α -Synuclein (α Syn) Preformed Fibrils Induce Endogenous α Syn Aggregation, Compromise Synaptic Activity and Enhance Synapse Loss in Cultured Excitatory Hippocampal Neurons

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Synucleinopathies are characterized by the accumulation of insoluble α -synuclein (α Syn). To test whether α Syn aggregates modulate synaptic activity, we used a recently developed model in primary neurons for inducing α Syn pathology. We demonstrated that preformed fibrils (PFFs) generated with recombinant human α Syn compromised synaptic activity in a time- and dose-dependent manner and that the magnitude of these deficits correlated with the formation of α Syn pathology in cultured excitatory hippocampal neurons from both sexes of mice. Remarkably, acute passive infusion of α Syn PFFs from whole-cell patch-clamp pipette decreased mEPSC frequency within 10 min followed by induction of α Syn pathology within 1 d. Moreover, by direct addition of α Syn PFFs into culture medium, the formation of misfolded α Syn inclusions dramatically compromised the colocalization of synaptic markers and altered dynamic changes of dendritic spines, but the viability of neurons was not affected up to 7 d post-treatment with α Syn PFFs. Our data indicate that intraneuronal α Syn fibrils impaired the initiation of synaptogenesis and their physiological functions, thereby suggesting that targeting synaptic dysfunction in synucleinopathies may provide a promising therapeutic direction.

Key words: α -synuclein; dendritic spine; preformed fibrils; synaptic function; synucleinopathy

Significance Statement

Under pathological conditions, the presynaptic protein α -synuclein (α Syn) aggregates to form intraneuronal inclusions. To understand how and to what extent α Syn aggregates modulate synaptic activity before neuron loss, we demonstrate that α Syn preformed fibrils (PFFs) reduced synaptic activity in a dose- and time-dependent manner. The magnitude of these deficits correlated with the deposition of α Syn pathology, which dramatically compromised the colocalization of synaptic markers and altered the dendritic spine dynamics. The present work further highlights the impact of α Syn PFFs on synaptogenesis and physiological function, which may be applicable to other types of synucleinopathies.

Introduction

α -Synuclein (α Syn) is a soluble presynaptically-localized protein that together with the soluble N-ethylmaleimide-sensitive factor

attachment protein receptor (SNARE) complex and the cysteine string protein α (CSP α) chaperone (Chandra et al., 2005; Burré et al., 2010), facilitates vesicle pool refilling and vesicle release at the active zone (Murphy et al., 2000; Nemani et al., 2010; Scott and Roy, 2012; Burré et al., 2014). Under pathological conditions, this soluble protein undergoes several post-translational modifications (Burré et al., 2018) and forms higher-order oligomeric and fibrillar species, leading to conformationally and biologically distinct strains (Peng et al., 2018). These inclusions are referred to as

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Lewy bodies (LBs) and Lewy neurites (LNs) in Parkinson's disease (PD), PD with dementia (PDD), and dementia with Lewy bodies (DLB) or glial cytoplasmic inclusions (GCIs) in multiple system atrophy. Furthermore, neurodegenerative diseases with α Syn pathology are termed synucleinopathies, but LBs and LNs also occur commonly in Alzheimer's disease (AD; Irwin et al., 2013). In addition, α Syn monomers might also be involved in synucleinopathies by altering stress signaling as shown in yeast and human cells (Wang et al., 2012).

Mounting evidence from postmortem studies, animal models, and primary culture systems, as well as from biochemical and biophysical investigations, have established that the presence of α Syn oligomers and/or fibrillar structures are essential for the pathogenesis of synucleinopathies, including the spreading of pathological α Syn (Dickson et al., 1989; Tsigelny et al., 2008; Desplats et al., 2009; Volpicelli-Daley et al., 2011; Luk et al., 2012a,b; Masuda-Suzukake et al., 2013). These pathogenic oligomeric or fibrillized α Syn species cause functional deficits and neuron loss both *in vitro* and *in vivo* (Volpicelli-Daley et al., 2011; Winner et al., 2011; Luk et al., 2012b; Peelaerts et al., 2015). Moreover, structurally distinct α Syn strains can also promote tau inclusion formation *in vitro* (Guo et al., 2013). However, the molecular mechanism of how α Syn accumulates into insoluble inclusions and contributes to neurodegeneration in these disorders remain elusive.

Extracellular α Syn affects synaptic transmission by either altering plasma membrane permeability (Pacheco et al., 2015) or fragmenting lipid rafts (Emanuele et al., 2016), resulting in an increase in intracellular calcium that can lead to neuron death. Prior biophysical and size-exclusion chromatography studies identified sodium dodecyl sulfate (SDS)-resistant oligomers as toxic forms of α Syn, which induced neurodegeneration and abnormal calcium currents in primary neuron cultures (Danzer et al., 2007). Oligomeric forms of α Syn have also been reported to be toxic *in vivo* (Winner et al., 2011) and we found that α Syn preformed fibrils (PFFs) derived from the aggregation of recombinant α Syn monomers can initiate the formation of α Syn pathogenic inclusions in nontransgenic or wild-type (WT) neurons and mice, as well as propagate pathological α Syn from one neuron to another (Volpicelli-Daley et al., 2011; Luk et al., 2012a,b). The α Syn fibrils found in LBs, LNs, and GCIs adopt the β -sheet conformation characteristic of amyloids (Tuttle et al., 2016), which could elicit a gain of toxic function (Volpicelli-Daley et al., 2011; Luk et al., 2012b). However, by what means and to what extent α Syn aggregates modulate synaptic activity before neuron loss remains unknown.

In the present study, we investigated the effects of α Syn PFF treatment on synaptic activity and neuron viability. We found that α Syn PFFs compromised both spontaneous as well as miniature excitatory and inhibitory synaptic responses, and the magnitude of this effect correlates with the degree of α Syn inclusion formation inside neurons. Following direct administration of α Syn PFFs via whole-cell patch-clamp pipettes into single neurons, synaptic activity decreased within 10 min. The compromised synaptic activity was a consequence of dysfunction of synapses and unbalanced dynamics of dendritic spines. These findings implicate α Syn fibrils-mediated synaptic dysfunction in the initial pathogenesis of PD, PDD, DLB, and AD with concomitant LBs.

