,L).

Although their mechanism of action has been less investigated, human astrocytes are important modulators of synapse formation and function (Diniz et al., 2012, 2014b; Han et al., 2013). Thus, we next asked whether soluble factors released by *ex vivo* human astrocytes could also protect neurons from the toxic impact of A β Os. We found that human astrocyte conditioned medium (HCM) completely abolished A β O binding to murine neurons (Fig. 3A,B,D), whereas a 59% decrease in binding was observed in neurons treated with CM from human astrocytes

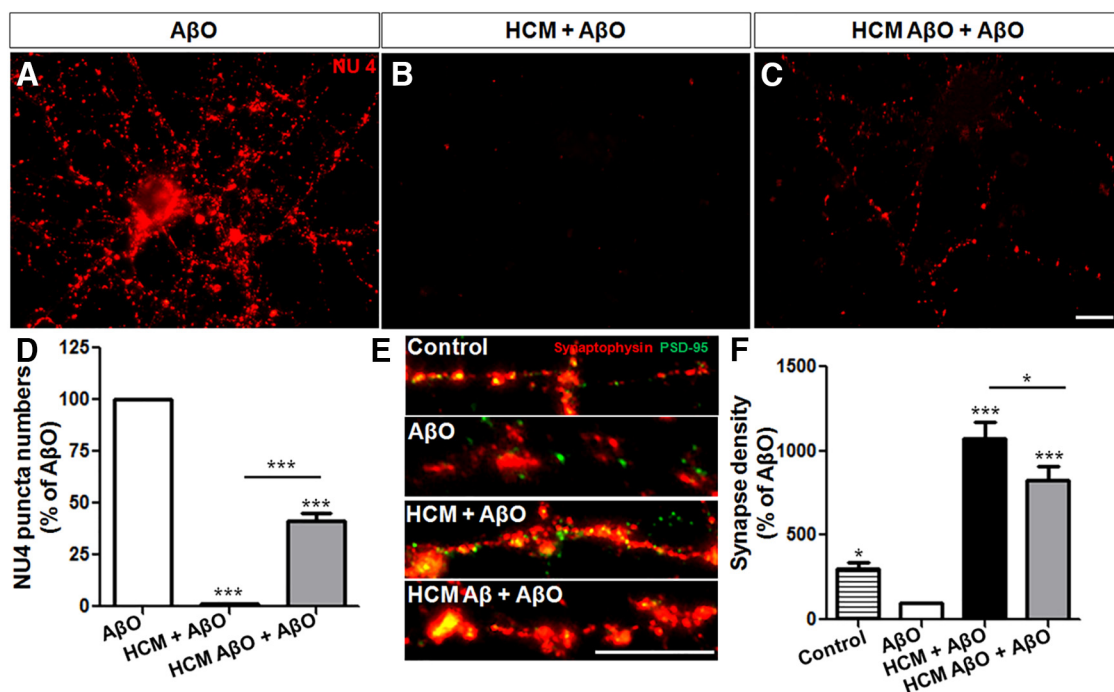


Figure 3. HCM abolishes A β O binding to hippocampal neurons and synapse loss. Mature mouse hippocampal neurons (19–21 DIV) were maintained for 30 min in the absence (**A**) or presence (**B**) of HCM, or CM from human astrocytes previously primed by A β O (HCM A β O; **C**), and were then exposed for 3 h to 500 nM A β O. After this period, A β O binding (**A–D**) and density of synapses (**E, F**) were analyzed by quantification of the number of NU4 and synaptophysin/PSD-95 puncta, respectively. Fifteen images were acquired from duplicate coverslips for each experimental condition. Scale bar, 10 μ m. * p < 0.050, *** p < 0.001, one-way ANOVA followed by Tukey's *post hoc* tests; n = 3 experiments with independent neuronal cultures; 90–100 cells were analyzed per experimental condition.

previously exposed to A β O (HCM A β O; Fig. 3C,D). Additionally, both HCM and HCM A β O prevented A β O-induced damage to synapses (Fig. 3E,F). Similar to the observations described above with murine CM, we found that, even in the presence of A β O, HCM induced a very significant increase in the number of synapses in hippocampal neurons. Additionally, exposure of human astrocytes to A β O impaired HCM synaptogenic potential (Fig. 3F).

Altogether, these results demonstrate that soluble factors released by murine and, notably, human astrocytes prevent A β O binding to neurons and protect synapses *in vitro*. Further, we show that prior exposure to A β O partially impairs the synaptogenic potential of murine and human astrocytes.

