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Differential sampling of visual space in ventral and dorsal early visual cortex

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1 **Differential sampling of visual space in ventral and dorsal early visual**
2 **cortex**

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16 **Abstract**

17 A fundamental feature of cortical visual processing is the separation of visual
18 processing for the upper and lower visual fields. In early visual cortex (EVC),
19 the upper visual field is processed ventrally with the lower visual field
20 processed dorsally. This distinction persists into several category-selective
21 regions of occipitotemporal cortex, with ventral and lateral scene-, face-, and
22 object-selective regions biased for the upper and lower visual fields,
23 respectively. Here, using an elliptical population receptive field (pRF) model,
24 we systematically tested the sampling of visual space within ventral and
25 dorsal divisions of human EVC in both male and female participants. We

26 found that 1) pRFs tend to be elliptical and oriented towards the fovea with
27 distinct angular distributions for ventral and dorsal EVC, potentially reflecting
28 a radial bias and 2) pRFs in ventral areas were larger (~1.5x) and more
29 elliptical (~1.2x) than those in dorsal areas. These differences potentially
30 reflect a tendency for receptive fields in ventral temporal cortex to overlap the
31 fovea with less emphasis on precise localization and isotropic representation
32 of space compared with dorsal areas. Collectively, these findings suggest that
33 ventral and dorsal EVC sample visual space differently, likely contributing to
34 and/or stemming from the functional differentiation of visual processing
35 observed in higher level regions of the ventral and dorsal cortical visual
36 pathways.

37

38 **Significance Statement**

39 The processing of visual information from the upper and lower visual fields is
40 separated in visual cortex. Although ventral and dorsal early visual cortex
41 (EVC) are commonly assumed to sample visual space equivalently, we
42 demonstrate systematic differences using an elliptical population receptive
43 field (pRF) model. Specifically, we demonstrate that 1) ventral and dorsal
44 divisions of EVC exhibit diverging distributions of pRF angle, which are biased
45 towards the fovea and 2) ventral pRFs exhibit higher aspect ratios and cover
46 larger areas than dorsal pRFs. These results suggest that ventral and dorsal
47 EVC sample visual space differently and such differential sampling likely
48 contributes to different functional roles attributed to the ventral and dorsal
49 pathways, such as object recognition and visually-guided attention,
50 respectively.

51

52 **Introduction**

53 One prominent feature of the cortical visual pathways is the segregated
54 processing of input from the upper and lower visual field. In early visual cortex
55 (EVC, V1-V3), the upper visual field is processed ventrally and the lower
56 visual field is processed dorsally (Wandell et al., 2007). This distinction
57 persists into higher-level visual areas with scene-, face-, and object-selective
58 regions in ventral occipitotemporal cortex biased for the upper visual field and
59 those in lateral regions biased for the lower visual field (Arcaro et al., 2009;
60 Kravitz et al., 2010; Silson et al., 2015; 2016a). While it is commonly assumed
61 that ventral and dorsal EVC sample visual space equivalently, evidence
62 suggests potential differences. First, functional differentiation between upper
63 and lower visual fields has been reported in retinal ganglion-cell densities
64 (Packer et al., 1989; Curcio et al., 1990; Curcio & Allen, 1990). Second,
65 ventral and dorsal divisions of V2 and V3 contain different GABA receptor
66 concentrations (Eickoff et al., 2008), perhaps reflecting different functional
67 properties and helping to explain behavioral differences for the upper and
68 lower visual fields. For example, stimulus discrimination and change detection
69 advantages have been reported in the upper visual field (Levine & McAnany,
70 2005; Rutowski et al., 2002), whereas advantages in visually-guided pointing,
71 spatial recollection memory and attentional resolution (Danckert & Goodale,
72 2001; Genzano et al., 2001; He, Cavanagh & Intriligator, 1996) have been
73 reported in the lower visual field. Further, differential effects of attention
74 between the upper and lower visual fields have been shown to reflect the

75 larger extent of the lower compared to upper visual field as well as individual
76 differences in the shape and extent of those fields (Fortenbaugh et al., 2015).
77 Here, using functional magnetic resonance imaging (fMRI), we examined
78 differences in the sampling of visual space within ventral and dorsal divisions
79 of human EVC using population receptive field (pRF) modeling. The majority
80 of previous pRF studies model a voxel's pRF as a circular aperture defined by
81 its centroid (x, y) and size (σ) (e.g. Dumoulin & Wandell, 2008; Harvey et
82 al., 2013; Silson et al., 2015; 2016a), although more recent studies also
83 model suppressive surrounds (Zuiderbaan et al., 2012) and subadditive
84 spatial summation (Kay et al., 2013). However, given evidence for elongated
85 receptive fields of individual neurons (Hubel & Wiesel, 1962) and populations
86 of neurons (Yoshor et al., 2007), a more biologically plausible model would
87 allow for pRFs to take an elliptical shape - as a circular pRF assumes that
88 orientations of neuronal receptive fields within a voxel are distributed evenly.
89 Indeed, a model-free pRF approach (Greene et al., 2013), reported some
90 deviation from circular pRFs with ~11% of EVC voxels (< 3.5 deg) exhibiting
91 an aspect ratio > 2 .

92

93 First, we predicted that elliptical pRFs would be oriented towards fovea.
94 Specifically, a radial bias has been reported within EVC (Sasaki et al., 2006),
95 with fMRI activity ~20% higher in the retinotopic representations of polar angle
96 (upper/lower quadrant maps) that corresponded to radial stimulus
97 orientations. Further, a coarse-scale orientation map has been identified in V1
98 whereby the orientation sensitivity of a given voxel was largely commensurate
99 with its preferred polar angle (Freeman et al., 2011).

