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The Rac-GEF Tiam1 Promotes Dendrite and Synapse Stabilization of Dentate Granule Cells and Restricts Hippocampal-Dependent Memory Functions

Abbreviated title: Tiam1 regulates DG development and function

Jinxuan X. Cheng^{1,2}, Federico Scala², Francisco A. Blanco^{2,3}, Sanyong Niu², Karen Firozi², Laura Keehan⁴, Shalaka Mulherkar², Manolis Froudarakis², Lingyong Li², Joseph G. Duman², Xiaolong Jiang^{2,5}, Kimberley F. Tolias^{1,2*}

¹ Verna and Marrs McLean Department of Biochemistry and Molecular Biology, Baylor College of Medicine, Houston, TX 77030, USA

² Department of Neuroscience, Baylor College of Medicine, Houston, TX 77030, USA

³ Integrative Molecular and Biomedical Science Graduate Program, Baylor College of Medicine, Houston, TX 77030, USA

⁴ Department of Biosciences, Rice University, Houston, TX 77030, USA

⁵ Jan and Dan Duncan Neurological Research Institute at Texas Children's Hospital, Houston, TX 77030, USA

*Corresponding author:

Kimberley Tolias, Ph.D.

One Baylor Plaza

Room S607, mailstop BCM295

Houston, TX 77030

tolias@bcm.edu

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ABSTRACT

The dentate gyrus (DG) controls information flow into the hippocampus and is critical for learning, memory, pattern separation, and spatial coding, while DG dysfunction is associated with neuropsychiatric disorders. Despite its importance, the molecular mechanisms regulating DG neural circuit assembly and function remain unclear. Here, we identify the Rac-GEF Tiam1 as an important regulator of DG development and associated memory processes. In the hippocampus, Tiam1 is predominantly expressed in the DG throughout life. Global deletion of Tiam1 in male mice results in DG granule cells with simplified dendritic arbors, reduced dendritic spine density, and diminished excitatory synaptic transmission. Notably, DG granule cell dendrites and synapses develop normally in Tiam1 knockout (KO) mice, resembling wildtype mice at postnatal day 21 (P21), but fail to stabilize, leading to dendrite and synapse loss by P42. These results indicate that Tiam1 promotes DG granule cell dendrite and synapse stabilization late in development. Tiam1 loss also increases the survival, but not the production, of adult-born DG granule cells, possibly due to greater circuit integration as a result of decreased competition with mature granule cells for synaptic inputs. Strikingly, both male and female mice lacking Tiam1 exhibit enhanced contextual fear memory and context discrimination. Together, these results suggest that Tiam1 is a key regulator of DG granule cell stabilization and function within hippocampal circuits. Moreover, based on the enhanced memory phenotype of Tiam1 KO mice, Tiam1 may be a potential target for the treatment of disorders involving memory impairments.

SIGNIFICANCE STATEMENT

The dentate gyrus (DG) is important for learning, memory, pattern separation, and spatial navigation, and its dysfunction is associated with neuropsychiatric disorders. However, the molecular mechanisms controlling DG formation and function remain elusive. By characterizing mice lacking the Rac-GEF Tiam1, we demonstrate that Tiam1 promotes the stabilization of DG granule cell dendritic arbors, spines, and synapses, whereas it restricts the survival of adult-born DG granule cells, which compete with mature granule cells for synaptic integration. Notably, mice lacking Tiam1 also exhibit enhanced contextual fear memory and context discrimination. These findings establish Tiam1 as an essential regulator of DG granule cell development, and identify it as a possible therapeutic target for memory enhancement.

72 INTRODUCTION

73 The hippocampus mediates fundamental brain functions including learning, episodic memory formation,
74 spatial coding, and mood regulation (Leuner and Gould, 2010). The main gateway for information flow
75 into the hippocampus, the dentate gyrus (DG), plays an integral role in these processes. The DG relays
76 excitatory input from the entorhinal cortex to area CA3 of the hippocampus (Lopez-Rojas and Kreutz,
77 2016). The DG also mediates pattern separation, which differentiates related memories by transforming
78 similar input firing patterns into distinct output firing patterns (Kheirbek et al., 2012a). This is possible
79 because the DG possesses a relatively large number of principal neurons (i.e., DG granule cells) that are
80 sparsely active, allowing for divergence in information flow (Kheirbek et al., 2012a). The DG is also one
81 of two known brain regions that generate new neurons throughout life (i.e., adult neurogenesis), which
82 facilitates memory and mood regulation (Ming and Song, 2011; Gonçalves et al., 2016). Conversely, DG
83 dysfunction is associated with neuropsychiatric disorders characterized by memory and mood
84 dysregulation, including Alzheimer's Disease, post-traumatic stress disorder (PTSD), schizophrenia, and
85 depression, and normalizing or enhancing DG function improves symptoms of these disorders
86 (Tamminga et al., 2010; Shin et al., 2013; Miller and Hen, 2015; Gonçalves et al., 2016; Hollands et al.,
87 2016; Berger et al., 2020). Thus, it is imperative to better understand the molecular mechanisms that
88 control DG formation and function.

89 A critical aspect of DG development is the establishment of DG granule cell excitatory synapses,
90 which mediate information flow and storage in the DG (Amaral et al., 2007; Jonas and Lisman, 2014).
91 Proper excitatory synaptic connectivity requires the precise growth and stabilization of DG granule cell
92 dendritic arbors and spines, the actin-rich postsynaptic compartments of most excitatory synapses (Zhao
93 et al., 2006; Rahimi and Claiborne, 2007). The development of dendrites and spiny synapses is
94 orchestrated by the small Rho-family GTPase Rac1 (Tolias et al., 2011; Duman et al., 2015). Like most
95 GTPases, Rac1 cycles between an active GTP-bound state and an inactive GDP-bound state (Tolias et
96 al., 2011). Upon activation, Rac1 interacts with downstream effectors, stimulating signaling pathways that
97 control cytoskeletal remodeling, membrane trafficking, and gene expression (Bishop and Hall, 2000). In
98 neurons, Rac1 signaling promotes dendritic arborization, spine growth, and synapse development and

plasticity (Newey et al., 2005). To function properly, Rac1 requires precise spatio-temporal regulation, which is provided by a wide range of activators (guanine nucleotide exchange factors, GEFs) and inhibitors (GTPase-activating proteins, GAPs) (Tolias et al., 2011; Duman et al., 2015). Previously, using dissociated rat hippocampal neurons, we identified the Rac-GEF Tiam1 as a critical regulator of dendrite, spine, and synapse development (Tolias et al., 2005). Tiam1 controls spine morphogenesis and synapse development by coupling synaptic receptors to Rac1-dependent actin cytoskeletal remodeling (Tolias et al., 2005, 2007; Zhang and Macara, 2006; Lai et al., 2012; Duman et al., 2013; Um et al., 2014). The ability of Tiam1 to precisely regulate Rac1 signaling and excitatory synapse development also depends on its cooperation with a Tiam1-associated Rac-GAP, Bcr (Narayanan et al., 2013; Um et al., 2014). In the brain, Tiam1 is particularly highly expressed throughout life in the DG (Ehler et al., 1997; Rao et al., 2019). However, since most studies investigating Tiam1 function have been performed using dissociated hippocampal neurons in culture, or more recently in cultured hippocampal slices (Rao et al., 2019), the *in vivo* roles of Tiam1 in the mammalian brain remain unclear. This knowledge gap is unfortunate, given that altered Tiam1 expression is associated with a variety of brain disorders including Down syndrome, major depressive disorder, Rett syndrome, and chronic cocaine exposure (Aston et al., 2005; Chahrour et al., 2008; Ahmed et al., 2013; Chandra et al., 2013; Vacca et al., 2016).

To determine Tiam1's role in the brain, we generated Tiam1 knockout (KO) mice. Characterization of these mice revealed that Tiam1 is essential for the proper establishment of hippocampal circuits by promoting the maturation and stabilization of DG granule cell dendritic arbors, spines, and excitatory synapses and by restricting the survival of adult-born DG granule neurons. We also discovered that Tiam1 plays an important role in regulating DG-related behaviors, as Tiam1 KO mice display enhanced contextual fear learning and spatial discrimination. Notably, these behavioral phenotypes are markedly different from mice lacking other synaptic Rac-GEFs, including Kalirin-7 and α PIX/Arhgef6 (Ma et al., 2008; Cahill et al., 2009; Kiraly et al., 2011; Ramakers et al., 2012; Miller et al., 2013), highlighting Tiam1's unique role in the brain. Our results establish Tiam1 as a critical regulator of DG development and behavior, and identify it as a possible therapeutic target for the treatment of brain disorders involving memory impairments.

MATERIAL AND METHODS

Animals

Tiam1^{flox/flox} mice were generated by inserting two loxP sites into a region of the targeted *Tiam1* gene flanking exon 5. An internal Frt-flanked neomycin was also introduced into the *Tiam1* gene as a selection marker, which was subsequently removed by crossing the *Tiam1*^{flox/flox} mice to mice expressing flippase. For global embryonic deletion of *Tiam1*, *Tiam1*^{flox/flox} mice were crossed with Ella-Cre transgenic mice. The resulting *Tiam1*^{+/-}; Ella-Cre mice were crossed with 129S6/SvEv mice to remove Cre. *Tiam1*^{+/-} mice were then interbred to generate *Tiam1*^{-/-} (KO) mice and *Tiam1*^{+/+} (WT) littermates for use in experiments. For detailed spine analyses, *Tiam1* KO mice were crossed with Thy1-YFP (line H) transgenic mice (Feng et al., 2000) and then interbred to generate *Tiam1*^{-/-}; Thy1-YFP (KO; YFP) and *Tiam1*^{+/-}; Thy1-YFP (WT; YFP) mice. All experiments used age-matched male and female mice except for electrophysiology and neuron morphology experiments, which used solely age-matched male mice. Adult mice were used for all experiments unless otherwise indicated. Mice were group housed under standard 12-h light cycle. Genotyping of *Tiam1* mice was determined by PCR from tail DNA using the primers:

P1: ACGTGTGTTAATTAGCCAGGTTTGATGG;
 P2: GATCCACTAGTTCTAGAGCGGCCGAA;
 P3: CTACCCGGAGGAAGTGGAAGCACTACT.

Long-Evans timed-pregnant rats were purchased from Envigo (Harlan).

Ethics Statement

All procedures involving the handling of experimental animals were conducted in strict accordance with the National Institutes of Health guidelines and were approved by the Baylor College of Medicine Institutional Animal Care and Use Committee. Every effort was made to minimize animal suffering.