Astrocyte-derived TGF- β 1 protects neurons against A β O-induced synapse loss

We have previously reported that TGF- β 1 secreted by astrocytes regulates excitatory synapse formation (Diniz et al., 2012). To determine whether TGF- β 1 was involved in the synaptoprotective actions of astrocytes against A β O, we downregulated TGF- β 1 activity/signaling by three different approaches: 1) pharmacological inhibition, 2) use of a neutralizing antibody, and 3) RNAi-mediated knock-down of TGF- β expression.

First, we added SB-431542, a TGF- β receptor antagonist, to CM or CM A β O before their addition to hippocampal neuronal cultures. Although SB-431542 had no effect on the protective action of CM A β O on synapses, we found that the TGF- β antagonist blocked the protective effect of CM on synapses by 35%, which we evaluated by quantification of the number of colocalized synaptophysin/PSD-95 puncta (Fig. 4A–F).

We next knocked down TGF- β 1 expression in astrocytes using siRNA (Fig. 4G–J,M) and immunodepleted TGF- β 1 from

the astrocyte conditioned medium using a neutralizing antibody (Fig. 4K–M). Both approaches severely impaired the capacity of astrocyte CM to protect against A β O toxicity (Fig. 4G–M).

We further tested the effect of exogenous purified TGF- β 1 (10 ng/ml) applied to neuronal cultures 30 min before exposure to A β O. Purified TGF- β 1 decreased A β O binding to neurons to a similar extent as CM, suggesting that boosting TGF- β 1 signaling protects neurons from A β oligomers (Fig. 4N–Q).

We next verified whether hippocampal astrocytes produce TGF- β 1 *in vitro* (Fig. 5A,B) and *in vivo* (Fig. 5D,E). TGF- β 1 immunostaining was found in the intracellular compartments of both cultured hippocampal astrocytes (Fig. 5A,B) and in astrocytes in the CA1 hippocampal subfield (Fig. 5D,E). Exposure of cultured astrocytes to A β O slightly decreased the levels of TGF- β 1 in these cells (Fig. 5C). Levels of TGF- β 1 were also reduced in the hippocampus of A β O-injected mice (Fig. 5F).

To fully correlate A β O effects with astrocytic TGF- β 1 production, we quantified levels of TGF- β 1 by immunohistochemistry in hippocampal astrocytes from mice intracerebroventricularly injected with A β O. We found a decrease in levels of astrocyte TGF- β 1 in A β O-injected mice, indicating that A β O impact TGF- β 1 production by astrocytes *in vivo* (Fig. 5G–I).

Collectively, results suggest that A β O-induced decrease in TGF- β 1 levels in (and its secretion by) astrocytes renders neurons more vulnerable to the synaptotoxicity of A β O.

TGF- β 1 protects against synapse loss and memory impairment triggered by A β O

Previous studies have shown that intracerebroventricular infusion of A β O reduces synaptophysin and PSD-95 levels in the hippocampi of mice (Figueiredo et al., 2013; Lourenco et al., 2013) and monkeys (Forny-Germano et al., 2014). To determine

