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TNF- α differentially regulates synaptic plasticity in the hippocampus and spinal cord by microglia-dependent mechanisms after peripheral nerve injury

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30

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33

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46 **Abstract**

47 Clinical studies show that chronic pain is accompanied by memory deficits and reduction
48 in hippocampal volume. Experimental studies show that spared nerve injury (SNI) of
49 sciatic nerve induces long-term potentiation (LTP) at C-fiber synapses in spinal dorsal
50 horn but impairs LTP in hippocampus. The opposite changes may contribute to
51 neuropathic pain and memory deficits, respectively. However, the cellular and molecular
52 mechanisms underlying the functional synaptic changes are unclear. Here we show that the
53 dendrite lengths and spine densities are significantly reduced in hippocampal CA1
54 pyramidal neurons but increased in spinal neurokinin-1-positive neurons in mice after SNI,
55 indicating that the excitatory synaptic connectivity is reduced in hippocampus but
56 enhanced in spinal dorsal horn in this neuropathic pain model. Mechanistically, tumor
57 necrosis factor- α (TNF- α) is up-regulated in bilateral hippocampus and in ipsilateral spinal
58 dorsal horn, while brain derived neurotrophic factor (BDNF) is decreased in the
59 hippocampus but increased in the ipsilateral spinal dorsal horn following SNI. Importantly,
60 the SNI-induced opposite changes in synaptic connectivity and BDNF expression are
61 prevented by genetic deletion of TNF receptor 1 *in vivo* and are mimicked by TNF- α in
62 cultured slices. Furthermore, SNI activated microglia in both spinal dorsal horn and
63 hippocampus; pharmacological inhibition or genetic ablation of microglia prevented the
64 region-dependent synaptic changes, neuropathic pain and memory deficits induced by SNI.
65 The data suggest that neuropathic pain involves different structural synaptic alterations in

66 spinal and hippocampal neurons that are mediated by overproduction of TNF- α and
67 microglial activation, and may underlie chronic pain and memory deficits.

68

69 **Significance Statement**

70 Chronic pain is often accompanied by memory deficits. Previous studies show that
71 peripheral nerve injury, produces both neuropathic pain and memory deficits, induces LTP
72 at C-fiber synapses in spinal dorsal horn (SDH) but inhibits LTP in hippocampus. The
73 opposite changes in synaptic plasticity may contribute to chronic pain and memory
74 deficits, respectively. However, the structural and molecular bases of these alterations of
75 synaptic plasticity are unclear. Here, we show that the complexity of excitatory synaptic
76 connectivity and BDNF expression are enhanced in SDH but reduced in the hippocampus
77 in neuropathic pain and the opposite changes depend on TNF- α /TNFR1 signaling and
78 microglial activation. The region-dependent synaptic alterations may underlie chronic
79 neuropathic pain and memory deficits induced by peripheral nerve injury.

80

81 Introduction

82 Chronic pain affects ~20% of the population and nearly 70% of those patients suffer from
83 working memory deficits (Hart et al., 2000; Dick and Rashiq, 2007). The mechanism
84 underlying the comorbidity of chronic pain and memory deficits is poorly understood. It
85 has been proposed that pain-related sensory inputs may affect memory by disrupting
86 attention, which is important for working memory formation (Eccleston, 1995; Awh et al.,
87 2006). However, recent clinical studies show that the hippocampal volume is reduced in
88 chronic pain patients, including those with chronic back pain, complex regional pain
89 syndrome (Mutso et al., 2012) and knee osteoarthritis (Mao et al., 2016), suggesting that
90 neuronal dystrophy in the hippocampus may contribute to memory deficits in chronic
91 pain disorders. Experimental studies show that peripheral nerve injury, which induces
92 chronic neuropathic pain (Decosterd and Woolf, 2000) and memory deficits in rodents
93 (Ren et al., 2011), induces LTP at C-fiber synapses in spinal dorsal horn (Zhang et al.,
94 2004), but impairs LTP at CA3-CA1 synapses in hippocampus (Ren et al., 2011). The
95 data suggest that the synaptic plasticity is reduced in hippocampus but enhanced in spinal
96 dorsal horn in neuropathic pain condition. The region-dependent synaptic plastic changes
97 may contribute the chronic pain and memory deficits, respectively. However, the cellular
98 and molecular mechanisms underlying the changes are still unclear.

99 It has been well established that microglial activation and elevated tumor necrosis
100 factor- α (TNF- α) critically involved in both hippocampus-dependent cognitive deficit
101 (Griffin et al., 2006; Rowan et al., 2007) and neuropathic pain induced by nerve injury
102 (Xu et al., 2006; Ji and Suter, 2007). Similar to peripheral nerve injury, microglial
103 activation and TNF- α overproduction inhibits LTP in hippocampus (Pickering et al., 2005;

104 Griffin et al., 2006) but is essential for the induction of spinal LTP at C-fiber synapses
105 (Zhong et al., 2010; Wu et al., 2014). However, how can microglial activation and
106 overproduction of TNF- α oppositely regulate the synaptic plasticity in hippocampus and
107 spinal dorsal horn remains elusive.

108 Furthermore, chronic pain and memory deficits in human patients and in animals are
109 long-lasting, which cannot be explained by the functional change in synaptic transmission.
110 In the present work, we tested the hypothesis that the functional changes in synaptic
111 plasticity initiated by peripheral nerve injury may transfer to structural synaptic
112 alterations in the two regions. Indeed, we found that the structural and functional synaptic
113 connections were enhanced in spinal dorsal horn but reduced in hippocampus in 7-10d
114 after SNI in mouse. These opposite structural changes were prevented by deletion of
115 TNFR1 and by inhibition or ablation of microglia. The region-dependent structural
116 synaptic alterations may underlie the chronic neuropathic pain and associated cognitive
117 disorders.

118

119 **Materials and Methods**

120 **Animals**

121 Adult male C57BL/6 mice, *CX3CR1^{CreER/+}* mice were used as wild type controls. Adult
 122 male TNFR1-knockout (TNFR1 KO, RRID:IMSR_JAX:003242) C57BL/6 and
 123 CX3CR1-EGFP mice were purchased from the Jackson Laboratories. *CX3CR1^{CreER/+}*
 124 mice were obtained from Dr. Wen-Biao Gan at New York University. The mice were
 125 crossed with *R26^{iDTR/+}* (purchased from Jackson's laboratory) to obtain *CX3CR1^{CreER/+}*;
 126 *R26^{iDTR/+}* mice. Sprague Dawley rats (8-10 day-old) were used for hippocampus or spinal
 127 slice culture. The animals were housed in separated cages with access to food and water
 128 ad libitum. The room was kept at $23 \pm 1^\circ\text{C}$ and 50–60% humidity, under a light cycle
 129 (6:00 to 18:00 hours). All experimental procedures were approved by the Local Animal
 130 Care Committee and conformed to Chinese guidelines and Rutgers University on the
 131 ethical use of animals and all efforts were made to minimize the number of animals used
 132 and their suffering.

133

134 **Spared nerve injury (SNI) and behavioral tests**

135 The SNI was carried out following the procedures described previously (Decosterd and
 136 Woolf, 2000). Briefly, under anesthesia with chloral hydrate (0.4 g/kg, i.p.) the common
 137 peroneal and the tibial nerves were explored and tightly ligated with 5-0 silk and
 138 transected distal to the ligation, removing a 2–4 mm length of each nerve. Great care was
 139 taken to avoid any contact with or stretching of the intact sural nerve. The wound was
 140 closed in two layers.

141 Mechanical allodynia was assessed using von Frey hairs with the up-down method.

142 Briefly, the animals were placed under separate transparent Plexiglas chambers
143 positioned on a wire mesh floor. 10~15 minutes were allowed for habituation. Each
144 stimulus consisted of a 6–8 s application of a von Frey hair to the lateral surface of the
145 foot with 5 min interval between stimuli. Quick withdrawal or licking of the paw in
146 response to the stimulus was considered as a positive response.

147 Short-term memory (STM) was accessed by novel object recognition test (NORT).
148 The apparatus consisted of a round arena (diameter: 50 cm) with white walls and floor.
149 The box and objects were cleaned between trials to stop the build-up of olfactory cues.
150 Animals received two sessions of 10 min each in the empty box to habituate them to the
151 apparatus and test room. Twenty-four hours later, each mouse was first placed in the box
152 and exposed to two identical objects for 10 min (sample phase). And then one object was
153 replaced by a new novel one and the mouse was placed back in the box and exposed to
154 the familiar object and to a novel test object for a further 10-min (acquisition phase). The
155 STM was tested 10 min after “sample phase” (10-min retention). The experimenters
156 measured the time spent exploring each object. The recognition index was calculated as
157 the percentage ratio of time spent exploring the novel object over total exploration time
158 during acquisition phase. All the behavior tests were performed by at least two
159 researchers who were blinded to genotype and treatment conditions of the mice.

160

161 **Acute slice preparation**

162 Under anesthesia with urethane (1.5 g/kg, i.p.) mice were sacrificed for
163 electrophysiological and morphological studies at 7-10 days post-peripheral nerve injury.
164 Either hippocampus or lumbar segments (L4-L6) of spinal cord was isolated. Coronal

165 hippocampal slices (300 μm) or parasagittal spinal cord slices (500 μm) were cut using a
 166 vibratome (D.S.K DTK-1000) and superfused with an ice-cold dissection solution
 167 containing (in mM): 125 NaCl, 2.5 KCl, 1 CaCl_2 , 6 MgCl_2 , 26 NaHCO_3 , 1.2 NaH_2PO_4 ,
 168 and 25 D-glucose. Then slices were incubated in recording solution containing (in mM):
 169 125 NaCl, 2.5 KCl, 2 CaCl_2 , 1.2 MgCl_2 , 26 NaHCO_3 , 1.2 NaH_2PO_4 , and 25 D-glucose for
 170 1h at 34°C before transferring to the recording chamber. Both dissection and recording
 171 solutions were saturated with 95% O_2 and 5% CO_2 . The hippocampal and spinal slices
 172 were recovered for 1h at 34°C before recording or incubation of
 173 tetramethylrhodamine-conjugated substance P (TMR-SP, 20 nM) at room temperature.