Antibodies and reagents

The following antibodies were purchased and used according to their datasheets: anti-Tiam1 (sc-872, Santa Cruz); anti-GAPDH (sc-32233, sc-25778, Santa Cruz); anti-BrdU (OBT0030G, Accurate Chemical);

anti-Doublecortin (DCX) (ab18723, Abcam); anti-NeuN (MAB377, Millipore). We used goat anti-rabbit or anti-mouse HRP-conjugated secondary antibodies (Jackson Laboratories) for western blotting and AlexaFluor 488- or 555-conjugated secondary antibodies (Thermo Fisher Scientific) for immunocytochemistry.

Western blot analysis

The hippocampi of mice were collected and homogenized in RIPA lysis buffer containing 150 mM NaCl, 1% NP-40, 0.5% deoxycholic acid (DOC), 0.1% sodium dodecyl sulfate (SDS), 50 mM Tris (pH 8.0), 1 mM EDTA, 1 mM DTT, 1 mM Na_3VO_4 , 10 mM NaF, 10 mM β -glycerol phosphate, and protease inhibitors (complete tablets, Roche). Protein concentrations were determined using the Pierce BCA protein assay kit (Thermo Fisher Scientific). Protein lysates were separated on SDS-PAGE gels and wet transferred to PVDF membranes. The membranes were blocked with 3% BSA (bovine serum albumin) in 1x TBST (Tris buffered saline with Tween 20) for 1 hr at room temperature, incubated with primary antibody overnight at 4°C, and then incubated with HRP-conjugated secondary antibody for 1 hr at room temperature. Western blots were visualized using enhanced chemiluminescence (ECL) on the Odyssey imaging systems (LI-COR Biosciences) and quantified using ImageJ software (Schneider et al., 2012). Quantification of the Western blots shows the relative density presented as the ratio of protein over GAPDH.

Immunohistochemistry

Brains were collected from mice transcardially perfused with 4% paraformaldehyde (PFA). These brains were cryoprotected in 30% sucrose after post-fixing in 4% PFA at 4°C overnight. Free-floating brain sections (30 μm thick) were collected using cryosectioning and incubated in antigen retrieval solution (Vector Laboratories) at 80°C for 40 min and then blocking solution (3% BSA, 10% goat serum and 0.1% Triton X-100 in phosphate-buffered saline (PBS)) at room temperature for 1 hr. After blocking, sections were incubated in primary antibody at 4°C for 24–36 hr, secondary antibody at room temperature for 2 hr, and then mounted in the Vectashield antifade mounting medium with DAPI (Vector Laboratories). For BrdU staining, brain sections were treated with 2N HCl at 37°C for 25 min before

blocking. For H&E staining, brain sections were incubated in Xylene and dehydrated in 100%, 90% and 80% ethanol, and then stained with Mayer's Hematoxylin solution and Eosin solution.

Electrophysiology

Hippocampal slice preparations were performed as previously described (Jiang et al., 2015; Cadwell et al., 2016). Briefly, mice were deeply anesthetized using 3% isoflurane. After decapitation, the brain was removed and placed into cold (0–4°C) oxygenated NMDG solution containing 93 mM NMDG, 93 mM HCl, 2.5 mM KCl, 1.2 mM NaH₂PO₄, 30 mM NaHCO₃, 20 mM HEPES, 25 mM glucose, 5 mM sodium ascorbate, 2 mM Thiourea, 3 mM sodium pyruvate, 10mM MgSO₄ and 0.5 mM CaCl₂, pH 7.35. Parasagittal brain slices (300 µm thick) were cut with a microslicer. The slices were kept at 37.0 ± 0.5°C in oxygenated NMDG solution for 10 min, and then transferred to physiological solution (125 mM NaCl, 2.5 mM KCl, 1.25 mM NaH₂PO₄, 25 mM NaHCO₃, 1 mM MgCl₂, 25 mM glucose and 2 mM CaCl₂, pH 7.4; ACSF) for about 0.5–1 hr. Finally, slices were equilibrated at room temperature for at least 30–45 min before being transferred to a submerged recording chamber constantly perfused with ACSF bubbled with 95% O₂/5% CO₂ at 33.0 ± 0.5°C.

Borosilicate pipettes (5–6 MΩ) filled with intracellular solution were used to record neurons from the dorsal DG visualized under DIC infrared illumination. For mEPSC measurements, the intracellular solution contained 120 mM potassium gluconate, 10 mM HEPES, 4 mM KCl, 4 mM MgATP, 0.3 mM Na₃GTP, 10 mM sodium phosphocreatine and 0.5% biocytin (pH 7.25). 0.5 µM tetrodotoxin and 50 µM picrotoxin (Tocris, USA) were applied to the bath to block action potential-mediated neurotransmitter release and GABA_A receptors, respectively.

Morphological reconstruction

Neuron morphology was reconstructed and analyzed in a blinded manner after slice recordings as previously described (Jiang et al., 2015; Cadwell et al., 2016). In brief, the slices were fixed in freshly prepared 2.5% glutaraldehyde/4% PFA in 0.1 M PBS at 4°C for about 7 days. To reveal neuronal morphology, the avidin-biotin-peroxidase method was performed. Neurons in the dorsal DG were imaged

and reconstructed using a 100× oil-immersion objective lens and camera lucida system (Neurolucida, MicroBrightField). Dendritic arbor structure and spine density were analyzed using Neurolucida software.

Spine analyses of YFP-expressing neurons

Brain sections (30 μ m thick) from 1-month-old male WT; YFP and Tiam1 KO; YFP mice were prepared as described above for immunohistochemistry. DG granule cell dendrites from the dorsal DG were imaged using a Zeiss ApoTome structural illumination epifluorescence microscope with a 63x oil immersion objective. Z series (35-50 images) were taken at an interval of 0.25 μ m for each dendrite. Spine morphometric analysis was done in a blinded manner using Imaris software (Bitplane Scientific Software) as previously described (Duman et al., 2013; Um et al., 2014; Tu et al., 2018).

Adult neurogenesis

WT and Tiam1 KO mice (2-month-old) were intraperitoneally injected with 200 mg/kg 5-bromo-2'-deoxyuridine (BrdU; Sigma-Aldrich) once every 24 hr for 4 days. Mice were transcardially perfused with 4% PFA 14 days after the first injection to study the production of adult-born granule neurons or 28 days after first injection to study the survival of the adult-born granule neurons in the DG. Brains were collected, sectioned, and costained with IgG (negative control) or antibodies against BrdU and doublecortin (14 day) or NeuN (28 days). Brain sections (16 per mice, 3 mice per genotype) were imaged using a Zeiss epifluorescence microscope with a 10x objective. We obtained a Z series of 10 images taken at 1 μ m intervals, and the maximum intensity projection (MIP) of the images acquired using the AxioVision microscopy software (Zeiss) were analyzed in a blinded manner.

Mouse behavioral tests

For behavioral experiments, WT and Tiam1 KO mice were used at 2- to 3-months-old. All behavioral tests were performed and analyzed with the experimenter blinded to the genotype.

Open field test. Mice were placed in the center of the open field (40cm x 40cm) and allowed to explore freely for 30 min. Spontaneous locomotor activity was recorded and analyzed by Versamax

system software (Omnitech Electronics, Inc). Open Field exploration and total distance travelled were used to assess locomotor activity, while time spent in center area was used to assess anxiety level (Crawley and Paylor, 1997; Bailey and Crawley, 2009).

Accelerating rotarod test. Mice were placed on top of a horizontally oriented accelerating rotarod, which increased in speed from 4 - 40 rpm for 5 min and then maintained 40 rpm for another 5 min. The latency of mice to fall was used to assess their motor learning, coordination, and balance. Animals were tested 4 trials per day for 2 days, with an interval of 30 min between each trial (Mulherkar et al., 2017).

Fear conditioning. Mice were placed in a training chamber where they were allowed to explore freely for 2 min. Mice were then subjected to 2 pairings of a 30 sec tone (85 dB, spaced by 2 min) followed immediately by an electrical foot shock (0.7 mA, 2 sec). To test contextual fear memory, 24 hr later mice were returned to the training chamber with no shock or tone for 5 min and their freezing behavior was recorded and analyzed by Freeze Frame software (Coulbourn Instruments). To test for cued fear memory, 2 hr later mice were placed into a chamber with a novel environment (dim light, vanilla odor, different floor). After a 3 min exploratory period, mice were subjected to the tone for 3 min and their freezing behavior was recorded and analyzed as before (Wehner and Radcliffe, 2004). Naïve behavior indicates baseline freezing before training.

Context discrimination. A different cohort of adult mice were subjected to a contextual fear discrimination test, modified from (Mulherkar et al., 2017). Mice were placed in the training chamber and allowed to freely explore for 2.5 min, after which they were subjected to a single foot shock (0.7 mA, 2 sec) with no tone. This process was repeated one time before mice were returned to their home cage. After 24 hr, mice were again placed in the training chamber (Context A) for 5 min with no shock and their freezing behavior was recorded and analyzed as above. 2 hr later, mice were placed in a novel chamber (Context B) for 5 min and their freezing behavior was again recorded and analyzed. Context discrimination was assessed by determining differences in the percent of time mice spent freezing in Context A versus Context B.

Experimental Design and Statistical Analysis

All experiments were designed to examine genotype-based effects between Tiam1 KO mice and their WT littermates. To analyze Tiam1 protein level (Figure 1E - 1G), we used 1-month-old WT and Tiam1 KO mice of both sexes. For H&E staining (Figure 1H), 2.5-month-old female WT and Tiam1 KO mice were used. For the analysis of dendrite morphology (Figure 2), spine density and excitatory synaptic transmission (Figure 3C-3J), we utilized 3-week-old (P21) and 6- to 7-week-old (P42 – 49) male WT and Tiam1 KO mice. Dendritic spine analysis was also performed on 1-month-old male YFP-expressing WT and Tiam1 KO mice (Figure 3A, 3B). For the analysis of adult neurogenesis (Figure 4), 2-month-old male WT and Tiam1 KO mice were used for BrdU injection. For behavioral experiments (Figure 5), 2- to 3-months-old WT and Tiam1 KO mice were tested. Since no behavioral differences were observed between male and female mice of each genotype, data from both sexes were combined. Numbers of mice used in each experiment are specified in the figure legends. All data are presented as mean \pm SEM (standard error of the mean). Statistical analyses were performed using KaleidaGraph (Synergy Software) or Prism (GraphPad), the details of which are described in the figure legends. Briefly, we used Student's t-test when comparing two independent groups and ANOVA with Tukey's post hoc test when comparing multiple groups. $p < 0.05$ is considered statistically significant. The following symbols were used: ns (not significant), $p > 0.05$, $*p < 0.05$, $**p < 0.01$, $***p < 0.001$.