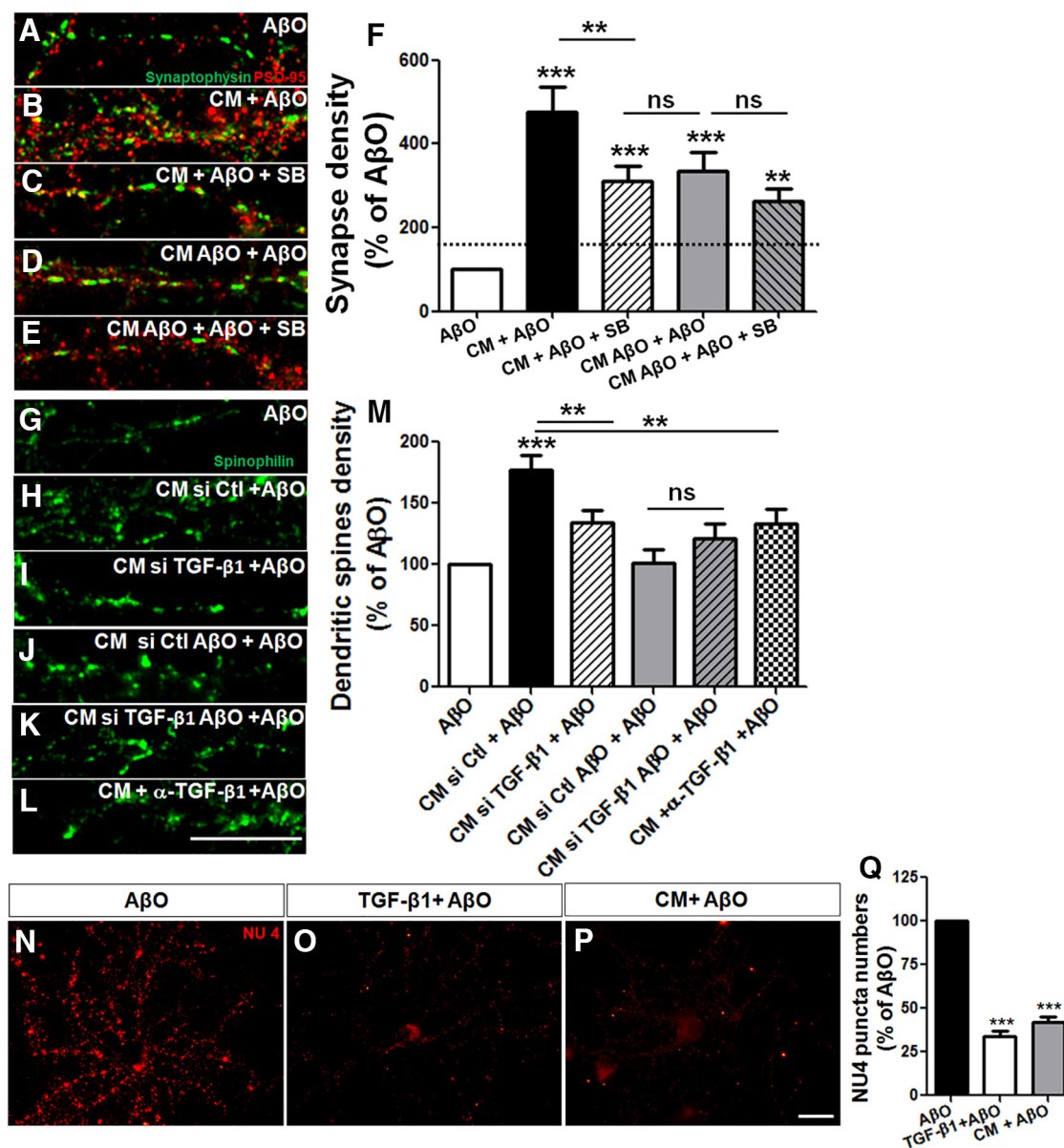


Figure 4. Astrocyte protection against A β Os is mediated by TGF- β 1. Mature hippocampal neurons (14 DIV) were maintained for 30 min in the absence or presence of CM derived from astrocyte cultures (CM) or CM derived from astrocyte cultures previously primed by A β O (CM A β O), and were then exposed for 3 h to 500 nM A β Os. When present, 10 μ M SB-431542 was added 30 min before CM addition. Synapse density was evaluated by double immunocytochemistry for PSD-95 and synaptophysin and determination of juxtaposed puncta (A–F). A–E, Representative images of dendritic segments under different experimental conditions. Density of dendritic spines were analyzed by spinophilin labeling in neurons cultured for 12 DIV and maintained in different CM plus A β Os. Neurons were treated with CM siRNA control (CM si Ctl), CM siRNA for TGF- β 1 (CM si TGF- β 1), or in the presence of neutralizing antibody against TGF- β 1 (CM + α -TGF- β 1). Representative dendrites of spinophilin labeling (G–L) and quantification of the density of dendritic spines (M). Prior addition of 10 ng/ml purified TGF- β 1 to A β O-exposed neurons mimics the effect of CM and impairs A β O binding to neurons (measured by the quantification of the number of NU4 puncta; N–Q). Scale bars: 10 μ m. ** p < 0.010 and *** p < 0.001, one-way ANOVA followed by Tukey's *post hoc* tests. F, M, Q, n = 3–4 experiments with independent neuronal cultures. A total of 90–100 cells (F), 37–40 cells (M), and 60–95 cells were analyzed per experimental condition (Q).

the ability of TGF- β 1 to protect synapses from the deleterious impact of A β Os *in vivo*, we assessed the effect of A β O infusion on the levels of drebrin, a marker of dendritic spines, in the mouse brain. Intracerebroventricular infusion of 10 pmol A β Os caused a 63% decrease in drebrin immunoreactivity in the hippocampal CA1 region of mice (Fig. 6B,D). Intracerebroventricular infusion of 10 ng TGF- β 1 30 min before the injection of A β Os fully prevented A β O-induced decrease in drebrin levels (Fig. 6A–D). Western blotting analyses further showed that, whereas A β Os decreased the levels of the synaptic proteins, drebrin, PSD-95 and synaptophysin, TGF- β 1 restored the levels of these proteins *in*

vivo (Fig. 6E,F). Further, TGF- β 1 rescued the atrophy of astrocyte processes induced by A β Os *in vivo* (Fig. 6G–J). These results establish that TGF- β 1 protects astrocytes and neuronal synapses from the deleterious effects of A β Os.