174

175 **Organotypic slice culture**

176 Sprague Dawley rats (8-10 old) were rapidly sacrificed under anesthesia with urethane
 177 (1.5 g/kg, i.p.) and the brains or L4-L6 segments of spinal cord were dissected. Under
 178 aseptic conditions, 400 μm coronal hippocampal slices or transverse spinal cord slices
 179 were cut using a vibroslice (WPI NSLM1) in cutting solution (Earle's balance salt
 180 solution, 25 mM HEPES) and collected in sterile culture medium containing 50% MEM,
 181 25% heat inactivated horse serum, 25% EBSS, 6.5 mg/ml D-Glucose, 50 U/ml penicillin,
 182 50 μg /ml streptomycin, pH 7.3. The organotypic slices were carefully placed into a
 183 0.4 μm membrane insert (Millipore PICM03050) within a 6-well plate at 37°C and 5%
 184 CO_2 with 1 ml culture medium each well. Slices were incubated for at least 6 days before
 185 experiments and the medium was changed twice a week. For electrophysiological
 186 recording, culture slices were incubated in recording solution containing (in mM): 125

187 NaCl, 2.5 KCl, 2 CaCl₂, 1.2 MgCl₂, 26 NaHCO₃, 1.2 NaH₂PO₄, and 25 D-glucose for 1h
 188 at 34°C before transfer to the recording chamber.

189

190 **Conditional ablation of microglia**

191 Microglia were selectively killed using a method described previously in which mice
 192 genetically engineered to express the diphtheria toxin receptor only in microglia
 193 (CX3CR1^{CreER/+} mice) were treated with diphtheria toxin (Parkhurst et al., 2013; Peng et
 194 al., 2016). Briefly, CX3CR1^{CreER/+} (control) mice or CX3CR1^{CreER/+}; R26^{iDTR/+} (M-Abl)
 195 mice (over 6 weeks old) were given both tamoxifen (TM) and diphtheria toxin (DT). TM
 196 (Sigma T5648, 150 mg/kg, 20 mg/ml in corn oil with ultrasound) was intraperitoneally
 197 (i.p.) injected every other day from 10 days before surgery. DT (Sigma C8267, 50 µg/kg,
 198 2.5 µg/ml in PBS) was given for twice at the day before and after surgery (4 days after
 199 last TM treatment). To confirm the effectiveness of general microglial ablation in central
 200 nervous system, the hippocampus and spinal cord were harvested at 3rd day after surgery
 201 to do immunostaining of microglia.

202

203 **Electrophysiology**

204 Whole-cell recordings were performed at room temperature using an EPC-9 amplifier
 205 with Pulse v8.65 software (HEKA Elek., Lambrecht, Germany). For visualizing recorded
 206 neurons under microscope (Eclipse FN1, Nikon) infrared DIC optics (IR1000,
 207 DAGE-MTI) was used for recording in hippocampal slices. TMR-SP-positive neurons in
 208 spinal cord slices were identified under epifluorescence using a CCD camera. The
 209 excitatory postsynaptic currents (EPSCs) in hippocampal CA1 pyramidal neurons were

210 recorded following electrical stimulation of Schaffer collateral-commissural pathway at
 211 0.066 Hz with a bipolar tungsten stimulating electrode. The EPSCs in spinal TMR-SP-
 212 positive neurons were evoked by stimulation of the dorsal root entry zone at 0.066 Hz at
 213 intensities sufficient to activate C-fibers (0.5ms, 200-500 μ A); only the EPSCs evoked by
 214 the high intensities were used for further analysis (Nakatsuka et al., 2000). The
 215 extracellular solution contained picrotoxin (100 μ M, Sigma) to block fast GABAergic
 216 inhibition. The recording pipettes (3–5 M Ω) were filled with solution containing (mM):
 217 130 Cs-gluconate, 4 NaCl, 0.5 MgCl₂, 5 EGTA, 10 HEPES, 5 MgATP, 0.5 Na₃GTP, 5
 218 QX-314 and 1.3 biocytin (pH 7.3 and osm. 290-295). The AMPAR- and
 219 NMDAR-mediated components were distinguished by their differential activation and
 220 inactivation kinetics. EPSCs were recorded at different membrane potentials from -70 to
 221 +50 mV (10-mV per step). N-methyl-D-aspartate (NMDA) /
 222 α -amino-3-hydroxy-5-methyl- 4-isoxazolepropionic acid (AMPA) current ratio
 223 NMDA/AMPA ratio is defined as the amplitude of the NMDAR component 80 ms after
 224 stimulation at +50 mV divided by the peak of the AMPAR component at -70 mV.
 225 Miniature excitatory postsynaptic currents (mEPSCs) were recorded at -70 mV, in the
 226 presence of picrotoxin (100 μ M) and tetrodotoxin (0.5 μ M) in the same recording
 227 solution and using same intracellular solution above. mEPSCs were analyzed using the
 228 pClamp 9 (Axon Instruments). All the detected events were re-examined and accepted or
 229 rejected on the basis of visual examination. Cells were recorded from for roughly 5 min
 230 to obtain at least 100 events per cell. Data obtained from the indicated number (n) of cells
 231 were expressed as the mean \pm s.e.m. and analyzed using Student's *t*-test.

232

233 **Visualization of neurons and morphological analysis**

234 To visualize recorded neurons, an intracellular marker biocytin (1.3 mM) was dissolved
235 in the intracellular solution/pipette solution described above. After least 15 min
236 whole-cell patch recording, the slices were fixed with 4% paraformaldehyde in PBS and
237 then processed using Alexa Fluor® 594 Streptavidin (Life Technologies) for
238 visualization. Neuronal dendrites and dendritic spines were imaged by confocal
239 microscope (Zeiss LSM 710) through 20X and 63X objectives, respectively. The images
240 were digitally reconstructed and the sum lengths and number of branch points of dendrite
241 were automatically analyzed with Imaris (Bitplane scientific software,
242 RRID:SCR_007370). This study focused on the hippocampal CA1 pyramidal neurons
243 and on the neurokinin-1-positive neurons (NK1-PNs) in spinal lamina I, which is critical
244 for the development of neuropathic pain (Mantyh et al., 1997). The hippocampal CA1
245 pyramidal neurons were identified by their location within the CA1 cell body layer and
246 by their classic pyramidal shaped soma, apical dendrites and basal dendrites. Spinal
247 NK1-PNs were identified by incubation of spinal cord slices with
248 tetramethylrhodamine-conjugated substance P with red fluorescence that binds to the
249 NK-1 receptor, and therefore labels NK1-PNs (Pagliardini et al., 2005). It has been
250 shown that ~ 80% of lamina I neurons express NK-1 receptor and virtually all (99%) of
251 the NK-1 receptor expressing neurons with soma areas $>200 \mu\text{m}^2$ are projection neurons
252 (Al Ghamdi et al., 2009). Accordingly, only the NK1-PNs with soma areas $>200 \mu\text{m}^2$
253 were recorded from in the present study. The Sholl analysis of neuronal dendrites was
254 also performed with Imaris to provide a quantitative description of the dendritic tree by
255 evaluating the number of dendrites that crossed through virtual concentric circles at equal

256 distances, centered in the soma of a neuron. The number of spines was manually counted
 257 in Imaris, which can show clear spine and three-dimensional actual length, according to a
 258 previous work. For each hippocampal CA1 pyramidal neuron, the spines in the principal
 259 apical dendrite were counted in a 50 μm to 100 μm segment, which was at least 50 μm
 260 away from the center of the soma, and a 30 μm segment of secondary apical dendrite.
 261 The spines in basal dendrite were counted in two 15 μm segments, which were at least 20
 262 μm from the soma. For each neurokinin-1-positive neuron in lamina I in spinal cord,
 263 spine counting was performed on four 20 μm to 50 μm segments of the dendrite (the
 264 proximal end of this segment was never closer than 50 μm from the center of the soma).
 265 Spines were counted only if they had both a punctuate head and visible neck. A subset of
 266 neurons was counted by two different investigators to ensure consistency of counting. No
 267 significant differences were found when the same segment was counted by different
 268 investigators.

270 **Western blot**

271 Frozen tissues of hippocampus and spinal dorsal horn were homogenized and equal
 272 amounts of proteins were resolved on polyacrylamide gel, and then transferred to PVDF
 273 membranes (BD). Membranes were blocked and then probed with primary antibodies:
 274 mouse anti- TNF- α (AF-410, R&D System), rabbit anti-BDNF (AB1534, Millipore),
 275 mouse anti- β -actin (#3700, Cell Signaling Technology) overnight at 4°C. Membranes
 276 were then incubated with an HRP-conjugated secondary antibody (CST) at room
 277 temperature. Protein bands were detected by ECL detection reagent (RPN2232; GE
 278 Healthcare) and captured on an autoradiography film (Kodak). Integrated optical density

279 was determined using Image-Pro Plus software 6.0 (Media Cybernetics). Standard curves
280 were constructed to establish that we operated within the linear range of the detection
281 method.

282

283 **Immunohistochemistry**

284 Mice were deeply anesthetized with isoflurane (5% in O₂) and perfused transcardially
285 with 20 ml PBS followed by 20 ml of cold 4% paraformaldehyde (PFA) in PBS
286 containing 1.5% picric acid. The brain and spinal cord were removed and post-fixed with
287 the same 4% PFA for overnight (brain) or 4-6 hours (spinal cord) at 4 °C. The samples
288 were then transferred to 30% sucrose in PBS overnight. Sample sections (14 µm in
289 thickness) were prepared on gelatin-coated glass slide with a cryostat (Leica). The
290 sections were blocked with 5% goat serum and 0.3% Triton X-100 (Sigma) in TBS buffer
291 for 60 min, and then incubated overnight at 4°C with primary antibody for
292 rabbit-anti-Iba1 (1:1000, Wako). The sections were then incubated for 60 min at RT with
293 secondary antibodies (goat anti-rabbit Alexa Fluor®594, Life Technologies). The stained
294 sections were examined with a Leica DFC350 FX (Leica Camera AG, Solms, Germany)
295 fluorescence microscope and images were captured with a CCD spot camera.
296 The number of GFP⁺ cells or the percentage of GFP⁺ area was countered or detected
297 using ImageJ software (National Institutes of Health, Bethesda, MD). To quantify
298 immunoreactivity profiles in the spinal cord and hippocampus, three to five L4–5 spinal
299 cord or hippocampal sections per mouse from 3 mice were randomly selected for each
300 group.