100

101 Second, we predicted that pRFs in ventral EVC would be more elliptical
102 (larger aspect ratio) and cover a larger area than those in dorsal EVC. Ventral
103 EVC is strongly associated with the ventral visual pathway (Kravitz et al.,
104 2013), which is often characterized by an over-representation of the fovea.
105 For example, neurons in macaque inferotemporal cortex exhibit receptive
106 fields that typically overlap the fovea (Op De Beeck & Vogels, 2000)
107 regardless of eccentricity. In contrast, dorsal EVC is more associated with the
108 dorsal visual pathway, which has a more isotropic representation of space
109 (Gattass et al., 2005; Sheth and Young, 2016). While these differences in
110 higher order areas could reflect a selective sampling of EVC, here we tested
111 whether such differential sampling of space emerges within EVC.

112

113 **Materials and Methods**

114 *Participants and testing*

115 Twelve participants in total (five female; mean age, 29 years) completed the
116 fMRI experiments. All participants had normal or corrected-to-normal vision,
117 and gave written informed consent. The National Institutes of Health
118 Institutional Review Board approved the consent and protocol. This work was
119 supported by the Intramural Research program of the National Institutes of
120 Health – National Institute of Mental Health Clinical Study Protocol 93-M-
121 0170, NCT00001360.

122

123 *fMRI scanning parameters*

124 Participants were scanned on either a research-dedicated GE 3 Tesla Sigma
125 scanner (8 participants) or a research-dedicated Siemens 7 Tesla Magnetom
126 scanner (4 participants) in the Clinical Research Center on the National
127 Institutes of Health campus (Bethesda, MD). In all scans and across
128 scanners, oblique slices were oriented approximately parallel to the base of
129 the temporal lobe and extended posteriorly through all of visual cortex.

130

131 *3T scanning parameters*

132 Partial volumes of the occipital and temporal cortices were acquired using an
133 eight-channel head coil (21 slices; 2x2x2mm; 10% interslice gap; TR, 2s; TE,
134 30ms; matrix size, 96x96; FOV, 192mm).

135

136 *7T scanning parameters*

137 Partial volumes of the occipital and temporal cortices were acquired using a
138 32-channel head coil (42 slices; 1.2x1.2x1.2 mm; 10% interslice gap; TR, 2s;
139 TE, 27ms; matrix size, 170x170; FOV, 192mm).

140

141 *Visual Stimuli and Tasks*

142 Population receptive field mapping. During pRF mapping sessions, a bar
143 aperture traversed gradually through the visual field while revealing
144 randomly selected scene fragments from a total of 90 color images. During
145 each 36s sweep the aperture took 18 evenly spaced steps every 2s (1 TR)
146 to traverse the entire screen. During each bar position five scene fragments
147 were displayed in rapid succession (400ms per image). Across the 18
148 aperture positions all 90 possible scene images were displayed once. A total
149 of eight sweeps were made during each run (four orientations, two

150 directions). Specifically, the bar aperture progressed in the following order
151 for all runs: Left – Right, Bottom Right – Top Left, Top – Bottom, Bottom Left
152 –Top Right, Right – Left, Top Left – Bottom Right, Bottom –Top, and Top
153 Right – Bottom Left. The bar stimuli covered a circular aperture (20°
154 diameter 7T, individual bar width=1.6°; 15° diameter 3T, individual bar
155 width=1.25°). Participants performed a color detection task at fixation,
156 indicating via button press when the white fixation dot changed to red. Color
157 fixation changes occurred semi-randomly, with approximately two color
158 changes per sweep (Silson et al., 2015).

159

160 *fMRI data preprocessing*

161 All data were analyzed using the Analysis of Functional NeuroImages
162 (AFNI) software package Cox (1996) (<http://afni.nimh.nih.gov/afni>). Prior to
163 statistical and pRF analyses, all images for each participant were motion
164 corrected to the first image of the first run, after removal of the appropriate
165 ‘dummy’ volumes (8) to allow stabilization of the magnetic field.

166

167 *pRF modelling*

168 pRF analyses were conducted in AFNI. Unlike our own (Silson et al., 2015,
169 2016a; 2016b), and others previous work (Dumoulin & Wandell, 2008; Harvey
170 et al., 2013), which employed variants of a 2-D Gaussian model, we
171 employed a pRF implementation that models elliptical pRFs.

172

173 Given the position of the stimulus in the visual field at every time point, the
174 model estimates the pRF parameters that yield the best fit to the data: pRF

175 center location (x, y), ratio of the major to minor widths (aspect ratio) and the
176 orientation of the major axis (angle). Both Simplex and Powell optimization
177 algorithms are utilized simultaneously to find the best time series/parameter
178 sets (x, y , aspect ratio & angle) by minimizing the least-squares error of the
179 predicted time series measured against the acquired time series in each
180 voxel. All functions and programs are available in the current version of AFNI
181 (3dNLFim AFNI_17.1.10, compiled Jun 6 2017).

182

183 *Delineation of Visual Field Maps*

184 To identify EVC in individual participants, the representations of polar angle
185 and eccentricity were visualized on surface reconstructions of both
186 hemispheres and inspected. Surface reconstructions of the gray and white
187 matter boundary of individual participant hemispheres were made using the
188 Freesurfer4 autorecon script (<http://surfer.nmr.mgh.harvard.edu/>).

189 Retinotopically organized maps were visible and present in all tested
190 hemispheres. Notwithstanding subtle inter-participant variability, the main
191 features of the maps, in particular the reversals in visual field representation at
192 the vertical and horizontal meridians were consistent across participants. In
193 accordance with previous reports (Engel et al., 1994; Sereno et al., 1995;
194 DeYoe et al., 1996; Larsson & Heeger, 2006; Wandell et al., 2007), visual field
195 maps were delineated using the following criteria: (1) the polar angle
196 representations displayed reversals. That is, representations of polar angle in
197 neighboring visual areas were mirror reversals of one another, with a reversal
198 in the representation along their shared boundary; (2) the polar angle and
199 eccentricity components within each visual area were organized largely

200 orthogonal to one another. The following visual field maps were identified in
201 each hemisphere and participant (V1, V2d, V2v, V3d, V3v). In order to divide
202 V1 into ventral (V1v) and dorsal (V1d) divisions, we selected nodes with an
203 angular position above and below the horizontal meridian, respectively.
204 Importantly, these maps were defined relative to the polar coordinate of pRF
205 centroids only, without reference to the size, eccentricity, aspect ratio, or
206 angle of the pRF.