Synaptic and memory loss is well characterized in several animal models of AD (LaFerla and Green, 2012). Thus, we sought to determine the effects of TGF- β 1 on memory impairment caused by A β Os in mice. To do that, 10 ng TGF- β 1 was administered intracerebroventricularly 30 min before the infusion of 10 pmol A β Os (i.c.v.). We initially evaluated locomotor and exploratory activities of mice in different experimental conditions. Mice were

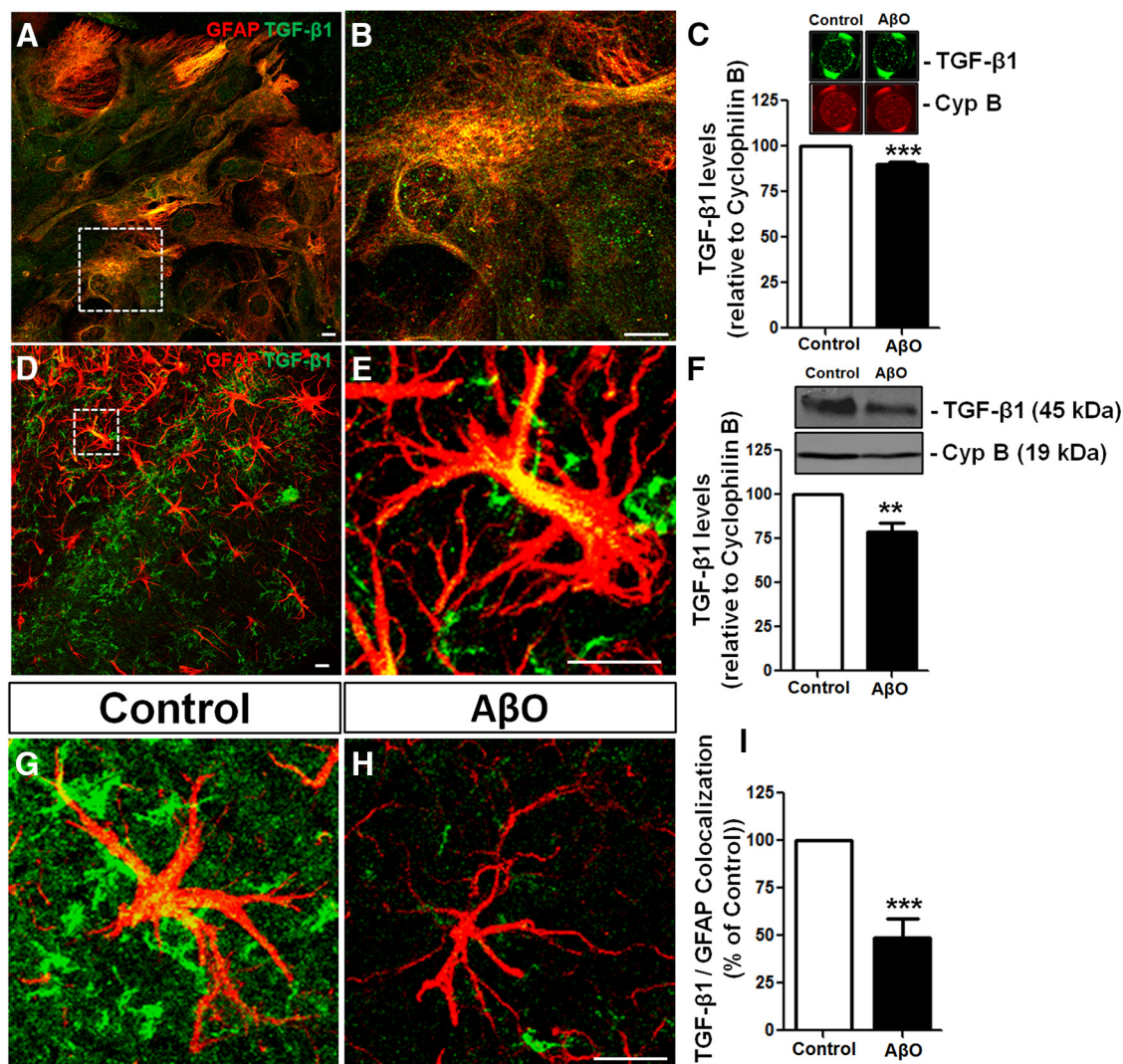


Figure 5. Exposure to A β Os reduces levels of astrocyte TGF- β 1 *in vitro* and *in vivo*. TGF- β 1 immunoreactivity in hippocampal astrocytes *in vitro* (A–C) and *in vivo* (D–I). Intracerebroventricular infusion of A β Os in mice and exposure of astrocyte cultures to A β Os reduced TGF- β 1 levels *in vitro* (C, in-cell Western), and *in vivo* (F, Western blotting; G–I, immunohistochemistry). Scale bars: A, B, 10 μ m; D, E, H, 30 μ m. ** p < 0.010 and *** p < 0.001, Student's t test (C, F, I); n = 5 experiments with independent astrocyte cultures, and three animals per experimental group; 30–35 cells were analyzed per experimental condition (I).