301

302 Quantification of microglia

303 All fluorescence images were captured on an EVOS FL (Thermofisher) imaging station
304 with a 20× objective lens, and the qualitative and quantitative analyses of images were
305 performed in a blinded fashion. The number of GFP⁺ cells or the percentage of GFP⁺ area
306 within hippocampus CA1 or the medial two thirds of the spinal dorsal horn on the
307 ipsilateral side after SNI were counted/measured using Image J software (National
308 Institutes of Health, Bethesda, MD). When GFP⁺ cell number counting, image contrast
309 was adjusted to eliminated background fluorescence, and the same cutoff level was used
310 for all images. For GFP⁺ area, the images were digitally converted into a grey scale
311 image before commencing the analysis. Only the GFP⁺ cell bodies with Dapi-stained
312 nuclei were included in the analysis. To quantify immunoreactivity profiles, three to five
313 L4–5 spinal cord or hippocampal sections per mouse from 3 mice were randomly
314 selected for each group.

317 Flow cytometry

318 At 7 d after SNI, the bilateral hippocampus and lumbar 4-5 spinal dorsal horn were
319 harvested from CX3CR1-EGFP mice and digested using Neural Tissue Dissociation Kits
320 (Miltenyi Biotec) as well as proteolytic enzymes to obtain single-cell suspensions. Then
321 the microglia were isolated from the cell suspensions by discontinuous density gradient
322 centrifugation using 30% isotonic Percoll® (GE Healthcare) and stained with APC
323 anti-mouse/human CD11b Antibody (101212, biolegend) and its isotype control for 45
324 min. The percentage of CX3CR1-EGFP, CD11b⁺ microglia were compared between
325 sham and SNI groups (n = 3-4 mice /group). Data acquisition was performed on a flow

326 cytometer (BD FACSCalibur™; BD Biosciences) and analyzed with FlowJo (TreeStar)
327 software blind to treatment group.

328

329 **Statistics**

330 The data for the Sholl analysis of dendrite distribution with repeated measures two-way
331 ANOVA, and post hoc tests were used for detailed statistical analysis, as appropriate. The
332 behavioral data were analyzed by one-way repeated measure ANOVA when compared
333 within the group and by two-way ANOVA when compared between groups. The results
334 of others were analyzed with Student's *t*-test. All data are expressed as means \pm SEM.
335 Statistical tests were carried out with SPSS 16.0 (SPSS, Somers, NY, USA). $p < 0.05$ was
336 considered significant.

337

338

339 **Results**

340 **SNI oppositely regulates structural synaptic connectivity in the hippocampus and in**
 341 **spinal dorsal horn**

342 This study focused on the neurokinin-1-positive neurons (NK1-PNs) in spinal lamina I,
 343 which is critical for the development of neuropathic pain (Mantyh et al., 1997), and on
 344 the hippocampal CA1 pyramidal neurons. There are three types of lamina I neurons based
 345 on cell morphology, fusiform, multipolar and pyramidal. In the present study, the lamina I
 346 NK1-PNs in each group used for morphological analysis included $53\% \pm 0.053$ fusiform,
 347 $42\% \pm 0.068$ multipolar and $5\% \pm 0.037$ pyramidal cells. This is consistent with previous
 348 studies in rats (Yu et al., 2005) and monkeys (Yu et al., 1999) showing that NK-1
 349 receptors are expressed mainly in fusiform and multipolar, but less in pyramidal lamina I
 350 neurons.

351 Compared with sham-operated mice, the total dendrite length, the number of dendrite
 352 branch points and spine densities in the basal and apical dendrites of CA1 pyramidal
 353 neurons were significantly reduced (Figure 1A-C), whereas all of these measures of
 354 dendritic complexity were enhanced in spinal NK1-PNs (Figure 1D-F) 7-10 d following
 355 SNI in mice. Sholl analysis revealed that reduced dendritic branching (number of
 356 crossings) in basal dendrites of CA1 neurons was evident between 110 and 140 μm from
 357 the soma, in apical dendrites between 230 and 300 μm (Figure 1B) and in spinal
 358 NK1-positive PNs between 70 to 90 μm (Figure 1E) in SNI mice, compared to sham
 359 mice. The results indicate that SNI affects structural synaptic connectivity in a
 360 region-dependent manner. As most excitatory synapses are located in spines (Sorra and
 361 Harris, 2000), these results demonstrated that the number of excitatory synapses is

362 reduced in hippocampal pyramidal neurons, but enhanced in spinal NK1-PNs under
363 neuropathic pain conditions.

364

365 **Synaptic efficacy is decreased in the hippocampus but increased in spinal dorsal**
366 **horn following SNI**

367 Having shown that SNI increases dendritic complexity and spine density in spinal
368 NK1-PNS, but decreases dendritic complexity and spine density in hippocampal CA1
369 neurons, we next tested if the structural changes were associated with changes in synaptic
370 connectivity in the two regions 7-10 days after SNI. Indeed, we found that, compared
371 with sham mice, the frequency of miniature excitatory postsynaptic currents (mEPSCs)
372 was lower in CA1 pyramidal neurons but was higher in spinal NK1-PNs in SNI mice
373 (Figure 2A-B). The amplitudes of mEPSCs in both CA1 pyramidal neurons and spinal
374 NK1-PNs were not different between SNI and sham groups (Figure 2A-B). The results
375 indicate that the strength of synaptic connectivity is decreased in hippocampal neurons
376 but increased in spinal NK1-PNs, which may result from opposite changes in numbers of
377 excitatory synapses in the two regions in response to SNI.

378 To investigate if SNI also differentially affects the synaptic plasticity in the two
379 regions, we next tested the effect of SNI on NMDA/AMPA ratio, which reflects the
380 synaptic plasticity (Lau and Zukin, 2007), in the hippocampal and spinal slices with
381 whole-cell patch clamp recordings. We found that the NMDA/AMPA ratio was
382 significantly lower at CA3-CA1 synapses (Figure 2C), but was higher at synapses
383 between primary afferents and spinal NK1-PNs (Figure 2D) in SNI mice, compared to
384 sham mice. These suggest that the synaptic plasticity is decreased in hippocampus but

385 increased in dorsal horn following peripheral nerve injury, which is in consistence with
 386 previous works showing that SNI impairs LTP in hippocampus (Ren et al., 2011) but
 387 facilitates LTP in spinal dorsal horn (Liu et al., 2007).

388 The morphological and electrophysiological data demonstrated that SNI induced
 389 opposite changes in structural synaptic connectivity, in excitatory synaptic transmission
 390 and in synaptic plasticity in hippocampus and in spinal dorsal horn. The following
 391 experiments were focused on the mechanisms by which SNI produces the structural
 392 synaptic changes and behavioral abnormalities.

393

394 **The effects of SNI on the expression of TNF- α and BDNF in hippocampus and in**
 395 **spinal dorsal horn**

396 Previous studies showed that elevation of TNF- α is involved in both chronic neuropathic
 397 pain (Xu et al., 2006) and memory deficits (Ren et al., 2011) following peripheral nerve
 398 injury. BDNF, which is critical for synapse formation, plays important roles in
 399 hippocampus-dependent memory (Park and Poo, 2013) and chronic pain (Groth and
 400 Aanonsen, 2002; Zhou et al., 2010). To explore the molecules that may contribute to the
 401 opposite changes in the dendritic structural and synaptic connectivity changes occurring
 402 in SNI, we measured TNF- α and BDNF protein levels in the hippocampus and spinal
 403 dorsal horn 7-10 d following SNI. TNF- α levels increased in bilateral hippocampus and
 404 in ipsilateral spinal dorsal horn, while BDNF protein decreased in both hippocampi, but
 405 increased in the ipsilateral spinal dorsal horn, compared to sham groups (Figure 3A and
 406 3B). These results suggest that overproduction of TNF- α may differentially regulate
 407 BDNF expression in hippocampus and spinal dorsal horn.

408

409 **SNI-induced changes in synaptic connectivity are mediated by TNF- α /TNFR 1**410 **signaling**

411 To determine the role of TNF- α for the changes in structural synaptic change and BDNF
412 expression induced by SNI, we performed experiments with TNF receptor 1 (TNFR1)
413 knock out (KO) mice. There was no difference in the total dendrite lengths, the number of
414 dendrite branch points and spine densities in CA1 pyramidal neurons (Figure 4A-C) and
415 in spinal NK1-PNs (Figure 4D-F) in SNI compared to sham groups of TNFR1 KO mice.
416 Interestingly, just like the changes in synaptic connectivity described above, the opposite
417 regulation of BDNF expression by SNI was also prevented by genetic deletion of TNFR1
418 (Figure 3G). Thus, TNFR1 may be necessary for the morphological synaptic changes
419 induced by SNI.

420 To test if TNF- α is sufficient to induce the differential changes in structural synaptic
421 connectivity and BDNF expression in hippocampus and in spinal dorsal horn, we
422 cultured rat hippocampal and spinal cord slices with recombinant rat TNF- α (rrTNF- α) at
423 different concentrations (Figure 5). A rrTNF- α concentration of 10 ng/ml reduced the
424 basal dendrite lengths and the number of basal dendrite branch points in CA1 pyramidal
425 neurons, while the apical dendritic length, apical branch number and both basal and
426 apical spine densities were significantly reduced with 1 ng/ml and 10 ng/ml rrTNF- α
427 (Figure 5A-C). In spinal NK1-PNs, rrTNF- α concentrations of 1 and 10 ng/ml
428 significantly increased total dendrite lengths and the number of dendrite branch points,
429 and dendritic spine density was significantly increased with 10 ng/ml rrTNF- α (Figure
430 5D-F). Furthermore, rrTNF- α at concentrations of 1 and 10 ng/ml significantly

431 down-regulated BDNF in hippocampal slices, but up-regulated BDNF in spinal slices
432 (Figure 5G). Thus, TNF- α /TNFR1 signaling may be sufficient to induce CNS
433 region-dependent changes in both synaptic connectivity and BDNF expression under
434 neuropathic pain conditions.