207

208 *Reliability Analyses*

209 Before comparing differences between ventral and dorsal EVC, we tested the
210 reliability of our elliptical pRF parameter estimates by splitting the eight pRF
211 runs for each participant into odd and even runs (4 runs each) and analyzing
212 these now independent data-sets with both circular and elliptical pRF models.

213

214 Initially, we compared the reliability of our elliptical estimates with those
215 derived from the circular pRF method in a cross-validated approach. For each
216 participant and split (odd/even) we compared how well the estimated time-
217 series predicted the time-series in the independent data set. For each voxel,
218 we correlated (Pearson's) the predicted time-series from the odd runs with the
219 actual time-series in the even runs and squared the resulting correlation
220 value. This process was reversed and the average computed. We then
221 computed the difference in cross-validated explained variance (R^2) for each
222 participant between elliptical and circular pRF models.

223

224 Next, the elliptical pRF parameter estimates (x, y, aspect ratio and angle)
225 derived for each set of runs in our elliptical model were compared on a node-
226 wise basis in each participant, collapsing across visual field maps V1-V3.
227 Initially, we selected significantly modulated nodes ($R^2 > .2$) in the odd runs
228 and correlated the parameters of interest with the parameters extracted from
229 the exact nodes in the even runs. This process was reversed to avoid any
230 bias in node selection, and the average computed. Of note, due to the circular
231 nature of angle estimates we computed the odd/even correlation of angle
232 using a circular correlation coefficient method.

233

234 *Statistical Analyses*

235 Statistics were calculated using the SPSS software package (IBM version 24).
236 For our analyses, we employed repeated-measures ANOVAs to examine
237 differences in our pRF parameters between ventral and dorsal EVC. For each
238 analysis, we established initially whether the ANOVA adhered to the
239 assumptions of sphericity using Mauchly's test. When the assumption of
240 sphericity was violated, the degrees of freedom for the offending main-effect
241 or interaction were corrected using the Greenhouse-Geisser correction to
242 allow appropriate interpretation of the F-value resulting from the ANOVA.

243

244 **Results**

245 We employed an elliptical pRF model to test the prediction that ventral and
246 dorsal divisions of EVC differentially sample visual space (see **Figure 1** for
247 group average pRF parameters on the cortical surface). Before systematically

248 comparing ventral and dorsal EVC, we established the reliability of the
249 elliptical pRF estimates.

250

251

252 ***Reliability of elliptical pRF estimates***

253 Initially, we compared the explained variance between the elliptical and
254 circular pRF models using a cross-validated approach (see *Reliability*
255 *Analyses* above). On average, we observed a significant advantage for the
256 elliptical model ($t_{(11)}=3.19$, $p=0.01$), with an elliptical advantage in all but one
257 participant, reflecting that our elliptical pRF model captures significantly more
258 of the variance in the time-courses than the circular model.

259

260 Next, we examined the reliability of our elliptical pRF parameters. For each
261 parameter, we compared both the correlation (Pearson's) as well as the
262 absolute differences between independent estimates (see *Reliability Analyses*
263 above). For each parameter, there were no significant differences in either
264 Pearson's correlation between hemispheres (paired t -test (two-tailed), x
265 ($t_{(11)}=0.88$, $p=0.40$); y ($t_{(11)}=.0.87$, $p=0.40$); aspect ratio ($t_{(11)}=0.57$, $p=0.58$);
266 angle ($t_{(11)}=0.89$, $p=0.39$)) or the absolute differences between hemispheres
267 (paired t -test (two-tailed), x ($t_{(11)}=0.60$, $p=0.56$); y ($t_{(11)}=0.34$, $p=0.73$); aspect
268 ratio ($t_{(11)}=0.88$, $p=0.34$); angle ($t_{(11)}=1.28$, $p=0.22$)), so for further analyses we
269 collapsed across hemispheres.

270

271 We observed significant positive correlations for all parameters, (t -test relative
272 to zero (two-tailed), (x ($t_{(11)}=50.58$, $p=2.21^{-14}$); y ($t_{(11)}=48.7$, $p=3.36^{-14}$); aspect

273 ratio ($t_{(11)}=15.02$, $p=1.12^{-8}$); angle ($t_{(11)}=15.33$, $p=9.00^{-9}$) (**Figure 2A**). The
274 lowest correlation was for pRF angle, but it is important to consider the
275 influence of aspect ratio. A pRF with an aspect ratio near 1 will result in an
276 unstable estimate of angle, as the principle axis could take a different
277 orientation with little loss in explained variance. We therefore tested the
278 prediction that our estimates of angle would become increasingly reliable with
279 increasing aspect ratio. Accordingly, we computed the correlation between
280 odd and even angle estimates for pRFs that fell into one of four aspect ratio
281 bins (1-2, 2-3, 3-4 & 4-5) (**Figure 2B**). With increasing aspect ratio, the
282 correlation of the angle estimates increased. A one-way repeated measures
283 ANOVA with Aspect Ratio as a within-subjects factor revealed a significant
284 main effect of Aspect Ratio ($F_{(1.67, 18.36)}=5.24$, $p=0.005$), reflecting the increase
285 in reliability as a function of increasing aspect ratio.

286

287 We also computed the distribution of the absolute differences in each
288 parameter between odd and even runs. In each case, the absolute
289 differences were small [mean (sd): $x=0.67^\circ$ ($\pm 0.01^\circ$); $y=0.63^\circ$ ($\pm 0.01^\circ$);
290 aspect ratio= 0.02 (± 0.004); angle= 10.8° ($\pm 1.71^\circ$), across all aspect ratio
291 bins; (AR), AR1-2= 10.1° ($\pm 1.33^\circ$), AR2-3= 11.52° ($\pm 0.93^\circ$), AR3-4= 11.14° (\pm
292 0.81°), AR4-5= 11.37° ($\pm 1.14^\circ$)], which reflects the reliability of our pRF
293 parameter estimates across independent runs (**Figure 2C**).