allowed to freely explore an empty arena in a 5-min-long habituation session, and the numbers of rearings (elevations on rear paws) and of lines crossed in the arena floor were recorded. No differences were found in rearings or crossings between experimental groups (Fig. 7A, B), indicating that A β O infusion or treatment with TGF- β 1 had no effect on locomotor/exploratory activities. We next (48 h after A β Os infusion) performed the novel object recognition test, a nonaversive declarative memory test, in mice treated or not with TGF- β 1. In the training session, animals were placed at the center of the arena in the presence of two objects for 5 min and the amount of time spent exploring each object was determined. Results indicated that the mice had no preference for any of the objects (Fig. 7C). In the test session, one of the objects was replaced by a new one. As expected, vehicle-injected mice learned the task and spent more time exploring the novel object. In contrast, and in line with our previous studies (Figueiredo et al., 2013; Lourenco et al., 2013) mice injected with 10 pmol A β Os failed to acquire the OR memory. Remarkably, prior treatment with 10 ng TGF- β 1 blocked A β O-induced cognitive impairment in mice (Fig. 7D).

Discussion

In the current study, we have identified a molecular mechanism by which astrocytes protect synapses and cognitive function against A β Os, soluble neurotoxins thought to be responsible for synaptic deterioration underlying Alzheimer's memory loss. We showed that A β Os trigger astrocyte activation and oxidative stress, decrease production of TGF- β 1 and impair the synapse-protective function of astrocytes against A β Os. Additionally, we showed that TGF- β 1 prevents A β Os damage *in vivo*, suggesting that boosting astroglial TGF- β 1 pathway may provide a useful strategy for treatment of the early stages of AD.

The role of astrocytes in A β processing and AD pathogenesis has been a controversial matter. Although reactive astrocytes have been suggested to participate in the clearance and degradation of β -amyloid *in vivo* and *in vitro* (Matsunaga et al., 2003; Nagele et al., 2003; Wyss-Coray et al., 2003; Alarcón et al., 2005; Allaman et al., 2010; Verkhratsky et al., 2010), the effects of A β peptides, specifically A β Os, and their interaction with astrocytes, remain uncertain. Here, we demonstrated that A β Os interact