435

436 **The region-dependent synaptic alterations and BDNF expression induced by**
437 **SNI are abolished by inhibition or ablation of microglia**

438 We pursued the mechanism by which SNI and resultant elevation of TNF- α oppositely
439 regulate BDNF expression and synaptic connectivity in the spinal dorsal horn and
440 hippocampus. Previous studies showed that activation of microglia impairs LTP in
441 hippocampus (Griffin et al., 2006) but is essential for LTP induction in spinal dorsal horn
442 (Zhong et al., 2010), indicating that microglia have differential effects on synaptic
443 plasticity in the two regions. In the present study, we found that microglia were activated
444 and proliferated in ipsilateral spinal dorsal horn and bilateral hippocampus following SNI
445 in the mice that express GFP in microglial cells (Figure 6A and B). To determine whether
446 microglial activation is responsible for the opposite cellular and molecular changes
447 induced by SNI, we first inhibited microglia using a tetracycline derivative, minocycline.
448 Injection of minocycline (i. p.) prevented memory deficits and mechanical allodynia
449 (Figure 6C), and abolished the upregulation of TNF- α and the opposite changes in BDNF
450 expression (Figure 6D) in the hippocampus and in spinal dorsal horn produced by SNI in
451 wild type mice.

452 To determine whether microglia mediated the SNI-induced CNS region-dependent
453 changes in synaptic connectivity and BDNF expression, as well as behavioral abnormalities,

we selectively ablated microglia using a mouse line genetically engineered to express the diphtheria toxin receptor in microglia in CNS (Parkhurst et al., 2013; Peng et al., 2016). Exposure to diphtheria toxin resulted in extensive depletion of microglia throughout the CNS, including the hippocampus and spinal cord (M-Abl, Figure 7A and B). We found that microglial depletion prevented SNI-induced reductions of dendrite complexity, spine density and the synaptic NMDA/AMPA ratio in hippocampal CA1 pyramidal neurons (Figure 7C and D), and the increases in those dendritic features in spinal dorsal horn NK1-PNs (Figure 7E and F). Finally, the ablation of microglia also prevented the SNI-induced short-term memory deficits and mechanical allodynia (Figure 7G) and abolished the increase in TNF- α and the differential changes in BDNF in the hippocampus and spinal dorsal horn (Figure 7H). These results suggest a pivotal role for microglial activation in the neurochemical and cytoarchitectural consequences of SNI in the spinal dorsal horn and hippocampus, and the associated behavioral manifestations.

Discussion

We found that dendritic structural complexity and functional synaptic connectivity and BDNF expression were significantly enhanced in spinal NK1-PNs but reduced in hippocampal CA1 neurons following SNI. SNI upregulated TNF- α in both hippocampus and spinal dorsal horn and the SNI-induced region-dependent changes in structural synaptic connectivity and BDNF expression were blocked by genetic deletion of TNFR1 *in vivo*, mimicked by rrTNF- α in cultured hippocampal and spinal slices. SNI also activated microglia in the two regions while the pharmacological inhibition or selective deletion of microglia blocked the SNI-induced changes in synaptic connectivity and

477 BDNF expression and substantially prevented the neuropathic pain and short-term
478 memory deficits. Altogether, our findings suggest that CNS region-dependent changes in
479 synaptic connectivity are responsible for behavioral manifestations of chronic pain
480 including impaired hippocampus-dependent learning and memory. Microglial activation
481 and TNF- α signaling via TNFR1 are required for the opposite changes in the structural
482 synaptic connectivity in region CA1 of the hippocampus and spinal cord dorsal horn.

483

484 **The CNS region-specific effects of SNI on synaptic connectivity are mediated by**
485 **microglial activation and TNF- α signaling.**

486 Previous studies showed that activation of microglia impairs LTP in hippocampus
487 (Griffin et al., 2006) but is essential for LTP induction in spinal dorsal horn (Zhong et al.,
488 2010), indicating that microglial activation also affect synaptic plasticity in a
489 region-dependent manner. Microglia release numerous gliotransmitters, including
490 cytokines, neurotrophic factors and neurotransmitters (Ransohoff and Perry, 2009; Eyo
491 and Wu, 2013) and these chemical substances may create and maintain a
492 microenvironment that modulates the structure and function of the cells. Under
493 neuropathic pain conditions, it is well known that spinal microglia are strongly activated
494 at both molecular and cellular level, thereby regulating neuronal activities in the spinal
495 dorsal horn (Zhuo et al., 2011; Gu et al., 2016; Jeong et al., 2016). However, the
496 peripheral nerve injury-induced microglia activation in the brain is less conclusive. For
497 example, a previous study showed that ligation of common peroneal nerve fails to
498 activate cortical or hippocampal microglia (Zhang et al., 2008), which is different from
499 the current study. We believe that the different pain models used in the studies may

500 underlie the discrepancy. Indeed, Takeda (Takeda et al., 2009) reported that the
501 expression of CD11b, a microglial marker, was increased in the hypothalamus and
502 periaqueductal gray in the chronic constriction injury rats. In addition, it has been shown
503 that peripheral inflammation induces microglia activation in the hippocampus (Riazi et al.,
504 2008). A recent genome-wide analysis study shows that microglia have distinct brain
505 region-dependent transcriptional identities (Grabert et al., 2016). Accordingly, the
506 gliotransmitters released by activated microglia in hippocampus and in spinal dorsal horn
507 may be different under neuropathic pain conditions. If this is true, the opposite changes in
508 the synaptic connectivity in the two regions may result from the different
509 microenvironment mediated by microglia. Indeed, our data showing that SNI-induced
510 opposite changes in synaptic connectivity and BDNF expression were prevented by either
511 pharmacological inhibition or genetic ablation of microglia demonstrate directly that the
512 region-dependent changes induced by SNI are mediated by microglial activation.

513 Similar to peripheral nerve injury and microglial activation, TNF- α overproduction
514 also regulates synaptic plasticity region-dependently: induction of LTP in the spinal
515 dorsal horn (Liu et al., 2007; Zhong et al., 2010; Gruber-Schoffnegger et al., 2013) and
516 inhibition of LTP in the hippocampus (Pickering et al., 2005; Griffin et al., 2006; Ren et
517 al., 2011). The present work showed that SNI-induced opposite changes in structural
518 synaptic connectivity hippocampus and in spinal dorsal horn were abolished by genetic
519 deletion of TNFR1 and mimicked by rrTNF- α in slice cultures. The mechanisms
520 underlying the effects of TNF- α / TNFR1 signaling are still unclear. In neuropathic
521 conditions TNF- α released from neurons and glial cells (Xu et al., 2006) can activate

522 microglia via TNFR1 *in vivo* (Ishikawa et al., 2013) and *in vitro* (Neniskyte et al., 2014).

523 Therefore, TNF- α -induced CNS region-dependent changes may be at least partially

524 mediated by microglia TNFR1.

525

526 **BDNF in SNI-induced structural and synaptic plasticity in the hippocampus and**
 527 **spinal dorsal horn**

528 It is well established that LTP and learning are associated with increases in dendritic

529 spines in hippocampal and cortical neurons (Holtmaat and Svoboda, 2009) and the

530 structural plasticity is believed to underlie long-term memory formation (Bailey and

531 Kandel, 1993). The change in spine number is associated with functional changes in

532 synaptic connectivity and behavioral changes. For example, animal studies have shown

533 that exercise and caloric restriction increase dendritic spine density in hippocampal

534 neurons and improve learning and memory, whereas diabetes and depression reduce spine

535 density and impair learning and memory (Stranahan et al., 2009; Mattson, 2012).

536 Previous findings show that peripheral nerve injury that leads to neuropathic pain

537 (Decosterd and Woolf, 2000) and short-term memory induces LTP at C-fiber synapses in

538 spinal dorsal horn (Zhang et al., 2004) but inhibits LTP at CA3-CA1 synapses in

539 hippocampus (Ren et al., 2011). We found that total dendrite length, the number of

540 dendrite branch points and spine densities were reduced in CA1 pyramidal neurons but

541 enhanced in spinal NK1-PNs 7-10d after SNI. As most excitatory synapses are located in

542 spines (Sorra and Harris, 2000), the opposite structural synaptic changes may contribute

543 to long-lasting memory deficits and chronic neuropathic pain by decreasing and

544 increasing excitatory synaptic transmission in hippocampus and in spinal dorsal horn,

545 respectively. The reduction of the dendritic complexity in hippocampus may also
546 contribute to the hippocampal atrophy in chronic pain patients (Mutso et al., 2012; Mao
547 et al., 2016).

548 BDNF is critical for dendritic growth, synapse formation and functional synaptic
549 plasticity in several CNS regions including the hippocampus and spinal dorsal horn
550 (Coull et al., 2005; Zhou et al., 2010). We found that BDNF levels were reduced in
551 hippocampus but increased in spinal dorsal horn following SNI, and that these changes in
552 BDNF were mediated by microglia activation and TNFR1. These findings are consistent
553 with a scenario in which microglia-derived TNF- α differentially regulates BDNF
554 expression in hippocampal CA1 and spinal dorsal horn neurons. Given that BDNF is
555 known to stimulate dendrite growth and synaptogenesis in both CNS regions (Lu et al.,
556 2013), it seems likely that changes in BDNF expression contribute to the differential
557 effects of SNI on dendritic architecture and synaptic connectivity in hippocampal CA1
558 pyramidal neurons and spinal NK-1 receptor-expressing neurons.