294

295 ***Ventral and dorsal pRFs are orientated towards the fovea***

296 Our first prediction was that due to the restricted representations within
297 ventral (upper visual field) and dorsal (lower visual field) divisions of EVC, and

298 the presence of both a coarse-scale orientation map (Freeman et al., 2011)
299 and radial biases (Sasaki et al., 2006), pRFs would exhibit a general
300 orientation bias toward the fovea. In particular, if 0 degrees represents the
301 horizontal axis with positive angles toward the upper vertical meridian and
302 negative angles toward the lower vertical meridian, we hypothesized that
303 ventral regions (V1v, V2v & V3v), would show a positively biased distribution
304 of pRF angles, with dorsal regions (V1d, V2d & V3d) exhibiting the opposite
305 bias, largely commensurate with their visual field representations. Our results
306 reveal a striking difference in the distributions of pRF angle within ventral and
307 dorsal divisions of V2 and V3 consistent with these predictions (**Figure 3**).
308 Initially, we tested for hemispheric differences in the distribution of pRF angle
309 within each visual field map division using two-sample Kolmogorov-Smirnov
310 (KS) tests (two-tailed). There were no significant differences between
311 hemispheres for any visual field map division (V1v ($ks=0.05$, $p=0.99$); V1d
312 ($ks=0.07$, $p=0.98$); V2v ($ks=0.08$, $p=0.93$); V2d ($ks=0.07$, $p=0.98$); V3v
313 ($ks=0.06$, $p=0.99$); V3d ($ks=0.07$, $p=0.98$)), thus distributions of pRF angle
314 were averaged across hemispheres. Next, we compared directly the ventral
315 and dorsal divisions for each visual field map separately using two-sampled
316 KS tests. There were significant differences between the pRF angle
317 distributions of ventral and dorsal V2 ($ks=0.23$, $p=0.01$) and V3 ($ks=0.27$,
318 $p=0.002$), respectively, although ventral and dorsal V1 were not significantly
319 different ($ks=0.04$, $p=1.00$). In the case of V2 and V3, ventral maps exhibited
320 a positively biased angle distribution with dorsal maps exhibiting a negatively
321 biased angle distribution largely commensurate with their visual field
322 representations (**Figure 3**).

323

324 ***Ventral pRFs are more elliptical than dorsal pRFs***

325 Along with diverging orientations, we also predicted that ventral pRFs would
326 be more elliptical than their dorsal counterparts. Potentially reflecting the
327 importance of the fovea often attributed to anterior regions of the ventral
328 pathway and of a more even and precise representation of space in the dorsal
329 pathway. Both of which receive major input from ventral and dorsal EVC
330 divisions, respectively (Kravitz et al., 2013). To test this, we initially computed
331 the distribution of aspect ratios in each participant and visual field map
332 division. First, we tested for hemispheric differences within each visual field
333 map division using two-sample KS tests (two-tailed). There were no
334 significant differences between hemispheres for any visual field map (V1v
335 ($ks=0.04$, $p=1.00$); V1d ($ks=0.06$, $p=1.00$); V2v ($ks=0.04$, $p=1.00$); V2d
336 ($ks=0.04$, $p=1.00$); V3v ($ks=0.06$, $p=1.00$); V3d ($ks=0.08$, $p=0.99$)), thus
337 distributions of aspect ratio were averaged across hemispheres (**Figure 4**).
338 Next, we compared directly each ventral and dorsal division separately using
339 two-sampled KS tests. There were significant differences between the aspect
340 ratio distributions of ventral and dorsal V2 ($ks=0.25$, $p=0.03$) and V3 ($ks=0.25$,
341 $p=0.03$), but not V1 ($ks=0.12$, $p=0.42$), despite a similar overall pattern.
342 Across visual field maps, the distributions of aspect ratio in dorsal divisions
343 were shifted towards smaller aspect ratios (more circular), with ventral
344 divisions showing broader distributions.

345

346 Next, we computed the median aspect ratio in each participant and visual field
347 map separately. Across participants the mean aspect ratio was larger in

348 ventral than dorsal divisions of EVC (**Figure 5A**). A three-way repeated-
349 measures ANOVA with within-participant factors of Visual Field Map (V1, V2
350 & V3), Division (ventral, dorsal) and Hemisphere (left, right), revealed a
351 significant main effect of VFM ($F_{(2, 22)}=49.79, p=6.80^{-9}$), reflecting on average
352 larger aspect ratios in V1. The main effect of Division was also significant ($F_{(1, 11)}=29.92, p=0.0002$), reflecting on average larger aspect ratios in ventral over
353 dorsal divisions within each region. These main effects however are qualified
354 by a significant VFM by Division interaction ($F_{(2, 22)}=16.59, p=0.0004$). No
355 other interactions were significant ($p>0.05$, in all cases).

357

358 To determine what is driving this interaction, we computed three separate
359 two-way ANOVAs for each pair of regions with VFM and Division as within-
360 participant factors. Given the lack of a significant main effect of Hemisphere
361 ($F_{(1, 11)}=0.12, p=0.74$), aspect ratios were averaged across hemispheres.
362 These analyses revealed that the ventral/dorsal difference in aspect ratio was
363 significantly different between V1 and both V2 and V3, but not between V2
364 and V3 (V1-V2: VFM by Division ($F_{(1, 11)}=19.96, p=0.001$); V1-V3: VFM by
365 Division ($F_{(1, 11)}=28.47, p=0.0002$); V2-V3: VFM by Division ($F_{(1, 11)}=0.15,$
366 $p=0.70$). We then compared directly the mean aspect ratios within ventral and
367 dorsal divisions of EVC using paired t -tests (one-tailed). Consistent with our
368 predictions, ventral aspect ratios were significantly larger than dorsal aspect
369 ratios in each VFM (V1v versus V1d ($t_{(11)}=2.53, p=0.01$); V2v versus V2d
370 ($t_{(11)}=5.19, p=0.0001$); V3v versus V3d ($t_{(11)}=5.61, p=0.00007$)) (**Figure 5A**),
371 with a larger difference in the further anterior regions.