Materials and Methods

Animals. The use and care of animals were in accordance with the NIH *Guide for the Care and Use of Laboratory Animals* and they were approved

by the University of Pennsylvania Institutional Animal Care and Use Committee. Pregnant CD1 or α Syn knock-out mice (E16–E18) were used for isolation of hippocampal neurons. Mice were housed under a 12 h light/dark cycle with *ad libitum* access to food and water. Both male and female mice were used in this study.

Recombinant α Syn purification and in vitro fibrillization. Full-length human α Syn (1–140) proteins were expressed in BL21 (DE3) RIL cells and purified as previously described (Giasson et al., 2001). Fibrillization was conducted by diluting recombinant α Syn to 5 mg/ml in sterile Dulbecco's PBS (Cellgro, Mediatech; pH adjusted to 7.0, without Ca^{2+} or Mg^{2+}) followed by incubating this recombinant α Syn at 37°C with constant agitation at 1000 rpm for 7 d. Successful α Syn fibrillization was verified by electron microscopy, sedimentation studies and a thioflavin T-binding assay as described previously (Volpicelli-Daley et al., 2014). Assembled α Syn PFFs were aliquoted and stored at -80°C .

Primary neuronal cultures and fibrils transduction. Primary mouse neurons were prepared from E16–E18 CD1 mouse embryos (Charles River Laboratories) and α Syn knock-out mice (Abeliovich et al., 2000) as previously described (Volpicelli-Daley et al., 2014). All procedures were performed according to the NIH *Guide for the Care and Use of Laboratory Animals* and were approved by the University of Pennsylvania Institutional Animal Care and Use Committee. Dissociated hippocampal neurons were plated onto poly-D-lysine-coated coverslips (Carolina Biological Supply) with cell densities at 100,000/well per 24-well plate. Most experiments were performed at 14–19 d *in vitro* (DIV). α Syn PFFs were diluted in Dulbecco's PBS (without Ca^{2+} or Mg^{2+}) and sonicated (QSonica Microson XL-2000; 60 pulses; setting 2–3; 0.5 s per pulse). Neurons were then treated with PBS or sonicated α Syn PFFs at 7–11 DIV and fixed for Immunocytochemistry (ICC) at 7 d post-treatment or performed whole-cell patch-clamp recording at indicated time points.

Electrophysiology and data analysis. Hippocampal pyramidal neurons were selected for whole-cell patch-clamp recordings based on their morphology on an inverted microscope (Leica, DM-IRB). Data were collected with an Axopatch-700B amplifier, a Digidata-1440A digitizer and pClamp 10.2 software (Molecular Devices), low-pass filtered at 2 kHz, and digitally sampled at 10 kHz. Patch pipettes (4.0–6.0 M Ω) were pulled from borosilicate glass (Sutter Instruments) and filled with one of two types of pipette solution. For spontaneous EPSCs (sEPSC) or miniature EPSCs (mEPSC) recordings, the pipette solution contained the following (in mM): 120.0 Cs-methanesulfonate, 0.6 EGTA, 2.8 NaCl, 5.0 MgCl₂, 2.0 ATP, 0.3 GTP, 20.0 HEPES, and 5.0 QX-314, and adjusted to pH 7.2 with CsOH (305–310 mOsm). The standard extracellular solution contained the following (in mM): 119.0 NaCl, 2.5 KCl, 2.0 CaCl₂, 2.0 MgCl₂, 25.0 HEPES, and 30.0 D-glucose. The pH was adjusted to 7.4 with NaOH. sEPSCs were recorded in the presence of 10 μM bicuculline to block GABAergic synaptic currents in the extracellular solution, whereas mEPSCs were recorded in the presence of both 10 μM bicuculline and 1 μM tetrodotoxin (TTX; Abcam) in the extracellular solution. Recordings were made at a holding potential of -70 mV. QX-314 was added to the pipette solution to block the GABA_B-mediated currents and to prevent the generation of Na^{+} -dependent action potentials. For miniature IPSCs (mIPSC) recordings, the pipette solution contained the following (in mM): 140.0 CsCl, 0.1 EGTA, 1.0 MgCl₂, 4.0 NaCl, 2.0 MgATP, 0.3 Na₂GTP, 10.0 HEPES, and 5.0 QX-314 (adjusted to pH 7.2 with CsOH, 305–310 mOsm). The standard extracellular solution contained the following (in mM): 146.0 NaCl, 2.5 KCl, 2.0 CaCl₂, 3.0 MgCl₂, 10.0 HEPES, and 10.0 D-glucose (pH was adjusted to 7.4 with NaOH). Recordings were made at a holding potential of -60 mV. mIPSC was recorded in the presence of 20 μM DNQX, 50 μM DL-AP5, and 1 μM TTX in the extracellular solution. QX-314, DNQX, DL-AP5 and bicuculline were purchased from Tocris Bioscience. sEPSC, mEPSC, and mIPSC were analyzed offline using Clampfit 10.7 (Molecular Devices) and Igor 5.3 (Wavemetrics). Recordings with series resistance >20 M Ω were excluded from analysis. Synaptic responses were analyzed as described previously (Zhu et al., 2017). Synaptic events >5 pA were detected after creating a unique template for each neuron. All recordings were performed at room temperature (25°C). All data are presented as mean $\pm$ SEM. Investigators were blinded to the treatments or genotypes of the animals during experiments.

Immunocytochemistry. Neurons were fixed with 4% paraformaldehyde (PFA) and 4% sucrose in PBS for 15 min at room temperature (RT), followed by permeabilization with 0.1% Triton X-100 for 15 min, then blocked with 3% fetal bovine serum and 3% bovine serum albumin in PBS (blocking buffer) for 1 h at RT. Neurons were incubated overnight at 4°C in primary antibodies diluted in blocking buffer followed by AlexaFluor-conjugated secondary antibodies (Invitrogen) diluted in blocking buffer for 1.5 h at RT. Images were captured on an LSM 710 inverted confocal microscope (Carl Zeiss), and then processed with MBF ImageJ software (National Institutes of Health). Colocalization analysis was performed using ZEN 2010 (Carl Zeiss) with default M1 and M2 coefficients. For the imaging of dendritic spines, GFP-expressing lentivirus was transfected at 10 DIV, and PBS or α Syn PFFs added at 11–12 DIV. Neurons were fixed 1 week later and z-stack confocal imaging was performed. Images were z-projected for the analysis of spine densities and the spine subtypes detection with NeuronStudio software (Rodriguez et al., 2008). For the passive infusion of α Syn PFFs from the patch pipettes, sonicated α Syn PFFs (5 μ M) were diluted into the pipette solution to assess the effect of α Syn PFFs on synaptic responses. After infusion, neurons were cultured for another 20 h, then fixed, and followed with ICC procedures.