RESULTS

Generation and characterization of mice lacking Tiam1

The Rac-GEF Tiam1 is strongly expressed in the developing brain and remains high in adult brain regions undergoing plasticity (Ehler et al., 1997; Tolias et al., 2005). In particular, Tiam1 is enriched in the DG where its expression is highly correlated with DG granule cell maturation (Ehler et al., 1997; Lein et al., 2004; Rao et al., 2019) (Figure 1A and 1B). However, the role of Tiam1 in the intact mammalian brain remains unclear. To address this question, we generated a floxed allele of *Tiam1* (*Tiam1^{fl/m}*) by inserting two loxP sites into a region of the murine *Tiam1* gene flanking exon 5 (Figures 1C and 1D). Global Tiam1 KO mice were then produced by crossing *Tiam1^{fl/m}* mice with *Ella-Cre* transgenic mice to delete *Tiam1* from early embryos (Lakso et al., 1996). To verify Tiam1 loss, we performed western blot analyses on hippocampal lysates from Tiam1 KO mice and wild-type (WT) littermate controls. While Tiam1 levels were abundant in the hippocampus of 1-month-old WT mice, they were undetectable in Tiam1 KO mice, confirming ablation of Tiam1 (Figures 1E and 1F). We also performed immunohistochemistry on coronal hippocampal brain sections from 1-month-old WT and Tiam1 KO mice, which showed specific loss of Tiam1 from the DG (Figure 1G).

Despite the successful ablation of *Tiam1*, Tiam1 KO mice are viable, fertile, and do not display any gross alterations in brain structure (Figure 1H). This result is consistent with a previous report demonstrating that global Tiam1 KO mice are viable and fertile (Malliri et al., 2002), but contradicts a different report showing that Tiam1 KO mice generated using the gene trap method are mostly inviable due to severe defects in brain development (Yoo et al., 2012). Given our results and the results by Malliri and colleagues (Malliri et al., 2002), it is likely that other genes in addition to *Tiam1* are affected in the gene trap KO of Tiam1, and that Tiam1 loss alone does not cause lethality or gross abnormalities in brain development.

Tiam1 promotes the stabilization of DG granule cell dendritic arbors

The ability of neurons to integrate into a neural circuit and process information appropriately depends on the proper development and stabilization of their dendritic arbors (Branco and Häusser, 2010; Jan and Jan, 2010; Koleske, 2013; Lefebvre et al., 2015). We previously determined that Tiam1 promotes

dendritic arbor growth in dissociated cultures of rat hippocampal neurons (Tolias et al., 2005). Moreover, Tiam1 was recently shown to regulate dendritic patterning of somatosensory PVD neurons in *Caenorhabditis elegans* (Tang et al., 2019), but its role in the intact mammalian brain has not been established. Since Tiam1 is highly expressed in the DG (Figure 1B), we investigated whether it regulates DG granule cell dendritic arbor development. Granule cells in acute hippocampal slices from 6- to 7-week-old (P42 – P49) WT and Tiam1 KO littermates were filled with biocytin during whole cell recordings (see below), stained with the avidin-biotin-peroxidase method (Jiang et al., 2015; Cadwell et al., 2016), and their dendritic arbors were reconstructed and analyzed using Sholl analysis (Figures 2A – 2C) (Sholl, 1953). We found that DG granule cells from P42 – P49 Tiam1 KO mice had markedly simplified dendritic arbors relative to granule cells from WT littermates (Figures 2A and 2C). Morphometric analysis (Figure 2B) also revealed that Tiam1 KO DG granule cells possessed dendrites with decreased total length (WT: $1666.07 \pm 96.07 \mu\text{m}$; KO: $1272.79 \pm 128.90 \mu\text{m}$) (Figure 2D), reduced average distance (WT: $158.26 \pm 9.08 \mu\text{m}$; KO: $118.27 \pm 11.40 \mu\text{m}$) (Figure 2E), and increased arbor angle (reflecting altered arbor shape) (WT: 84.52 ± 8.78 ; KO: 117.43 ± 13.62) (Figure 2F). Thus, Tiam1 loss results in wider, shorter, less complex dendritic arbors, suggesting that Tiam1 is required for proper DG granule cell dendrite arborization *in vivo*.

Dendritic arborization is a dynamic process involving the growth and branching of nascent dendrites, dendrite retraction, and the ultimate stabilization of a subset of branches that form the dendritic tree (Jan and Jan, 2010; Koleske, 2013; Lefebvre et al., 2015). To better understand the specific role Tiam1 plays in dendrite development, we also analyzed the dendritic arbors of DG granule cells from younger WT and Tiam1 KO mice at a developmental stage (P21) immediately following a period of extensive dendritic growth (Kerloch et al., 2019). Surprisingly, in contrast to older mice, Sholl analysis revealed that the arbors of DG granule cells from P21 Tiam1 KO mice were similar in complexity to those from P21 WT mice (Figure 2G – 2H). Likewise, morphometric analyses indicated that DG granule cell dendritic arbors from P21 WT and Tiam1 KO mice were indistinguishable in terms of total length (WT: $1772.10 \pm 135.71 \mu\text{m}$; KO: $1667.91 \pm 101.66 \mu\text{m}$) (Figure 2I), average distance (WT: $143.37 \pm 4.69 \mu\text{m}$; KO: $143.00 \pm 7.04 \mu\text{m}$) (Figure 2J), and arbor angle (WT: $93.33.52 \pm 8.64$; KO:

94.24±7.53) (Figure 2K). Moreover, by comparing DG granule cell dendrites from younger and older WT and Tiam1 KO mice, we found that only older Tiam1 KO mice displayed altered dendritic complexity relative to the other groups ($F(1,74)=7.914$, $p=0.0063$). Taken together, these results suggest that DG granule cells in Tiam1 KO mice initially form normal dendritic arbors, but that the dendrites are not properly maintained, resulting in a reduction in dendritic arbor size and complexity by late adolescents. Thus, *in vivo*, Tiam1 is required for the stabilization rather than the growth of DG granule cell dendritic arbors.

Tiam1 is essential for DG granule cell dendritic spine and excitatory synapse maintenance

Proper neural circuit assembly and function also depend on the appropriate development of excitatory synapses and the actin-rich dendritic spines on which they reside (Lai and Ip, 2013). Previous studies utilizing RNA interference (RNAi) and dominant-negative mutants have established that Tiam1 promotes dendritic spine and excitatory synapse development in cultured hippocampal neurons (Tolias et al., 2005, 2007; Zhang and Macara, 2006; Lai et al., 2012; Duman et al., 2013; Um et al., 2014). Moreover, RNAi knockdown of Tiam1 from DG granule cells in cultured hippocampal slices was recently shown to reduce synaptic AMPA receptor function and elongate dendritic spines (Rao et al., 2019). However, Tiam1's role in spine and synapse development in the intact brain remains unclear. To determine if Tiam1 is required for dendritic spine development *in vivo*, we crossed Tiam1 KO mice with *thy1-YFP* (line H) transgenic mice that express YFP in sparse neuron populations, enabling visualization of neuron morphology (Figure 3A) (Feng et al., 2000). High resolution imaging and three-dimensional spine morphometric analysis was then performed on YFP-expressing DG granule neurons from 1-month-old WT and Tiam1 KO mice. This analysis revealed that in comparison to WT mice, DG granule cells from Tiam1 KO mice display a marked reduction in spine density (WT: 1.30 ± 0.03 spines/ μm ; KO: 1.02 ± 0.02 spines/ μm) (Figure 3B), suggesting that Tiam1 is required for proper DG granule cell spine development *in vivo*.

Like dendritic arborization, spine development is a multifaceted process, with the initial formation of long, thin dendritic filopodia followed by shorter, bulbous-headed spines, which continue to appear and

disappear throughout postnatal development as they actively participate in synapse formation and elimination (Bhatt et al., 2009; Berry and Nedivi, 2017). As animals mature into adulthood, spine dynamics diminish as spines stabilize while maintaining the ability to undergo remodeling in response to physiological and pathological conditions (Bhatt et al., 2009; Berry and Nedivi, 2017). To better understand how Tiam1 regulates spine development *in vivo*, we analyzed the effects of Tiam1 loss on DG granule cell spine density at two different developmental stages, P21 (following extensive spine formation and growth) and P42 – P49 (following significant spine stabilization) (Bhatt et al., 2009). Biocytin-filled DG granule cells in acute hippocampal slices from WT and Tiam1 KO mice were reconstructed and analyzed (Jiang et al., 2015; Cadwell et al., 2016). While no difference was detected in the spine density of DG granule neurons from P21 WT and Tiam1 KO mice (WT: 1.19 ± 0.06 spines/ μm ; KO: 1.18 ± 0.04 spines/ μm), the spine density of DG granule neurons from older, P42 – P49 Tiam1 KO mice was significantly lower than that of WT littermates (WT: 1.14 ± 0.06 spines/ μm ; KO: 0.93 ± 0.06 spines/ μm) (Figures 3C– 3F). These results suggest that like dendritic arbors, Tiam1 promotes the stabilization of DG granule cell dendritic spines in the mouse brain.

Since Tiam1 loss results in a failure to maintain DG granule cell arbors and spines, we next asked whether Tiam1 ablation also results in fewer DG granule cell glutamatergic synapses and thus reduced excitatory synaptic transmission. To investigate this possibility, we measured AMPA receptor-mediated miniature excitatory post-synaptic currents (mEPSCs) by performing whole cell patch clamp experiments on DG granule cells in acute hippocampal slices from P21 and P42 – P49 WT and Tiam1 KO mice. As with dendritic arbors and spines, we did not detect a statistically significant difference in mEPSC frequency (WT: 0.25 ± 0.03 Hz; KO: 0.35 ± 0.05 Hz) or amplitude (WT: 5.98 ± 0.41 pA; KO: 5.49 ± 0.31 pA) between WT and Tiam1 KO dentate granule cells at P21 (Figure 3G – 3I), suggesting that DG granule cell excitatory synapses form normally in Tiam1 KO mice. However, later in development (P42 – P49), we detected a significant decrease in mEPSC frequency (WT: 0.60 ± 0.07 Hz; KO: 0.26 ± 0.03 Hz) but not amplitude (WT: 5.61 ± 0.26 pA; KO: 5.22 ± 0.27 pA) in DG granule cells from Tiam1 KO mice (Figure 3J – 3L), suggesting a failure to maintain normal excitatory synaptic transmission. Thus,

consistent with our morphological results, Tiam1 appears to promote the stabilization rather the formation of DG granule cell excitatory synapses in the developing brain.