559 Altogether, our study demonstrates a differential regulation of synaptic plasticity in the
560 hippocampus and spinal cord by a TNF α - and microglia-dependent mechanism after
561 peripheral nerve injury. These findings suggest that suppression of microglial activation
562 or inhibition of TNFR1 might ameliorate the adverse effects of chronic pain on mood and
563 learning and memory. They may also explain, in part, the fact that exercise is beneficial
564 for chronic pain patients because it reduces pain perception and also exhibits
565 antidepressant and cognition-enhancing effects (Ambrose and Golightly, 2015).
566 Interestingly, exercise increased BDNF expression in the hippocampus, but suppresses

567 BDNF production in the spinal dorsal horn (Mattson, 2012; Almeida et al., 2015). It will
568 be of considerable interest to determine whether there are roles for microglia and TNF- α
569 in these beneficial effects of exercise on chronic pain.

570

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- 717

718 **Legends**

719 **Figure 1. Synaptic connectivity is decreased in hippocampus but increased in spinal**
 720 **dorsal horn after SNI.**

721 **(A, B)** Representative images of biocytin-labeled CA1 pyramidal neurons and summary
 722 data of dendritic length ($n = 12$, basal, $p = 0.024$; apical, $p = 0.002$), branch number ($n =$
 723 12 ; basal, $p = 0.024$, apical, $p = 0.002$) and Sholl analysis in wild type (WT) from the
 724 sham and SNI groups ($n=12$, $p = 0.012$). **(C)** Representative images and summary data of
 725 dendritic spine densities of CA1 neurons in the indicated groups ($n = 12$, basal, $p = 0.023$;
 726 apical, $p = 0.021$). 12 neurons from 6 mice per group were analyzed. **(D, E)**
 727 Representative images and the summary data of dendritic length ($n = 14$, $p = 0.024$),
 728 branch number ($n = 14$, $p = 0.016$) and Sholl analysis of spinal NK1-PNs in sham and
 729 SNI groups of WT mice ($n=14$, $p = 0.014$). **(F)** Representative images and summary data
 730 of dendritic spine densities of spinal NK1-PNs in the indicated groups ($n = 14$, $p = 0.023$).
 731 14 neurons from 6 mice per group. Values are the mean \pm SEM. $*p < 0.05$ versus sham
 732 groups. Data for all bar graphs were analyzed using Student's t-test. Data for graphs in
 733 which Sholl analyses is plotted were analyzed using repeated measures two-way
 734 ANOVA.

735

736 **Figure 2. SNI induces differential changes in synaptic transmission**

737 **(A)** Representative mEPSCs of CA1 pyramidal neurons, their amplitude, cumulative
 738 probability and frequency in WT mice from the sham and SNI groups ($n = 12$, amplitude,
 739 $p = 0.659$; frequency, $p = 0.008$; 12 neurons from 6 mice per group). **(B)** Representative
 740 mEPSCs of spinal NK1-PNs, their amplitude, cumulative probability and frequency in

WT mice from the sham and SNI groups ($n = 10$, amplitude, $p = 0.819$; frequency, $p = 0.012$; 10 neurons from 5 mice per group). (C) The NMDA/AMPA current ratios at CA3-CA1 synapses in the indicated groups ($n = 15$, $p = 0.021$; 15 neurons from 6 mice per group). The evoked AMPA receptor EPSC (low traces) and the evoked NMDA receptor EPSC (up traces) were recorded at membrane potentials of -70 and $+50$ mV, respectively. The circles indicate the time at which the amplitudes of AMPA or NMDA receptor currents were measured. (D) The NMDA/AMPA current ratio in spinal NK1-PNs in the different groups ($n = 16$, $p = 0.022$; 16 neurons from 6 mice per group). Values are the mean \pm SEM. $*p < 0.05$, $**p < 0.01$ versus sham groups. Data for all bar graphs were analyzed using Student's t-test.

Figure 3. The effects of SNI on TNF- α and BDNF expression in hippocampus and spinal dorsal horn

(A) Western blots show the levels of TNF- α (26 kDa) and BDNF (18 kDa) in contralateral (C) and ipsilateral (I) hippocampus of WT mice in sham and SNI groups ($n=5$, TNF- α , $p < 0.001$ (I), $p = 0.009$ (C); BDNF, $p < 0.001$ (I), $p = 0.021$ (C)). (B) The levels of TNF- α and BDNF in contralateral and ipsilateral spinal dorsal horn in the indicated groups ($n=5$, TNF- α , $p = 0.014$ (I), $p = 0.662$ (C); BDNF, $p = 0.032$ (I), $p = 0.737$ (C). RelOD: relative optical density. Values are the mean \pm SEM. $*p < 0.05$, $**p < 0.01$, $***p < 0.001$ versus sham groups. Data for all bar graphs were analyzed using Student's t-test.

Figure 4. The effects of SNI on synaptic connectivity and BDNF expression are

764 **prevented by genetic deletion of TNFR 1.**

765 **(A)** Representative images of biocytin-labeled CA1 pyramidal neurons and **(B)** their
 766 indicated dendritic length ($n = 12$, basal, $p = 0.361$; apical, $p = 0.335$), branch number (n
 767 $= 12$, basal, $p = 0.395$; apical, $p = 0.605$) and Sholl analysis ($n=12$, $p = 0.231$) in TNFR1
 768 KO mice from the sham and SNI groups are shown. **(C)** Representative images and
 769 summary data of dendritic spine densities of CA1 neurons in the indicated groups ($n = 12$,
 770 basal, $p = 0.406$; apical, $p = 0.369$). 12 neurons from 6 mice per group were used for the
 771 morphological analysis. **(D, E)** Representative images and the summary data of dendritic
 772 length ($n = 14$, $p = 0.234$), branch number ($n = 14$, $p = 0.394$) and Sholl analysis of spinal
 773 NK1-PNs in sham and SNI groups of TNFR1 KO mice ($n=14$, $p = 0.320$). **(F)**
 774 Representative images and summary data of dendritic spine densities of spinal NK1-PNs
 775 in the indicated groups ($n = 14$, $p = 0.234$). 14 neurons from 6 mice per group. **(G)**
 776 Western blots show the level of BDNF in contralateral (C) and ipsilateral (I)
 777 hippocampus or spinal dorsal horn of TNFR1 KO mice in sham and SNI groups
 778 (hippocampus, $n = 5$, $p = 0.422$ (C), $p = 0.485$ (I); spinal dorsal horn, $n = 5$, $p = 0.298$)
 779 (C), $p = 0.372$ (I). Data for all bar graphs were analyzed using Student's t-test. Data for
 780 graphs in which Sholl analyses is plotted were analyzed using repeated measures
 781 two-way ANOVA.

782

783 **Figure 5. TNF- α differentially modulates synaptic connectivity and BDNF**

784 **expression in cultured slices. (A, B)** Representative images of biocytin-labeled CA1
 785 pyramidal neurons and their indicated dendritic length ($n = 12$, basal, vehicle vs 1 ng, $p =$
 786 0.368 , vehicle vs 10 ng, $p < 0.001$, 1 ng vs 10 ng, $p = 0.007$; apical, vehicle vs 1 ng, $p =$

0.005, vehicle vs 10 ng, $p = 0.001$, 1 ng vs 10 ng, $p = 0.898$), branch number ($n = 12$,
basal, vehicle vs 1 ng, $p = 0.692$, vehicle vs 10 ng, $p = 0.003$, 1 ng vs 10 ng, $p = 0.013$;
apical, vehicle vs 1 ng, $p = 0.044$, vehicle vs 10 ng, $p < 0.001$, 1 ng vs 10 ng, $p = 0.208$)
and Sholl analysis ($n=14$, $p = 0.012$) in the slices treated with vehicle and rrTNF- α (TNF)
at indicated concentrations. **(C)** Representative images and summary data show dendritic
spine densities in CA1 pyramidal neurons in vehicle- and TNF-treated slices ($n = 12$,
basal, vehicle vs 1 ng, $p < 0.001$, vehicle vs 10 ng, $p < 0.001$, 1 ng vs 10 ng, $p = 0.895$;
apical, vehicle vs 1 ng, $p = 0.026$, vehicle vs 10 ng, $p = 0.02$, 1 ng vs 10 ng, $p = 0.914$).
12 neurons in 12 slices from 6 rats per group. **(D, E)** Representative images and dendritic
measurements of spinal NK1-PNs in vehicle- and TNF-treated slices ($n = 12$, dendritic
length, vehicle vs 1 ng, $p < 0.001$, vehicle vs 10 ng, $p = 0.001$, 1 ng vs 10 ng, $p = 0.875$;
branch number, vehicle vs 1 ng, $p < 0.001$, vehicle vs 10 ng, $p < 0.001$, 1 ng vs 10 ng, $p =$
0.126; Sholl analysis, $n=12$, $p = 0.022$). **(F)** The dendritic spines of NK1-PNs were
increased by TNF ($n=12$, vehicle vs 1 ng, $p = 0.211$, vehicle vs 10 ng, $p = 0.004$, 1 ng vs
10 ng, $p = 0.218$). 12 neurons in 12 slices from 6 rats per group. **(G)** Western blots show
BDNF levels in the hippocampal and spinal slice cultures in the indicated groups ($n = 5$,
vehicle vs 1 ng, $p = 0.035$, vehicle vs 10 ng, $p < 0.001$, 1 ng vs 10 ng, $p = 0.022$). 30-35
slices from 5 rats per group. Values are the mean \pm SEM. $*p < 0.05$, $**p < 0.01$, $***p <$
0.001 versus vehicle; $\# < 0.05$, $\## < 0.01$ versus 1 ng/ml. Data for all bar graphs were
analyzed using one-way ANOVA. Data for graphs in which Sholl analyses is plotted
were analyzed using repeated measures two-way ANOVA

Figure 6. Pharmacological inhibition of microglia prevents the opposite changes in
BDNF expression and behavioral abnormalities induced by SNI. (A) Representative