Biocytin labeling. During whole-cell patch-clamp recording, 5% AlexaFluor 594 biocytin (Sigma-Aldrich) was added into the pipette solution to label recorded neurons. The dye was allowed to infuse for 15–20 min under whole-cell patching condition, then the recording pipette was gently removed and cells were fixed with PFA followed by ICC.

Immuno-EM. Immuno-electron microscopy (immuno-EM) was performed as previously described (Volpicelli-Daley et al., 2011).

Live imaging of spine dynamics. Hippocampal neurons were transfected with GFP-expressing lentivirus at 10 DIV, whole-cell patch-clamp was performed 1 week later with 5 μ M α Syn PFFs, α Syn monomers or PBS in the pipette solution. Laser scanning confocal microscopy (Olympus, FV-1000) equipped with Ar-ion laser (488 nm) and HeNe laser (532 nm) was used to capture spine dynamics. Confocal z-stack images were taken every 30 s during recording. Images were z-stack projected and analyzed with ImageJ software (National Institutes of Health).

Data analysis. All experiments were performed with controls side-by-side and in random order and they were replicated at least three times. Data are shown as mean $\pm$ SEM. Statistical comparisons were performed with the two-tailed Student's *t* test, one-way ANOVA or two-sample Kolmogorov–Smirnov test (K–S test) as indicated. The distribution of the variables in each experimental group was approximately normal. All tests were conducted using Statistical Package for the Social Sciences (SPSS) version 20.0. Significant differences were accepted at $p < 0.05$.

Results

α Syn PFFs decreased synaptic activities in a dose- and time-dependent manner

Oligomeric or fibrillized conformations of α Syn have been reported to induce neuronal functional deficits and neuron loss both *in vitro* and *in vivo* (Volpicelli-Daley et al., 2011; Winner et al., 2011; Luk et al., 2012b; Peelaerts et al., 2015; Peng et al., 2018). When and how misfolded α Syn causes functional deficits and compromise neuronal survival remains elusive. To investigate whether α Syn PFFs impair synaptic activity, we exposed neurons with different dosages of α Syn PFFs at 7 DIV and recorded synaptic activity 1 week later (Fig. 1A). Compared with PBS controls, the mEPSC frequency was decreased when neurons were treated with α Syn PFFs at 0.5 μ g/well or at higher dosages, but the peak amplitude remained unchanged at any dosages we tested (Fig. 1B,C). What's more, the mIPSC frequency was also significantly decreased at one-week post- α Syn PFFs treatment at low and higher dosages (Frequency: PBS, 2.53 ± 0.42 Hz; 0.5 μ g PFF, 1.47 ± 0.31 Hz, $^{**}p < 0.01$; 2 μ g PFF, 1.55 ± 0.35 Hz, $^{*}p < 0.05$). Next, we studied the effect of α Syn PFFs on sEPSC that are driven by action potentials. Both the peak amplitude and the sEPSC frequency were compromised at 0.5 μ g/well PFFs and higher

dosages after one-week of continuous exposure of the neurons to α Syn PFFs (Fig. 2), indicating that α Syn PFFs reduced either neuronal survival, neuronal excitability or the number of synapses (such as in the next section). What's more, the frequency of action potential (AP) was reduced $\sim 50\%$ after PFF treatment for 7 d (number of AP spikes in PBS: PFF = 9.8 ± 0.7 : 4.7 ± 0.8 , $^{***}p < 0.001$), where both input resistance and whole-cell membrane capacitance remained unchanged [input resistance, PBS: PFF is 397.5 ± 53 M Ω : 408.4 ± 30 M Ω (no significance); whole-cell membrane capacitance in PBS: PFF is 85.2 ± 5.2 pF: 82.1 ± 3.3 pF (no significance)]. Therefore, as for the reduction of peak amplitude and frequency of sEPSC, this could result from the reduced AP firing. In addition, we assessed the α Syn pathology development at different α Syn PFFs dosages. Consistent with previous *in vitro* and primary culture studies (Wood et al., 1999; Volpicelli-Daley et al., 2011), low concentrations (0.1 μ g/well and 0.25 μ g/well) of α Syn PFFs triggered lower levels of pathological phosphorylated α Syn (p- α Syn) detected by the 81a monoclonal antibody (mAb) in the form of small puncta (Fig. 3A, top, C), but at dosages >0.5 μ g/well, more LNs and LBs were visible (Fig. 3A, bottom, C). Thus, small quantities of α Syn PFFs are sufficient to trigger α Syn aggregate formation, and the amount of aggregation depends on the initial amount of α Syn PFFs or “seeds” added to the cultured neurons (Wood et al., 1999; Volpicelli-Daley et al., 2011). Therefore, 0.5 μ g/well was used in the studies described in the next section unless otherwise specified. Together, these data suggested that α Syn PFFs induced endogenous neuronal α Syn aggregates, which attenuated both excitatory glutamatergic and inhibitory GABAergic neuronal synaptic activity in a dosage-dependent manner.

Based on these results, we investigated more precisely when α Syn PFFs treatment started to have an effect on synaptic responses. To do this, we added α Syn PFFs (0.5 μ g/well) at 11 DIV when neurons are mature enough to develop synaptic connections with other neurons and then recorded mEPSCs 1, 2, 4 and 7 d later (Fig. 1D). Consistent with treatments using different α Syn PFFs concentrations (Fig. 1C, top), the peak mEPSC amplitudes remained unchanged at any time points (Fig. 1E,F, top), whereas the mEPSC frequency decreased $\sim 30\%$ at 4 d post- α Syn PFF treatment, and further decreased to $\sim 50\%$ of the PBS controls at 7 d (Fig. 1E,F, bottom). No obvious 81a-positive α Syn pathology was detectable within 2 d after α Syn PFFs treatment, and α Syn inclusions began to emerge at 4 and 7 d (Fig. 3B,D). This observation is consistent with *in vitro* studies demonstrating that the seeding of α Syn aggregation is directly correlated with increasing developmental expression of endogenous α Syn in neurons (Volpicelli-Daley et al., 2011).