Increased survival of adult-born neurons in the DG of Tiam1 KO mice

The DG is one of the two known brain regions in adult animals where neurogenesis persists (Ming and Song, 2011; Drew et al., 2013). New granule cells are continuously generated throughout life from dividing progenitor cells in the subgranular zone (SGZ) of the DG, and while a large percentage of these adult-born granule cells die, many survive, mature, and integrate into the existing hippocampal circuit (Ming and Song, 2011; Drew et al., 2013). These adult-born DG granule cells are thought to play important roles in learning, memory, and mood regulation (Sahay and Hen, 2007; Deng et al., 2009; Ming and Song, 2011; Frankland and Josselyn, 2016). In order to successfully survive and stably integrate into the existing neural circuit, adult-born granule cells need to compete with mature granule cells for entorhinal cortical inputs (McAvoy et al., 2016). Notably, the downstream target of Tiam1, Rac1, plays important roles in this process. Ablation of Rac1 from adult-born granule cells impairs late dendritic arbor growth and spine maturation (Vadodaria et al., 2013), whereas conditional deletion of Rac1 from mature DG granule cells increases adult-born granule cell survival by decreasing spine density in mature neurons and thus reducing synaptic competition (McAvoy et al., 2016). Since Tiam1 remains highly expressed in the adult DG, we asked whether it also plays a role in the birth and/or development of adult-born DG granule cells. To examine this possibility, we injected 5'-bromo-2'-deoxyuridine (BrdU, 200 mg/kg) intraperitoneally into 2-month-old WT and Tiam1 KO mice once daily for 4 days. BrdU is a thymidine analog that incorporates into dividing cells during DNA synthesis, and thereby acts as a tracer for adult newborn neurons (Wojtowicz and Kee, 2006). 14 or 28 days after BrdU-labeling, brains were collected, sectioned, and stained for different neuronal markers (Kempermann et al., 2004; von Bohlen Und Halbach, 2007). At 14 days post-injection, we detected a similar number of BrdU+ cells that co-stained with the immature neuronal marker DCX (doublecortin) within the DG of WT and Tiam1 KO mice (Figures 4A and 4B), suggesting that they produce an equivalent amount of adult-born DG granule cells.

However, at 28 days post-injection, Tiam1 KO mice possessed significantly more BrdU+ cells that co-stained with the mature neuronal marker NeuN (neuronal nuclear protein) (Figures 4C and 4D), suggesting that the survival rate of adult-born DG granule cells is greater in Tiam1 KO mice than in WT mice. Thus, Tiam1 loss increases the survival of adult-born DG granule cells without affecting their proliferation. Because Tiam1 expression is more evident in mature DG granule cells than immature ones (Ehler et al., 1997) and mature granule cells in Tiam1 KO mice have simplified dendritic arbors and reduced spine densities as a result of a maintenance failure (Figures 2 and 3), the increased survival of adult-born DG granule cells in Tiam1 KO mice is likely due, at least in part, to decreased competition with mature granule cells for synaptic inputs.

Tiam1 null mice display enhanced contextual fear learning and spatial discrimination

Synapse and dendrite abnormalities and aberrant adult neurogenesis characterize numerous brain disorders and are associated with altered learning and memory in both humans and mice (Deng et al., 2010; Ming and Song, 2011; Penzes et al., 2011; Kulkarni and Firestein, 2012; Lai and Ip, 2013). Since Tiam1 plays a critical role in hippocampal spine and dendrite development and the survival of adult-born DG granule cells, we asked whether mice lacking Tiam1 display any behavioral alterations. To address this question, adult WT and Tiam1 KO mice were subjected to a battery of behavioral tests. As many behavioral tests rely on proper locomotor activity, we first measured motor function in WT and Tiam1 KO mice using the accelerating rotarod (Deacon, 2013). We found that Tiam1 KO mice perform as well as WT littermates on the rotarod (Figure 5A), suggesting that they do not have deficits in motor coordination, motor learning, or balance. WT and Tiam1 KO mice were also analyzed in an open field test, which assesses exploratory and anxiety-like behavior (Bailey and Crawley, 2009). Like the rotarod results, Tiam1 KO mice performed similar to WT mice in open field exploration, total distance traveled, and time spent in center of the open field (Figure 5B – 5D), indicating Tiam1 KO mice do not possess significant locomotor impairments or altered anxiety-like behavior relative to WT animals.

Since the hippocampus, and the DG in particular, plays an important role in learning, memory and pattern separation, we next subjected WT and Tiam1 KO mice to contextual and auditory (cued) fear conditioning. These tests gauge the ability of mice to learn and remember an association between

neutral environmental cues (e.g., chamber, tone) and an aversive experience (e.g., mild foot shock) by measuring freezing behavior (Wehner and Radcliffe, 2004). Contextual fear conditioning (pairing of context with foot shock) relies on both the hippocampus and amygdala, while auditory cued fear conditioning (pairing of tone with foot shock) requires only the amygdala (LeDoux, 2000). Unexpectedly, we found that Tiam1 KO mice froze significantly more than WT littermates when placed in the conditioning chamber 24 hours after fear conditioning training involving 2 foot shock-tone pairings (WT: $42.4 \pm 2.6\%$; KO: $54.1 \pm 3.5\%$) (Figure 5E). In contrast, no difference in freezing behavior was detected either prior to training (i.e., naïve mice) (Figure 5E) or during training (Figure 5G). These results suggest that Tiam1 KO mice possess enhanced hippocampal-dependent contextual fear memory. In contrast to contextual fear memory, WT and Tiam1 KO mice exhibited equivalent freezing behavior in response to the auditory conditioning stimulus (tone) 1 day after training (WT: $75.3 \pm 2.0\%$; KO: $77.2 \pm 3.0\%$) (Figure 5F), suggesting that Tiam1 KO mice have normal cued fear memory. To assess pattern separation in Tiam1 KO mice, we also performed a DG-dependent contextual discrimination test, in which a different cohort of mice were subjected to two spaced foot shocks without a tone in Context A (training chamber), and then tested 24 hours later first in context A and then 2 hours later in Context B (distinct chamber) (Kheirbek et al., 2012b). Under these conditions, WT mice exhibited only moderate freezing behavior in Context A, and were unable to distinguish (i.e., no significant difference in freezing) between Context A and B (Figure 5H). In contrast, Tiam1 KO mice froze significantly more than WT mice in Context A (WT: $21.3 \pm 5.0\%$; KO $40.9 \pm 6.7\%$) and were able to distinguish (i.e., significant difference in freezing) between Context A and B (WT: $9.5 \pm 4.1\%$ difference; KO: $26.1 \pm 6.0\%$ difference) (Figure 5H). These results suggest that in addition to enhanced contextual fear memory, Tiam1 KO mice have greater DG-dependent contextual discrimination and thus improved pattern separation. Together, these results suggest that Tiam1 normally restricts contextual fear memory and discrimination.

DISCUSSION

The DG plays a critical role in fundamental brain processes such as learning, memory, spatial coding and pattern separation, while its dysfunction is associated with neuropsychiatric disorders (Hagihara et

al., 2013; Lopez-Rojas and Kreutz, 2016). Here, we demonstrate that the DG-enriched Rac-GEF Tiam1 is a key regulator of DG development and function. By generating and characterizing mice lacking Tiam1, we showed that Tiam1 is required for the proper stabilization and maintenance of DG granule cell dendritic arbors, spines, and excitatory synapses late in development. Tiam1 loss also results in the increased survival, but not generation, of adult-born DG granule cells. Moreover, we found that Tiam1 KO mice display enhanced DG-related behaviors (i.e., contextual fear memory and discrimination). Together, these results suggest that Tiam1 is essential for regulating DG granule cell maturation, stabilization, and function within hippocampal circuits.

Previously, work from our lab and others established Tiam1 as a key regulator of dendrite, spine, and synapse development in hippocampal neurons (Tolias et al., 2005, 2007; Zhang and Macara, 2006; Lai et al., 2012; Duman et al., 2013; Um et al., 2014). Tiam1 promotes the formation and growth of spines and excitatory synapses by coupling synaptic receptors (e.g., NMDAR, EphB, BAI1, TrkB) to Rac1 signaling pathways that control actin cytoskeletal remodeling (Tolias et al., 2005, 2007; Zhang and Macara, 2006; Lai et al., 2012; Duman et al., 2013). Tiam1 also interacts with the Rac-GAP Bcr, and together they cooperate to keep synaptic Rac1 signaling within an optimal range that elicits appropriate spine formation while preventing excessive Rac1-mediated receptor endocytosis and spine loss (Um et al., 2014). Recently, Tiam1 was also shown to regulate synaptic AMPA receptor function and spine length in DG granule cells (Rao et al., 2019). However, despite this progress, knowledge about the *in vivo* roles of Tiam1 in the intact brain is limited since these studies were primarily conducted in hippocampal dissociated neuron or slice cultures, which do not fully reproduce the complex 3D architecture and/or maturation of the intact brain. To elucidate Tiam1's function in the brain, we generated Tiam1 KO mice. Morphological and electrophysiological analyses of these mice revealed that global loss of Tiam1 results in DG granule cells with simplified dendritic arbors, lower spine densities, and reduced excitatory synaptic transmission. Surprisingly, these dendritic and synaptic abnormalities were only detected late in development. Initially, DG granule cells develop normally in Tiam1 KO mice, resembling WT granule cells at P21. However, the dendrites and spiny synapses of Tiam1 KO DG granule cells fail to stabilize, leading to dendrite and synapse loss by P42. These results indicate that

Tiam1 promotes the stabilization and maintenance of DG granule cell dendritic arbors and excitatory synapses late in development during a period of activity-dependent refinement. Notably, our results are similar to previous reports showing that key molecules involved in integrin signaling, including $\alpha 3$, $\beta 1$ and ARG, are required in mice for dendritic arbor and spine stabilization during this same late developmental period (P21 – P42) (Moresco et al., 2005; Sfakianos et al., 2007; Warren et al., 2012; Kerrisk et al., 2013; Koleske, 2013; Lin et al., 2013). While Tiam1 is known to function in integrin signaling to regulate cell-matrix adhesion in non-neuronal cells (Hamelers et al., 2005; O'Toole et al., 2011; Wang et al., 2012), whether it regulates dendrite and/or synapse stability in neurons in response to integrin signaling or in a parallel pathway remains to be determined. It is also not clear why dendritic and synaptic defects are detected at an earlier developmental stage in cultured hippocampal neurons lacking Tiam1 than in Tiam1 KO mice (Tolias et al., 2005, 2007; Zhang and Macara, 2006; Lai et al., 2012; Duman et al., 2013; Um et al., 2014). It is possible that within the more complex 3D environment of the brain, which is enriched in extracellular matrix and glia, neurons are able to compensate for Tiam1 loss during the earlier growth phase of development. Alternatively, the sparse loss of Tiam1 that occurs in transfected neuron cultures may place neurons lacking Tiam1 at a larger competitive disadvantage than global Tiam1 loss. Additional research is needed to investigate these and other possibilities.

