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Causal influence of linguistic learning on perceptual and conceptual processing: A brainconstrained deep neural network study of proper names and category terms

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- Causal influence of linguistic learning on perceptual and conceptual processing: A brain-constrained
 deep neural network study of proper names and category terms
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- 38 F.P. wrote the manuscript.

59

Abstract

40 Language influences cognitive and conceptual processing, but the mechanisms through which 41 such causal effects are realized in the human brain remain unknown. Here, we use a brain-constrained 42 deep neural network model of category formation and symbol learning and analyze the emergent 43 model-internal mechanisms at the neural circuit level. In one set of simulations, the network was 44 presented with similar patterns of neural activity indexing instances of objects and actions belonging to the same categories. Biologically realistic Hebbian learning led to the formation of instance-specific 45 46 neurons distributed across multiple areas of the network, and, in addition, to cell assembly circuits of 'shared' neurons responding to all category instances - the network correlates of conceptual 47 48 categories. In two separate sets of simulations, the network learned the same patterns together with 49 symbols for individual instances ('proper names') or symbols related to classes of instances sharing 50 common features ('category terms'). Learning category terms remarkably increased the number of 51 shared neurons in the network, thereby making category representations more robust while reducing 52 the number of neurons of instance-specific ones. In contrast, proper-name learning prevented 53 substantial reduction of instance-specific neurons and blocked the overgrowth of category-general cells. Representational Similarity Analysis further confirmed that the neural activity patterns of 54 55 category instances became more similar to each other after category-term learning, relative to both learning with proper names and without any symbols. These network-based mechanisms for concepts, 56 proper names and category terms explain why and how symbol learning changes object perception and 57

58 memory, as revealed by experimental studies.

Meuros

Significance Statement

How do verbal symbols for specific individuals (Micky Mouse) and object categories (house 60 mouse) causally influence conceptual representation and processing? Category terms and proper 61 62 names have been shown to respectively promote category formation and instance learning, potentially by respectively directing attention to category critical and object-specific features. Yet the 63 64 mechanisms underlying these observations at the neural circuit level remained unknown. Using a mathematically precise deep neural network model constrained by properties of the human brain, we 65 show category-term learning strengthens and solidifies conceptual representations, whereas proper 66 names support object-specific mechanisms. Based on network-internal mechanisms and unsupervised 67 correlation-based learning, this work offers neurobiological explanations for causal effects of symbol 68

69 learning on concept formation, category building and instance representation in the human brain.

70 Introduction 71 Most signs and symbols are used to speak about objects and actions. This led philosophers and logicians to propose that the referential link between symbol and world is most essential for meaning 72 73 and semantics (Wittgenstein, 1922; Frege, 1948). Yet there are quite different relationships between 74 symbols and their related real-world entities. One most essential difference exists between 'proper names' used to speak about a single object or individual (e.g., "Mickey Mouse") and 'category terms,' 75 which can refer to members of an entire class or conceptual category (e.g., "house mouse"). Such 76 77 differences between referential symbols are well-described at the semantic level, but not understood in 78 terms of their underlying mechanisms in mind and brain.

79 The need for mechanistic neurobiological models of symbols and their meaning comes from 80 reports about causal influences of language on perception, attention, and memory. It had long been speculated and recently been confirmed that, when human subjects learn words for objects, language 81 may help humans to attend to and distinguish between them (Majid et al., 2004; Whorf and Carroll, 82 83 2007; Miller et al., 2018; Vanek et al., 2021). Experimental research in infants showed that learning 'labels' for objects increases their attention to these objects (Baldwin and Markman, 1989), which 84 85 further establishes an attention-catching function of language. However, this general insight requires 86 further specification to capture the different effects of category terms and proper names. In particular, 87 learning a new symbol for a category of objects makes infants attend to the shared features of these objects and facilitates their learning of the conceptual category (Gelman and Markman, 1986, 1987; 88 89 Plunkett et al., 2008); the latter even holds if the objects show little perceptual similarity (Graham et al., 2013). On the other hand, the category building function of language is absent when object-90 specific proper names are learned. In this case, the infant's attention is directed not towards the 91 92 common category features of objects, but to idiosyncratic and object-specific features instead (Scott 93 and Monesson, 2009; LaTourrette and Waxman, 2020). In summary, category-term learning directs 94 attention to shared features of objects (Waxman and Booth, 2001; Dewar and Xu, 2007; Althaus and Mareschal, 2014; Althaus and Plunkett, 2016), whereas unique proper-name learning highlight 95 96 idiosyncratic and object-specific features (Best et al., 2010; Barnhart et al., 2018; Pickron et al., 2018; 97 LaTourrette and Waxman, 2020). These specific and replicable effects of proper names and category 98 terms on perception and attention have been explained in terms of different 'strategies' applied by the 99 learner. A neurobiological explanation of why these specific effects occur is still missing.

100 Why and how can proper names and category terms direct attention to specific versus shared 101 features of category members? To develop a mechanistic explanation, we used a brain-constrained deep neural network designed according to the area structure and connectivity of major areas relevant 102 for language and conceptual processing (Garagnani et al., 2007; Tomasello et al., 2018; Pulvermüller 103 104 et al., 2021). Six "areas" of the model simulated processes in superior temporal and inferior frontal perisylvian language areas and six extrasylvian model areas simulated inferior temporo-occipital 105 106 visual 'where' processing stream and dorsolateral prefrontal and motor cortices (Figure 1A). In the No symbol (NoS) condition, the model learned activity patterns each representing 1 of 60 instances of 107 objects or actions belonging to 10 different categories. In learning-with-symbols conditions, the model 108 109 learned additional activity patterns representing word forms of proper names (PN) or category terms (CT) (Figure 1B-C, 2A). After learning, the model was tested by activating previously trained instance 110 patterns of each category and, in addition, new patterns for novel instances belonging to the same 111 112 categories (Figure 2B). We documented the neural and cognitive effects of proper names and category terms on instance and category learning in the model. In-depth analyses of the emerging activation 113 114 patterns and representations were provided by using Representational Similarity Analysis (RSA) (Kriegeskorte et al., 2008) and by classifying neurons into instance-specific and category-general ones. 115

116	Materials and Methods
117	Participants
118	The current work does not contain experiments with human participants or animal subjects.
119 120 121	<i>Neurobiological constraints</i> In contrast to many neural network models, the brain-constrained model applied aimed at biological plausibility by applying a range of structural and functional constraints (Tomasello et al.,
122 123	2018; Henningsen-Schomers and Pulvermüller, 2022; for review, see Pulvermüller et al., 2021) realizing:
124 125	(1) neurophysiological dynamics of spiking pyramidal cells (Connors et al., 1982; Matthews, 2001),
126	(2) synaptic weights under the modification of unsupervised Hebbian-type learning (i.e.,
127	synaptic plasticity and learning were modified according to the biologically plausible
128 129	unsupervised Hebbian principles that incorporated both long-term potentiation and long-term depression) (Artola and Singer, 1993),
129	(3) local and global activity regulation (Braitenberg, 1978; Yuille and Geiger, 1995) based on
130	local and area-specific inhibition mechanisms (Knoblauch and Palm, 2002),
132	(4) excitatory and inhibitory within-area local connectivity (including sparse, random, and
133	initially weak excitatory links whose probability falls off with distance) (Kaas, 1997;
134	Braitenberg and Schüz, 1998),
135	(5) between-area global connectivity built on neuroanatomical evidence, and
136	(6) built-in uncorrelated white noise in neurons of (a) all areas during training and testing
137	mimicked spontaneous baseline neuronal firing and (b) additional noise in neurons of
138	areas not stimulated by patterns during training, which simulated uncorrelated sensory or
139	motor activity unrelated to instances or symbols (Rolls and Deco, 2010).

140 Table 2 supplies the model specifications and parameters chosen in this current work.

141 Model description

We applied a brain-constrained deep neural network model including spiking model neurons
and twelve model areas to model sensorimotor, conceptual and linguistic mechanisms in the lefthemispheric language-dominant fronto-temporo-occipital regions of the human brain, as described in

previous studies by Tomasello et al., 2018; Henningsen-Schomers and Pulvermüller, 2022.

146 Anatomical architecture and connectivity

To distinguish between sub-parts of neural networks from their target cortical structures of the real human brain, all model areas are marked by an asterisk before (e.g., *A1, *V1). The architecture modelled three areas representing the ventral visual system (i.e., primary visual cortex (*V1), temporo-occipital area (*TO), anterior-temporal area (*AT)) and three areas representing the dorsolateral action system (i.e., dorsolateral fronto-central motor (*M1_L), premotor cortex (*PM_L), prefrontal cortex (*PF_L)). These formed the extrasylvian region for sensorimotor processing where

semantic information was stored. Another 6 areas of the perisylvian region for word-form processing

- housed articulatory-phonological and acoustic-phonological information. These areas involved the
- three areas of the auditory system (i.e., primary auditory cortex (*A1), auditory belt (*AB), parabelt
- areas (*PB)) and three inferior frontal articulatory and prefrontal areas (i.e., inferior primary motor
- 157 cortex (*M1_i), premotor cortex (*PM_i), prefrontal cortex (*PF_i)), respectively. Between-area
- connections were reciprocal and connected next neighbor areas, second-next neighbors (see Schomers,
 2017) and long-distance corticocortical links supported by neuroanatomical evidence in the literature
- 160 (Table 1).
- 161 In the current neural network model, the fundamental information processing units are162 artificial neuron-like elements, or cells. Each modelled area comprised two layers of 625 e-cells and
- 163 625 i-cells that mimicked an (excitatory) pyramidal spiking neuron and a cluster of (inhibitory)

interneurons hosted within the same cortical column in the cortical area. A more elaborate descriptionof the firing behavior of such neurons could be found in Garagnani et al. (2017), Tomasello et al.