372

373 ***Ventral pRFs cover larger area of visual space than dorsal pRFs***

374 Although we observe an increase in aspect ratio for ventral pRFs, it is
375 important to consider the area of visual space covered by these elliptical
376 pRFs. An increase in aspect ratio alone could reflect either elongation of the
377 major axis but shrinking of the minor axis and thus an overall reduction in
378 area or elongation of the major axis and an enlarging of overall area. In order
379 to distinguish between these two possibilities, we calculated the median area
380 in each participant and visual field map, respectively. On average pRF area
381 was larger in ventral compared to dorsal divisions of EVC (**Figure 5B**). A
382 three-way repeated-measures ANOVA (VFM, Division, and Hemisphere)
383 revealed significant main effects of both VFM ($F_{(2, 22)}=37.47, p=8.21 \cdot 10^{-8}$) and
384 Division ($F_{(1, 11)}=13.19, p=0.004$). However, these main effects are qualified by
385 a significant VFM by Division interaction ($F_{(2, 22)}=14.76, p=0.00008$), which
386 highlights that the magnitude of the difference between ventral/dorsal
387 divisions varies across regions. No other interactions were significant ($p>0.05$,
388 in all cases). Given the non-significant main effect of Hemisphere ($F_{(1, 11)}$
389 $=2.38, p=0.15$), pRF area values were averaged across hemispheres. Again,
390 three separate two-way ANOVAs were computed to better interpret the above
391 interaction. These analyses revealed a larger ventral/dorsal difference in V3
392 compared with both V1 and V2 (V1-V2: VFM by Division ($F_{(1, 11)}=0.14$,
393 $p=0.71$); V1-V3: VFM by Division ($F_{(1, 11)}=15.53, p=0.002$); V2-V3: VFM by
394 Division ($F_{(1, 11)}=23.34, p=0.001$). Next, we compared directly the pRF area
395 within ventral and dorsal divisions of each visual field map using paired t -tests
396 (one-tailed). Ventral pRFs covered a significantly larger area of the visual field
397 than dorsal pRFs in V1 and V3 (V1v versus V1d ($t_{(11)}=3.04, p=0.005$); V3v

398 versus V3d ($t_{(11)}=4.58$, $p=0.0005$), but not V2 (V2v versus V2d ($t_{(11)}=1.59$,
399 $p=0.07$), although the numerical increase trended towards significance
400 **(Figure 5B)**.

401

402 ***PRF area and aspect ratio increase with increasing eccentricity***

403 Until now, our analyses have focused on isolated parameters (e.g. angle,
404 aspect ratio or area), but it is also important to consider the relationship
405 between parameters. It is commonly reported that the size of receptive fields
406 (or pRFs) increases with increasing eccentricity, and that this increase is often
407 linear (Hubel & Wiesel, 1974; Maunsell & Newsome, 1987; Dumoulin &
408 Wandell, 2008; Winawer et al., 2010). Therefore, we investigated the
409 relationship between eccentricity and both pRF area and aspect ratio, which
410 we predicted to show a similar near linear relationship. For each participant
411 and visual field map we calculated 1) the median area and 2) the median
412 aspect ratio of pRFs in bins of 1° of eccentricity. Next, we computed the
413 average pRF values within each bin collapsing across hemispheres **(Figure**
414 **6A, 6B)**.

415

416 The largely near linear trend between eccentricity and pRF size reported
417 previously (Dumoulin & Wandell, 2008; Winawer et al., 2010) is evident in
418 both the estimates of pRF area **(Figure 6A)** and aspect ratio **(Figure 6B)**.
419 Indeed, the general tendency for larger pRFs within successive visual field
420 maps at equal eccentricities is also present for area, with V3 area estimates
421 being larger than those of V2 and V1, respectively **(Figure 6A)**.

422 Notwithstanding differences in absolute aspect ratio **(Figure 6B)** all regions

423 exhibit a similar near linear relationship whereby aspect ratio increases as a
424 function of eccentricity.

425

426 ***Differential sampling of space between ventral and dorsal EVC not due***
427 ***to differences in explained variance***

428 Whilst we observe systematic differences between pRFs in ventral and dorsal
429 divisions of EVC, it is important to rule out the possibility that these
430 differences are due to systematic differences in explained variance of our
431 model between these ventral and dorsal divisions. To test this, we calculated
432 the median explained variance in each participant and visual field map,
433 respectively. A three-way repeated measures ANOVA (VFM, Division,
434 Hemisphere), revealed only a significant main effect of VFM ($F_{(2, 22)}=6.66$,
435 $p=0.005$), reflecting on average larger explained variance in V3 [mean (sd)
436 $V1=0.55 (\pm 0.02)$; $V2=0.58 (\pm 0.03)$; $V3=0.60 (\pm 0.02)$]. All other main effects
437 and interactions were not significant ($p>0.05$, in all cases). Thus, the
438 systematic differences reported here between ventral and dorsal EVC are not
439 due to poorer model fits in one division over the other.

440

441 ***Summary comparison of circular and elliptical pRF models***

442 Finally, to summarize and exemplify the additional information provided by the
443 elliptical pRF model, we calculated the average pRF parameters (x, y, aspect
444 ratio and angle) in V2d and V2v (in the left hemisphere) across participants
445 using both circular and elliptical pRF models. A visualization of the resulting
446 average pRFs (**Figure 7**) demonstrates the additional information of the
447 elliptical model. In both models, the centers (x, y) of each pRF are largely

448 equivalent, indicating that the addition of parameters for aspect ratio and
449 angle made little difference to the center of the pRF. However, allowing the
450 shape of the pRF to vary, not only captures the greater elongation of ventral
451 pRFs, but also, demonstrates that this elongation is accompanied by an
452 increase in the area of covered visual field. The orientation of both pRFs
453 towards the fovea is also evident and cannot be captured by a strictly circular
454 model.