Our results also implicate Tiam1 in the regulation of adult neurogenesis. Neural stem cells in the DG continue to generate new neurons throughout life, which is thought to be important for learning, memory, pattern separation, and mood regulation (Sahay and Hen, 2007; Deng et al., 2010; Ming and Song, 2011). During maturation, adult-born GC granule cells exhibit enhanced excitability and plasticity, which may facilitate their functional integration, enabling long-term changes in the network (Ramirez-Amaya et al., 2006; Wiskott et al., 2006). The production and survival of adult-born granule cells are influenced by a variety of factors, including age, exercise, environmental enrichment, anti-depressants, stress, and disease (Deng et al., 2010; Ming and Song, 2011). To successfully survive and integrate into existing neural circuits, adult-born DG granule cells must compete with mature granule cells for synaptic inputs, and recently it was shown that reducing this competition by inducing spine elimination in mature granule cells enhances the survival of adult-born granule cells (McAvoy et al., 2016). As Tiam1 is highly

expressed throughout life in DG granule cells (Figure 1A) (Ehler et al., 1997), we examined whether adult neurogenesis was affected in Tiam1 KO mice. Interestingly, we found that loss of Tiam1 increases the survival of adult-born neurons, but not their production. Since mature, but not developing, granule cells in Tiam1 KO mice possess significantly simplified dendritic arbors and reduced spine densities, it is likely that the increased survival of adult-born granule cells in Tiam1 KO mice is due, at least in part, to decreased synaptic competition with mature granule cells.

Tiam1, Rac1, and the Tiam1-associated Rac-GAP Bcr have all been implicated in neuropsychiatric disorders, and mice lacking Rac1 or Bcr exhibit learning and memory deficits (Voncken et al., 1998; Aston et al., 2005; Hashimoto et al., 2005; Mikhail et al., 2007; Chahrour et al., 2008; Masui et al., 2008; Haditsch et al., 2009; Chen et al., 2010; Oh et al., 2010; Bongmba et al., 2011; Martinez and Tejada-Simon, 2011; Ahmed et al., 2013; Chandra et al., 2013; De Rubeis et al., 2013; Golden et al., 2013; Liu et al., 2016; Vacca et al., 2016). We therefore asked whether loss of Tiam1 alters mouse behavior. Since DG granule cells in older adolescent Tiam1 KO mice possess dendrite and synapse abnormalities that frequently accompany learning and memory impairments (Penzes et al., 2011; Kulkarni and Firestein, 2012), we initially expected adult Tiam1 KO mice to perform poorly on DG-dependent behavioral tests. Surprisingly, however, Tiam1 KO mice display greater contextual fear memory and context discrimination compared to WT mice. This enhanced performance could potentially be explained by the increased survival of adult-born DG granule cells in the Tiam1 KO mice, since elevated adult hippocampal neurogenesis is associated with improved learning, memory, and/or pattern separation (Deng et al., 2009, 2010; Aimone et al., 2011; Sahay et al., 2011a, 2011b). However, further investigation is needed to determine how Tiam1 loss increases adult-born DG granule cell survival, whether mature DG granule cells maintain their dendrite and synapse abnormalities throughout adulthood, and whether these or other alterations in Tiam1 KO mice underlie their enhanced contextual memory and discrimination abilities.

Tiam1 shares a number of similarities with Kalirin-7, another neuronal Rac-GEF. Both GEFs localize to dendritic spines, interact with common synaptic receptors (e.g., NMDARs, EphB), and mediate Rac1-dependent spine and synapse development (Penzes et al., 2001, 2003, Tolia et al., 2005, 2007;

Xie et al., 2007; Kiraly et al., 2011; Lemtiri-Chlieh et al., 2011; Um et al., 2014). Surprisingly, however, the phenotypes displayed by Tiam1 KO mice differ markedly from those reported for Kalirin-7 KO mice or mice lacking all *KALRN* splice variants including Kalirin-7 (KALRN KO mice). While global loss of Tiam1 and Kalirin-7 both result in neurons with reduced spine density, the effect was observed in distinct brain regions and neuronal subtypes (DG granule cells in Tiam1 KO mice, CA1 pyramidal neurons in Kalirin-7 KO mice, and cortical pyramidal neurons in KALRN KO mice) (Ma et al., 2008; Xie et al., 2010, 2011). The behavioral phenotypes of these mice are also drastically different. Whereas Tiam1 KO mice display enhanced contextual fear memory and context discrimination, the Kalirin-7 and KALRN KO mice both exhibit impaired contextual fear learning (Ma et al., 2008; Xie et al., 2011). Likewise, mice lacking the *Rac1/Cdc42-GEF* α PIX/Arhgef6 possess CA1 pyramidal neurons with elongated dendrites, increased spine density, and decreased excitatory synapse number, and they display impaired learning on complex spatial tasks (Ramakers et al., 2012). These phenotypic differences highlight the distinct roles Rac-GEFs play in the brain, likely due to their differential expression profiles, subcellular locations, and association with discrete signaling complexes (Tolias et al., 2011; Duman et al., 2015). Interestingly, the phenotypes exhibited by Tiam1 KO mice also largely oppose those seen in mice lacking Bcr, the Rac-GAP that interacts with Tiam1. Specifically, Bcr KO mice display increased spine and synapse densities and deficits in spatial and object recognition memory (Oh et al., 2010; Um et al., 2014). Moreover, the dendrites of cultured hippocampal neurons from Bcr KO mice show increased arborization (Park et al., 2012; Duman et al., 2019). These observations are consistent with our previous finding that Bcr restricts Tiam1-induced Rac1 signaling (Narayanan et al., 2013; Um et al., 2014) and lend support to the idea that Tiam1 and Bcr cooperate to regulate Rac1-dependent processes in the brain.

The finding that Tiam1 loss enhances contextual learning and memory and context discrimination is particularly intriguing given that Tiam1 is a well-established target for protein degradation (Boissier and Huynh-Do, 2014). For example, Tiam1 interacts with several E3 ubiquitin ligase complexes including SCF ^{β TrCP} and CUL3^{KBTBD6/7}, resulting in its ubiquitylation and proteasomal degradation (Magliozzi et al., 2014; Zhu et al., 2014; Genau et al., 2015; Diamantopoulou et al., 2017). Tiam1 abundance is also negatively regulated by calpain- and caspase-mediated cleavage (Qi et al., 2001; Woodcock et al., 2009).

Thus, in the brain Tiam1 may be targeted for degradation in response to stimuli that enhance cognitive function. Indeed, cocaine exposure that induces behavioral plasticity also reduces Tiam1 levels in the nucleus accumbens, a brain region critical for reward-related behavior (Dietz et al., 2012; Chandra et al., 2013). On the other hand, Tiam1 is overexpressed in individuals with Down syndrome (DS) and in DS mouse models (Ives et al., 1998; Lockstone et al., 2007; Siddiqui et al., 2008; Ahmed et al., 2013, 2015). It is possible that elevated levels of Tiam1 contribute to the learning and memory deficits associated with DS. In the future, it will be interesting to determine whether Tiam1 could serve as a therapeutic target for the treatment of brain disorders involving memory impairments.

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FIGURE LEGENDS

Figure 1. Generation and characterization of *Tiam1* KO mice

(A) *In situ* hybridization images (left) of *Tiam1* mRNA in sagittal brain sections from different aged mice demonstrating the developmental expression of *Tiam1*. Intensity-coded summary images (right) show low (blue) to high (red) *Tiam1* expression. (P=postnatal day) Image credit: Allen Institute.

(B) Enlarged view of *in situ* hybridization (left) and intensity-coded summary (right) images from (A) showing *Tiam1* expression in the hippocampus, where it is highly enriched in the DG. Image credit: Allen Institute.

(C) To target the murine *Tiam1* gene, two loxP sites were inserted into a region flanking exon 5 and an internal Frt-flanked neomycin (Neo) cassette was added as a selection marker. The floxed allele (*Tiam1*^{fl/fl}) was generated after removing Neo via breeding with Flippase-expressing mice. *Tiam1*^{fl/fl} mice were then crossed with *Ella-Cre* mice to delete *Tiam1* globally from early embryos, creating *Tiam1*^{-/-} mice. For all figures, mice are abbreviated as follows: Wild-type (WT), *Tiam1*^{-/-} (*Tiam1* KO or KO).

(D) PCR analysis of tail DNA prepared from *Tiam1*^{+/fl}, *Tiam1*^{+/+}, and *Tiam1*^{fl/fl} mice.

(E) Representative immunoblots of hippocampal lysates from 1-month-old WT and *Tiam1* KO mice probed with antibodies against *Tiam1* and GAPDH (loading control) demonstrating loss of *Tiam1*.

(F) Quantification of immunoblots from (E) ($t(4)=5.687$, $p=0.005$, unpaired t-test, $N=3$ mice per genotype).

(G) Representative immunohistochemistry images of coronal hippocampal sections from 1-month-old WT and *Tiam1* KO mice showing loss of *Tiam1* staining from the DG of *Tiam1* KO mice. (Scale bar=100 μm).

(H) H&E staining of sagittal brain section from 2.5-month-old WT and *Tiam1* KO mice demonstrating no gross changes in brain structure as a result of *Tiam1* loss (Scale bar=1000 μm).

Figure 2. *Tiam1* promotes DG granule cell dendritic arbor stabilization

(A) Representative reconstructed morphologies of biocytin-labeled DG granule cells from 6- to 7-week-old (P42 – P49) WT and *Tiam1* KO mice (Scale bar=50 μm).

(B) Schematics illustrating how DG granule cell dendritic arbors (grey) were analyzed via sholl analysis, total dendritic length, average dendrite distance, and dendritic arbor angle (red).

(C) Sholl analysis of DG granule cell dendrites from (A) demonstrating decreased complexity of Tiam1 KO DG granule cell arbors ($F(1, 74)=7.914$, $p=0.0061$, two-way ANOVA, $n=19$ neurons per genotype, $N=3$ mice per genotype).

(D-F) Quantification of (D) total dendritic length ($t(40)=2.494$, $p=0.0169$, unpaired t-test), (E) average dendritic distance ($t(40)=2.778$, $p=0.00828$, unpaired t-test), and (F) average dendritic arbor angle ($t(40)=-2.097$, $p=0.0424$, unpaired t-test) of DG granule cells from (A). WT, $n=23$ neurons, $N=3$ mice; Tiam1 KO, $n=19$ neurons, $N=3$ mice.