166 (2018), Henningsen-Schomers and Pulvermüller (2022).

167 Activity patterns applied to the networks

60 'grounding patterns' were defined as sensorimotor activation patterns thought to represent 168 specific sensory-motor experiences of 60 different objects or "instances". Groups of 6 instances 169 overlapped in their neuronal grounding patterns and were taken as representations of different 170 instances of the same concept (e.g., different robots). Note that the images of robots and cat faces for 171 172 category members are to be taken purely for illustrative purposes here – the actual training patterns of the models consisted of sets of activated neurons with no systematic relationship to images of robots 173 174 or cat faces. A category comprised three trained instances and three novel instances not presented during training; all six instance patterns were used for network testing (Figure 2A-B). Each category 175 instance was neuronally coded as a set of perceptual and motor neuron activations in the primary 176 177 visual and hand-motor areas of the brain-constrained network. These instance-related grounding patterns were activated either on their own or together with additional patterns of neuronal activation 178 179 in the network's articulatory and auditory cortices, which were thought to implement symbol forms, 180 that is, verbal labels or spoken word forms. These "word form patterns" were used either as proper names and therefore specifically with only one grounding pattern, or as category terms and therefore 181 the same word form pattern co-occurred with all 3 trained grounding patterns of one category. To 182 183 control the effect of non-linguistic factors, a third class of trained grounding patterns was learnt without concordant auditory-articulatory activation. Thus, we generated three classes of simulated 184 stimulation patterns: (i) instance-related grounding patterns applied to *V1/*M1_L (Figure 1B-left), (ii) 185 186 category term patterns to $*A1/*M1_i$, (Figure 1B-middle) and (iii) proper name patterns to $*A1/*M1_i$ (Figure 1B-right). Sensorimotor experiences of instances were simulated with conceptual grounding 187 patterns, (i), and symbol-related auditory-articulatory activity were simulated using word form 188 189 patterns, (ii) and (iii).

For visualization and better conceptual understanding of the use of activity patterns, see 190 Figure 1B-C. Instances belonging to the same category were simulated by similar grounding patterns, 191 following Henningsen-Schomers and Pulvermüller (2022) .: within-category instances had grounding 192 patterns that shared 50% of their feature neurons and differed from each other in the other half; 193 grounding patterns simulating instances from different categories had no neuronal overlap. For each 194 195 grounding pattern (i), a subset of twelve out of 625 potential cells per area were randomly chosen, consisting of six unique neurons and six shared neurons. Shared neurons simulated features 196 197 characterizing all instances patterns of a category; they simulated shared conceptual features of all 198 category members (category-critical feature, e.g., members of the first category are robots in the same height and are equipped with one camera, one speaker, two antennae, a power button, two metal legs, 199 200 and a pair of shoes; members of the second category are cats and have round-shaped head, eyes, nose, mouth, ears, and whiskers (Figure 1B-left). Unique neurons simulated the 'idiosyncratic', fully 201 instance-specific visuomotor features; each of the corresponding feature neurons was only available in 202 203 one instance pattern (e.g., robots vary in the body shape and color, orientation of antennas, leg forms, position of power button, shoes color). In sum, each category possessed 36 unique neurons from its six 204 205 exemplars and six shared neurons. For word form patterns, category term patterns (ii) of within-206 category instances consisted of the same twelve neurons, which were co-activated with each of the 3 learnt grounding patterns of a category (e.g., to simulate the artificial words fos for all instances of the 207 208 robot category, and *coxt* for all instances of the cat category) (Figure 1B-middle); each proper name pattern (iii) comprised twelve neurons, which were co-activated with one specific grounding pattern 209 210 (e.g., *xub*, *vit*, *hek* for the three instances of the robot category, respectively) (Figure 1B-right). The choice of cells for pattern generation was pseudorandomized and constrained by the following criteria: 211 212 First, within-category neurons had to be non-adjacent to each other. This prevented coactivation 213 merely due to close distance. Second, no grounding patterns from two different categories shared any

- neuron. Last, for each instance, the grounding patterns in *V1 and $*M1_L$ followed the same principles
 - but were not identical. The same rules applied for the grounding patterns in *A1 and $*M1_i$.

216 Experiment design

- 217 The current simulations involved three phases: model initialization, training phase, and testing phase,
- 218 which were carried out on the high-performance computing system of Freie Universität Berlin
- 219 (Bennett et al., 2020). During training, there were 3 different stimulation conditions, (1) where
- 220 grounding patterns were learnt without symbol (No symbol or control condition), (2) where all
- grounding patterns of each category were presented together with the same word form pattern
- (Category term condition), and (3) where each grounding pattern was co-presented with its own
- specific word form pattern (Proper name condition). Thus, during learning, a stimulation pattern
 included two activation patterns (to *V1 and *PF_L) when it was learnt outside symbol context (Figure
- included two activation patterns (to *V1 and $*PF_L$) when it was learnt outside symbol context (Figure 1C-top) or, a quadruplet including the two instance-related patterns plus two word form-related ones
- (to A1 and PF_i) when learnt in symbol context (Figure 1C-bottom). Each test trial began with the
- presentation of a grounding pattern of an instance (projected to the two sensorimotor model areas V1 and $M1_L$).

229 Model initialization

230 One crucial step prior to training was model initialization, which randomized all synaptic links (and their corresponding weights) between within-area cells and between cells from connected areas. 231 Twelve sets of such synaptic links and weights (i.e., 12 different instantiations of the randomly 232 233 initialized neural network) were chosen, each set was then triplicated (cf. Schomers et al., 2017), and each of these three copies entered one of the three training conditions – either No symbol, Category 234 235 term or Proper name. The use of distinct model instantiations can be seen as analogous to a within-236 subject study design with 12 subjects. We chose to implement 3 separate sets of simulations for the 3 237 conditions to avoid any possible interference effects between concepts and symbols that may emerge 238 during training. Note, for example, that the relatively large representations that formed for category 239 terms might have interfered with further learning or may even have suppressed the activation of conceptual representations without symbols. This configuration yielded a controlled 'within-subject' 240 design with training condition being a three-level repeated-measures factor (No symbol, Category 241 242 term, and Proper name). For the additional simulations performed to balance the number of word form 243 presentations, there were 4 levels.

244 Training phase

245 The neural network model was repeatedly presented with 30 instances from ten categories. To mimic visuo-motor percepts associated with an instance, the extrasylvian primary sensorimotor areas, 246 *V1 and *M1_L, were each presented with its grounding pattern (i) for 16 time steps. Following the 247 experiment by LaTourrette and Waxman (2020) where instances were called either by a consistent 248 249 label or by distinct labels each, our within-category trained instances were either paired with the same category term, by their distinct proper names, or they were not labeled at all. To mimic symbols in the 250 Category term and Proper name conditions, we presented to the primary perisylvian areas *A1 and 251 252 *MI, word form pattern (ii) and (iii), respectively, for 16 time steps (Figure 1C-bottom, 2A). Hence, in 253 different 'learning trials', the word form patterns of category terms were co-presented with one of three different grounding patterns from one category, whereas those of proper names co-occurred with 254 only one specific grounding pattern. There were no word form patterns presented in the baseline No 255 256 symbol condition to control for the effect of either type of linguistic labels compared to learning 257 without ones (Figure 1C-top, 2A).

Because activity at the end of a trial might affect learning in the next trial, the network was allowed to deactivate after each stimulated learning trial. To this end, we separated every two consecutive pattern stimulations by a waiting interval during which only the uncorrelated white noise mimicking spontaneous baseline neuronal firing was supplied to all areas (see principle 6 in Model description – Neurobiological constraints). The goal was to reset the global network (i.e., all excitatory and inhibitory cells displayed a membrane potential of zero) before a new grounding pattern was inputted to the neural network model. This interstimulus interval (ISI) was terminated only after the
network activity had returned to its baseline value (thresh = 0.18, see table 2). As a result, the training
order was not influential in this experiment.

267 To balance learning conditions (NoS, CT, PN), each experiential grounding pattern 268 representing an instance was presented 2,000 times in one set of simulations. However, because each category term pattern was co-presented with 3 different instance patterns, whereas proper name 269 patterns co-occurred with only one, this design leads to an imbalance of the number of learning trials 270 during which individual word form patterns were presented (3 times higher for category term than for 271 272 proper name presentations) (Figure 2C-top). Therefore, a second evaluation of learning trials was performed and analyzed for which the number of word form pattern activations was balanced. In this 273 case, there were 1,000 learning trials in the Category term condition (CL_1x; each instance was 274 275 presented together with a category term in 1,000 training trials, resulting in a total of 3,000 training trials per category terms) and 3,000 trials in the Proper name condition (PN 3x; each instance was 276 277 presented together with a proper name in 3,000 training trials, resulting in a total of 3,000 training 278 trials per proper name). For the control No symbol conditions, two comparison values were calculated, 279 after 1,000 (NoS_1x) and 3,000 (NoS_3x) trials (i.e., each instance was presented without symbol in 280 1,000 and 3,000 training trials, respectively) (Figure 2C-bottom). These different sub-designs are summarized graphically in Figure 2C. 281

282 *Testing phase*

In the current experiment, we implemented a version of an old-new recognition task with the use of new instances. For each of the ten categories we presented to the neural network six testing instances: three trained instances and three novel instances (Figure 2B). In total, we used 30 previously learnt instances and 30 new instances. However, no actual old-new pairing took place because we presented trained and novel instances to the neural network in separate test trials.