455

456 **Discussion**

457 Using a pRF implementation that models elliptical and oriented pRFs, we
458 demonstrate that ventral and dorsal EVC differentially sample visual space.
459 First, we demonstrate that pRFs in ventral and dorsal V2 and V3, in particular,
460 are oriented towards the fovea. Second, we highlight that pRFs in ventral
461 divisions of EVC in general exhibit larger aspect ratios and cover a larger area
462 of the visual field than their dorsal counterparts. Third, we show a positive
463 relationship between pRF eccentricity and both area of covered visual field
464 and aspect ratio throughout EVC.

465

466 The differential sampling of visual space between ventral and dorsal EVC has
467 implications for visual processing within downstream regions of both the
468 ventral and dorsal pathways, which receive biased inputs from these ventral
469 and dorsal antecedent areas (Kravitz et al., 2013). It is possible that functional
470 differences observed within the ventral and dorsal pathways, such as those
471 for object selectivity ventrally (Kravitz et al., 2013) and attentional allocation
472 dorsally (Danckert & Goodale, 2001) are related to the differences in the

473 sampling of visual space between ventral and dorsal EVC. It remains an open
474 question whether these differences constrain the functions of downstream
475 areas, are created or strengthened by feedback from those areas, or most
476 likely, both.

477

478 ***Why do we observe elliptical and oriented pRFs?***

479 Through a cross-validated approach we demonstrate that our elliptical model
480 captures significantly more of the variance in the time-series than the circular
481 model, which has been used widely in the past (Dumoulin & Wandell, 2008;
482 Harvey et al., 2013; Silson et al., 2015; 2016a; 2016b, and many more).

483 Our finding of elliptical pRFs oriented toward the fovea is consistent with prior
484 fMRI findings (Greene et al, 2013) as well as human intracranial recordings
485 (Yoshor et al, 2007).

486

487 To understand why we observe elliptical and oriented pRFs, it is important to
488 bear in mind that the pRF for a given voxel will reflect the properties of the
489 individual neurons contributing to that voxel (e.g. receptive field size,
490 orientation) as well as the spatial distribution of those neuronal receptive
491 fields (scatter) and the aggregation function between their activity and the
492 BOLD signal.

493

494 First, we consider pRF size. A difference in pRF size between voxels could
495 reflect a difference in the size of the receptive fields of individual neurons or a
496 difference in the spatial scatter of those receptive fields. The amount of
497 scatter will be reflected by the cortical magnification factor, which describes

498 the amount of cortex representing a given unit of visual space. The higher the
499 cortical magnification the less the scatter within a given voxel and the smaller
500 the pRF. It has previously been reported that there are larger cortical
501 activations for stimuli at the lower than upper vertical meridian, consistent with
502 a difference in cortical magnification (Liu et al, 2006). Further, a recent study
503 (Silva et al., 2017) reported larger cortical magnification factors (smaller
504 pRFs) in representations of the lower visual field (V1d-V3d) than upper visual
505 field (V1v-V3v). Such findings are consistent with some prior studies in non-
506 human primates (van Essen et al, 1984; Tootell et al, 1988; but see Adams
507 and Horton, 2003) and suggest that the pRF size differences we find between
508 ventral and dorsal EVC could reflect differences in cortical magnification.

509
510 Second, for aspect ratio, any difference between voxels could reflect: 1)
511 anisotropic spatial scatter, 2) a difference in the aspect ratio of the underlying
512 neuronal receptive fields, or 3) differences in the distribution of oriented
513 neuronal receptive fields within voxels. We discuss each of these possibilities
514 in turn.

515

516 Anisotropic spatial scatter could result from anisotropy in the sampling of the
517 cortex (i.e. voxel orientation relative to the cortical surface), although
518 systematic differences between ventral and dorsal EVC seems unlikely.

519 Alternatively, anisotropic spatial scatter could result from anisotropy in cortical
520 magnification. Given we find that pRFs tend to be oriented toward the fovea,
521 any anisotropy in cortical magnification would have to reflect reduced cortical
522 magnification in the iso-polar compared to the iso-eccentric dimension,

523 although the prior literature tends to support the opposite (van Essen et al,
524 1984, Adams and Horton, 2003; Larsson and Heeger, 2006).
525 Instead, it is important to note that a consistent finding in the
526 neurophysiological literature is that neuronal receptive fields tend to be
527 oriented in space (Hubel and Wiesel, 1962). Thus, differences in the aspect
528 ratio of the underlying neuronal receptive fields could potentially explain our
529 pRF results. However, a difference in pRF aspect ratio between voxels could
530 also arise from equivalent aspect ratios of the neuronal receptive fields, but a
531 difference in the angular distribution of those receptive fields across voxels.
532 With our current data it is hard to tease apart these two possibilities.

533

534 ***Differences between ventral and dorsal EVC***

535 Our finding of differential sampling of visual space between ventral over
536 dorsal divisions of EVC, is consistent with previous evidence demonstrating
537 differences between the processing of information from the upper and lower
538 visual fields. For example, studies of retinal ganglion cell density (Packer et
539 al., 1989; Curcio et al., 1990; Curcio & Allen, 1990) and GABA receptor
540 concentrations in cortex (Eickoff et al., 2008) demonstrate that these
541 differences are present at even the earliest stages of visual processing.

542

543 The larger aspect ratio of pRFs in ventral compared with dorsal EVC could
544 reflect a bias for isotropic representations of space dorsally for spatial
545 processing, but a bias toward an over-representation of the fovea ventrally for
546 object and face recognition. For example, as noted earlier, in non-human
547 primate inferior temporal cortex neuronal receptive fields tend to overlap the

548 fovea regardless of eccentricity (Op de Beeck and Vogels, 2000) and our
549 results may reflect a similar effect in EVC.