(G) Representative reconstructed morphologies of biocytin-labeled DG granule cells from P21 WT and Tiam1 KO mice (Scale bar= $50\text{ }\mu\text{m}$).

(H) Sholl analysis of DG granule cell dendrites from (G) demonstrating no difference between P21 WT and Tiam1 KO mice ($F(1, 74)=7.914$, $p=0.9442$, two-way ANOVA, WT, $n=21$ neurons, $N=3$ mice; Tiam1 KO, $n=19$ neurons, $N=3$ mice).

(I-K) Quantification of (I) total dendritic length ($t(38)=0.604$, $p=0.5493$, unpaired t-test), (J) average dendritic distance ($t(37)=0.04374$, $p=0.965$, unpaired t-test), and (K) average dendritic arbor angle ($t(38)=0.081$, $p=0.936$, unpaired t-test) of DG granule cells from (G). WT, $n=21$ neurons, $N=3$ mice; Tiam1 KO, $n=19$ neurons, $N=3$ mice.

All data are presented as mean \pm SEM. * $p<0.05$, ** $p<0.01$, *** $p<0.001$, no significance, $p>0.05$.

Figure 3. Tiam1 is essential for DG granule cell dendritic spine and excitatory synapse maintenance

(A) Representative images of spines on DG granule cells from YFP-expressing 1-month-old WT and Tiam1 KO mice (Scale bar= $5\text{ }\mu\text{m}$).

(B) Quantification of spine density of YFP-expressing DG granule cells from (A) showing reduced spine density on DG granule cells from Tiam1 KO mice ($t(235)=7.5827$, $p<0.0001$, unpaired t-test, WT, $n=117$ neurons, $N=3$ mice; Tiam1 KO, $n=120$ neurons, $N=3$ mice).

(C) Representative images of spines from biocytin-filled DG granule cells from P21 WT and Tiam1 KO mice (Scale bar=5 μ m).

(D) Quantification of spine density of biocytin-filled DG granule cells in (C) showing similar spine densities in WT and Tiam1 KO mice at P21 ($t(23)=0.068$, $p=0.946$, unpaired t-test, WT, $n=13$ neurons, $N=3$ mice; Tiam1 KO, $n=12$ neurons, $N=3$ mice).

(E) Representative images of spines from biocytin-filled DG granule cells from P42 – P49 WT and Tiam1 KO mice (Scale bar=5 μ m).

(F) Quantification of spine density of biocytin-filled DG granule cells in (E), confirming reduced spine density on DG granule cells from P42 – P49 Tiam1 KO mice ($t(39)=2.627$, $p=0.0123$, unpaired t-test, WT, $n=23$ neurons, $N=3$ mice; Tiam1 KO, $n=17$ neurons, $N=3$ mice).

(G-I) Representative traces (G) and summary graphs (H, I) of mEPSCs recorded from DG granule cells from P21 WT and Tiam1 KO mice showing similar excitatory synaptic transmission (Frequency: $t(41)=1.841$, $p=0.073$, unpaired t-test; Amplitude: $t(41)=0.972$, $p=0.337$, unpaired t-test, WT, $n=21$ neurons, $N=3$ mice; Tiam1 KO, $n=22$ neurons, $N=3$ mice).

(J-L) Representative traces (J) and summary graphs (K,L) of mEPSCs recorded from DG granule cells from P42 – P49 WT and Tiam1 KO mice showing decreased mEPSC frequency for Tiam1 KO DG granule cells relative to WT DG granule cells at later developmental stages (Frequency: $t(56)=3.710$, $p=0.00048$, unpaired t-test; Amplitude: $t(56)=0.283$, $p=0.778$, unpaired t-test, WT, $n=31$ neurons, $N=3$ mice; Tiam1 KO, $n=37$ neuron, $N=3$ mice).

All data are presented as mean \pm SEM. * $p<0.05$, ** $p<0.01$, *** $p<0.001$, ns, $p>0.05$.

Figure 4. Increased survival of adult-born DG granule cells in Tiam1 KO mice

(A) Representative immunohistochemistry images of adult-born granule cells from the DG of 2-month-old WT and Tiam1 KO mice labeled with BrdU and the immature neuronal marker DCX 14 days after BrdU injection.

(B) Quantification of neurons labeled with BrdU with or without DCX 14 days after BrdU injection reflecting newborn neuron production. No difference was detected between WT and Tiam1 KO mice

(BrdU in SGZ: $t(4)=0.609$, $p=0.576$, BrdU and DCX in SGZ: $t(4)=0.893$, $p=0.422$; BrdU in GCL: $t(4)=0.617$, $p=0.571$, BrdU and DCX in GCL: $t(4)=0.983$, $p=0.381$; unpaired t-test, 16 hippocampal sections were analyzed per mouse, $N=3$ mice per genotype).

(C) Representative images of adult-born granule cells from the DG of 2-month-old WT and Tiam1 KO mice labeled with BrdU and the mature neuronal marker NeuN 28 days after BrdU injection.

(D) Quantification of neurons labeled with BrdU with or without NeuN 28 days after BrdU injection reflecting newborn neuron survival. Tiam1 KO mice possessed significantly more BrdU+ cells co-stained with NeuN (BrdU in SGZ: $t(4)=-4.553$, $p=0.010$, BrdU and NeuN in SGZ: $t(4)=-3.620$, $p=0.0224$; BrdU in GCL: $t(4)=-1.906$, $p=0.13$, BrdU and NeuN in GCL: $t(4)=-3.043$, $p=0.0383$; unpaired t-test, 16 hippocampal sections were analyzed per mouse, $N=3$ mice per genotype).

Scale bar=100 μ m. (SGZ: subgranule zone; GCL: granule cell layer).

All data are presented as mean \pm SEM. * $p<0.05$, ns, $p>0.05$.

Figure 5. Tiam1 null mice display enhanced contextual fear memory and context discrimination

(A) WT and Tiam1 KO mice were tested on an accelerating rotarod for two days (4 trials per day) and their motor performance was compared. No significant difference was detected between the two groups of mice ($F(1, 378)=1.044$, $p=0.312$, two-way ANOVA, $N=28$ mice per genotype).

(B-D) WT and Tiam1 KO mice were assessed in an open field test. No statistically significant difference was observed between WT and Tiam1 KO mice for the following measures: (B) open field exploration ($F(5,70)=1.186$, $p = 0.325$, two-way ANOVA); (C) total distance traveled ($t(14)=0.471$, $p = 0.344$, unpaired t-test); and (D) time spent in the center of the open field (a measure of anxiety) ($t(14)=1.025$, $p = 0.299$, unpaired t-test). $N=8$ mice per genotype.

(E, F) WT and Tiam1 KO mice were subjected to fear conditioning and then tested for (E) contextual fear memory (exposure to the conditioning context 24 hr after training) and (F) cued fear memory (exposure to the auditory cue 26 hr after training). Freezing behavior was recorded prior to training (Naïve) and during each test. While both groups of mice exhibited equivalent robust freezing in the cued test, Tiam1 KO mice displayed significantly more freezing in the hippocampal-dependent contextual test (Naïve:

t(68)=-0.0134, p=0.989, unpaired t-test; contextual fear memory: t(68)=-2.730, p=0.00805, unpaired t-test; cued fear memory: t(68)=-0.535, p=0.595, unpaired t-test; WT, N=36 mice; Tiam1 KO, N=34 mice).

(G) During fear conditioning training for E and F, no difference in freezing behavior was detected between WT and Tiam1 KO mice at the different training stages: 0-120 sec (prior to training; baseline); 120-150 sec (presentation of tone immediately prior to 1st foot shock; 1st tone); 152-270 sec (interval between 1st and 2nd tone-foot shock pairing); 270-300 sec (presentation of 2nd tone); (F(7,272)=60.720, p<0.0001; 0-120 sec: p=1; 120-150 sec: p=0.969; 152-270 sec: p=1; 270-300 sec: p=0.9993; WT, N=36 mice; Tiam1 KO, N=34 mice, one-way ANOVA with Tukey's post hoc test).

(H) WT and Tiam1 KO mice were subjected to a contextual fear discrimination test. Freezing responses of a different cohort of mice were recorded prior to foot shock (with no paired tone) (Naïve) and 24 hr after foot shock in the training chamber (Context A) followed by a novel chamber (Context B). Similar to (E), Tiam1 KO mice spent a greater time freezing in the training chamber (Context A) than WT mice, and Tiam1 KO mice froze significantly more in Context A than Context B, in contrast to WT mice, indicating enhanced context discrimination (F(3,42)=6.203, p=0.0014; WT in context A and B: p=0.434; KO in context A and B: p=0.009; WT and KO in context A: p=0.040; WT and KO in context B: p=0.973; WT, N=14 mice; Tiam1 KO, N=9 mice, one-way ANOVA with Tukey's post hoc test).

Both male and female mice between 2- to 3-months-old were used for the behavioral experiments, since no differences were detected between the two sexes.

All data are presented as mean \pm SEM *p<0.05, **p<0.01, ***p<0.001, ns, p>0.05.

Figure 6. Model of Tiam1's role in regulating DG development and function

During late development, Tiam1 promotes the maturation and stabilization of DG granule cell dendritic arbors and spines, resulting in WT mice with normal excitatory synaptic transmission, adult-born granule cell (orange) survival, and hippocampal-dependent memory. In the absence of Tiam1, the arbors and spines of DG granule cells from Tiam1 KO mice grow normally but fail to stabilize during a period of activity-dependent refinement, resulting in dendrite and spine loss and reduced excitatory synaptic transmission. Tiam1 KO mice also display increased adult-born granule cell survival, possibly due to

1006 decreased competition with mature granule cells (blue) for synaptic input, and enhanced contextual fear
1007 memory and context discrimination.