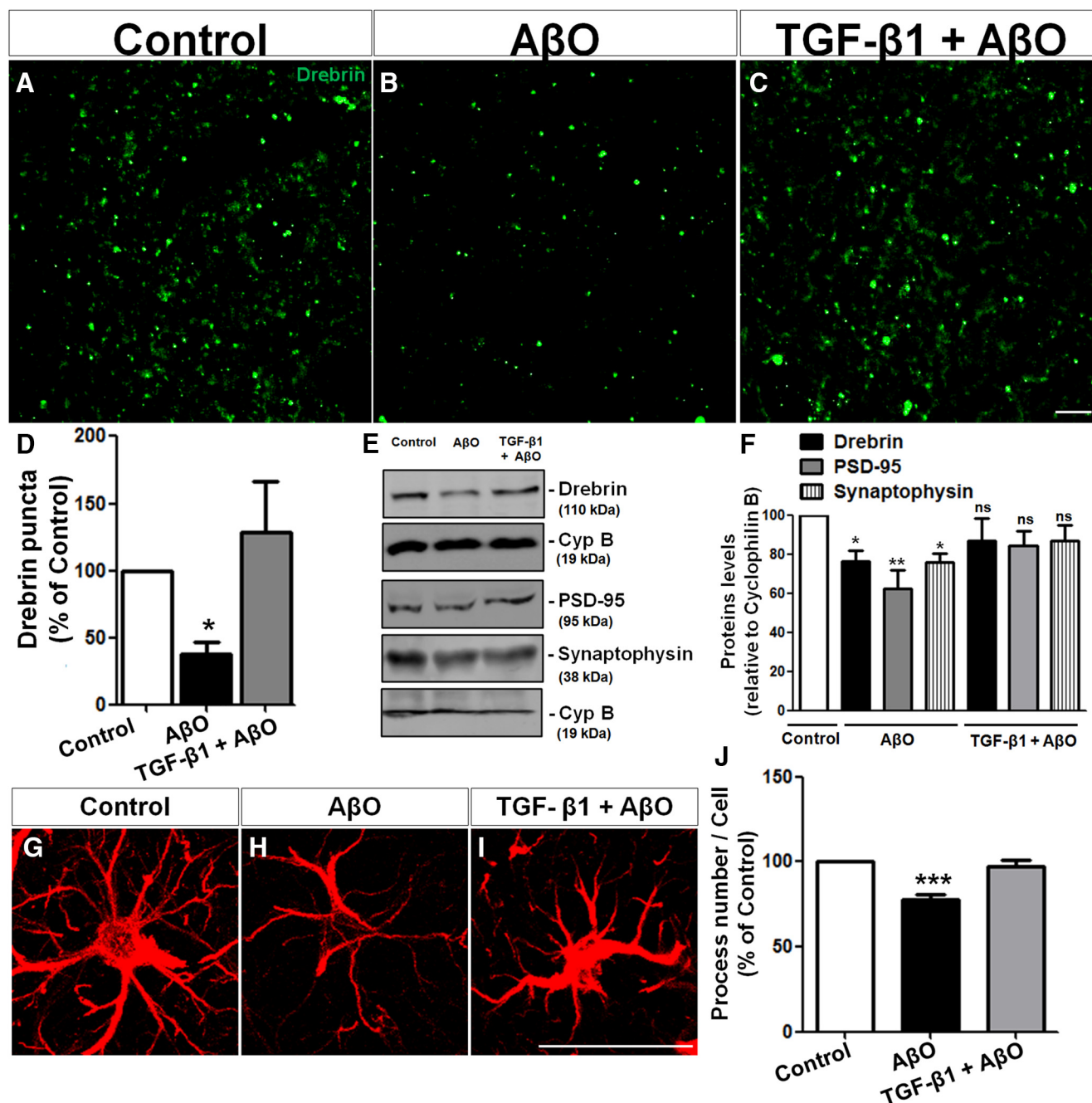


Figure 6. TGF- β 1 prevents A β 0-induced synapse loss *in vivo*. Vehicle (**A**), A β 0 (**B**), or TGF- β 1 + A β 0 (**C**) were infused intracerebroventricularly in mice. After 48 h, animals were submitted to histological evaluation of dendritic spines (Drebrin staining; **D**) in the CA1 region of the hippocampus, and Western blot analysis of the levels of the synaptic proteins, Drebrin, synaptophysin, and PSD-95 (**E**, **F**). Astrocytes from hippocampus were morphologically analyzed by GFAP staining followed by process counting (**G–I**). A β 0s induce loss of dendritic spines and astrocytic process atrophy, whereas TGF- β 1 prevents these deficits. Scale bars, 30 μ m. * p < 0.050, ** p < 0.010, and *** p < 0.001; n = 3, one-way ANOVA followed by Tukey's *post hoc* tests.

with astrocyte membranes, trigger oxidative stress and impact astrocyte function. Multiple receptors and neuronal membrane proteins have been implicated in A β 0s binding to the excitatory synaptic terminal, including the NMDA (De Felice et al., 2007), insulin receptors (Zhao et al., 2008; De Felice et al., 2009), AMPA (Zhao et al., 2010), Wnt receptors (Magdesian et al., 2008), and PrP^C (Laurén et al., 2009; Beraldo et al., 2016). Although astrocytes express most of these molecules, potential A β 0s binding sites in astrocytes remain to be determined.

We also found that intracerebroventricular injection of A β 0s induces atrophy of astrocytes in the mouse hippocampus. Reduction of astroglial volume, surface area and astrocyte morpholog-

ical complexity has been described in two transgenic mouse models of AD (3xTg-AD and PDAPP-J20 mice; Olabarria et al., 2010; Yeh et al., 2011; Kulijewicz-Nawrot et al., 2012; Beauquis et al., 2013). In early stages of AD, astrocytes undergo degeneration and loss of cellular processes, which appear to contribute to the progression of synapse loss and early cognitive deficits (Rodríguez-Arellano et al., 2016). In later stages of AD, however, there is a chronic neuroinflammation and reactive astrocytes are found associated with neuritic plaques, a feature commonly found in animal models and in diseased human tissue. These results are in agreement with the notion that astrocytes surrounding neuritic plaques undergo robust hypertrophy and proliferation, forming