To confirm the specificity of the phenotypes we observed in α Syn PFF-treated neurons, we repeated the electrophysiological recordings and ICC staining in α Syn knock-out neurons. Prior studies have shown that in the absence of endogenous α Syn, no α Syn pathologies developed *in vitro* and *in vivo* after α Syn PFFs treatment (Volpicelli-Daley et al., 2011; Luk et al., 2012b). In contrast to WT neurons, no deficits in synaptic responses and no p- α Syn pathology were detected at low and high dosages of α Syn PFFs treatment, even at longer incubation time points (Fig. 4). These data suggest that α Syn PFFs alone could not modulate mEPSCs in the absence of endogenous α Syn. Thus, we conclude that α Syn PFFs compromised synaptic activity in a dose- and time-dependent manner, and these functional deficits are dependent on the presence of endogenous α Syn protein and likely because of the formation of intracellular α Syn aggregates, as there is

Figure 1. Treatment of cultured hippocampal neurons with α Syn PFFs decreased synaptic activity in a dose- and time-dependent manner. **A**, Typical mEPSC recordings after α Syn PFFs treatment at different dosages for 1 week. **B**, Cumulative probabilities of peak amplitude and inter-event intervals from **A**. **C**, Statistics of peak amplitude and frequency of mEPSC at different doses of α Syn PFF treatments. ($n = 11$ – 14 cells per group). **D**, Typical mEPSC recordings after α Syn PFF treatment at different post-transduction time points. As shown in **C**, we used α Syn PFFs at a concentration of 0.5μ g/well. To record mEPSC from mature hippocampal neurons, we started the treatment at 11–12 DIV in **D**. **E**, Cumulative probabilities of peak amplitudes and inter-event intervals from **D**. **F**, Statistics of peak amplitude and frequency of mEPSC at different time points from **E** ($n = 15$ – 19 cells per group). Results shown as mean $\pm$ SEM; $n = 4$ independent batches of neurons for dose-dependence studies and $n = 6$ independent batches of neurons for time dependence studies. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$; one-way ANOVA with Tukey *post hoc* test (**C**), two-sample Kolmogorov–Smirnov test (K–S) test (**B**, **E**), and Student's *t* test (**F**).

a temporal correlation between the development of α Syn inclusions and synaptic dysfunction.

Reduction in synaptic activity correlates with the development of α Syn pathology

As noted, there appears to be a correlation between the progressive accumulation of p- α Syn pathology inside neurons and the decrease in mEPSC frequency. To confirm this finding and to more carefully investigate the potential of misfolded α Syn to modulate neuronal physiology, we used recording micropipettes to introduce AlexaFluor 594 biocytin (biocytin594) dye into single neurons through passive infusion such that neurons can be identified after whole-cell patch-clamp recording. After infusion for 15–20 min, we were able to visualize the neurons we recorded (Fig. 5A–C). To determine whether these labeled neurons have

developed α Syn pathology, we conducted ICC after completion of patch-clamp recordings, using the p- α Syn mouse antibody (mAb) 81a to immunostain both biocytin594-labeled and -unlabeled neurons. Confocal 3D reconstruction showed that some of the biocytin594-labeled neurons were 81a positive. In contrast and as expected, the PBS-treated neurons were 81a negative (Fig. 5C). Here, we summarized the criteria we used to characterize 81a pathologies: if the 81a staining was inside the biocytin594-labeled cells, we define this cell as 81a positive (Fig. 5A); if all the 81a puncta staining were outside of biocytin594-labeled cells, we define this neuron as 81a negative (Fig. 5B); the neuron in Figure 5C was transduced with PBS as a control and no 81a staining was detected. White arrows in Figure 5A indicate other 81a-positive staining inside biocytin594 labeled neurons. DAPI was merged with biocytin594 in Figure 5C.

Figure 2. sEPSC frequency was also compromised by α Syn PFFs. Same as Figure 1, but sEPSCs were recorded after α Syn PFFs treatment. α Syn PFFs transduced for 7 d at different concentrations. **A**, Representative sEPSC recording traces treated with PBS or different doses of PFF for 7 d. **B**, **C**, Quantifications of amplitude and frequency of sEPSCs. Results shown as mean $\pm$ SEM. * p < 0.05, ** p < 0.01, *** p < 0.001; one-way ANOVA with Tukey *post hoc* test.

Contemporaneous with the passive infusion of the biocytin594 dye to help identify neurons with p- α Syn pathology, we recorded the corresponding synaptic activity from these labeled neurons (Fig. 5D). Importantly, quantitative analysis showed that the peak amplitude of mEPSCs remained unchanged in neurons with 81A-positive α Syn pathology (Fig. 5E, left), wherein the mEPSC frequency showed significant reductions in 81A-positive neurons (Fig. 5E, right). We also found that biocytin594-labeled 81A-negative neurons in Figure 5E showed some reduction in mEPSC frequency that could result from the neighboring 81A-positive inputs, as mEPSCs are mediated by both presynaptic and postsynaptic contributions (Queenan et al., 2012). Thus, together with Figure 3, we found a correlation of reduction in mEPSC frequency with 81A burden in these neurons, such that an increased burden of α Syn pathology inside the neurons was associated with a more pronounced reduction in synaptic responses (Fig. 5F).

Passive infusion of α Syn PFFs from patch-clamp recording pipettes modulates both synaptic activity and α Syn pathology

Although there is a correlation between the develop of α Syn pathology and reduced mEPSC frequencies in the neuronal cultures, we cannot exclude the possibility that the added exogenous α Syn PFFs themselves induce a time-dependent change in neuronal electrophysiology. For example, it has been suggested that α Syn PFFs induce cellular oxidation (Dryanovski et al., 2013). To mitigate this potential confound, we passively infused either sonicated α Syn PFFs, α Syn monomers, or PBS via whole-cell patch-clamp recording pipettes into cultured hippocampal WT

neurons (Fig. 6A) and then recorded synaptic responses at different time points (Fig. 6B). Consistent with previous findings in neurons bearing α Syn aggregates 7 d after α Syn PFF treatment (Fig. 1), the mEPSC peak amplitude in these three different groups were nearly the same when measured within 10 min post-infusion with α Syn PFFs (Fig. 6B, top). Interestingly, the mEPSC frequency decreased markedly within 5 min in α Syn PFF-infused neurons, whereas these changes were not observed after infusion of α Syn monomer or PBS (Fig. 6B, bottom). As a control experiment, we added biocytin594 into the pipette solution and found that 5 min was enough for infusion to occur from soma into the dendrites or axons (data not shown), suggesting that the α Syn PFFs in the pipette may infuse rapidly enough to modulate dendritic EPSC activity within the short time intervals (5–10 min) studied here (Fig. 6B). These data suggest that only the pathology-inducible α Syn PFFs, but not the α Syn monomers, compromised synaptic responses.