288 Memory performance of the network model was assessed in the absence of linguistic cues, 289 i.e., without stimulating the perisylvian primary areas *A1 or *M1i. To stimulate the experience of 290 individual instances, the extrasylvian primary areas *V1 and *M1_L were activated for 2 time steps 291 with pure (i.e., free of any white noise) grounding patterns (i) and subsequentially deactivated towards 292 the baseline for 28 time steps. We recorded network responses 30 time steps from the onset of this 293 stimulation. Global resetting between two consecutive trials was conducted in the same manner as the 294 training phase. Hence, the test order was not of interest.

295 Data analysis

Grounding pattern production, data processing, and data analysis were performed using
Python 3.9.7, matplotlib 3.4.3 (Hunter, 2007), NumPy 1.20.3 (Harris et al., 2020), pandas 1.3.4
(Reback et al., 2022), SciPy 1.7.1 (Virtanen et al., 2020), seaborn 0.11.2 (Waskom, 2021). In the
current work, statistical significances were based on a conservative p-value threshold of .005
suggested by Di Leo and Sardanelli (2020). We used rstatix 0.7.0 (Kassambara, 2021) in the R
software environment (R Core Team, 2021) for statistical analyses.

When testing stimuli were presented to the primary sensorimotor areas, some of the 625 excitatory neurons per area fired in response to their conceptual grounding patterns. As described in Procedure, we recorded all their responses during 30 time steps from stimulation. Let $\phi(e, t)$ denote the output of an excitatory cell *e* at time *t*, such that ϕ only takes up the value 0 or 1 and *t* only allows discrete values up to 30 (corresponding to thirty possible simulation time steps); let $\tau_{Favg} = 5$ be a time constant, the estimated instantaneous firing rate $\omega_E(e, t)$ of cell *e* at time *t* can be calculated based on the following equation:

$$\tau_{Favg} \cdot \frac{d\omega_E(e,t)}{dt} = -\omega_E(e,t) + \phi(e,t) \quad \text{Eq. (1)}$$

Solving Eq. (1) for $\omega_E(e, t)$ returns the cell's latest spiking activity (firing rate). We estimated the mean firing rate based on $t = t_{30}$ and used this value for the subsequent RSAs. For details about relevant calculation steps, see *Appendix* of Henningsen-Schomers and Pulvermüller, (2022).

Previous research found that several of the extra-sylvian areas targeted by the deep neural
model (including, for example, *V1 and *AT) are important for processing instance- and conceptrelated information (see, for example, Binder et al., 2005; Martin, 2007; Ralph et al., 2017;

- Henningsen-Schomers et al., 2023). Therefore, the current data analyses and statistical testing focused
- 317 on the extrasylvian region of the deep neural network. This decision was motivated by the main aim of
- 318 addressing possible causal influences of symbol learning on the perceptual processing of instances of 319 concepts and on conceptual processing itself.

320 Representational similarity analysis

The estimated mean firing rate of 625 neurons in response to a testing instance reflected how this instance was represented in neural network. To understand how differently the neural network represented within- and between-category instances, we calculated the dissimilarity in firing patterns for every pair of the 60 instances. Pairwise dissimilarities computed in terms of Euclidean distance were organized in a 60 × 60 representational dissimilarity matrix (RDM) (Figure 3A): Each cell in the matrix reflected the dissimilarity between the firing patterns of two instances. In total, there were 36 RDMs across three training conditions and twelve areas.

We defined two classes of pairwise dissimilarities, including between-category dissimilarity (*Dissim_B*) and within-category dissimilarity (*Dissim_W*). A second way to define similarity types is based on the type of instances under study, that is, dissimilarity between two trained instances (*Dissim_{TT}*), between two novel instances (*Dissim_{NN}*), and between a trained and a novel instance (*Dissim_{TN}*). For example, within-category dissimilarity could be classified as either dissimilarity among trained instances 1 - 3 (*Dissim_{W-TT}*), among novel instances 4 - 6 (*Dissim_{W-NN}*) or between trained and novel instances (*Dissim_{W-TT}*) (Figure 3A).

335 Category learning. Category learning was defined as evaluated through the ability to (1) distinguish differences between categories and (2) group together category members. We assessed 336 337 how different types of symbols impacted upon category learning performance based on (1) the 338 dissimilarity between two between-category trained instances ($Dissim_{B-TT}$), and (2) the dissimilarity 339 between two within-category trained instances ($Dissim_{W-TT}$) (Figure 3A). Successful category 340 learning occurred when two instances from two distinct categories were considered as dissimilar (high 341 $Dissim_{B-TT}$) and/or when two within-category instances were considered as similar (low $Dissim_{W-TT}$). If, as previously claimed, applying category terms invites one to encode the 342 commonalities among instances and thereby facilitates categorization, the deep neural network should 343 represent within-category instances similarly while highlighting the dissimilarities between instances 344 345 of different categories. In the Category term condition, we expected between-category dissimilarities to be greater than within-category dissimilarities $Dissim_{B-TT_{CT}} > Dissim_{W-TT_{CT}}$. By contrast, we 346 347 proposed two scenarios for the Proper name condition. In the first scenario, if proper names focus the 348 neural network models on encoding only unique features and inhibit the encoding of category-critical 349 features, no traces of category learning will be observable, and the representations of individual 350 instances will be highly dissimilar regardless of their categorical membership ($Dissim_{B-TT_{PN}} \approx$ $Dissim_{W-TT_{PN}}$). However, because within-category instances shared 50% of their activated neurons 351 in the extrasylvian primary areas *V1 and *M1_L, the neural network could base on such similarities to 352 353 form category representation. In this second scenario, proper names are not sufficient to override 354 category learning; the neural network would house not only the unique representations of the instances 355 but also the commonalities of those belonging to the same category. Like the Category term condition, the test data would as well show signs of category learning ($Dissim_{B-TT_{PN}} > Dissim_{W-TT_{PN}}$). 356 357 Taking into account such intrinsic perceptuomotor similarities among instances from the same 358 category, category learning was evaluated not only across symbol (i.e., category term or proper name)

- learning conditions but also in control conditions (i.e., training without symbols). For example, a
- superior causal influence of category terms on category learning performance would be expressed through a significantly higher $Dissim_{B-TT_{CT}}$ and lower $Dissim_{W-TT_{CT}}$ relative to training with proper names and also relative to training without symbols.
- 363 Generalization. Assuming the neural network had encoded the commonalities between within-category trained instances and formed category knowledge with the help of these shared 364 365 features, they might have as well represented novel instances as members of that category when exposed to the category-critical features in these novel instances. Generalization performance would 366 367 then be reflected by how similarly within-category trained instances and within-category novel instances stimulated the deep neural network. To evaluate the generalization performance of the neural 368 network on novel instances, pairwise dissimilarities between two trained instances ($Dissim_{W-TT}$) as 369 well as between a trained and a novel instance ($Dissim_{W-TN}$) were extracted. In the testing phase the 370 371 chance was low that the neural network readily applied category knowledge earned from thousands of training trials onto a novel instance in the first and only exposure. In the case of poor generalization 372 373 performance, the activation pattern of within-category novel instances would be dissimilar from that of 374 the within-category trained instances (i.e., increasing $Dissim_{W-TN}$). Our criterion for a successful generalization after learning with symbols was that $Dissim_{W-TN}$ should be as low as $Dissim_{W-TT}$ 375 $(Dissim_{W-TN} \approx Dissim_{W-TT})$. In other words, their absolute dissimilarity difference DissimDiff =376 377 $|Dissim_{W-TN} - Dissim_{W-TT}|$ must remain lower than when the deep neural network was trained 378 without symbols.

379 Cell assembly analysis

Motivated by the notion of cell assemblies (see, e.g., Hebb, 1949; Braitenberg, 1978; Fuster, 380 381 2005), that is, strongly interlinked sets of neurons forming as a consequence of correlated neuronal activity and potentially carrying a main role in cognitive brain processing, we conducted cell assembly 382 analyses to discover possible neuronal correlates of grounding instances, concepts and symbols along 383 384 with instance-specific and category-critical neurons after repeated exposure to instances and their 385 category terms or proper names. We extracted cell assemblies (CAs) activated by each of the 60 grounding patterns used as testing instances based on the criterion described in previous work 386 (Garagnani and Pulvermüller, 2016; Henningsen-Schomers and Pulvermüller, 2022). Grounding 387 patterns in the testing phase tended to coactivate several excitatory neurons (e-cells) in an area, with at 388 least one being maximally responsive (non-response was under the threshold 0.01). To be part of a 389 CA, the firing rate of a given e-cell had to exceed 75% of the firing rate of the maximally responsive 390 cell of the same area. We then computed the number of unique, instance-specific and overlapping, 391 392 conceptual neurons among CAs for trained instances of the same category: neurons were classified according to whether they were activated by just one grounding patterns or whether they responded to 393 two or three instances (thus being pair or triple-shared between the learnt instances of a concept). 394 395 Unique neurons were conceptualized as neurons which encoded specific, 'idiosyncratic' features of an instance; shared neurons could be understood as those that encoded common features shared by at 396 397 least two instances and thus characteristic of their category. The specialized encoding of categorycritical features could be indicated by a higher proportion of shared neurons per area, while traces of 398 399 instance-specific features would be reflected by a larger proportion of unique neurons.