Figure 1

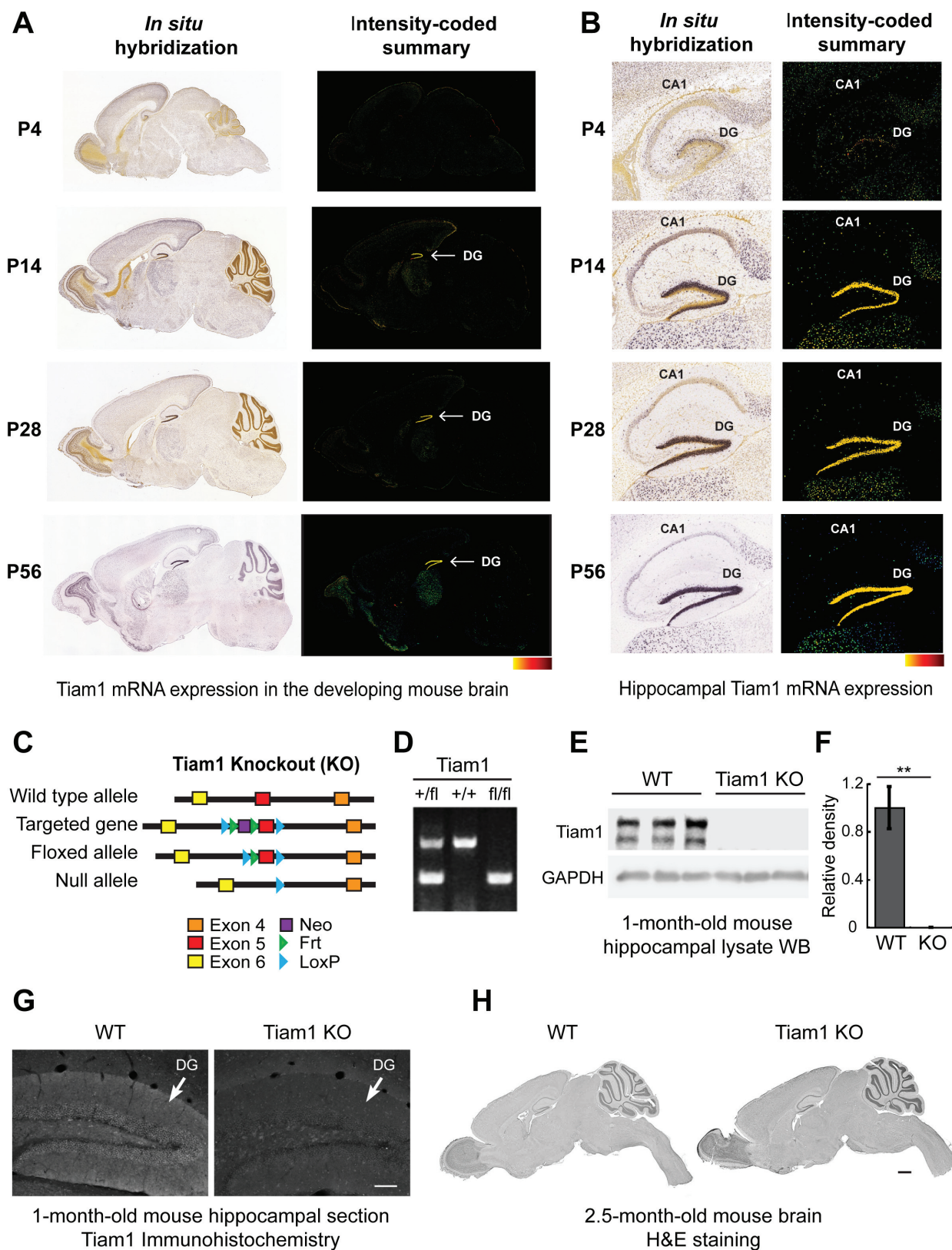


Figure 2

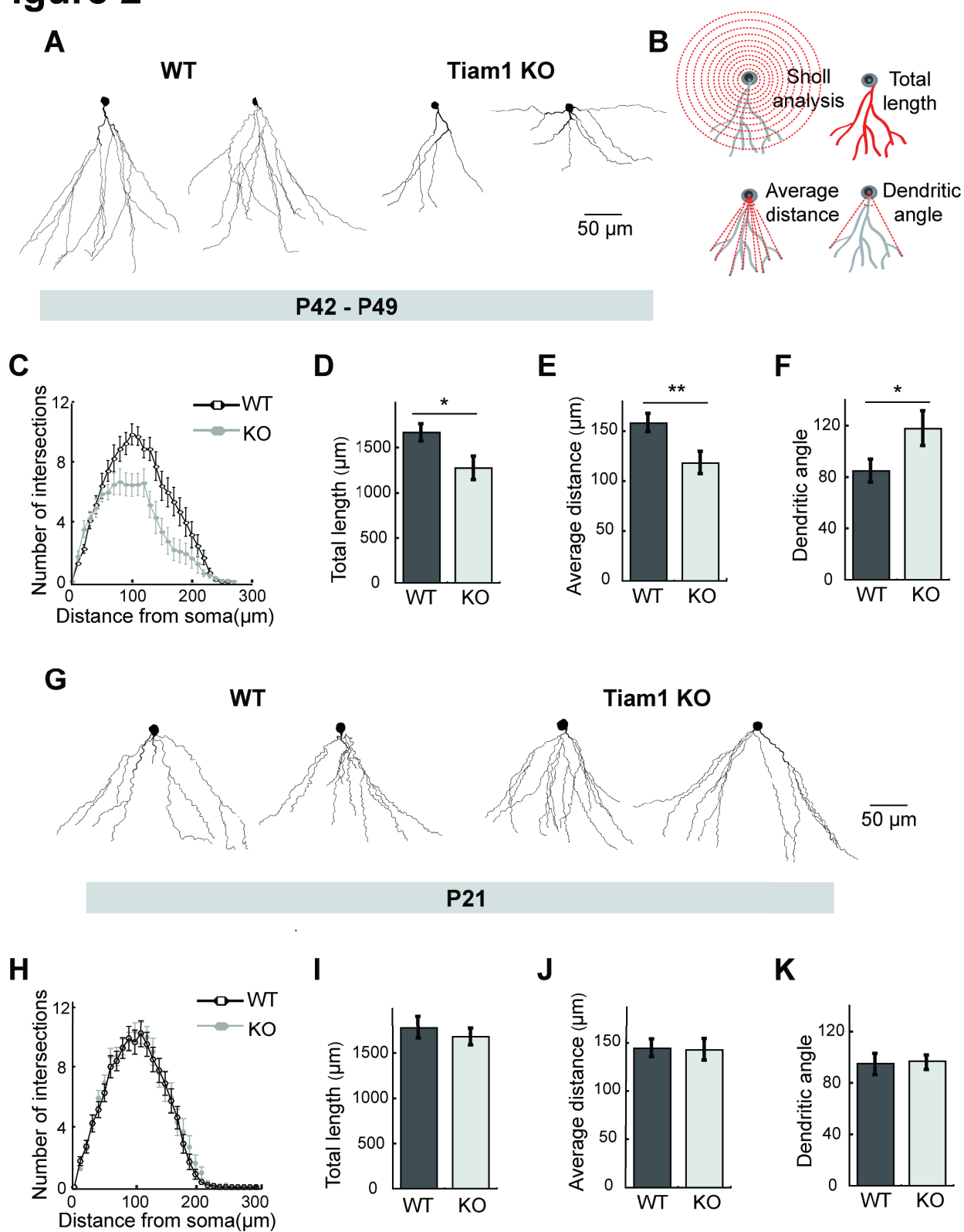


Figure 3

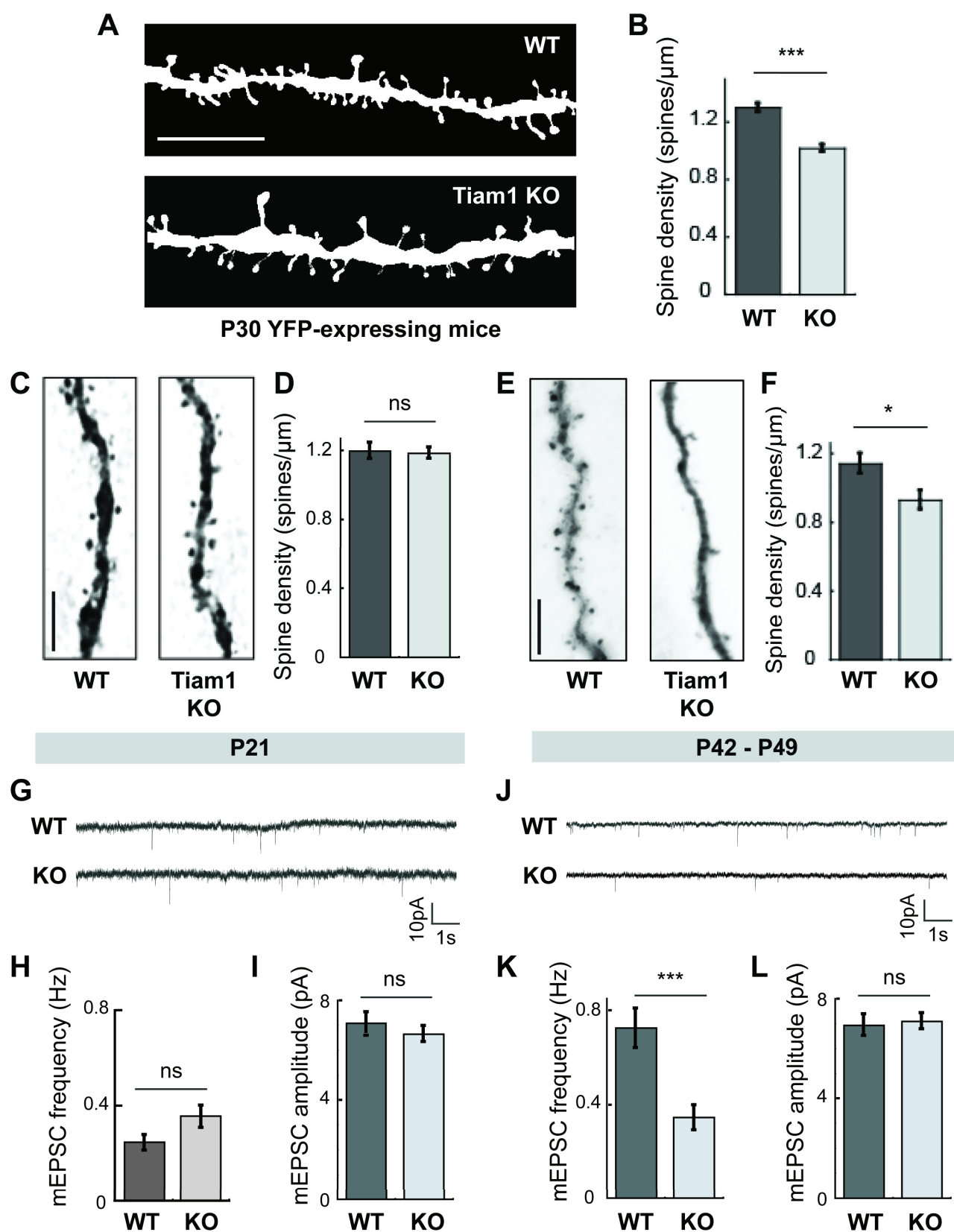


Figure 4