810 images show microglial cells (green) and Dapi (Blue) in ipsilateral hippocampal CA1 and
 811 spinal dorsal horn in CX3CR1-EGFP mice from sham and SNI groups. Pooled results
 812 show the number of GFP⁺ cells and the percentage of GFP⁺ area in the hippocampus (Hip,
 813 $n = 3$, $p = 0.021$) and spinal dorsal horn (SDH, $n = 3$, $p < 0.001$) of SNI and sham mice 9
 814 d after surgery (3 mice for each group). **(B)** Fluorescence-activated cell sorting (FACS)
 815 analysis of microglia in the ipsilateral (ipsi) and contralateral (contr) hippocampus ($n = 4$,
 816 sham vs SNI Ipsi, $p < 0.001$, sham vs SNI Contr, $p < 0.001$) and spinal dorsal horn ($n = 4$,
 817 sham vs SNI Ipsi, $p < 0.001$, sham vs SNI Contr, $p = 0.092$) in sham and SNI-7d mice.
 818 Dot plots show the total number of microglial cells expressing CX₃CR1-EGFP and
 819 CD11b (4 mice for each group). **(C)** The short-term memory deficit ($n = 8$, Vehicle
 820 +Sham vs Vehicle +SNI, $p = 0.013$, Mino +Sham vs Mino +SNI, $p = 0.142$) and
 821 mechanical allodynia (sample size mentioned in figure, day 7, Vehicle +Sham vs Vehicle
 822 +SNI, $p < 0.001$, Mino +Sham vs Mino +SNI, $p = 0.362$; day 13, Vehicle +Sham vs
 823 Vehicle +SNI, $p < 0.001$, Mino +Sham vs Mino +SNI, $p = 0.566$) were prevented by
 824 minocycline (i.p., 30 mg/kg, twice a day beginning one day prior to and continuing for 7
 825 days after SNI). **(D)** Western blots show the expression of TNF- α and BDNF in
 826 hippocampus ($n = 5$, TNF- α , Vehicle +Sham vs Vehicle +SNI, $p < 0.001$, Mino +Sham vs
 827 Mino +SNI, $p = 0.361$; BDNF, Vehicle +Sham vs Vehicle +SNI, $p = 0.008$, Mino +Sham
 828 vs Mino +SNI, $p = 0.225$) and spinal dorsal horn ($n = 5$, TNF- α , Vehicle +Sham vs
 829 Vehicle +SNI, $p < 0.001$, Mino +Sham vs Mino +SNI, $p = 0.566$; BDNF, Vehicle +Sham
 830 vs Vehicle +SNI, $p < 0.001$, Mino +Sham vs Mino +SNI, $p = 0.306$) tissues from the

831 mice that had been used for the above behavioral tests. Values are the mean \pm SEM. * p <
 832 0.05, ** p < 0.01, *** p < 0.001 compared to the values for each of the other three groups.
 833 Data for bar graphs in panel A were analyzed using Student's t-test. Data for graphs in
 834 panel C were analyzed using repeated measures two-way ANOVA. Data for other graphs
 835 were analyzed using Student's t-test.

836

837 **Figure 7. Conditional ablation of microglia prevents the synaptic changes and the**
 838 **behavioral abnormalities resulting from SNI.** (A) The experimental diagram shows
 839 the timeline of drug treatments (TM, tamoxifen, DT, diphtheria toxin), immunostaining,
 840 western blots (WB) pain behavioral tests (Pain beha.), novel object recognition test
 841 (NORT) and electrophysiology (EP) before and after SNI. (B) The photographs show
 842 Iba1-positive microglia in the hippocampal CA1 area and spinal dorsal horn of
 843 CX3CR1^{CreER/+} (Control) and CX3CR1^{CreER/+}; R26^{iDTR/+} mice (M-Abl) 3 day after SNI. (C)
 844 Results of analyses of dendritic length (n = 12, basal, Control +Sham vs Control +SNI, p
 845 = 0.011, M-Abl +Sham vs M-Abl +SNI, p = 0.568; apical, Control +Sham vs Control
 846 +SNI, p = 0.035, M-Abl +Sham vs M-Abl +SNI, p = 0.399), branch number (n = 12,
 847 basal, Control +Sham vs Control +SNI, p = 0.013, M-Abl +Sham vs M-Abl +SNI, p =
 848 0.208; apical, Control +Sham vs Control +SNI, p = 0.022, M-Abl +Sham vs M-Abl +SNI,
 849 p = 0.451), and spine densities of CA1 neurons in sham and SNI groups of control and
 850 M-Abl mice (n = 12, basal, Control +Sham vs Control +SNI, p = 0.039, M-Abl +Sham vs
 851 M-Abl +SNI, p = 0.602; apical, Control +Sham vs Control +SNI, p = 0.028, M-Abl

852 +Sham vs M-Abl +SNI, $p = 0.375$; 12 neurons from 5 mice per group). **(D)** The
853 NMDA/AMPA current ratio at CA3-CA1 synapses in SNI group was lower in control
854 mice but not in M-Abl mice, compared to sham groups ($n = 14$, Control +Sham vs
855 Control +SNI, $p = 0.022$, M-Abl +Sham vs M-Abl +SNI, $p = 0.412$). **(E)** Results of
856 analyses of dendritic length ($n = 12$, Control +Sham vs Control +SNI, $p = 0.021$, M-Abl
857 +Sham vs M-Abl +SNI, $p = 0.226$), branch number ($n = 12$, Control +Sham vs Control
858 +SNI, $p = 0.017$, M-Abl +Sham vs M-Abl +SNI, $p = 0.336$), and spine densities of spinal
859 NK1-PN neurons in sham and SNI groups of control and M-Abl mice ($n = 12$, Control
860 +Sham vs Control +SNI, $p = 0.014$, M-Abl +Sham vs M-Abl +SNI, $p = 0.433$; 12
861 neurons from 5 mice per group). **(F)** The NMDA/AMPA current ratio in spinal NK1-PNs
862 in different groups ($n = 12$, Control +Sham vs Control +SNI, $p = 0.031$, M-Abl +Sham vs
863 M-Abl +SNI, $p = 0.522$). 12 neurons from 5 mice per group. **(G)** The recognition index
864 for short-term memory ($n = 10$, Control +Sham vs Control +SNI, $p = 0.026$, M-Abl
865 +Sham vs M-Abl +SNI, $p = 0.559$) and mechanical allodynia (sample size mentioned in
866 figure, day 3, Control +Sham vs Control +SNI, $p < 0.001$, M-Abl +Sham vs M-Abl +SNI,
867 $p = 0.306$; day 5, Control +Sham vs Control +SNI, $p < 0.001$, M-Abl +Sham vs M-Abl
868 +SNI, $p = 0.528$; day 7, Control +Sham vs Control +SNI, $p < 0.001$, M-Abl +Sham vs
869 M-Abl +SNI, $p = 0.672$) in sham and SNI groups of control and M-Abl mice. **(H)** The
870 expression of TNF- α and BDNF in hippocampal ($n = 5$, TNF- α , Control +Sham vs
871 Control +SNI, $p < 0.001$, M-Abl +Sham vs M-Abl +SNI, $p = 0.162$; BDNF, Control
872 +Sham vs Control +SNI, $p = 0.035$, M-Abl +Sham vs M-Abl +SNI, $p = 0.098$) and spinal

873 dorsal horn ($n = 5$, TNF- α , Control +Sham vs Control +SNI, $p < 0.001$, M-Abl +Sham vs
874 M-Abl +SNI, $p = 0.377$; BDNF, Control +Sham vs Control +SNI, $p < 0.001$, M-Abl
875 +Sham vs M-Abl +SNI, $p = 0.568$) tissues from the mice that had been used for the above
876 behavioral tests. Values are the mean \pm SEM. $*p < 0.05$, $**p < 0.01$, $***p < 0.001$
877 compared to the values for each of the other three groups. Data for graphs in panel G
878 were analyzed using repeated measures two-way ANOVA. Data for other graphs were
879 analyzed using Student's t-test.
880
881