We next asked whether the α Syn PFF-infused neurons would develop α Syn pathologies after additional incubation in culture. Unexpectedly, these neurons developed 81A-positive puncta near the somatodendritic compartments within 20 h after α Syn PFFs infusion (Fig. 6C), with smaller puncta detected in nascent dendrites as well. In a parallel control experiments where α Syn PFFs were added into the culture dish for 20 h, we only detected the added human α Syn PFFs in the extracellular space after immunostaining with the human α Syn-specific mAb Syn211, and we did not observe staining with the Ser129 phosphorylation-dependent mAb 81A antibody that only detects intracellular p- α Syn pathology (Fig. 6D).

Figure 3. ICC staining of p- α Syn (81a) after α Syn PFFs treatment at different dosages and time points. **A**, ICC staining of p- α Syn (81a; green) after α Syn PFFs treatment double-labeled with dendritic marker MAP2 (red) at different dosages. α Syn PFFs transduced at 7–9 DIV, fixed with 4% PFA 7 d later. **B**, ICC staining of p- α Syn (81a) after α Syn PFFs treatment at different time points. α Syn PFFs transduced at 11–12 DIV, fixed with 4% PFA at different post-transduction time points. Representative images from three different repeats. Scale bar, 100 μ m. **C**, **D**, Quantification of p- α Syn (81a) after α Syn PFFs treatment at different dosages and time points. Experiments were repeated from 3 to 4 different cultures. *** p < 0.01, **** p < 0.001; ns, no significance; one-way ANOVA with Tukey *post hoc* test.

Due to the fact that the internal solution is a homogeneously suspension of PFFs, it is not possible to directly measure the amount of PFFs that actually reached the distal compartment (dendrites and axons) of the patched cells. Despite that under these conditions, this small amount of PFFs was able to modulate synaptic response within minutes and induce pathology within 1 d. Thus, we have developed a neuron-based system that enabled us to demonstrate modulation of synaptic activity in response to

intraneuronal α Syn PFFs at a single-cell level, and that neuronally-infused α Syn PFFs decreased mEPSC frequency within minutes (Fig. 6).

α Syn PFF treatment decreased synapse formation

To explore the mechanisms by which α Syn fibrils inhibited synaptic responses, we first asked whether addition of PFFs to the cultures could kill neurons directly. We added α Syn PFFs at 0.5

Figure 4. No synaptic activity defects and pathology developed in α Syn KO neurons. **A, B**, Synaptic activity remained unchanged after α Syn PFFs treatment for 4 and 7 d in α Syn KO neurons. **C**, No α Syn pathology detected by ICC staining in α Syn KO neurons. Syn211 antibody was used to label the human α Syn PFFs seeds added to the cultures. 81a: p- α Syn, Syn211: human α Syn PFFs seeds, MAP2: dendrites. Electrophysiology data collected from three independent batches of cultures, ICC staining data collected from two independent batches of cultures. Scale bar, 50 μ m.

and 2 μ g/well and incubated neurons for 4, 7, and 11 d *in vitro*, after which the cultures were immunostained with the neuronal marker NeuN to assess the total number of living neurons remaining after this treatment. No significant neuron loss was found within 1 week at either of the α Syn PFFs dosages, but there was a $\sim$ 10% loss of neurons at the higher dose of PFFs at 11 d post-treatment (Fig. 7D). As most of the experiments were completed within 1 week post-treatment with the low dose of α Syn PFFs (0.5 μ g/well), we infer that the decreased mEPSC frequency is a direct consequence of α Syn PFFs induced intraneuronal α Syn aggregates rather than neuron loss. This conclusion is further supported by the rapid change in mEPSC frequency that we observed upon direct infusion of α Syn PFFs into the neurons (within 5 min), where we saw no evidence of acute neurotoxicity.

Next, we performed immuno-EM to visualize the ultrastructure of synapses and 81a pathologies with gold nanoparticles in neurons treated for 7 d with α Syn PFFs (Fig. 7A). These images revealed gold nanoparticles in both presynaptic and postsynaptic compartments, which is consistent with previous reports that the α Syn is enriched at presynapses (Hsu et al., 1998; Murphy et al., 2000; Lashuel et al., 2013) and that the α Syn PFF-induced pathologies are transferred from axons to the dendrites over time

(Volpicelli-Daley et al., 2011). Together, this suggests that α Syn PFFs might compromise synaptic function first before compromising viability of the neurons over time (Volpicelli-Daley et al., 2011; Peelaerts et al., 2015; Rey et al., 2018). To test this hypothesis, we used the presynaptic marker synapsin 1 and the postsynaptic marker PSD-95 to label synapses and analyzed the colocalization of these two markers. Figure 7B shows a reduction of colocalized synapsin 1 and PSD-95 in 81a-positive staining segments relative to those without α Syn pathology. Quantitative analysis confirmed that the colocalization of PSD-95 with synapsin 1 was decreased in α Syn PFF-treated neurons compared with PBS-treated neurons (Fig. 7C), which is consistent with postmortem studies wherein there were reduced numbers of synapses in the brains of PD and DLB patients (Zajac-Milatovic et al., 2005, 2006; Kramer and Schulz-Schaeffer, 2007). Thus, the reduction in mEPSC frequency is likely due to synaptic dysfunction before neuron loss occurs.