Representations are transformed through different levels of processing, i.e., from the primary 400 areas to secondary areas, and the central "connector hub" areas of the model. We quantified such 401 transformation as the change (i.e., gain/loss) in the number of unique and shared CA-cells in the 402 403 extrasylvian central areas (AT, PF_L) comparative to the extrasylvian primary areas (V1, $M1_L$). Gains in a type of neuron, for example, shared neurons, are indicative of intensive encoding of concept related 404 405 commonalities on the course of processing, while loss of shared neurons in the central areas implies that reduced encoding of idiosyncratic features and hence instance-related information. Percentage 406 407 gain was calculated as the difference between the number of neurons in the central and primary areas, 408 as a percentage with respect to the number of neurons in the primary areas:

$$Gain = \frac{n_{central} - n_{primary}}{n_{primary}} \times 100$$

410 Representations of category-critical features. A range of previous neurocomputational studies show, that, when brain-like networks learn concepts and word meanings, they form cell 411 412 assemblies that are spread out across sensorimotor and more central areas of the network. The density 413 of shared semantic neurons in the most central connector hubs is greatest due to their high connectivity 414 degree and thus ample convergence of activity on these areas, resulting in especially strong activation, in particular for shared semantic neurons (for discussion, see Garagnani et al., 2017; Tomasello et al., 415 416 2018). Relative to instance-specific neurons, shared semantic neurons are activated more frequently 417 during semantic learning, which predicts that these will recruit the largest number of additional cell assembly; these would therefore be semantic, too, and primarily located in the central hub regions. If a 418 419 labeling condition specifically invites the neural network to encode category-relevant features, we 420 expect (1) more shared neurons than unique neurons in the extrasylvian areas and (2) a greater gain in 421 shared neurons in the central semantic areas compared to the primary areas. Category learning might 422 still occur even in the presence of proper names because within-category similarities also characterize 423 sensorimotor experiences. If such information is sufficient, there should be traces of shared neurons in 424 the central, multimodal areas as well. Additionally, category terms should activate shared neurons 425 more than proper names.

Representations of instance-specific features. When a neural network represents instances as unique entities, it shall reveal specific traces of each instance in the extrasylvian areas, especially in the semantic hubs. In an extreme case where category learning is hindered and the neural network only encodes the uniqueness of instances, there should be (1) more unique than shared neurons in the extrasylvian areas and (2) a gain only in unique neurons in the central areas with respect to the primary areas. Importantly, instances with proper names are expected to activate significantly more unique neurons than categorically labelled instances.

We gather from all twelve model instantiations the CAs in response to all 30 trained instances of 10 categories and classify CA-cells by their uniqueness to each instance (versus sharedness). To facilitate readers' understanding about the results, we offer an interactive illustration of these CAs on our web application at (<u>https://phucthuun.shinyapps.io/CL_PN/</u>). This web application enables one to compare the differential effects of category terms versus proper names in representing categorycritical and instance-specific features of within-category and across-category instances.

439

Meuro

Results

441 Representational similarity analysis

Figure 3B gives a first impression of the instance and category learning performance after 442 2,000 training trials. In the Category term condition, instances from the same category activated the 443 neural network similarly, whereas instances from different categories led to substantially more 444 445 dissimilar activation patterns across the different areas of the network (i.e., firing patterns were highly 446 dissimilar, as color-coded by dark blue and pink). Category knowledge was reflected in a relatively 447 reduced dissimilarity (light blues), which appears as homogenous within each category, contrasting with those between categories, especially in the central areas (semantic hubs). Training the deep neural 448 449 network without the aid of symbols or with proper names reduced the networks' ability to distinguish 450 instances between categories: activity pattern dissimilarities between instances from different categories were much more substantial in the Category term condition than in the Proper name 451 452 condition (color-coded with shades of intermediate blue). In contrast, within-category similarities and generalization performance in the Category term condition were superior, as indicated by the more 453 homogeneous (light) blue shade across all 6 instances (trained and not-trained) from the same 454 category, relative to the other two conditions, where different shades of light blue are clearly visible. 455

456 Category learning

To evaluate category learning performance after 2,000 learning trials, within-category 457 458 dissimilarity ($Dissim_{W-TT}$) and between-category dissimilarity between activity patterns elicited by grounding patterns of trained instances ($Dissim_{B-TT}$) were used. Figure 4A describes a global 459 tendency of the deep neural network, across its twelve areas and three training conditions, to identify 460 461 within-category instances as more similar and between-category instances as more dissimilar to each other. This feature is explained by the grounding patterns presented, which were similar across 462 category instances, but not between. However, between-category dissimilarity is relatively enhanced 463 464 in central areas, a feature not explained by the stimulations. In the next step, dissimilarity values were 465 averaged for the six extrasylvian areas. The two-factorial repeated measures (3×2) – ANOVA with training condition (No symbol/Category term/Proper name) and dissimilarity type 466 $(Dissim_{W-TT}/Dissim_{B-TT})$ confirmed the main effect of both factors $(F(2,22) = 2777.647, p < 10^{-10})$ 467 $.001, \eta^2 = 0.982$ and $F(1,11) = 11155.611, p < .001, \eta^2 = 0.996$, respectively) as well as their 468 interaction effect ($F(2,22) = 6113.987, p < .001, \eta^2 = 0.986$) on the dissimilarity between instances 469 470 within these extrasylvian areas. Figure 4B illustrates category-related activation performance of the deep neural network in the extrasylvian areas of the three learning conditions: the neural network 471 472 successfully grouped together instances from the same category while distinguishing between 473 instances from the same vs. from two different categories. Pairwise comparisons with Bonferroni 474 correction were computed to observe the effect of training condition on each level of dissimilarity type 475 and vice versa. The results showed that $Dissim_{W-TT}$ was significantly lower than $Dissim_{B-TT}$ in all three conditions (ps < .001); same category-membership was thus manifest as relatively enhanced 476 477 activation similarity in all conditions and across areas. The $Dissim_{W-TT}$ in the Category term 478 condition (M=0.229, SD=0.005) and the Proper name condition (M=0.264, SD=0.004) was 479 significantly smaller (i.e., greater similarity) than that in the control No symbol condition (M=0.29, 480 SD=0.006), and they were also significantly different from each other, with greatest similarities after category term labelling (ps < .001). Relative to the control No symbol condition, the deep neural 481 network responded similarly to trained instances coming from the same category when it was trained 482 483 with symbols and such performance was above baseline. Importantly, the benefit of category terms was superior to both training without symbols and with proper names. Likewise, the deep neural 484 network returned the highest $Dissim_{B-TT}$ (M=1.48, SD=0.018) for the Category term condition (ps < 485 486 .001), while $Dissim_{B-TT}$ in the Proper name condition (M=0.706, SD=0.01) was not significantly different from that in the No symbol condition (M=0.749, SD=0.045) (p = 0.01), after application of 487 the Bonferroni-corrected significance threshold of . 005. Compared to the No symbol condition, 488 489 training with proper names only gradually hindered the discrimination of between-category instances 490 but left the separation of within-category instances unaffected. By contrast, both aspects of category

491 learning were present with the aid of category terms, reduced within- and enhanced between-category492 similarities.

493 The simulations performed to control for the number of word form presentations during 494 learning were evaluated using a two-factorial repeated measures (4×2) – ANOVA with training condition (now 4 levels, NoS_1x/NoS_3x/CT_1x/PN_3x) and dissimilarity type 495 $(Dissim_{W-TT}/Dissim_{B-TT})$. This confirmed the main effect of both factors (F(1.67, 18.35) = 1)496 1113.758, p < .001, $\eta^2 = 0.964$ and F(1,11) = 7485.295, p < .001, $\eta^2 = 0.993$, respectively) as 497 well as their interaction effect ($F(1.65, 18.10) = 1961.497, p < .001, \eta^2 = 0.973$) on the 498 dissimilarity between instances within extra-sylvian areas. Pairwise comparisons with Bonferroni 499 500 correction were computed to observe the effect of training condition on each level of dissimilarity type and vice versa. In essence, $Dissim_{B-TT}$ in the Category term condition was significantly higher than 501 that in the Proper name and both No symbol control conditions (ps < .001) (Figure 4C); category-502 term learning increased the dissimilarity across conceptual categories relative to no-symbol learning 503 504 and proper-name learning. The reverse effect, greater dissimilarity values for proper names than 505 category terms, was found within categories. These observations were therefore valid even when 506 proper names were 'shown' to the model three times more than category terms during learning.