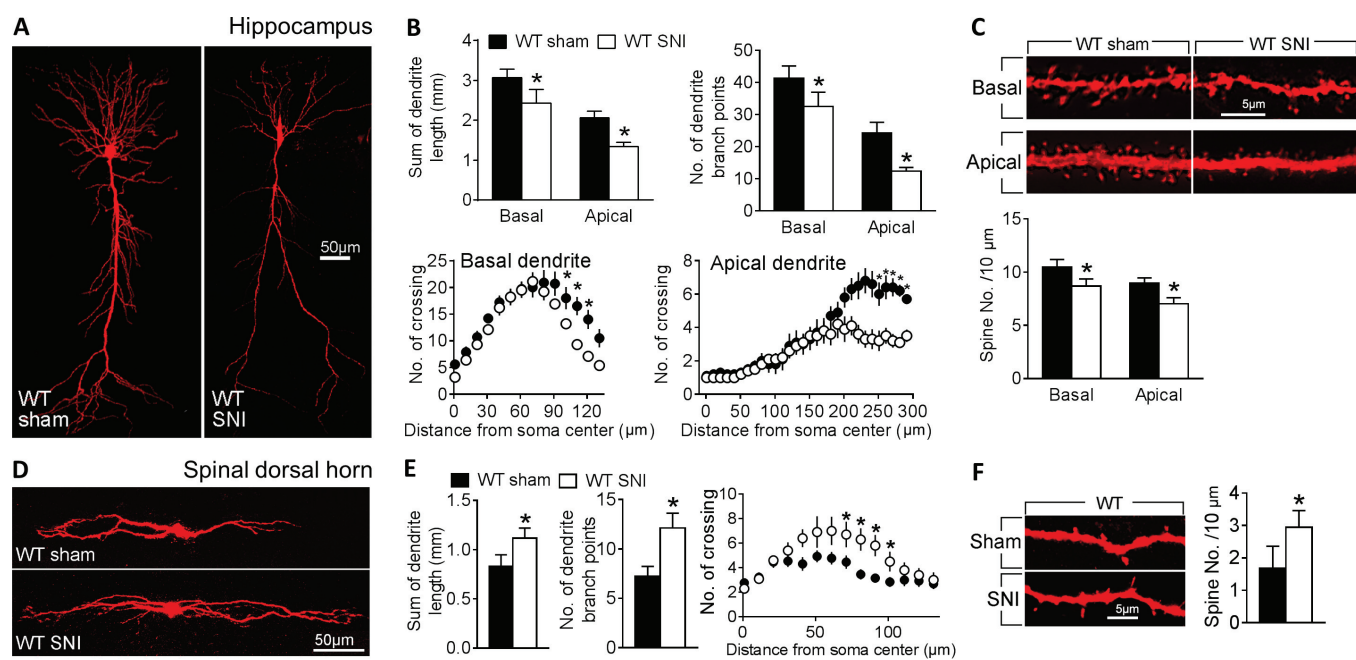


Fig. 1

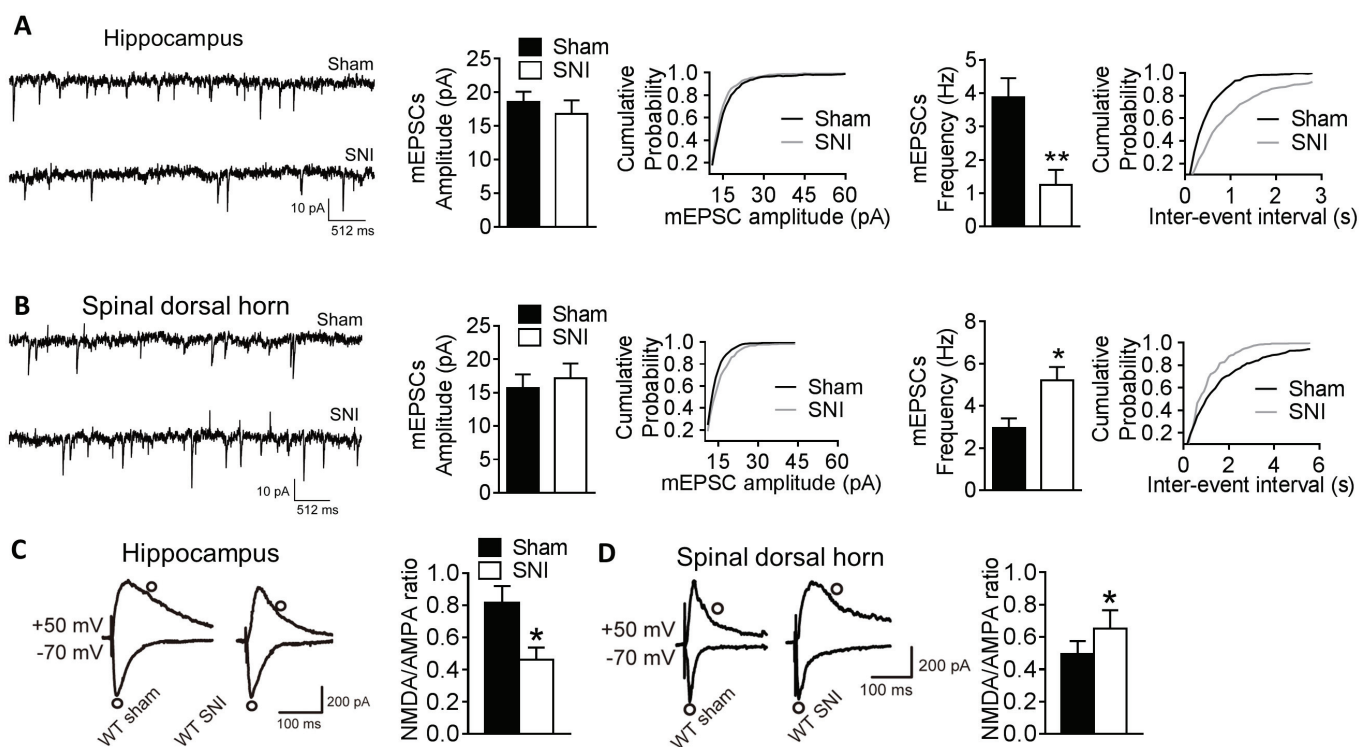


Fig. 2

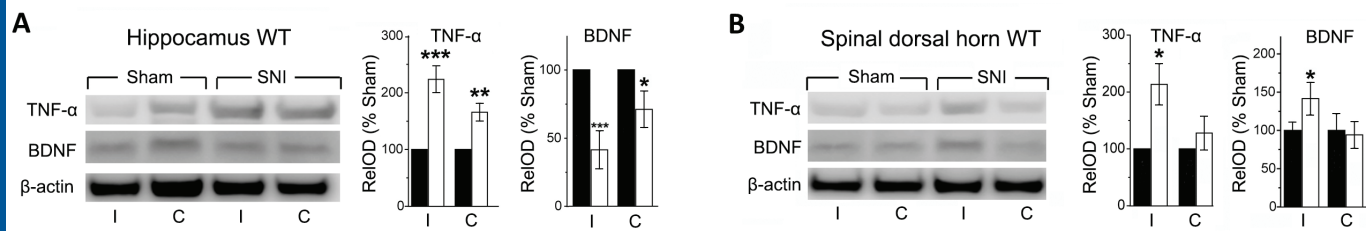


Fig. 3

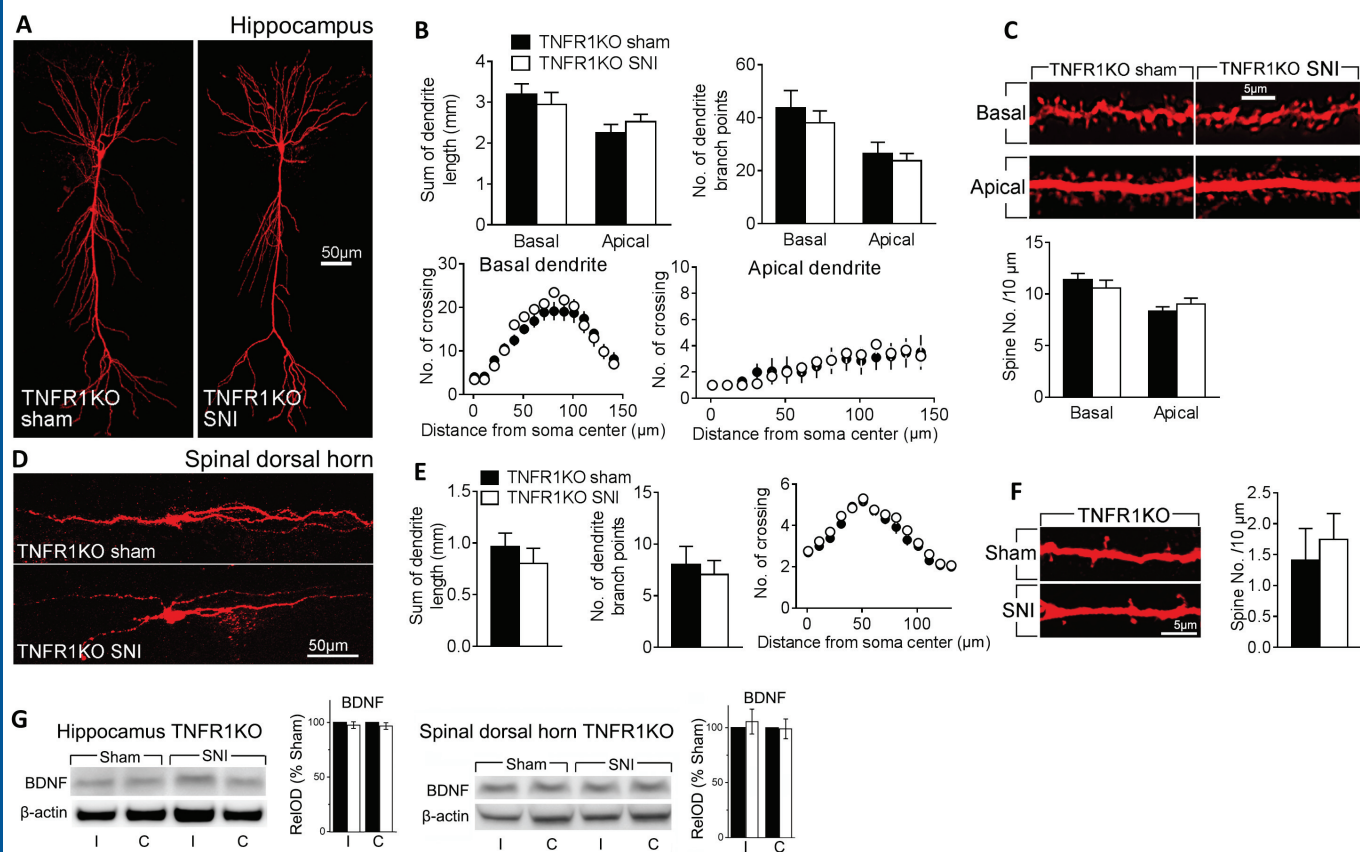