α Syn PFF treatment compromised the function of dendritic spines

Dendritic spines are specialized structures where the majority of excitatory synapses are localized (Chih et al., 2005; Lai and Ip,

Figure 5. Reductions in mEPSC frequency correlated with the deposition of α Syn pathology. **A–C**, Confocal 3D scanning images of p- α Syn (detected by mAb 81a) in AlexaFluor 594 Biotin (biocytin594)-labeled hippocampal neurons at 7 d after α Syn PFF treatment. The fluorescent dye biocytin594 (5% in pipette intracellular solution) was delivered via whole-cell patch-clamp pipettes to label α Syn PFF-infused hippocampal neurons. If the 81a staining was inside the biocytin594-labeled cells, we define this cell as 81a positive (**A**); if all the 81a puncta staining were outside of biocytin594-labeled cells, we define this neuron as 81a negative (**B**); the neuron in **C** was transduced with PBS as control. White arrows in **A** indicate other 81a-positive staining inside biocytin594-labeled neurons. DAPI was merged with biocytin594 in **C**. **D**, Corresponding mEPSC recordings from **A–C**. **E**, Statistics of mEPSC peak amplitude and frequency from **D**. $n = 8$ cells for PBS, $n = 12$ cells for 81a negative, $n = 11$ cells for 81a positive. **F**, Schematic diagram illustrating that with increasing deposition of α Syn pathology, the mEPSC frequency decreased accordingly. Please note that **A** and **B** were captured by confocal z-stack imaging and then z-projected into one focal plane, whereas Figure 3, **A** and **B**, were taken by conventional fluorescent microscopy displaying only one focal plane. This provides an explanation for why more 81a signals were found in **A** and **B** than Figure 3, **A** and **B**. Results shown as mean $\pm$ SEM; $n = 5$ independent batches of neurons, $*p < 0.05$, $**p < 0.01$, $***p < 0.001$; one-way ANOVA with Tukey *post hoc* test (**E**).

2013), and the formation of synapses affects the function of dendritic spines (Toni et al., 1999; Nimchinsky et al., 2002). To investigate the effect of α Syn PFFs on the formation and maintenance of dendritic spines, we costained spines with the actin cytoskeleton labeling dye, AlexaFluor 488 phalloidin (green), and the spine-binding protein, drebrin (red; Fig. 8A). Quantitative analysis showed that the number of phalloidin and drebrin

puncta per μ m of dendrite length of cultured hippocampal neurons were both decreased after α Syn PFF treatment for 1 week (Fig. 8B,C, right), whereas the size and intensity of single puncta exhibited no significant difference (Fig. 8B,C, left and middle). The unchanged size and intensity of single puncta are consistent with the unchanged peak amplitudes of mEPSCs (Fig. 1), as the peak amplitude reflects the density of postsynaptic AMPA recep-

Figure 6. Direct infusion of α Syn PFFs from patch-clamp pipettes modulated both synaptic activity and pathology. **A**, Schematic diagram showing the delivery of α Syn PFFs via patch-clamp pipette. The sonicated α Syn PFFs ($5 \mu\text{M}$ in pipette) were passively infused into cultured hippocampal neurons by whole-cell patch-clamp and mEPSCs were recorded simultaneously, after which the formation of pathologies was examined in the infused neurons. **B**, mEPSC frequency was compromised after acute α Syn PFFs infusion, but not α Syn monomers or PBS. **C**, ICC staining of α Syn pathology in the infused neuron after culture for 20 h. DAPI: nucleic staining; 81a: p- α Syn; Syn211: human α Syn PFFs seeds; MAP2: dendrites staining. Scale bar, $20 \mu\text{m}$. **D**, As control, neurons transduce with α Syn PFFs in the culture medium for 1 d where there is insufficient time to trigger pathology development. Scale bar, $50 \mu\text{m}$. Results shown as mean $\pm$ SEM; $n = 4$ independent batches of neurons. * $p < 0.05$, ** $p < 0.01$; one-way ANOVA with Tukey *post hoc* test (**B**).

tors. Furthermore, the shape of dendritic spines could regulate changes in synaptic strength (Frotscher et al., 2014). To assess this possibility, we infected neurons with a GFP-expressing lentivirus to label spines (Fig. 8D), which revealed single proximal and distal spines in both PBS- and α Syn PFF-treated neurons (Fig. 8E). The number of total GFP-labeled spines/ μm decreased $\sim 50\%$ after α Syn PFF treatment (Fig. 8F), which is consistent with the notion that the number of spines, and hence the number of synapses, is reflected in the frequency of mEPSCs (Fig. 1, 5, 6).

Dendritic spines act as compartmental calcium sinks during synaptic activity-induced rises in intracellular calcium (Yuste et al., 2000). Thus, spine density increases with enhanced synaptic activity, and shrinks or is replaced by thin/filopodia spines when synaptic activity is low (Segal et al., 2010). To this end, we analyzed the different subtypes of dendritic spines. Based on the morphologies and previous criteria for dendritic spines (Peters and Kaiserman-Abramof, 1970; Rodriguez et al., 2008), we characterized three different subtypes of spines in our cultured hippocampal neurons: i.e., mushroom, stubby, and thin (Fig. 8G). Mushroom spines, which are the most mature spine subtype that contacts with presynaptic boutons, decreased $\sim 40\%$ after α Syn PFF treatment in both proximal and distal dendrites (Fig. 8H). Stubby spines, which may transform into thin spines and then change into mushroom subtypes after LTP (Popov et al., 2004), did not show significant changes following α Syn PFF treatment (Fig. 8H). Finally, the thin spines, which are an interphase subtype and quite dynamic, decreased $\sim 20\%$ in proximal dendrites after α Syn PFF treatment (Fig. 8H). In addition to the number of spines, we also analyzed the size of distinct proximal and distal spines. No significant changes were found in PBS and PFF-treated neurons (Fig. 8I), which is consistent with previous im-

munostaining data where the size and intensity of single phalloidin and drebrin puncta exhibited no significant difference (Fig. 8B, C, left and middle). To exclude the possibility that short-term α Syn PFFs exposure alone can induce these changes, we treated neurons with PBS or PFFs for only 2 h and 1 d, during which time we saw no significant changes in spine morphologies between PBS- and PFF-treated neurons (data not shown). Thus, the altered morphology of dendritic spines was a consequence of long-term treatment with α Syn PFFs and the formation of α Syn pathology that then compromised synaptic responses.