507 Generalization

To evaluate the generalization performance of the deep neural network on novel instances, 508 pairwise dissimilarities between two trained instances ($Dissim_{W-TT}$) as well as between a trained and 509 a novel instance ($Dissim_{W-TN}$) were used. Figure 5A illustrates the tendency of the deep neural 510 network to represent two trained instances of the same category as more dissimilar, whereas the 511 512 representations of a novel and a trained instance from the same category were less dissimilar (lightershaded columns were mostly higher than darker-shaded columns). In the six extrasylvian areas, a 513 3×2 – ANOVA was computed with training condition (No symbol/Category term/Proper name) and 514 515 type of within-category dissimilarity ($Dissim_{W-TT}/Dissim_{W-TN}$) as repeated measures factors. Both the main effects of training condition ($F(2,22) = 465.217, p < .001, \eta^2 = 0.956$) and dissimilarity 516 type ($F(1,11) = 7711.618, p < .001, \eta^2 = 0.939$) were significant. For these two factors, there was 517 also a significant interaction ($F(2,22) = 635.788, p < .001, \eta^2 = 0.707$) (Figure 5B). The 518 Greenhouse-Geisser sphericity correction to the violated sphericity assumption (p = .024) for training 519 conditions ($p[GG] = 2.38 \times 10^{-11}$) confirmed this result. Two-sided pairwise comparisons with 520 Bonferroni correction showed that $Dissim_{W-TN}$ in the Category term (M = 0.214, SD = 0.004) and 521 in the Proper name conditions (M = 0.220, SD = 0.003) were significantly lower than that in the 522 control No symbol condition (M = 0.249, SD = 0.004) (ps < .001), but they did not differ 523 significantly from each other (p = .01) (Figure 5B). Dissim_{W-TN} was significantly lower than 524 $Dissim_{W-TT}$ in all three conditions (ps < .001) (Figure 5B), which means that within-category 525 trained instances were represented as less similar to each other than when each of them was compared 526 527 with a novel instance from the same category. In other words, trained instances resulted in neuronal response patterns that were more similar to those caused by novel instances than those caused by 528 529 trained instances from the same category, a finding easily explained by the lack of learning of the idiosyncratic features of novel instances. A further set of pairwise comparisons using Bonferroni 530 531 correction revealed that the absolute *DissimDiff* in the No symbol condition (M = 0.041, SD =532 0.016) was significantly higher than DissimDiff in the Category term condition (M = 0.016, SD =533 0.012) (p < .001) but not significantly different from that in the Proper name condition (M =0.044, SD = 0.02) (p = .009). In other words, category-term learning resulted in the most similar 534 processing of learnt and not-learnt instances and thus to the greatest degree of generalization. 535

Results from the additional simulations controlling for the number of word form presentations during learning (i.e., four training conditions NoS_1x, NoS_3x, CT_1x, PN_3x, see Methods) also confirmed that generalization was maximal for novel members of categories for which category term had been learned (Figure 5C). The mere exposure to instances or learning proper names showed little generalization relative to category learning. These results investigating brain-constrained neural network correlates of conceptual generalization sit well with well-known observations that language-learning children often generalize – or even overcategorize – category terms to novel items. In case of overgeneralization to an item, subsequent learning may establish a novel category to which the item belongs. While our results offer a mechanistic perspective on generalization, a detailed simulation of overgeneralization and reclassification learning is left for future study.

547 Cell assembly analysis

548 Figure 6A illustrates the tendency of the deep neural network to encode fewer unique neurons (U-shaped function across areas) and more shared neurons (inverted U-shaped function) in the extra-549 sylvian central areas than in the extra-sylvian primary areas. In the first step, the number of unique 550 551 neurons and shared neurons activated by each instance were calculated and averaged across two 552 training conditions. The repeated measures 3×2 – ANOVA with training condition (No symbol/Category term/Proper name) and neuron type (unique/shared) confirmed the significant main 553 effects ($F(2,22) = 902.098, p < .001, \eta^2 = 0.926$ and $F(1,11) = 13966.410, p < .001, \eta^2 =$ 554 0.998, respectively), and a significant interaction involving both factors (F(2,22) = 5027.907, p < 1000555 .001, $\eta^2 = 0.985$). The supplementary 2× 2 – ANOVA with training condition with symbols 556 (Category term/Proper name) and neuron type (unique/shared) returned comparable results with 2 557 558 significant main effects ($F(1,11) = 1009.255, p < .001, \eta^2 = 0.951$ and $F(1,11) = 23994.328, p < .001, \eta^2 = 0.951$ $.001, \eta^2 = 0.998$, respectively), and a significant interaction involving both factors (F(1,11) =559 4593.789, $p < .001, \eta^2 = 0.986$). Pairwise comparisons with Bonferroni correction revealed that 560 category terms made the neural network reactivate more shared neurons (M = 11.242, SD = 0.127) 561 than unique neurons (M = 2.861, SD = 0.051) (p < .001). This also applied for training with proper 562 names (shared neurons: M = 7.963, SD = 0.222; unique neurons: M = 3.89, SD = 0.064) and 563 training without symbol (shared neurons: M = 8.029, SD = 0.194; unique neurons: M = 4.493, SD =564 (0.08) (ps < .001) (Figure 6B). Compared to this control condition, the number of unique instance-565 566 specific neurons was moderately reduced by proper names, but radically so by category terms (p < p.001), whereas the number of shared, conceptual-category neurons remained unchanged after proper-567 name learning (p = .447), but increased dramatically with category term acquisition (p < .001). The 568 latter is clear evidence for a facilitatory effect of language, more specifically, of category-term 569 570 learning, on conceptual category formation in brain-constrained deep neural networks.

With respect to the gain/loss of neurons in the extrasylvian central areas relative to the primary 571 ones, our repeated-measure 3×2 – ANOVA with two factors training condition (No symbol/Category 572 573 term/Proper name) and neuron type (unique/shared) confirmed both main effects on the percentage change of neurons and their interaction to be significant ($F(2,22) = 55.17837, p < .001, \eta^2 =$ 574 $0.5519424, F(1,11) = 6471.54090, p < .001, \eta^2 = 0.9954, and F(2,22) = 1484.43893, p < 0.5519424, F(1,11) = 0.5519424, F(1,11) = 0.001, \eta^2 = 0.9954, and F(2,22) = 0.001, \eta^2 = 0.9954, here is a standard stan$ 575 $.001, \eta^2 = 0.966$, respectively). According to the subsequent pairwise t-tests, the deep neural 576 networks gained shared neurons but lost unique neurons in the central areas, which held true for all 577 conditions (ps < .001) (Figure 6D, upward dotted lines represent positive gains in shared neurons and 578 579 downward solid lines mean negative gains in unique neurons). On the three levels of training condition, the gain in shared neurons and the loss in unique neurons in the Category term condition 580 were significantly larger than that in the Proper name and No symbol conditions (ps < .001) (Figure 581 582 6D). Proper names did not significantly increase the gain in shared neurons (p = .1) but led only to a 583 moderate loss of unique neurons, as compared to the control training condition (ps < .001). These results further confirm that training with category terms magnified both the gain in shared semantic 584 585 neurons in central areas and the loss of unique instance-specific neurons there. The simulations 586 performed for balancing the number of word form presentations during proper-name and category-587 term learning also confirmed these observations (Figure 6C, E). Therefore, the overgrowth of shared 588 neurons in category-term learning does not depend on an abundant number of word form presentations 589 and cannot be explained by adding word form information to instance-related information.

Both RSA and CA-analyses were also conducted for the whole model architecture (6
extrasylvian and 6 perisylvian model areas). The data replicated the results indicating category
learning (Figure 4-1, Table 4-1), generalization (Figure 5-1, Table 5-1), and representations of
category-critical as well as instance-specific features (Figure 6-1, Table 6-1).

Methosi Accepted Manuschi

Discussion

When sensorimotor patterns simulating the processing of similar objects or actions from 595 different categories were presented, the brain-constrained network applied in the current study showed 596 successful conceptual category learning. Category learning outside symbol context was manifest in 597 598 greater similarities of activity patterns elicited by different instances of the same category as compared 599 with between-category pattern similarities. Importantly, compared with training of instances per se, concurrent learning of category instances and symbols had a substantial effect on both categorial and 600 601 instance-specific processes. Category-term learning led to an additional increase in dissimilarities between activity patterns across conceptual categories, while making category members substantially 602 603 more similar to each other. In contrast, proper-name learning did not change between-category 604 similarities and led to a relatively minor similarity increase between members of the same category. 605 The model gave evidence of generalization to novel members of learned categories and showed that such generalization was maximal for novel members of categories for which category terms had been 606 607 learned. Meticulous analyses of neuronal activity patterns suggest that the enhancement of within-608 category similarities and between-category dissimilarities in context of category symbols is due to an increase in the number of cells responding to all category members. Likewise, relative persistence of 609 610 instance-specific neurons with proper-name learning underlies the maintained activation differences between category instances observed in this case. All observed effects regarding pattern dissimilarities 611 and neuronal microstructure were greatly pronounced in the central 'connector hub' areas of the brain-612 613 constrained model applied, as compared with primary areas. Table 3 summarizes major observations in the current data and the corresponding learning aspects these observations reflect. 614

615 Relationship to experimental and neurocomputational research

616 Our results can be used to address observations delivered by neurocognitive and neurobehavioral experiments. Neuropsychological evidence highlights the role of the prefrontal cortex 617 in categorical representation (for review see Kéri, 2003). Prefrontal areas (PF₁ and PF₁) are part of the 618 four central areas of our model, where conceptual neurons constituting category representations 619 emerged most numerously. This is explained by the high degree of convergence of neural activity on 620 621 these areas, which are not only located in the centre of the model architecture but also show the highest connectivity degrees. Due to ample activity converging on these connector hub areas, their 622 frequently activated shared semantic neurons can most efficiently recruit other neurons, which 623 therefore take on similar response properties (Doursat and Bienenstock, 2006). This mechanism may 624 contribute to why these areas act as 'semantic hubs' and house neurons reflecting category 625 626 membership (e.g., PF and AT, see Miller et al., 2002; Seger and Miller, 2010; Garagnani and 627 Pulvermüller, 2016; Tomasello et al., 2017). On the other hand, the higher density of instance-specific 628 neurons in the primary visual/motor model area relative to the centre is evidence for exemplar learning in the sensorimotor cortices (Bowman et al., 2020; Kéri, 2003) - a type of category learning that is 629 based on the representations of specific category instances (Nosofsky, 1988) and should be 630 631 independent of signs and symbols. Here, solid evidence for category formation was obtained even in the control condition where only sensorimotor patterns were presented to the model without symbols. 632 633 In line with neural data (Freedman et al., 2001; Seger and Miller, 2010), experimental evidence shows 634 that perceptuomotor similarities among category members are sufficient to trigger category learning in preverbal infants (Sloutsky and Fisher, 2004; de Heering and Rossion, 2015) and animals (Güntürkün 635 et al., 2018; Pusch et al., 2023). 636

When learning conceptual instances in context of category terms, infants show most 637 638 pronounced category building and an attention bias towards shared features of category members (Waxman and Markow, 1995; Dewar and Xu, 2007; Althaus and Mareschal, 2014). In contrast, 639 640 encountering proper names for individual instances focuses their attention relatively more to objectspecific features (Barnhart et al., 2018; Pickron et al., 2018; La Tourette & W, 2020). In the current 641 network model, symbol association raises the number of neurons involving in the processing of a 642 643 given sensorimotor pattern. This can be interpreted as biased attention to the object or action for which 644 the pattern codes and thus explains why label learning generally increases attention to object features.