Fig. 4

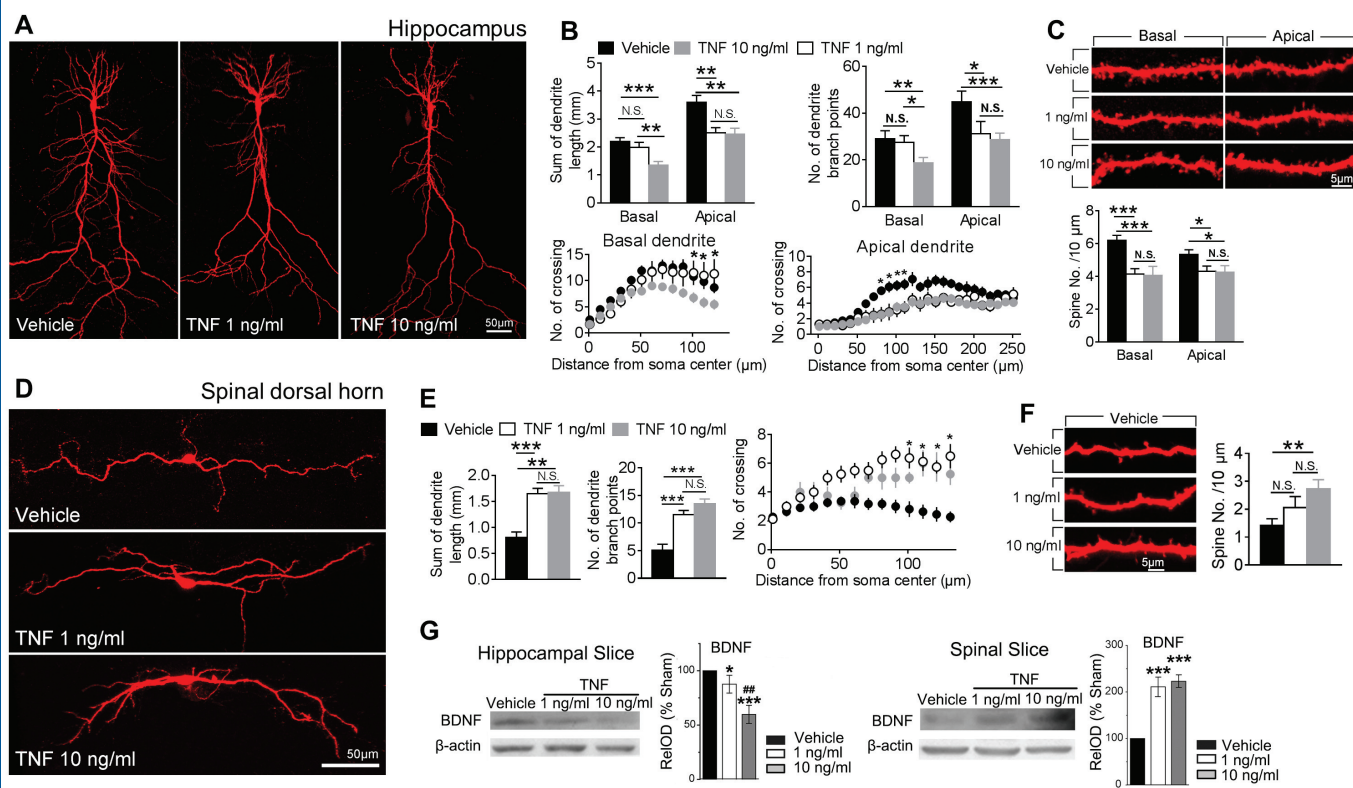


Fig. 5

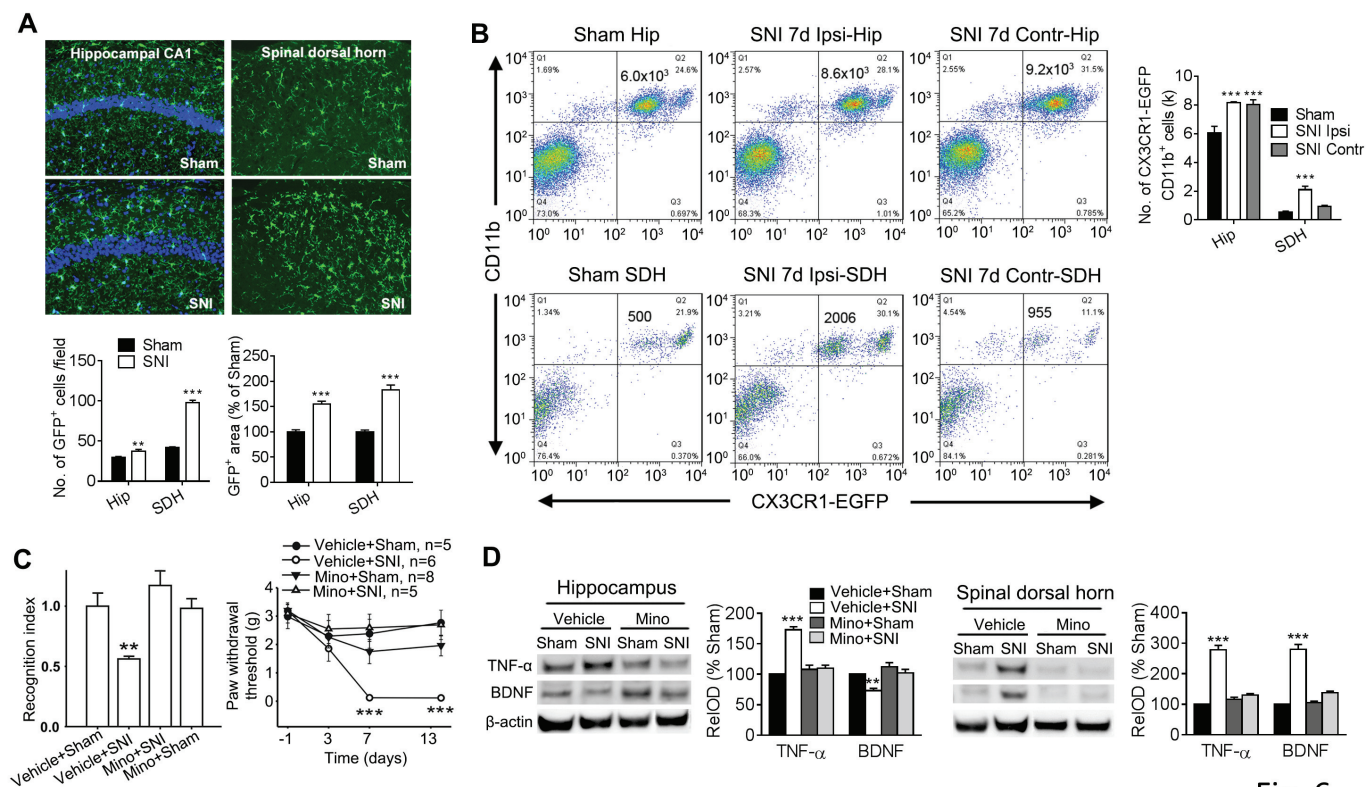


Fig. 6

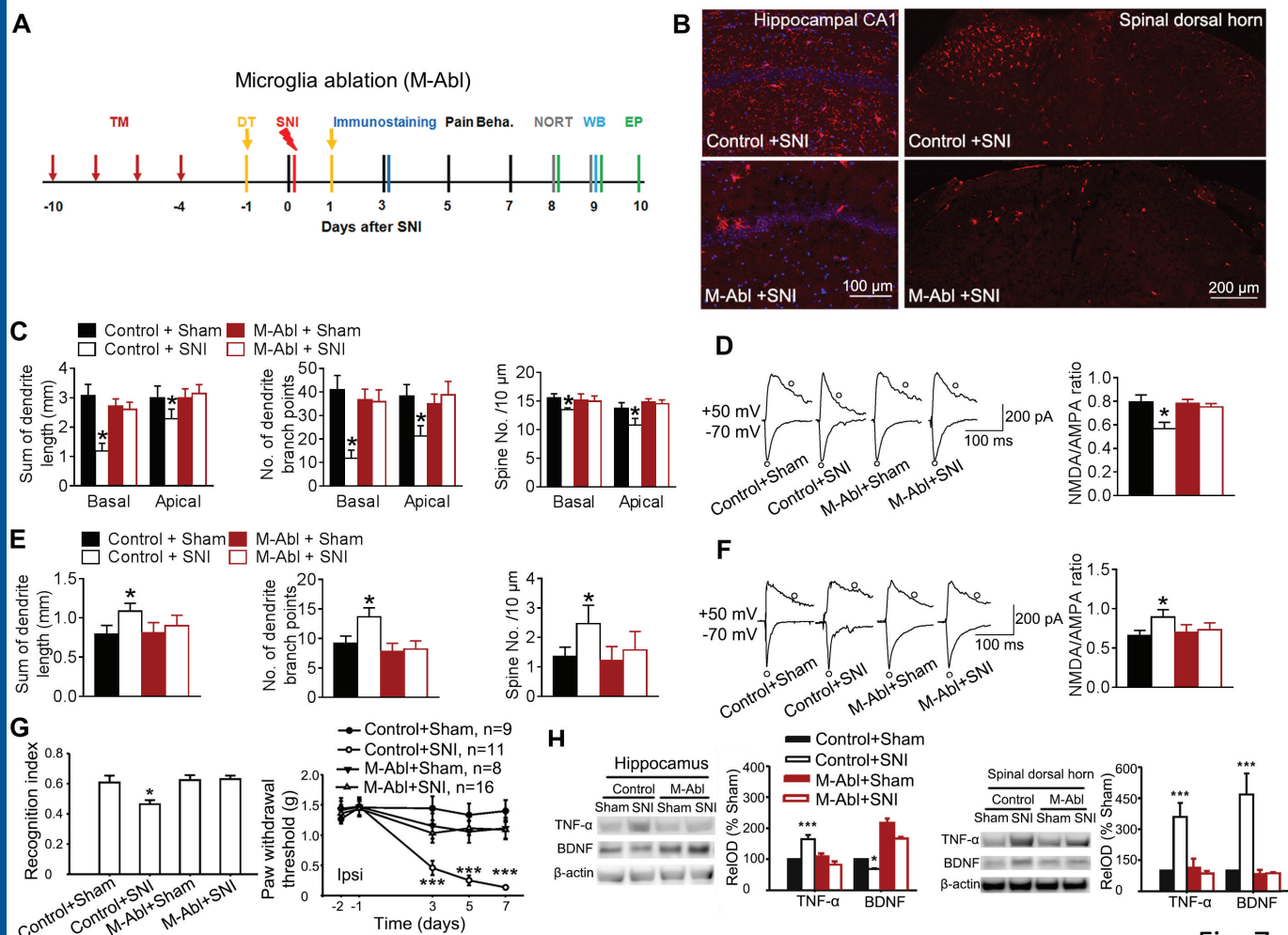


Fig. 7