Passive infusion of α Syn PFF from patch-clamp recording pipettes into cultured hippocampal neurons disrupted dendritic spine dynamics

Live imaging is advantageous in monitoring the time course of changes in spine structure (Dailey and Smith, 1996; Chen et al., 2008). Thus, we transfected neurons with lentivirus encoding GFP at 10–12 DIV to label individual spines and passively infused PBS, sonicated α Syn monomers or α Syn PFFs using a whole-cell patch-clamp pipette 10 d later following the protocol described in the previous section (Fig. 6). Using this approach, we observed the acute effects of α Syn PFFs on dendritic spine dynamics. In neurons infused with PBS, we detected a balanced formation and retraction of spines within 5–10 min (Fig. 9A), but in neurons infused with α Syn PFFs, we found less newly formed spines when compared with the initial time point (Fig. 9B, C). Quantitative analyses further supported that the formation and retraction of spines were quite dynamic and exhibited balanced changes in PBS and α Syn monomer infused neurons (Fig. 9D, E), although there were less newly formed spines in neurons infused with α Syn PFFs, even though the spine retraction speeds were nearly the

Figure 7. α Syn PFFs treatment decreased synapse formation. **A**, Ultrastructure of α Syn aggregates in the synapse revealed by immuno-EM. The 81A gold nanoparticles were found in both presynaptic and postsynaptic compartments (representative images from 3 independent batches of neurons). **B**, ICC staining of synapses in PBS and α Syn PFF-treated neurons. α Syn PFFs were transduced at 0.5 μ g/well for 1 week. Synapsin 1 (red) and PSD-95 (green) were used to label presynaptic and postsynaptic compartments, respectively; 81A (magenta) was used to label α Syn pathology. White arrows indicate the PSD-95 puncta that are not colocalized with synapsin 1. ImageJ software was used to draw the outlines of synapsin 1 (red circles) and PSD-95 (green circles), and more green circles that are not colocalized with red ones in 81A-positive dendrites (rightmost panels in PBS- and PFF-treated cells). **C**, Quantification of colocalization of synaptic markers in PBS and α Syn PFF-treated cells. Left, Colocalization of PSD-95 with synapsin 1 (Syn1); right, colocalization of Syn1 with PSD-95. **D**, α Syn PFFs treatment decreased neuronal viability at a later stage. No significant neuronal loss was found within 1 week post-transduction. 0.5PFF, 0.5 μ g/well α Syn PFFs; 2PFF, 2 μ g/well α Syn PFFs. Results shown as mean $\pm$ SEM; $n = 4$ and 3 independent batches of neurons for colocalization and viability analysis, respectively. $*p < 0.05$, $**p < 0.01$; Student's *t* test (**C**) and one-way ANOVA with Tukey *post hoc* test (**D**).

same in these different treatments (Fig. 9D,E). Thus, together with the previous findings, infused α Syn PFFs modulated single spine dynamics within minutes (Fig. 9), and this in turn decreased mEPSC frequency followed by pathological α Syn induced neurodegeneration in the long-term (Fig. 10).

Discussion

Here, we demonstrated that the addition of α Syn PFFs assembled from recombinant human α Syn monomers to primary neurons led to a time- and dose-dependent formation of intraneuronal aggregates comprised of mouse α Syn that compromised synaptic activity, followed by accelerated neuron death. Importantly, the degree of reduction in synaptic activity correlated with the deposition of misfolded α Syn as insoluble aggregates, suggesting that α Syn inclusions act as a pathogenic trigger that ultimately compromised synaptic function. Our findings further extended recent studies demonstrating that exposure to exogenous aggregated α Syn induces PD-like LB/LN pathologies, neuron loss and reduces the viability of neurons in α Syn transgenic and WT mice,

as well as their lifespan (Volpicelli-Daley et al., 2011; Luk et al., 2012a,b). Notably, the time-dependent formation of α Syn pathology and associated reduction in mEPSC frequency observed after the addition of α Syn PFFs to neuron cultures can be bypassed by acute infusion of α Syn PFFs, but not α Syn monomers, into neurons via whole-cell patch-clamp, where a decrease of mEPSC frequency can be observed within 5 min after PFFs infusion. Moreover, the infused PFFs induce the initiation of 81A-positive pathology within 1 d post-infusion, which is much faster than previously reported for external exposure of neurons to α Syn PFFs (i.e., 3–4 d). This suggests that direct infusion of α Syn PFFs bypasses the multiple cellular processes of PFFs uptake and trafficking within the lysosomes (Volpicelli-Daley et al., 2011; Karpowicz et al., 2017), thereby facilitating seeding of endogenous mouse α Syn. This orthogonal experimental technique provided convincing evidence that α Syn fibrils modulate synaptic responses at the single cell level. Mechanistically, α Syn fibrils dramatically compromised the colocalization of synaptic markers and reduced formation of dendritic spines, thereby contributing to the neurodegenerative processes (Fig. 10), and hence recapitulating the phenotypes seen in clinical synucleinopathies, such as PD, PDD, and DLB (Zajac-Milatovic et al., 2005, 2006; Kramer and Schulz-Schaeffer, 2007; Irwin et al., 2017).

The studies of the effects of α Syn monomers, oligomers and fibrils on synaptic activity have been reported by multiple groups (Larsen et al., 2006; Nemani et al., 2010; Volpicelli-Daley et al., 2011; Diógenes et al., 2012; Wang et al., 2014; Lautenschläger et al., 2017; Logan et al., 2017; Froula et al., 2018). However, most of these prior studies either lacked vital controls or they used high levels of α Syn which are associated with con-

founders such as mitochondrial oxidative stress (Dryanovski et al., 2013). Moreover, in the studies that used transgenic animal models to mimic PD pathogenesis (Polymeropoulos et al., 1997; Krüger et al., 1998; Giasson et al., 2002; Zarranz et al., 2004; Kiely et al., 2013; Proukakis et al., 2013; Pasanen et al., 2014), these mutant α Syn-overexpressing models are limited by the fact that they develop α Syn pathologies at older ages (12–14 months), whereas most PD patients lack *SNCA* mutations or duplications showed the same amount of α Syn expression levels as age-matched controls (Su et al., 2017).