Furthermore, as category-term learning increases the number of category-critical shared semantic

- 646 neurons in the network, at the cost of reducing the number of instance-specific ones, the pre-observed
- greater attention to shared features has a direct model correlate, along with the label-related tendency
 to build stronger category representations. Infants' attentional focusing on instance-specific features of
- 648 to build stronger category representations. Infants' attentional focusing on instance-specific feature 649 objects is in line with the relative preservation of instance-specific neurons in the model of proper-
- 650 name learning. Thus, the opposing effects of proper name and category-term learning, which,
- 651 respectively, drive attention towards instance-specific and category general features of objects, are
- 652 captured by the current model.

A range of neurocomputational studies previously explored the putative brain basis of 653 cognitive processes (e.g., Deco and Rolls, 2005; Rolls and Deco, 2015; Palm, 2016), including 654 conceptual category learning and the influence of language on object perception (Rogers and 655 McClelland, 2014; Henningsen-Schomers and Pulvermüller, 2022). For example, Westermann and 656 Mareschal (2014) demonstrated, using a fully distributed parallel processing model, that learning a 657 658 category label made the neural patterns of category members more similar to each other, whereas different categories moved away from each other in representational space. Our RSA in models 659 660 mimicking cortical area structure and connectivity, along with within-area excitatory and inhibitory 661 connectivity, achieved the same result. In addition, we determined the neuron-level mechanisms and contributions of different model areas to this result and, in particular, revealed the model-central 662 663 connector hub areas as the loci where the differences between categorical and instance-specific 664 mechanisms as well as those between the shared- vs. specific-feature promoting roles of instancespecific and category labels are most pronounced. As to our knowledge, the contrast between activity 665 patterns and neuronal correlates of proper names and category terms has not been addressed by 666 667 previous computational work.

668 Model explanation

The present simulations offer explanations of the observed phenomena based on neuroscience 669 670 principles. Of special relevance here are the biological learning mechanisms applied, which include unsupervised Hebbian synaptic strengthening of connections between co-activated neurons and 671 weakening of links between cells firing independently of each other. This principle explains why 672 category labels primarily interlink with the shared neurons of instance representations belonging to the 673 same category. The reason lies in the highest correlation values, as instance-specific neurons are silent 674 675 when the category term is used together with other category instances. This implies some weakening 676 of connections between the category terms' and the instance-specific neurons, based on the 'anti-Hebbian' "neurons out-of-sync delink" rule. The opposite difference applies to proper names, whose 677 neural correlates strongly connect to instance-specific neurons but weaken their links with the 678 category-critical shared neurons whenever a different category member co-occurs with its own and 679 680 thus different name. Effects are most clearly present in the central areas of the network where the neural correlates of words and entities are equally manifest so that their correlation structure can easily 681 682 be mapped.

683 Limitations and future direction

The current simulations use idealized instance and category learning conditions. The 684 activation patterns representing conceptual instances and word forms were chosen to be non-685 overlapping, except for the neurons coding for shared features. These are idealizations considering 686 687 both the features of word forms and those of objects and actions could be shared across categories (cf. phonological e.g., "cat"-"hat" or perceptual color/shape similarities). Such similarities are irrelevant to 688 689 category membership and hence were omitted to keep the simulation well-controlled. Secondly, only a small number of conceptual features were realized and a small set of shared features determined 690 691 concept membership. This situation may hold for some concrete terms but not for others and certainly 692 not for abstract concepts (Henningsen-Schomers et al., 2022). Furthermore, proper names and 693 category terms were acquired by different networks to allow straightforward separation and evaluation of the mechanistic side of different label types – although label types are normally co-present in the 694

695 same mind and brain. In future, it is desirable to complement this work by simulations of more 696 realistic conceptual categories and to build one model in which interaction/interference effects

realistic conceptual categories and to build one model irbetween different learning conditions are possible.

698 Conclusion

699 The current study strived to meet the need for a mechanistic model of symbols and their 700 meaning within a neurobiological computational framework by addressing specific features of proper 701 names (Mickey Mouse) and category symbols (house mouse). Developmentalists and linguists have long been proposing that category terms and proper names distinctively impact infants' locus of 702 703 attention towards category-shared and instance-specific object and action features, respectively. By simulating concept and instance learning in a deep neural network with neurobiologically realistic 704 705 architecture and brain-like connectivity, we demonstrate that learning these two different symbol types 706 had opposing effects on the emergent neuronal cell assemblies representing and processing instances of a category and the shared conceptual features of that category, which can explain pre-observed 707 708 differences in perceptual, attentive and memory processes related to the specific and shared features of category instances. These explanations were based on unsupervised Hebbian associative learning 709 710 mechanism binding neurons involved in correlated processing of instance-specific category-general 711 information. The current work could thus not only replicate but also offer underlying neuronal mechanisms and causal neurobiological explanations for well-established observations in cognitive 712 713 science.

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Tables

Table 1. Connectivity structure of the modelled cortical areas with neuroanatomical evidence. Tabletaken from Tomasello et al. (2018).

Iodelled areas	References
	ectivity (black arrows)
Perisylvian system	
A1, AB, PB	Pandya and Yeterian, 1985; Pandya, 1995; Rauschecker and Tian, 2000
PF _i , PM _i , M1 _i	Pandya and Yeterian, 1985; Young et al., 1995
Extra-sylvian syste	
V1, TO, AT	Bressler et al., 1993; Distler et al., 1993
PF_L , PM_L , $M1_L$	Pandya and Yeterian, 1985; Arikuni et al., 1988; Lu et al., 1994; Rizzolatti and Luppino, 2001; Dum and Strick, 2002, 2005
Between system	
AT, PB	Gierhan, 2013
PF_i, PF_L	Yeterian et al., 2012
	co-cortical connections (purple arrows)
Perisylvian system	
PF _i , PB	Meyer et al., 1999 p.19; Romanski et al., 1999a, 1999b; Paus et al.,
	2001; Catani et al., 2005 p.200; Parker et al., 2005; Rilling et al.,
	2008; Makris and Pandya, 2009
PB, PM _i	Rilling et al., 2008; Saur et al., 2008
AB, PF _i	Romanski et al., 1999a, 1999b; Kaas and Hackett, 2000; Petrides and
	Pandya, 2009; Rauschecker and Scott, 2009
Extra-sylvian systemetric extra-sylvian syst	
AT, PF_L	Bauer and Jones, 1976 p.197; Fuster et al., 1985 p.198; Ungerleider et al., 1989; Eacott and Gaffan, 1992; Webster et al., 1994; Parker and Gaffan, 1998; Chafee and Goldman-Rakic, 2000
AT, PM_L	Bauer and Fuster, 1978; Fuster et al., 1985; Pandya and Barnes, 1987;
, L	Seltzer and Pandya, 1989; Chafee and Goldman-Rakic, 2000
TO, PF_L	Bauer and Jones, 1976; Fuster and Jervey, 1981; Fuster et al., 1985;
,	Seltzer and Pandya, 1989; Makris and Pandya, 2009
Between systems	
PB, PF _L	Pandya and Barnes, 1987; Romanski et al., 1999a, 1999b
AT, PF _i	Pandya and Barnes, 1987; Ungerleider et al., 1989; Webster et al., 1994; Romanski, 2007; Petrides and Pandya, 2009; Rilling, 2014
econd-next neight	or "jumping" links (blue arrows)
	(Rilling et al., 2008, 2012; Thiebaut de Schotten et al., 2012; Rilling and
van den Heuvel, 2	
A1, PB	Pandya and Yeterian, 1985; Malcolm P. Young et al., 1994
$PF_i, M1_i$	Deacon, 1992; Young et al., 1995b; Guye et al., 2003
	em (Thiebaut de Schotten et al., 2012)
V1, AT	Catani et al., 2003; Wakana et al., 2004
$PF_L, M1_L$	Deacon, 1992; Young et al., 1995a; Guye et al., 2003

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- 972 corresponding equations as well as their mathematical implementations, please see Henningsen-
- 973 Schomers et al. (2022).