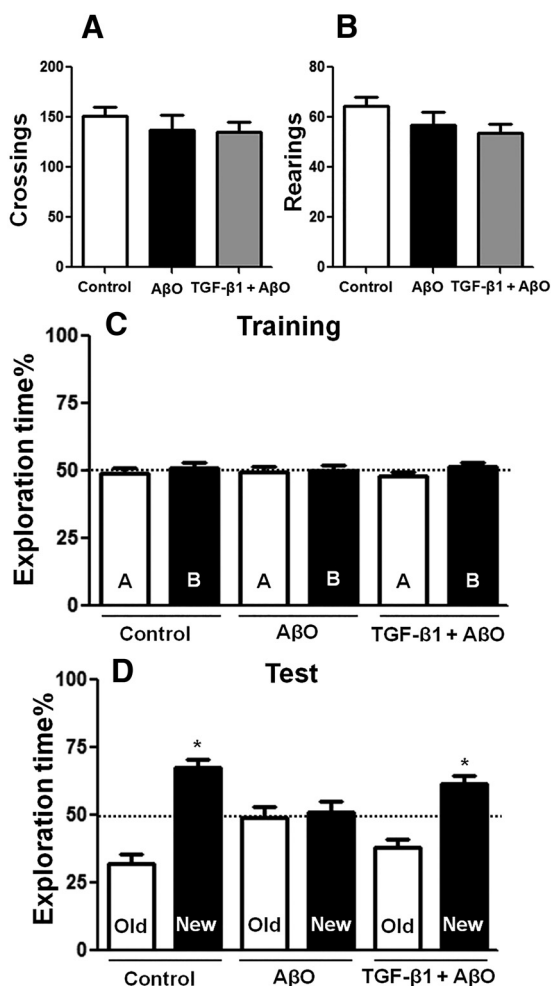


Figure 7. TGF- β 1 prevents A β O-induced memory impairment. Vehicle (A), A β O (B), or TGF- β 1 + A β O (C) were infused intracerebroventricularly in mice. After 48 h, animals were submitted to behavior assays, crossing (A), rearing (B), and recognition memory of objects (C, D). TGF- β 1 significantly prevented the cognitive impairment triggered by A β O. * p < 0.001; n = 7–9, Student's t test comparing the mean exploration time for each object with the fixed value of 50%.

the glial scar, whereas astrocytes more distal from the local plaque injury not necessarily undergo such alterations, although they present metabolic defects (Rodríguez-Arellano et al., 2016).

Astrocytes are an important source of soluble factors that actively participate in synapse formation, maintenance and elimination (Clarke and Barres, 2013; Diniz et al., 2014a). Here, we showed that treatment of neurons with astrocyte CM reduces A β O binding to neurons and synapse loss induced by A β O. We also found that CM from healthy astrocytes is more effective in maintaining synapses than CM from astrocytes previously exposed to A β O, indicating that A β O impair the synaptogenic potentials of both murine and human astrocytes.

Our results show that A β O reduce levels of astrocyte TGF- β 1 *in vitro* and *in vivo*, suggesting that A β O downregulate astrocyte production of factors that attenuate oligomer binding to neurons and neurotoxicity. Likewise, exposure of cultured astrocytes to A β has been shown to decrease the release of the synaptogenic molecule, TSP-1, resulting in decreased levels of synaptic proteins in hippocampal neurons (Rama Rao et al., 2013). Although we cannot rule out production of TGF- β 1 by other cell types *in vivo*, our results showing that hippocampal astrocytes produce TGF- β 1 *in vivo* and that A β O decrease the levels of TGF- β 1 *in*

vitro and *in vivo* suggest that decreased levels of TGF- β 1 and TGF- β 1 signaling in astrocytes might be one of the mechanisms of A β O-induced synaptotoxicity.

In addition to decreased production and release of synaptogenic molecules, such as TSP and TGF- β 1, we cannot completely rule out that secretion of toxic molecules by astrocytes may also contribute to A β O-induced impairment of synaptogenic potential of astrocytes. Such toxic molecules could comprise, among others, soluble A β 40 and A β 42 peptides (Qiao et al., 2016), the inhibitory neurotransmitter, GABA (Jo et al., 2014), and oxidative stress molecules (shown here) secreted by astrocytes in response to A β O or in AD transgenic models.

In agreement with our results, a study using a transgenic AD mouse model indicated that astrocytes display reduced expression of neuronal support genes and genes involved in neuronal communication (Orre et al., 2014). They argued that A β -induced astrocyte reactivity might compromise normal astrocyte phenotype and function, leading to a less favorable support for neurons, thus contributing to the neuronal dysfunction and cognitive decline in AD.

Early stages of the neurodegenerative process of AD are associated with astroglial dysfunction, including deficits in glutamate and K⁺ buffering, glutamate-glutamine cycling, and oscillations in cytosolic calcium concentrations, leading to disruption in synaptic connectivity, and, ultimately, to neuronal death (Osborn et al., 2016; Rodríguez-Arellano et al., 2016). Data presented here extend this scenario, suggesting that astrocyte dysfunction may contribute to synaptic deficit in early phases of AD, when A β plaques and neuronal degeneration are not yet present.

We found that inhibition of TGF- β 1 signaling partially abrogates the protection of synapses conferred by astrocytes, suggesting that TGF- β 1 is a key factor in astrocyte protection against A β O. It is interesting to note that astrocyte CM, which contains TGF- β 1, not only protects synapses from A β O toxicity but also increases the density of synapses beyond the control level. This can be probably attributed to the synaptogenic activity of this cytokine, as observed here and in other works (Bae et al., 2011; Diniz et al., 2012, 2014b; Caraci et al., 2015).