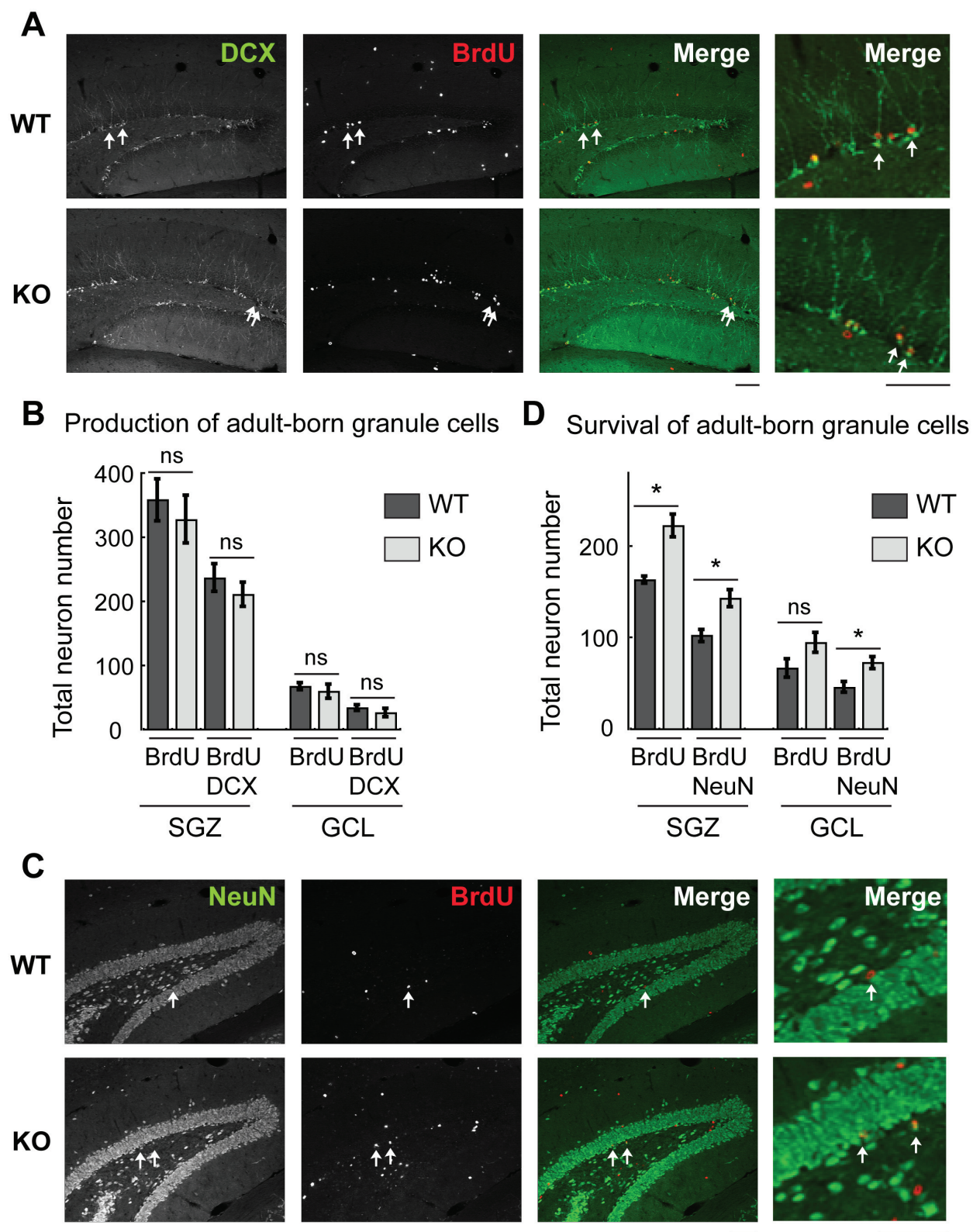


Figure 5

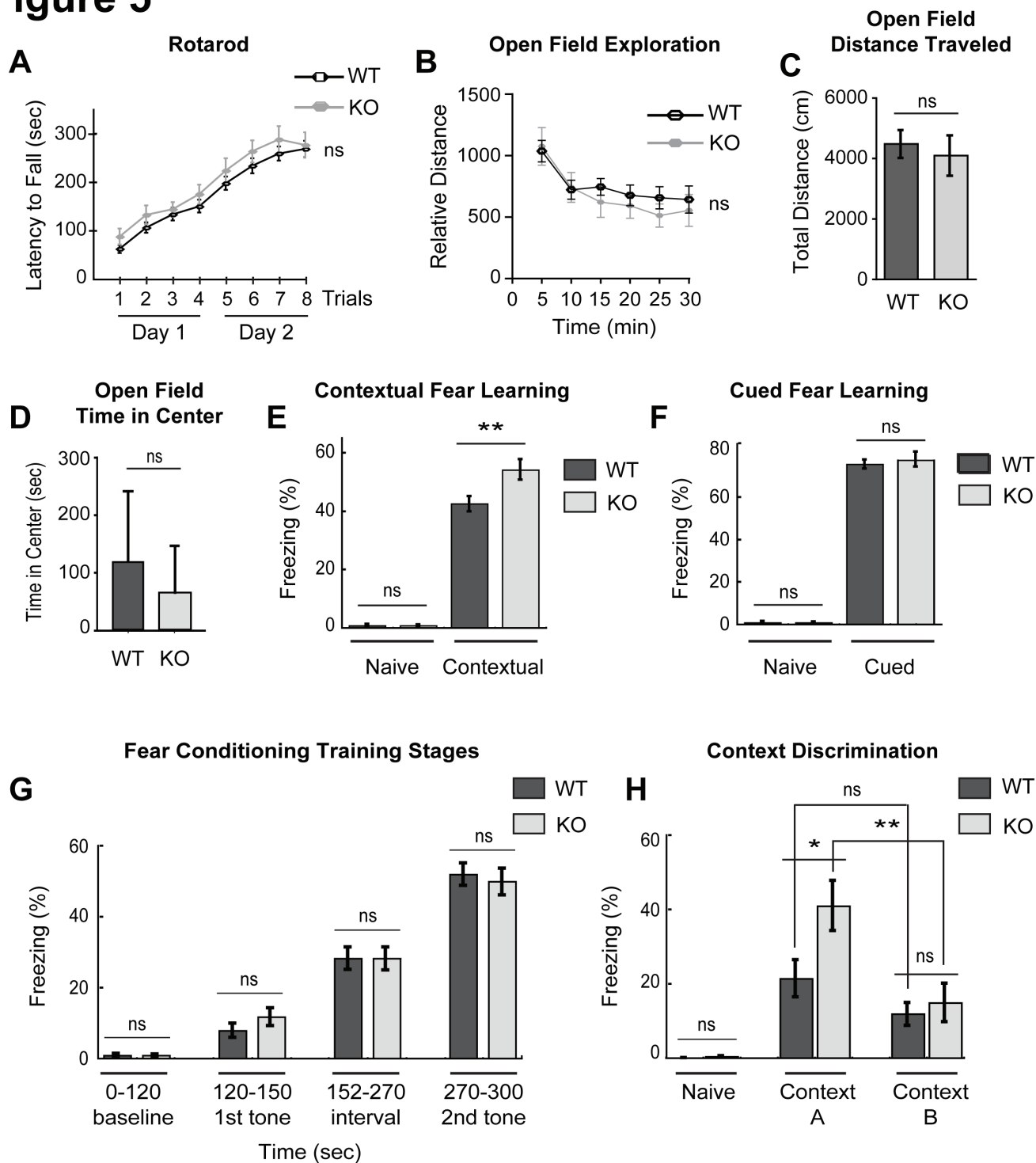
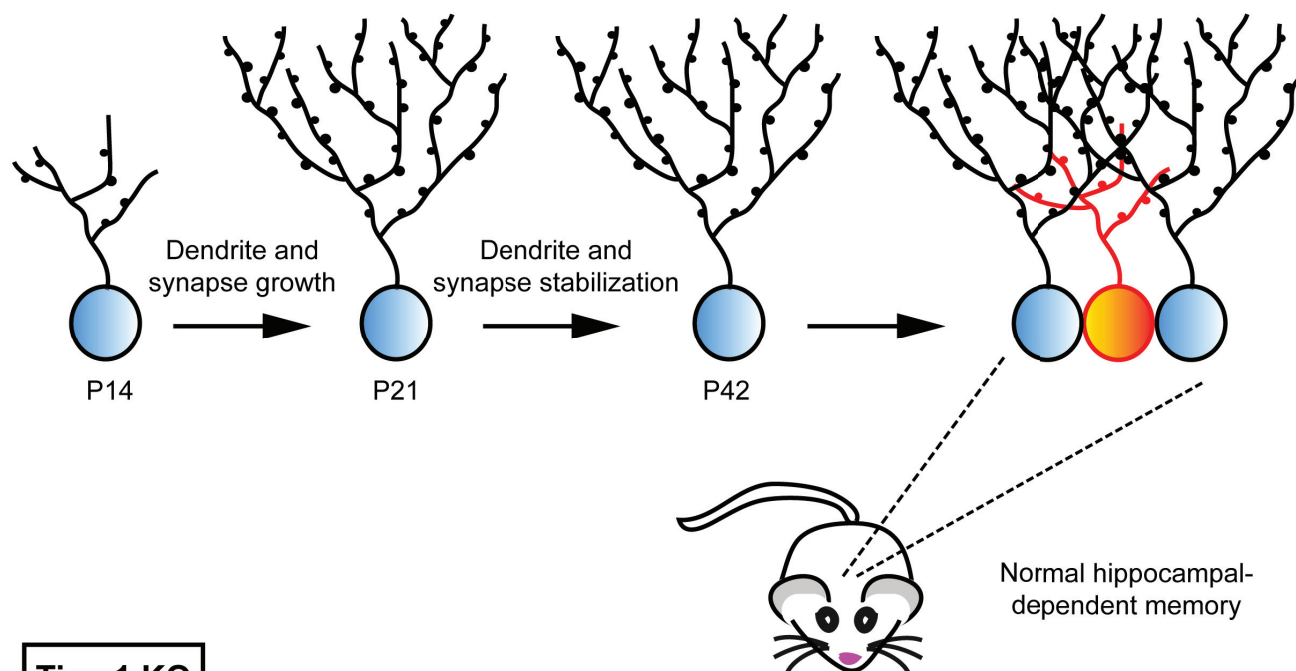


Figure 6

Wild-type



Tiam1 KO