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	Time constant (excitatory cells)	$\tau = 2.5$ (time steps)
	Time constant (inhibitory cells)	$\tau = 5$ (time steps)
Eq. (1)	Total input rescaling factor	$k_1 = 0.01$
	Noise amplitude	$k_2 = 7\sqrt{(24/\Delta t)} \ (\Delta t = 0.5 \text{ ms})$
	Global inhibition strength	$k_G = 0.80$ (time steps)
$\mathbf{E}_{\mathbf{z}}$ (2)	Spiking threshold	thresh = 0.18
Eq. (3)	Adaptation strength	$\alpha = 8.0$
Eq. (4)	Adaption time constant	$\tau_{ADAPT} = 10$ (time steps)
$\mathbf{E}_{\mathbf{z}}$ (5)	Data actimate time constant	$\tau_{Favg} = 30$ (time steps, training)
Eq. (5)	Rate-estimate time constant	$\tau_{Favg} = 5$ (time steps, testing)
Eq. (6)	Global inhibition time constant	$\tau_{FGLOB} = 12$ (time steps)
- · ·		$\vartheta_+ = 0.15 \text{ (LTP)}$
	Postsynaptic potential thresholds	$\vartheta_{-} = 0.14 (\text{LTD})$
Eq. (7)	Presynaptic output activity required for any	$\vartheta_{pre} = 0.05 (\text{LTP})$
	synaptic change	
	Learning rate	$\Delta w = 0.0008$
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Analysis	Learning aspect	Observation						
		Successful category learning in all learning conditions						
		$Dissim_{B-TT} > Dissim_{W-TT}$						
	Category	Interaction effect of Symbol type and within/between categories						
RSA	learning	$Dissim_{B-TT_{CT}} > Dissim_{B-TT_{PN}}; Dissim_{B-TT_{CT}} > Dissim_{B-TT_{PN}}$						
		$Dissim_{W-TT_{CT}} < Dissim_{W-TT_{PN}}; Dissim_{W-TT_{CT}} < Dissim_{W-TT_{N}}$						
		Symbol effect on dissimilarity differences within category						
	Generalization	DissimDiff _{CT} < DissimDiff _{NoS}						
		$DissimDiff_{CT} < DissimDiff_{PN}$						
		Tendency to encode shared features in all learning conditions						
		$n_S > n_U$						
	Representations of category-	Symbol effect on the number of shared neurons						
		$n_{S_{CT}} > n_{S_{PN}}; n_{S_{CT}} > n_{S_{NOL}}$						
		Gain in shared neurons in central area in all learning conditions						
	critical features	$n_{S-central} > n_{S-primary}$						
CA	critical reactives	Symbol effect on across-area gain of shared neurons						
Analysis		$Gain_{S_{CT}} > Gain_{S_{PN}}; Gain_{S_{CT}} > Gain_{S_{NoL}}$						
		Symbol effect on the number of unique neurons						
		$n_{U_{PN}} > n_{U_{CT}}; n_{U_{NoL}} > n_{U_{CT}}$						
	Representations	Loss in unique neurons in central areas in all learning conditions						
	of instance-	$n_{S-central} > n_{S-primary}$						
	specific features	Symbol effect on across-area loss of unique neurons						
		$Loss_{S_{PN}} < Loss_{S_{CT}}$						
Abbreviatio	on: Dissim _{W–TT} /Di	$Sim_{W-TN} = D$ is similarity between a trained instance and another						
trained instance/novel instance of the same category; $Dissim_{B-TT}$ = Dissimilarity between two								
		categories; $DissimDiff = Dissim_{W-TN} - Dissim_{W-TT} ; n_S =$						

976 Table 3. Critical and significant observations and the corresponding aspects of learnin	g.
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- 980 number of shared neuron; n_U = number of unique neuron; CT = Category term; PN = Proper name;
- 981 NoS = No symbol.

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987 **Figure legends** Figure 1. A) Area structure and between-area connectivity of the neural network model. Left: 988 989 The network model's 12 cortical areas in the left fronto-temporo-occipital lobes: inferior-frontal articulatory (red) and superior temporal auditory systems (blue) of the perisylvian areas, and the lateral 990 991 frontal hand-motor system (yellow/orange/brown) and visual "what" stream (green) in the extrasylvian 992 cortex. Right: Connections among the 12 modelled brain areas: direct connections between adjacent 993 areas (black arrows), second nearest-neighbor areas (blue arrows), and long-distant links (purple arrows). Figure modified from Tomasello et al. (2018). B) Schematic illustrations of activity 994 patterns for instances of two categories. The categories are illustrated with images of robots and cat 995 996 faces, but note that this is for illustrative purposes. The actual input to the model was not images, but 997 grounding patterns consisting of sets of activated neurons (see main text for details). Active neurons of 998 given activity patterns were either shared among instances of the same category (black) or unique to 999 each instance (color). Each model area included 25×25 excitatory neurons, i.e., 625 cells. Left: In grounding patterns (i) presented to $V1/*M1_L$, 6 shared active neurons (black) code for the common 1000 perceptual-semantic features of the category "a" and 6 unique neurons (color) represent instance-1001 specific perceptuomotor features from each of the category members. Member instances of one 1002 1003 category activated the same six shared neurons while the instance from another category activated a 1004 different set of six shared neurons; each instance also activated six unique neurons. Middle: 12 neurons (black) make up word form pattern for the category term; in the Category term condition, 1005 1006 member instances co-activated with the same word form pattern (ii) in *A1/*M1_i. Right: 12 unique 1007 neurons (color) represent each proper name of an individual instance, which are activated 1-to-1 with these instances in the Proper name condition. Instances were co-activated with distinct different word 1008 form patterns (iii) in *A1/*M1; regardless of category. C) Simulating no-symbol learning (top), 1009 category-term learning (bottom-left), and proper-name learning (bottom-right) where no word 1010 1011 form pattern, word form patterns (ii), and word form pattern (iii) were presented to $*A1/*M1_i$,

1012 respectively.

Figure 2. Experiment design used for instance learning and conceptual grounding. A) Training phase 1013 with 30 object instances from ten categories. The categories are illustrated with images of robots and 1014 cat faces, but note that this is for illustrative purposes. The actual input to the model was not images, 1015 1016 but grounding patterns consisting of sets of activated neurons (see main text for details). For each trained instance, the grounding pattern (i) was either presented to the network on its own (No symbol) 1017 1018 or combined with a 'word form pattern' of type (ii) (Category term) or type (iii) (Proper name). B) 1019 **Testing phase** with a collection of the initially trained 30 instances and 30 novel instances from the 10 1020 original categories, resulting in 60 testing instances (i.e., 6 per category). C) Training conditions in the main simulations (top) and control simulations (bottom) differ in the number of training trials 1021 1022 (tt) to match the number of instance representations and the number of word form representations, 1023 respectively.

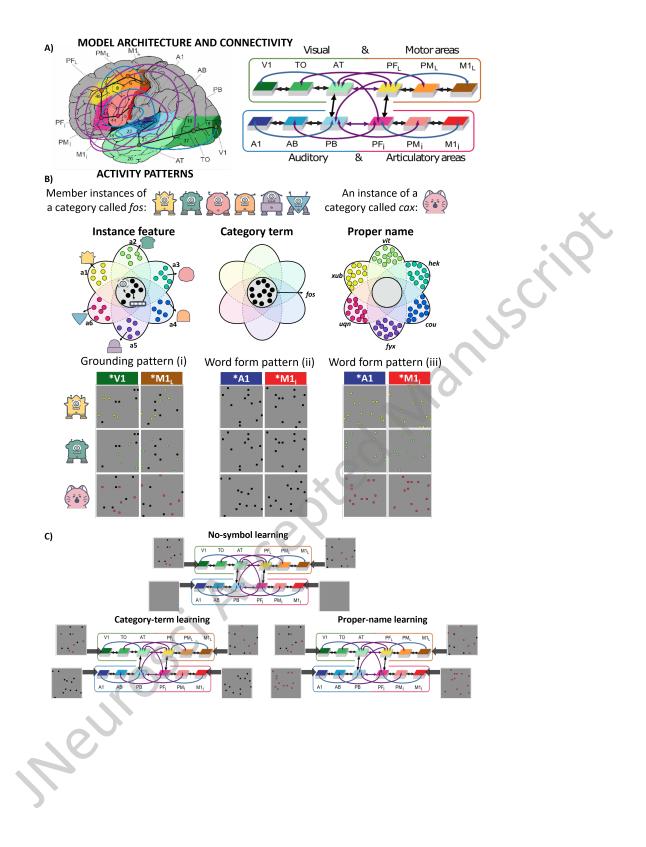
Figure 3. A) Schematic extraction of a 60 × 60 Representational Dissimilarity Matrix (RDM) 1024 1025 which represents 12 instances from two different categories and the similarities between any instance 1026 pair. For illustration, we once again use the categories of robots and cat faces. The schematic 1027 dissimilarity matrix illustrates how between-category (cells outside the red boundaries) within-1028 category dissimilarities (cells within the red boundaries) were calculated. Of interest are the (1) withincategory dissimilarity among trained instances ($Dissim_{W-TT}$, lightest blue shade), (2) within-category 1029 1030 dissimilarity between a trained and a novel instance ($Dissim_{W-TN}$, intermediate blue shade), and (3) 1031 between-category dissimilarity of two trained instances ($Dissim_{B-TT}$, darkest blue shade). The RDM is symmetric about its diagonal (grey) of zeros (representing the non-dissimilarity of each of the 1032 instances to itself). Only the upper half of the RDM is used for analysis and the lower half could be 1033 1034 abandoned (black). B) RDMs for each of the twelve model areas in three main simulations: No 1035 symbol (top row), Category term (middle row), and Proper name (bottom row). Squares indicate the 1036 degrees to which network activity in the 12 network areas elicited by (12 out of 60) grounding patterns 1037 in the three learning conditions differed between each other within and between categories and are

1038 color-coded from turquoise (no dissimilarity, Dissim = 0), blue, pink, and to dark red (high dissimilarity, Dissim > 3).