550

551 The differences in the orientation we found between dorsal and ventral EVC
552 could reflect an underlying radial bias (Sasaki et al, 2006; Freeman et al,
553 2011), although the strength of this radial bias remains disputed (Pratte et al,
554 2016). Specifically, our analyses demonstrate that the distributions within V2
555 and V3 peak close to the oblique angle in each quadrant ($V2v=45^\circ$, $V2d=-36^\circ$,
556 $V3v=36^\circ$, $V3d=-32^\circ$).

557

558 We did not observe significantly different angle distributions in V1. One
559 potential reason for this is that unlike ventral and dorsal V2 and V3, which are
560 largely anatomically segregated, apart from the difficult-to-map shared central
561 representations of these areas (Schira et al., 2009), our delineation of ventral
562 and dorsal V1 was based on the polar angle of each pRF. It is therefore
563 feasible that the spatial separation between these divisions in V1 is not
564 sufficient enough to detect significant differences in pRF angle. Differences
565 between ventral and dorsal V1 could be further complicated by the
566 vasculature, which likely results in BOLD signals that are pooled from both
567 upper and lower banks of the calcarine sulcus. Future work should
568 systematically compare the orientation selectivity of individual voxels with its
569 pRF orientation and angular position.

570

571 ***Implications for visual processing and future work***

572 The differential sampling of visual space between ventral and dorsal EVC
573 likely impacts visual processing in high-level regions comprising both ventral
574 and dorsal pathways. For instance, anterior regions of the dorsal pathway,
575 such as the superior parieto-occipital cortex, which is crucial for guiding hand
576 movements, shows a lower visual field bias (Rossit et al., 2013) that is likely
577 inherited from connections with dorsal EVC (Kravitz et al., 2013). Our data
578 suggest that dorsal divisions of EVC exhibit less elongation, evidenced by
579 smaller aspect ratios, resulting in a more isotropic representation of space
580 (Gattass et al., 2005), which may help explain behavioral advantages for
581 actions such as visually-guided pointing in the lower visual field (Danckert &
582 Goodale, 2001). A more isotropic sampling of space in dorsal EVC and the
583 broader dorsal visual pathway likely facilitates the guidance of eye-
584 movements and attentional allocation that are thought to reflect dorsal
585 pathway functioning (Goodale et al., 1991; Kravitz et al., 2011), as regions
586 that evenly sample most of visual space are suited ideally to identify items in
587 the visual field that need to be brought into the focus of attention. Whether
588 pRFs in these regions also exhibit similar shapes and orientations as dorsal
589 EVC is a potential avenue for future work.

590

591 Although intuitive to think that ventral and dorsal EVC project principally to the
592 ventral and dorsal visual pathways, the neuroanatomy is not as
593 straightforward (Kravitz et al., 2013). Indeed, in human, category-selective
594 regions considered to comprise the ventral pathway are found in matched
595 pairs on both the lateral and ventral surfaces of occipitotemporal cortex
596 (Kravitz et al., 2010; Silson et al., 2015; 2016a), and exhibit differential

597 retinotopic biases that mirror those in ventral and dorsal EVC, respectively.
598 Although our previous work (Silson et al., 2015; 2016a) has focused on the
599 different visual field biases in these areas (e.g. occipital place area – lower
600 visual field, parahippocampal place area – upper visual field) our current data
601 suggest that more focus should be placed upon understanding how these
602 regions sample space within the visual field. Future work could assess the
603 distributions of pRF shape and orientation within these matched category-
604 selective regions, which may inform the specific computations each region
605 performs.

606

607 **Conclusion**

608 Taken together, our data suggest systematic differences in the sampling of
609 space between ventral and dorsal divisions of EVC. The differential sampling
610 of space is consistent with previous differences between the upper and lower
611 representations of the visual field at both retinal and cortical levels, and likely
612 contribute to and/or stem from the functional differentiation of visual
613 processing observed in higher level regions of the ventral and dorsal cortical
614 visual pathways.

615

616 **Author Contributions**

617 E.H.S jointly designed the study, acquired and analyzed the neuroimaging
618 data and wrote the manuscript. R.C.R designed the elliptical and circular pRF
619 models in AFNI and analyzed the neuroimaging data. D.J.K jointly designed
620 the study, analyzed the neuroimaging data and wrote the manuscript. C.I.B
621 jointly designed the study and wrote the manuscript.

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626

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797

798 **Figures and Figure legends**

799

800 **Figure 1:** Distribution of pRF parameters across the cortical surface. **(A)** A
801 medial view of the surface reconstruction of the right hemisphere of a single
802 participant is shown (gyri are light gray, sulci are dark gray). The group
803 average (n=12) polar angle is overlaid in false-color ($R^2 > 0.2$). Red and blue
804 represent the upper vertical meridian (UVM) and lower vertical meridian
805 (LVM), respectively, with the horizontal meridian (HM) represented by green.
806 The borders defining EVC (V1, V2d, V2v, V3d & V3v) are overlaid in white,
807 with the horizontal meridian borders represented by the dashed-line. The
808 polar angle representations follow predicted patterns with lower visual field
809 representations dorsally and upper visual field representations ventrally **(B)**
810 Eccentricity is overlaid in false-color. Blue represents central positions in the
811 visual field with red representing the periphery. The progression of
812 eccentricity follows a well established and predicted pattern, progressing
813 gradually from central representations at the occipital pole, to peripheral
814 representations more anteriorly. **(C)** Estimates of pRF angle are overlaid in
815 false-color. Negative (lower visual field) angles are shown in green/blue colors
816 with positive (upper visual field) angles shown in red. Although the
817 organization of pRF angle is not as smooth as polar angle, there is a general
818 tendency for negative angles more dorsally (lower visual field representations
819 in **A**), and positive angles more ventrally (upper visual field representations in
820 **B**) **(D)** Estimates of pRF aspect ratio are overlaid in false-color. Circular pRFs
821 (aspect ratio = 1) are shown in blue, with very elliptical pRFs (aspect ratio of
822 5:1) shown in red. Although the organization of pRF aspect ratio across the