In the present work, we systematically quantified the dosages of α Syn PFFs that evoked activity deficits in primary neuron cultures. The power of this system is that we can determine the formation of PD-like LBs and LNs under physiological conditions using pure WT human α Syn PFFs that seed endogenous mouse α Syn without overexpression. Using this system, we revealed a relationship between the induction of inclusion forma-

Figure 8. α Syn PFFs treatment compromised formation of dendritic spines. **A**, ICC staining of dendritic spines with phalloidin and drebrin in PBS and PFF-treated neurons. Phalloidin (green) was used to label actin; drebrin (red) is an actin binding protein; 81a (white) was used to label α Syn pathology. α Syn PFFs were transduced at 0.5 μ g/well for 1 week. **B**, Quantification of puncta size, fluorescence intensity, and number of drebrin/ μ m in PBS-treated and 81a-positive dendritic segments. **C**, Quantification of puncta size, fluorescence intensity, and number of phalloidin/ μ m in PBS-treated and 81a-positive dendritic segments. **D**, Dendritic spines were labeled with GFP in PBS and α Syn PFF-treated neurons. Scale bar, 20 μ m. **E**, Enlarged images of proximal and distal spines from PBS and α Syn PFF-treated neurons. Scale bar, 5 μ m. **F**, Quantification of number of spines/ μ m. **G**, Representative images of spine subtypes: mushroom, stubby, and thin in PBS and α Syn PFF-treated neurons. **H**, **I**, Quantifications of number and size of proximal and distal spines treated with either PBS or PFFs. Neurons were transfected with lentivirus overexpressing GFP to label spines at 4 DIV, PFFs were added at 7 DIV, and neurons were fixed at 14 DIV. Confocal z-stack imaging was performed with 1 μ m serial scanning. Images were z-projected and analyzed with NeuronStudio software. Experiments were repeated with 4 different cultures, cell number $n = 14$ for PBS and $n = 15$ for PFF treatment. mush, Mushroom; stub, stubby. Results shown as mean $\pm$ SEM. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$; ns, no significant. Student's t test (**B**, **C**, **F**, **H**, **I**).

tion by α Syn PFFs and reductions in synaptic responses that precede neuron loss. Thus, these findings suggest that a disruption of synaptic function may be one of the initial consequences of the formation of fibrillary α Syn pathology, and these synaptic alterations could contribute to neuronal dysfunction and ultimately neuronal toxicity. In addition, there could be loss of function mechanisms underlying the electrophysiological observations. Previous *in vitro* studies showed that formation of α Syn aggregates reduced synaptic protein levels, including the synaptic vesicle-associated SNARE proteins, and also progressively disrupted neuronal network activity (Volpicelli-Daley et al., 2011).

What's more, exogenous α Syn PFFs were also reported to induce cellular oxidation (Dryanovski et al., 2013). Thus, loss-of-function defects must be taken into consideration in future investigations.

Another unique aspect of our work is the ability to technically modulate synaptic responses with passively infused α Syn PFFs at the single-cell level, without disturbances from other environmental factors. An unexpected result of our findings is that the direct infusion of α Syn PFFs induced the initiation of 81a-positive α Syn pathology as soon as 20 h after treatment, which is quite different from introducing α Syn PFFs into the culture

Figure 9. Passive infusion of α Syn PFFs from patch-clamp pipettes altered dendritic spine dynamics. **A**, Time-lapse imaging of dynamic changes of dendritic spines in PBS-infused neurons. Dendritic spines were labeled with GFP-expressing lentivirus at 10 DIV and confocal z-stack images were taken at 17–20 DIV at 30 s intervals. Yellow stars indicate the newly formed spines; red stars indicate the retracted spines during infusion and imaging. **B**, Time-lapse imaging of dynamic changes of dendritic spines in α Syn PFF-infused neurons. For a better comparison of dynamic changes of dendritic spines, the “onset” of imaging was pseudocolor-labeled with green; the other time points after the onset were labeled with red. **C**, Merged images of onset and other time points from **B**. The red arrows indicate the newly formed spines during α Syn PFFs infusion; the white arrows indicate the retracted spines. Scale bars: **A–C**, 5 μ m. **D**, Quantification of spine dynamic changes under different treatment conditions. **E**, Quantifications of the rate of retraction and formation of spines under different treatment conditions. Experiments have been performed with three independent repeats for PBS and monomer, four independent repeats for α Syn PFF. Cell number $n = 8$ for PBS, $n = 10$ for monomers, $n = 17$ for α Syn PFF. Results shown as mean $\pm$ SEM. * $p < 0.05$, ** $p < 0.01$; Student’s t test (**D**) and one-way ANOVA with Tukey *post hoc* test (**E**).

media which takes 3–4 d to develop detectable pathologies (Volpicelli-Daley et al., 2011). Although we cannot preclude the possibility that the 81a-positive puncta that are observed shortly after α Syn PFFs infusion result from direct phosphorylation of

the infused α Syn PFFs, the more likely explanation is that these represent recruited phosphorylated mouse α Syn that has been seeded by the infused PFFs. This rapidity of seeding of α Syn pathology could arise from the fact that, unlike the α Syn PFFs

Figure 10. Schematic summary of α Syn inclusion formation, dendritic spine dysfunction, and neuronal loss. In the presence of exogenous α Syn PFFs, soluble endogenous α Syn monomers are recruited into aggregates (1). These aggregates are distributed in the dendritic spines, induced spine shrinkage, and synaptic deficits (2). Long-term incubations lead to neurodegeneration in inclusion-bearing neurons (3).

transduction system, the infused α Syn PFFs do not need to undergo uptake through the plasma membrane, trafficking along the endo-lysosomal pathway, processing and release from the lysosome into the cytosol before recruiting endogenous α Syn monomer into insoluble inclusions (Irwin et al., 2013; Guo and Lee, 2014; Karpowicz et al., 2017). This system provides the intriguing possibility of monitoring the trafficking of fluorescent-labeled α Syn seeds inside neurons and observing the interaction/recruitment of endogenous α Syn monomers into insoluble inclusions.

Finally, our model allowed us to determine the consequences of potential α Syn dysfunction resulting from its recruitment into LB/LN PD-like inclusions in both presynaptic and postsynaptic sites, leading to reduced colocalization of synaptic markers and inhibition of functional synapses. Indeed, we obtained evidence to demonstrate that there could be a direct interaction between α Syn PFFs and single dendritic spines. This is consistent with previous postmortem studies, where nearly 90% of α Syn aggregates were located at synapses in the frontal cortex of DLB patients (Schulz-Schaeffer, 2010), and significant synaptic pathology with almost complete loss of dendritic spines were observed at these postsynaptic areas (Zaja-Milatovic et al., 2005, 2006; Kramer and Schulz-Schaeffer, 2007). This was also recapitulated in long-term live imaging of spine dynamics (Blumenstock et al., 2017). Additional studies are needed to test the hypothesis that the maintenance of functional synapses in the presence of α Syn inclusions could preserve “healthier” neurons, and thus provide benefit in PD and related synucleinopathies.

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