Figure 4. Bar charts depicting dissimilarities between network activity elicited by trained grounding 1040 1041 patterns after learning for each of the three training conditions. A) Main simulation: Within-category 1042 (W-TT) and between-category (B-TT) dissimilarity values across all 30 trained activity patterns were 1043 averaged for each of the twelve model areas. B-C) Within-category (W-TT) and between-category (B-TT) dissimilarities across the 30 trained items were averaged for extrasylvian model areas. The three 1044 1045 training conditions of the main simulations (B) were No symbol (NoS, grey), Category term (CT, 1046 blue) and Proper name (PN, pink). The four training conditions of the control simulation (C) were No symbol with each instance presented over 1,000 (NoS_1x, blue-striped grey) or 3,000 trials (NoS_3x, 1047 1048 pink-striped grey), Category term where each instance presented over 1,000 trials (CT_1x, blue) and 1049 Proper name where each instance presented over 3,000 trials (PN 3x, pink). Error bars represent 95% 1050 confidence intervals of the mean. Circles above the bars represent post hoc pairwise comparisons 1051 between a reference (circles with filled colored) and a corresponding mean (unfilled circles) after 1052 Bonferroni correction (critical p value = 0.005). 10 comparisons relevant to the main effects of training condition and dissimilarity type and for their interaction are illustrated. Asterisks represent 1053 two-tailed p values: ** p < .005, *** p < .001, ns: not significant. The results were replicated in the 1054 1055 whole model architecture (6 extrasylvian and 6 perisylvian model areas); see Figure 4-1 and Table 4-1.

1056 Figure 5. Bar charts depicting dissimilarities between network activity elicited by trained novel 1057 grounding patterns after learning for each of the three training conditions. A) Main simulation: 1058 Within-category dissimilarity values between any two trained instances (W-TT) and between trained and novel instances were averaged for each of the twelve model areas. **B&C**) Within-category 1059 dissimilarities between any two trained instances (W-TT) and between trained and novel instances (W-1060 1061 TN) were averaged for extrasylvian model areas. The three training conditions of the main simulations 1062 (B) were No symbol (NoS, grey), Category term (CT, blue) and Proper name (PN, pink). The four 1063 training conditions of the control simulation (C) were NoS_1x (blue-striped grey) or NoS_3x (pink-1064 striped grey), CT_1x (blue) and PN_3x (pink). For further explanation, see Figure 4. The results were replicated in the whole model architecture (6 extrasylvian and 6 perisylvian model areas); see Figure 1065 1066 5-1 and Table 5-1.

Figure 6. Bar charts depicting average numbers of instance specific ('unique') and category-general 1067 1068 ("shared") neurons activated by grounding patterns of instances learnt in the three training conditions, 1069 No symbol (grey), Category term (blue) and Proper name (pink). A) Main simulation: The number of 1070 activated unique (U) and shared (S) neurons in response to each of the 30 trained instances was 1071 averaged across all twelve model areas. **B** &**C**) The number of activated neurons in response to the 30 trained grounding patterns was averaged for each of the six extrasylvian areas. D&E) Changes in 1072 1073 neuronal activation seen between extrasylvian primary areas, where stimulation was given, and the 'higher' more central connector hub areas central to the architecture. Changes in the number of 1074 1075 activated neurons in response to trained grounding patterns are shown for the three training conditions. 1076 Unique neurons are shown by solid lines with crossed ends, shared ones by broken lines with triangular ends. The three training conditions of the main simulations (B, D) were No symbol (NoS, 1077 1078 grey), Category term (CT, blue) and Proper name (PN, pink). The four training conditions of the control simulation (C) were NoS 1x (blue-striped grey) or NoS 3x (pink-striped grey), CT 1x (blue) 1079 1080 and PN_3x (pink). For further explanations see Figure 4. The results were replicated in the whole 1081 model architecture (6 extrasylvian and 6 perisylvian model areas); see Figure 6-1 and Table 6-1. 1082



EXPERIMENT DESIGN

A)

Category (10)			а					
Training instance (30)					VIV VIV			
	Grounding pattern (30)	(i)	(i)	(i)	(i)	(i)	(i)	(i)
	No symbol	\checkmark	\nearrow					
Activity pattern	Category term (10)		(ii) fos			(ii) cax		(ii)
	Proper name (30)	(iii) xub	(iii) vit	(iii) hek	(iii) dre	(iii) tla	(iii) tsu	(iii)

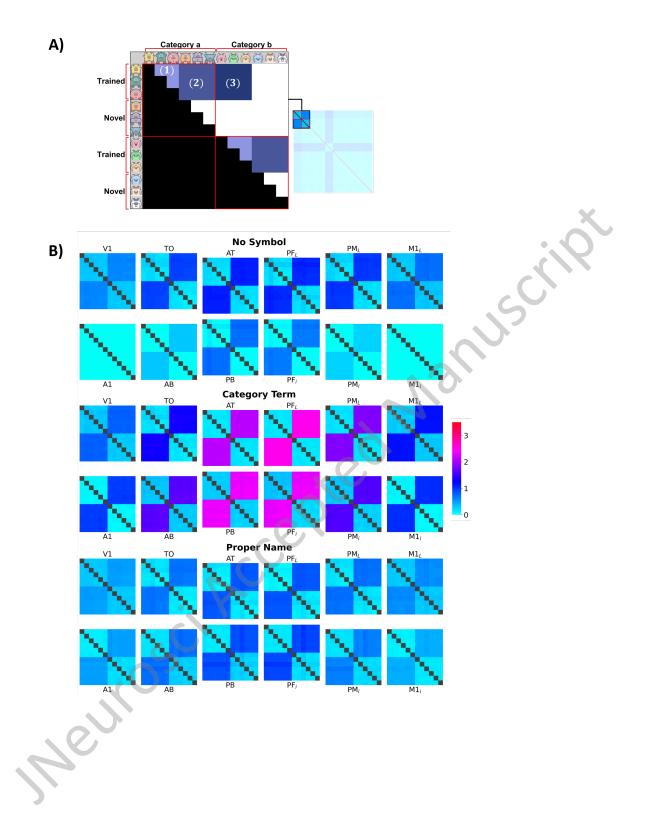
B)

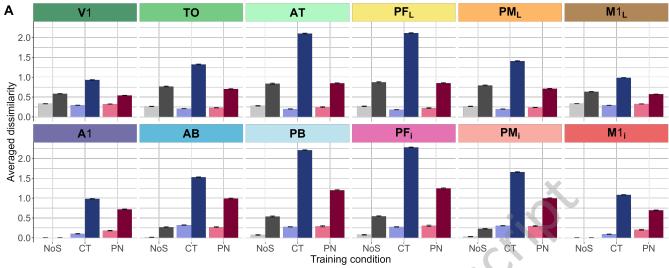
													•	
Category (10)			а					b						
	Testing instance (60)		P											
Activity	Grounding pattern (30)	(i)	(i)	(i)	(i)	(i)	(i)	(i)	(i)	(i)	(i)	(i)	(i)	(i)
pattern	No symbol	\checkmark	\checkmark	\checkmark	\nearrow	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	\times	\bigtriangledown	\checkmark	
			trainin	-		nove Istanc			rainin stanc	-	i	nove nstand		

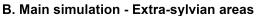
C) The number of training trials in the main and control simulations

Main simulation (matched for instance presentations)									
Training trials (tt) per instance	No symbol	Category term	Proper name						
2000	NoS	CT (6000 tt/symbol)	PN (2000 tt/symbol)						

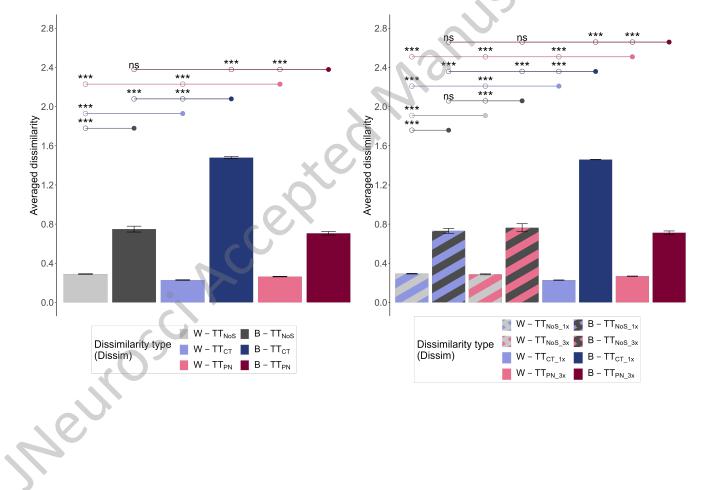
	Control simulation (matched for word form presentations)							
•	Training trials (tt) per instance	No Symbol	Category term	Proper name				
C	1000	NoS_1x	CT_1x (3000 tt/symbol)					
.07	3000	NoS_3x		PN_3x (3000 tt/symbol)				
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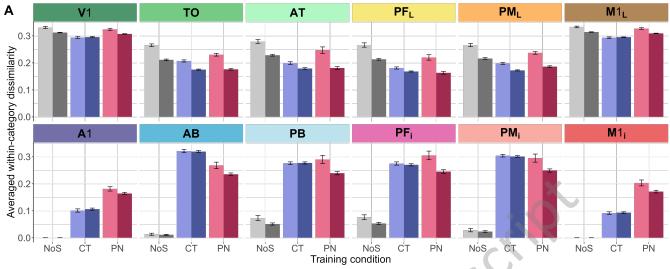


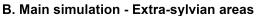




C. Control simulation - Extra-sylvian areas







C. Control simulation - Extra-sylvian areas