823 cortical surface is not as smooth as eccentricity, there is a general tendency
824 for more circular (lower aspect ratios) pRFs to be located more posteriorly
825 (central representatios in **B**), with very elliptical pRFs (higher aspect ratios)
826 more anteriorly (peripheral representations in **B**). **(E)** Schematic of pRF
827 mapping stimulus. Example frames during pRF mapping runs. Scene images
828 (one every 400ms) were presented through a bar aperture that moved
829 gradually through the visual field in eight sweeps (2 orientations, 4 directions).
830 A single sweep took 36 s and consisted of 18 equal time (2 s) and width
831 instances of the aperture. Over an entire sweep, 90 scene images (5 x 18
832 aperture postions) were presented at random without replacement,
833 guaranteeing that no scene was presented twice during a sweep. Participants
834 fixated centrally, identifying via button press everytime the fixation dot
835 changed from white to red (~ twice per sweep).

836

837 **Figure 2:** Reliability of the elliptical and oriented pRF model. **(A)** Correlation
838 values derived between independent sets of data for each of the parameters
839 of interest. We observe significant positive correlations for all parameters of
840 interest. The dashed vertical line separates our angle estimate from the other
841 parameters, as this parameters reliability was calculated using a circular
842 correlation method ($***p < 0.001$, relative to zero). **(B)** Reliability of theta
843 estimates as a function of aspect ratio. We tested the prediction that our theta
844 estimates would become increasingly reliable and aspect ratio increased, as a
845 pRF with an aspect ratio of 1 will result in an unstable theta estimate. As
846 predicted, a one-way repeated-measures ANOVA confirmed that the reliability
847 of our theta estimates increased with increasing aspect ratio (**, $p < 0.01$ for

848 the main effect of aspect ratio). **(C)** Distributions of the absolute differences
849 between odd/even runs for each parameter. For each distribution, the FWHM
850 is shown by the black-line with the value given to the right.

851

852 **Figure 3:** PRF orientation biases in dorsal and ventral divisions of EVC. **(A)**

853 Distributions of pRF orientation in V1d (blue-line) and V1v (red-line) collapsed
854 across participants and hemispheres. Both distributions appear to be
855 centered largely around zero (horizontal), with little difference in overall bias.

856 The angle histograms of both distributions are plotted to the right. **(B)**

857 Distributions of pRF orientation in V2d (blue-line) and V2v (red-line) collapsed

858 across participants and hemispheres. V2d exhibits a distribution shifted
859 towards negative orientations whereas V2v exhibits the opposite bias. These

860 distributions were significantly different from one another (two-sample KS

861 test). The angle histograms of both distributions are plotted to the right and

862 better depicts the differential pRF angles in both regions. **(C)** Distributions of

863 pRF orientation in V3d (blue-line) and V3v (red-line) collapsed across

864 participants and hemispheres. V3d exhibits a distribution shifted towards

865 negative orientations whereas V3v exhibits the opposite bias. These

866 distributions were significantly different from one another (two-sample KS

867 test). The angle histograms of both distributions are plotted to the right and

868 better depicts the differential pRF angles in both regions. *** $p < 0.001$.

869

870 **Figure 4:** PRF aspect ratio distributions in ventral and dorsal divisions of

871 EVC. Plots depict the average distributions of aspect ratio in each visual field

872 map and division, respectively (blue line = dorsal, red line = ventral). In each

873 case, dorsal distributions are shifted towards smaller aspect ratios, with
874 ventral distributions showing a more even distribution of aspect ratio.
875 Distributions within each visual field map were compared using two-sample
876 KS tests. In the each of V2 and V3, ventral and dorsal distributions were
877 significantly different from one another ($*p<0.05$).

878

879 **Figure 5:** Dorsal *versus* ventral comparisons. **(A)** Bars represent the mean of
880 the median aspect ratio in each visual field map division collapsed across
881 participants and hemispheres. PRF aspect ratio was significantly larger within
882 the ventral division of each visual field map (paired *t*-test between dorsal and
883 ventral divisions). **(B)** Bars depict the mean of the median pRF area in each
884 visual field map division collapsed across participants and hemispheres. PRF
885 area was nominally larger in ventral over dorsal V2, and significantly larger in
886 ventral over dorsal V1 and V3 (paired *t*-test between dorsal and ventral
887 divisions). $*p<0.05$, $***p<0.001$.

888

889 **Figure 6:** PRF area and aspect ratio increase with eccentricity. In all visual
890 field maps pRF area **(A)** and pRF aspect ratio **(B)** increase with eccentricity.
891 The increase in area by hierarchical position (V1, V2, V3) at equal
892 eccentricities is present in **(A)** and is consistent with previous findings that
893 considered pRF size (diameter of circular pRF). Aspect ratio also increases
894 largely linearly with eccentricity **(B)** despite differences in the absolute aspect
895 ratio between visual field maps. In each participant and visual field map, the
896 median surface area and aspect ratio were calculated across nodes in bins of

897 1 deg of eccentricity (range 1-9 deg). Error bars at each eccentricity show the
898 standard error of the mean across participants.

899

900 **Figure 7:** Representation of the information gained from the elliptical pRF
901 model. **(Left)** The average pRF of all voxels in left V2d and left V2v across
902 participants, derived from the circular pRF model are plotted on a schematic
903 of the visual field. **(Right)** The average pRF of all voxels in left V2d and left
904 V2v across participants, derived from the elliptical pRF model are plotted on a
905 schematic of the visual field. Unlike the circular case, the elliptical model
906 captures differences in shape (aspect ratio) and orientation between dorsal
907 and ventral pRFs, which would be unavailable if pRFs were estimated as
908 circles in space.

909