TGF- β 1 is a pleiotropic molecule, which performs critical functions in nervous system repair and development (Diniz et al., 2014a). More recently, TGF- β 1 has been strongly implicated in synapse formation, transmission and plasticity (Aberle et al., 2002; Packard et al., 2003; Sanyal et al., 2004; Fukushima et al., 2007; Lacmann et al., 2007; Heupel et al., 2008; Fong et al., 2010; Sun et al., 2010; Diniz et al., 2012, 2014b; Caraci et al., 2015). Deregulation of TGF- β signaling has been associated with a broad spectrum of behavioral abnormalities, including cognitive impairment, affective disorders, and deficits in sensorimotor gating (Vivien and Ali, 2006; Graciarena et al., 2010; Sun et al., 2010; Kriegstein et al., 2011). Our data showing that A β O decrease the levels of TGF- β 1 *in vitro* and *in vivo* are in agreement with the observation that TGF- β 1 levels are reduced in the plasma of AD patients, which might contribute to neuronal death and exacerbation of the neuro-inflammatory process (Mocali et al., 2004; Juraskova et al., 2010). Additionally, deficiency in TGF- β 1 signaling, including reduced expression of neuronal T β R1 and Smad3 and defects in subcellular localization and nuclear translocation of phosphorylated Smad2/3 (von Bernhardt et al., 2015), has been reported in postmortem AD brain and in AD animal models and aged mice (Lee et al., 2006; Tesseur et al., 2006; Ueberham et al., 2006; Chalmers and Love, 2007; Tichauer et al., 2014; Caraci et al., 2015).

We showed here that an intracerebroventricular administration of TGF- β 1 prevented the deleterious effects of A β O in

memory impairment and synapse loss in mice. Our data thus extend two recent works that showed that TGF- β 1 prevents retinal and brain damage elicited by A β O (Chen et al., 2015; Fisi-chella et al., 2016). Intracerebroventricular administration of TGF- β 1 before A β _{1–42} injection has been shown to ameliorate A β _{1–42}-induced neurodegeneration and to prevent A β _{1–42}-induced increases in glia-derived proinflammatory mediators, as well as T-cell-derived proinflammatory cytokines, in the hypothalamus, serum and CSF of an AD rat model (Chen et al., 2015). Importantly, pretreatment with TGF- β 1 also prevented A β _{1–42}-induced decreases in levels of neurotrophic factors, including IGF-1, GDNF, and BDNF. Here, we found that TGF- β 1 rescues A β O-induced alterations in astrocyte morphology in mice. This result is corroborated by the observation that astrocytes from transgenic animals that overexpress TGF- β 1 present increased number and complexity of processes (Bae et al., 2011). Together, these data suggest that at least part of the deficits observed in A β O-injected mice might be due to astrocyte dysfunction and correlate to impairment of TGF- β 1 signaling.

TGF- β 1 secreted by astrocytes regulates microglia-mediated synaptic pruning through the complement cascade (Bialas and Stevens, 2013). The recent demonstration that microglia mediates early synapse loss in AD mouse models through the complement cascade (Hong et al., 2016) suggests that deficits in TGF- β 1 pathway reported in AD patients and here may contribute to impact astrocyte-microglia-synapse interactions in AD. Altogether, these data suggest a double mechanism underlying TGF- β 1 action against A β toxins: a direct reduction in A β O binding and synapse protection and/or strengthening, and an indirect effect via modulation of the synthesis and secretion of other factors involved in control of the neuroinflammation process.

Recently, two types of reactive astrocytes, called A1 and A2, have been suggested to play key roles in the progression of CNS diseases (Liddelow et al., 2017). A1 astrocytes are activated by microglia and produce large amounts of inflammatory molecules and complement cascade proteins, previously shown to be destructive to synapses (Bialas and Stevens, 2013). A2 astrocytes, on the other hand, exhibit increased release of neurotrophic factors and, therefore, aid in neuronal survival. In human AD, the presence of A1 astrocytes is abundant, which supports our hypothesis of the impairment of synaptogenic and protective astrocytic function, and the release of neurotoxic mediators (Liddelow et al., 2017), such as NO and ROS, verified here.

In conclusion, our results suggest a novel mechanism underlying astrocyte function in AD. We propose that, by enhancing TGF- β 1 signaling, astrocytes may protect neurons against A β O-induced synaptotoxicity. Additionally, we show that astrocytes are directly affected by A β O, which inhibit their ability to protect neurons. These findings open a new perspective to guide the search for novel therapeutic targets for AD, potentially focused on TGF- β signaling and astrocyte biology.

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